



INDEPENDENT AUDIT REPORT

**SYDNEY METRO WEST – STAGE 1 CSSI 10038 &
STAGE 2 CSSI 19238057**

APRIL 2024

Authorisation

Author name	Derek Low	Reviewer / approver name	Steve Fermio
Author position	Auditor	Review position	Lead Auditor / Reviewer
Author signature		Reviewer / approver signature	
Date	26/04/24	Date	26/04/24

Document Revision History

Revision	Date	Details
1.0	05/04/24	Internal peer review
2.0	07/04/24	Draft report issue to client
3.0	17/04/24	Updated report in response to client feedback on revision 2.0
3.1	26/04/24	Minor amendments in response to client feedback on revision 3.0

Report Name: Independent Audit Report, Sydney Metro West Stage 1 CSSI 10038 & Stage 2 CSSI 19238057

Project No.: 953

Prepared for:
 Sydney Metro
 Level 43, 680 George Street
 Sydney, NSW, 2000

Prepared by:
 WolfPeak Pty Ltd

Disclaimer

This disclaimer, together with any limitations specified in this report, apply to use of this report. This report was prepared in accordance with the contracted scope of works for the specific purpose stated in the contract and subject to the applicable cost, time and other constraints. In preparing this report, WolfPeak Pty Ltd (WolfPeak) relied on client/third party information which was not verified by WolfPeak except to the extent required by the scope of works, and WolfPeak does not accept responsibility for omissions or inaccuracies in the client/third party information; and information taken at or under the particular times and conditions specified, and WolfPeak does not accept responsibility for any subsequent changes. This report has been prepared solely for the use by, and is confidential to, the client and WolfPeak accepts no responsibility for its use by any other parties. This report does not constitute legal advice. This report is subject to copyright protection and the copyright owner reserves its rights.

CONTENTS

Executive summary	4
1. Introduction	8
1.1 The Project.....	8
1.1.1 Overview.....	8
1.1.2 Changes to the Projects.....	11
1.1.3 Phasing	13
1.1.4 Works conducted during the audit period.....	15
1.2 The audit team	16
1.3 The audit objectives.....	17
1.4 Audit scope.....	17
2. Audit Methodology	20
2.1 Audit process.....	20
2.2 Audit process detail	20
2.2.1 Audit initiation and scope development.....	20
2.2.2 Preparing audit activities.....	21
2.2.3 Personnel involvement.....	22
2.2.4 Meetings.....	23
2.2.5 Site inspection	24
2.2.6 Document review and interviews	24
2.2.7 Generating audit findings	24
2.2.8 Compliance evaluation.....	25
2.2.9 Evaluation of post audit approval documentation.....	25
2.2.10 Completing the audit	25
3. Audit Findings	26
3.1 Approvals and documents audited, and evidence sighted	26
3.2 Non-compliances, Observations and Actions.....	26
3.2.1 Stage 1 (CSSI 10038).....	26
3.2.2 Stage 2 (CSSI 19238057).....	37
3.3 Adequacy of Environmental Management Plans, sub-plans and post approval documents.....	40
3.4 Summary of notices from agencies.....	41
3.5 Other matters considered relevant by the Auditor or the Department.....	42



3.6 Complaints	47
3.7 Incidents	49
3.8 Actual versus predicted impacts	49
4. Conclusions	53
Limitations.....	57
Appendix A – Conditions of Approval	59
Stage 1 (CSSI 10038)	60
Stage 2 (CSSI 19238057)	140
Appendix B – Planning Secretary agreement of Independent Auditors	187
Appendix C – Attendance records.....	189
Appendix D – Consultation records	194
Appendix E – Photos	196
Appendix F – Declarations	203

EXECUTIVE SUMMARY

Sydney Metro is responsible for delivery of the Sydney Metro West Project, which involves construction and operation of a new 24-kilometre metro line that would connect Greater Parramatta with the Sydney CBD via stations at Westmead, Parramatta, Sydney Olympic Park, North Strathfield, Burwood North, Five Dock, The Bays, Pyrmont and Hunter Street (Sydney CBD). The planning approvals and environmental impact assessment for Sydney Metro West have been staged in recognition of the size of the Project.

Approval for the Concept and Stage 1 works was granted in Critical State Significant Infrastructure (CSSI) 10038 by the Minister for Planning and Public Spaces on 11 March 2021, subject to a number of conditions. The Stage 1 works involve major civil construction work between Westmead and The Bays.

Approval for Stage 2 works was granted in CSSI 19238057 by the Minister for Planning and Public Spaces on 24 August 2022, subject to a number of conditions. The Stage 2 works includes all major civil construction work including station excavation (Pyrmont Station and Hunter Street Station (Sydney CBD)) and tunnelling between The Bays and Sydney CBD.

Phasing Reports have been prepared for both stages. A summary of the Phases subject to this Independent Audit (Audit) are outlined below.

- Phase B - Central Tunnelling Package (CTP): CTP involves major civil construction works between the Bays and Sydney Olympic Park, including station excavation for new metro stations at Sydney Olympic Park, North Strathfield, Burwood North, Five Dock and The Bays.
- Phase F – Western Tunnelling Package (WTP): WTP involves major civil construction works between Westmead and Sydney Olympic Park, including tunnelling and station excavation for new metro stations at Westmead, Parramatta, and Sydney Olympic Park; and civil works for the establishment of, and connection to, new services facilities at Rosehill within the Clyde MSF.
- Phase H – Westmead Utility Relocations (WUR): WUR involves the installation and relocation of new utility services of both Telstra and Jemena assets from Alexandra Avenue around Metro West Westmead station construction site.
- Phase G2 – Eastern Tunnelling Package (ETP): ETP involves major civil construction works between Hunter Street and The Bays and comprises demolition of buildings, shaft excavation, 2.5km TBM excavated twin tunnels plus mined cross caverns and cross passages, and a turnback extension tunnel east of Hunter Street.

Conditions A39/A39.1 of CSSI 10038 and condition A41 of CSSI 19238057 require Independent Audits to be conducted and carried out in accordance with the *Independent Audit Post Approval Requirements* (IAPAR) and as per the timing and scope set out in an approved Audit Program. The IAPAR sets out the standard scope, methodology and reporting requirements for the Audit, and an Audit Program (establishing a revised scope and timing) was prepared by WolfPeak¹ and approved by the Department on 19 December 2023.

¹ Independent Audit Program – Sydney Metro West | SSI 10038 & SSI 19238057, WolfPeak, 11/12/23

This Audit Report presents the findings from the February 2024 audit conducted under the approved Audit Program on both Stage 1 (CSSI 10038) and Stage 2 (CSSI 19238057).

Works completed during the audit period include the continuation of major civil and tunnelling works across CTP and WTP, commencement of trenching and conduit installation on WUR and the continuation of site establishment and mined tunnelling on ETP.

The overall outcome of the Audit was positive. Compliance records were organised and available at the time of the site inspection and interviews with Project personnel from Sydney Metro, AFJV, GLC, Quickway and JCG (together, the auditees). The auditees were cooperative and responsive to the Auditors requests and requirements of the audit.

Relevant environmental and compliance records were being collected and reported to enable verification against compliance and Project environmental requirements. Table A presents the summary of findings.

Table A: Summary of findings

Phase	Summary
Phase B (CTP)	<p>There were 59 conditions within the audit scope that were assessed.</p> <ul style="list-style-type: none"> • 45 conditions were considered to be compliant. • Seven (7) conditions were considered not triggered. • One (1) new non-compliance was identified. This relates to lack of consultation on flood design and impacts. • Six (6) non-compliances were self-reported by the auditee during the audit period. These relate to notification of non-compliances, submission of CPAS Monitoring Reports to Council, construction vehicle numbers, parking and adherence to nominated haul routes. <p>In addition to the above:</p> <ul style="list-style-type: none"> • Six (6) observations were identified. These relate to incident reporting and notification, hours of work, the currency of a DNVIS, real-time tracking of heavy vehicles used for spoil haulage, implementation of the CPAS, and erosion and sediment controls. <p>Three (3) findings were deemed open at the time of completion of the previous audit (completed in November 2023). One (1) is considered still open, relating to reporting of non-compliances.</p>

Phase	Summary
Phase F (WTP)	<p>There were 63 conditions within the audit scope that were assessed:</p> <ul style="list-style-type: none"> • 47 conditions were considered to be compliant. • 14 conditions were considered not triggered. • No new non-compliances were identified during the audit. • One (1) non-compliance against two (2) conditions that were within the agreed scope was self-reported by the auditee during the audit period. This relates to incorrect waste classification. <p>In addition to the above:</p> <ul style="list-style-type: none"> • Three (3) non-compliances against four (4) conditions that were outside of the agreed audit scope were self-reported by the auditee during the audit period. These relate late submission of a monitoring report, truck marshalling on an unapproved haul route and hours of work. • Two (2) observations were identified in relation to real-time tracking of heavy vehicles used for spoil haulage and erosion and sediment controls at Clyde. <p>There were no findings deemed open at the time of completion of the previous audit (completed in November 2023).</p>
Phase H (WUR)	<p>There were 17 conditions within the audit scope that were assessed:</p> <ul style="list-style-type: none"> • 17 conditions were considered to be compliant. <p>In addition to the above:</p> <ul style="list-style-type: none"> • One (1) non-compliance was identified (against a condition that was outside agreed scope), relating to working beyond the approved construction hours.
Phase G (ETP)	<p>There were 52 conditions within the audit scope that were assessed.</p> <ul style="list-style-type: none"> • 32 conditions were considered to be compliant. • 19 conditions were considered not triggered. • One (1) new non-compliance was identified in relation to submission of the CPAS Monitoring Report to Council. <p>In addition to the above:</p> <ul style="list-style-type: none"> • Two (2) non-compliances against one (1) condition that was outside of the agreed audit scope were self-reported by the auditee during the audit period. These relate to adherence to nominated haul routes. <p>Two (2) findings were deemed open at the time of completion of the previous audit (completed in July 2023). Both are considered by the Auditor to be closed.</p>

The Auditor considers there to be value in

- reviewing the environmental incident and non-compliance notification process to ensure these events are appropriately reported

- stress testing the real-time tracking of heavy vehicles to ensure the systems are functioning at all times and supporting the Project teams in identifying issues associated with adherence with nominated haul routes; and
- training traffic/logistics teams on their responsibilities under the Approvals, so that non-compliances are adequately identified and reported. Whilst no findings were identified against other disciplines, there would be value in rolling out similar training to all personnel responsible for managing activities subject to Approval conditions (including community engagement, design, waste/spoil etc.).

The Auditor observes that complaints relating noise and vibration, hours of work, traffic and access and property damage are not insubstantial, however they are considered to be associated with impacts consistent with those described in the EIS' and related documents. Further, Sydney Metro and its contractors appear to have adequately identified and responded to the complaints received during the audit period.

The auditees have not identified any incidents as defined by the Approval that need to be reported to the Department as required under A45/A46, that is, no incidents have been assessed as having potential or actual material harm on the environment or community (or actual or potential loss or property damage of an amount, or amounts in aggregate, exceeding \$10,000).

Detailed findings are presented in Section 3, along with actions proposed or undertaken by the auditees to address the findings.

The Auditor would like to thank the auditees from Sydney Metro, AFJV, GLC, Quickway and JCG, for their high level of organisation, cooperation, and assistance during the Audit.

1. INTRODUCTION

1.1 The Project

1.1.1 Overview

Sydney Metro is responsible for delivery of the Sydney Metro West Project, which involves construction and operation of a new 24-kilometre metro line that would connect Greater Parramatta with the Sydney CBD via stations at Westmead, Parramatta, Sydney Olympic Park, North Strathfield, Burwood North, Five Dock, The Bays, Pyrmont and Hunter Street (Sydney CBD). The planning approvals and environmental impact assessment for Sydney Metro West have been staged in recognition of the size of the Project. This includes the Sydney Metro West Concept and the following stages (as depicted in Figure 3, below):

- Stage 1 – All major civil construction works including station excavation and tunnelling between Westmead and The Bays
- Stage 2 – All major civil construction works including station excavation and tunnelling between The Bays to Sydney CBD
- Stage 3 – Tunnel fit-out, station building and operation of the line between Westmead to Sydney CBD.

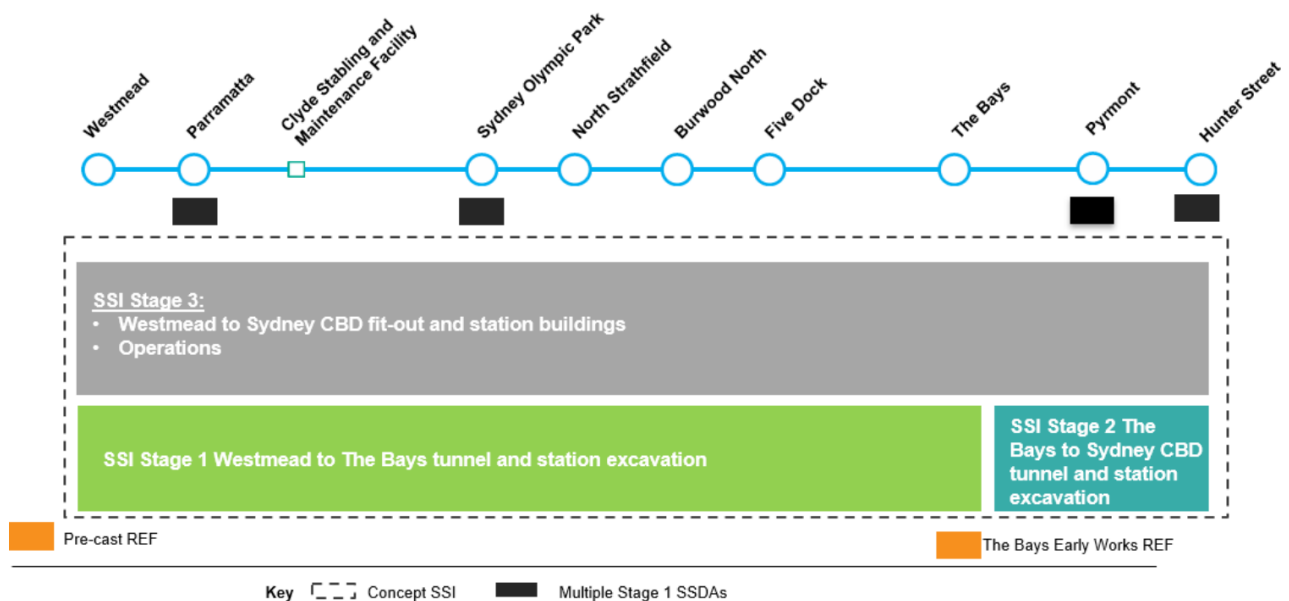


Figure 1: Sydney Metro West Planning Approval Strategy (source: Sydney Metro West Phasing Report, Version 1.7)

Approval for the Concept and Stage 1 works was granted in Critical State Significant Infrastructure (CSSI) 10038 by the Minister for Planning and Public Spaces on 11 March 2021, subject to a number of conditions. The Stage 1 works involve major civil construction work between Westmead and The Bays, including:

- Enabling works such as demolition, utility supply to construction sites, utility adjustments and modifications to the existing transport network
- Tunnel excavation including tunnel support activities
- Station excavation for new metro stations at Westmead, Parramatta, Sydney Olympic Park, North Strathfield, Burwood North, Five Dock and The Bays
- Shaft excavation for services facility at Rosehill (within the Clyde Maintenance and Stabling Facility (MSF) construction site)
- Civil work for the stabling and maintenance facility at Clyde including earthworks and structures for crossings of A'Becketts Creek and Duck Creek; and
- Excavation of a tunnel dive structure and associated tunnels at Rosehill to support a connection between the Clyde stabling and maintenance facility and the mainline metro tunnels.

Approval for Stage 2 works was granted in CSSI 19238057 by the Minister for Planning and Public Spaces on 24 August 2022, subject to a number of conditions. The Stage 2 works includes all major civil construction work including station excavation (Pymont Station and Hunter Street Station (Sydney CBD)) and tunnelling between The Bays and Sydney CBD, including:

- Enabling works such as demolition, utility supply to construction sites, utility adjustments and modifications to the existing transport network
- Mined crossover cavern construction
- 4.2 km of TBM tunnel excavation, 650m of mined tunnels and 7 cross passage excavation, from The Bays to Sydney CBD
- Excavation for two new underground metro stations at Pymont and Hunter Street
- Construction of a turnback, crossover tunnels and caverns at the eastern end of the tunnel section.

Approval for Stage 3 works was granted in CSSI 22765520 by the Minister of Planning on 25 January 2023. Stage 3 works, comprising tunnel fit-out, station building and fit-out, and operation of the line between Westmead and Sydney CBD have not commenced and are not within the scope of this Independent Audit.

An overview of Stages 1 and 2 are shown in Figures 2 and 3.

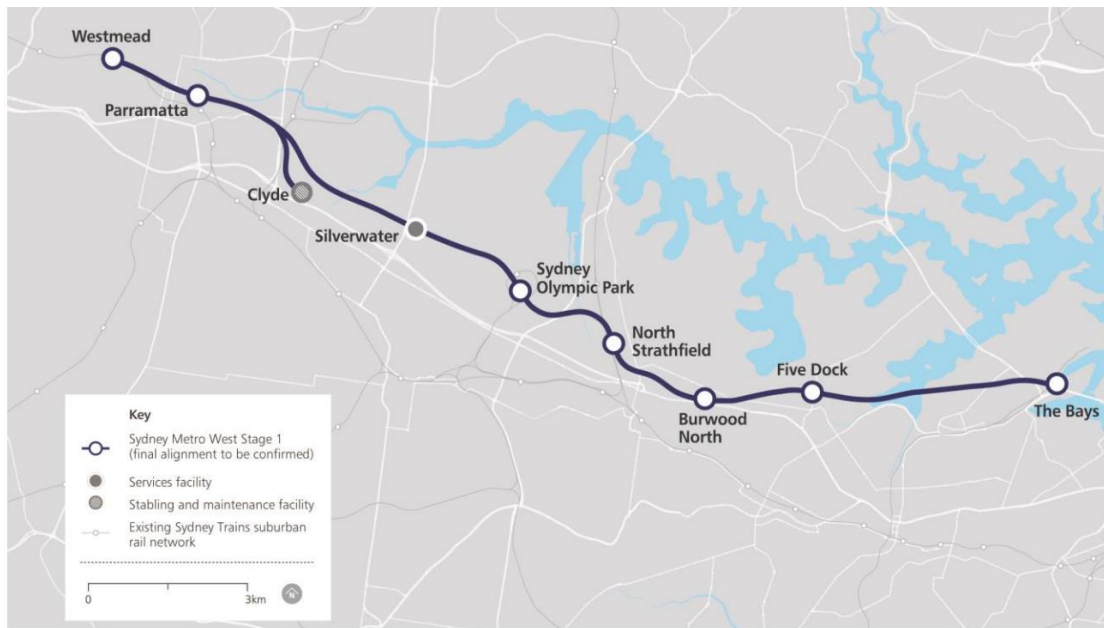


Figure 2: Stage 1 route and construction sites (source: Sydney Metro West Westmead to The Bays and Sydney CBD Submissions Report, Sydney Metro, 2020)



Figure 3: Stage 2 route and construction sites (source: Sydney Metro West Submissions Report, Major civil construction work between The Bays and Sydney CBD, Sydney Metro, April 2022)

1.1.2 Changes to the Projects

The following subsections outline modifications to the Stage 1 and Stage 2 Approvals, as well as the Consistency Assessments determined by Sydney Metro during the audit period. All the Modifications and Consistency Assessments were considered as part of the Audit where relevant to the audit scope.²

1.1.2.1 Stage 1 (CSSI 10038)

Modifications

The Stage 1 Approval has been modified on five occasions. All but one (Modification 5) were approved prior to the current audit period.

- Modification 1: On 28 July 2021 the Department approved an administrative modification to Conditions A11, C10 and D25 to improve clarity of intent and timing requirements.
- Modification 2: On 3 June 2022 the Department approved a modification to facilitate the relocation and extension of the Rosehill dive structure and realignment of Kay and Unwin streets at the Clyde MSF.
- Modification 3: On 4 July 2022 the Department approved a modification to amend the wording to conditions C-B10, D13, D17, D18, D37, D63, D66, D10 and to remove D11.
- Modification 4: On 23 December 2022 the Department approved an amendment to conditions D26 (naming of heritage item) and D122 (the need for updated groundwater report to consider future stages of project).
- Modification 5: On 20 September 2023 the Department approved a modification to allow for additional clearing of mangrove communities at the Clyde MSF for site and water management infrastructure and to require additional biodiversity offset credits retirements.

Consistency Assessments

Three consistency assessments were determined by Sydney Metro in Stage 1 during the audit period. These were as follows:

Clyde MSF – Works outside project boundary, Sydney Metro, 19 October 2023

GLC identified several portions of work required to be delivered at the Clyde MSF that were located outside of the Stage 1 Project boundary for the purposes of completing utility adjustments, temporary access, water conveyance structures and scour protection. An assessment was carried out that included justification, potential impacts, benefits and proposed controls. On 19 October 2023, Sydney Metro determined that the adjustment is consistent with the Stage 1 Project Approval.

Carlingford Rail Line for Oversized Overmass Deliveries, Sydney Metro, 16 January 2024

GLC proposed to convert the redundant Carlingford Rail Line (CRL) to facilitate the transportation of goods including Over Size Over Mass (OSOM) deliveries (e.g., segment deliveries) and tunnel

² Refer Section 1.4 and 2.2.1 of this Report.

boring machine components to the Rosehill Clyde MSF. An assessment was carried out that included justification, potential impacts, benefits and proposed controls. On 16 January 2024, Sydney Metro determined that the adjustment is consistent with the Stage 1 Project Approval.

Burwood North TBM support, Sydney Metro, 23 January 2024

AFJV proposed that once the TBMs pass through the Burwood North site, the northern construction site would take on some of the tunnelling support facilities from The Bays. This would include the handling and storage of precast concrete linings segments and the provision of a grout batching plant. The precast concrete lining segments would be delivered to and stored within the existing acoustic shed at the northern site. An assessment was carried out that included justification, potential impacts, benefits and proposed controls. On 23 January 2024, Sydney Metro determined that the adjustment is consistent with the Stage 1 Project Approval.

1.1.2.2 Stage 2 (CSSI 19238057)

Modifications

The Stage 2 Approval has been modified on two occasions, one of which was approved during the audit period.

- Modification 1: On 19 April 2023, the Department approved an application to amend condition D23 to permit tunnelling to be undertaken by non-tunnel boring machine (TBM) methods 24 hours per day and seven days per week.
- Modification 2: On 9 October 2023 the Department approved a modification to manage archaeological heritage excavation methodologies in Stage 2 construction sites, previously established under Sydney Metro Stage 1.

Consistency Assessments

Three consistency assessments were determined by Sydney Metro in Stage 2 during the audit period. These were as follows:

Hunter Street Station eastern tunnel refinement, Sydney Metro, 28 August 2023

Sydney Metro proposed a minor refinement to the vertical alignment of the Sydney Metro West tunnels between Hunter Street Station eastern site and The Domain to achieve a suitable clearance from the Ausgrid easement and CECT and minimise potential impacts to the utility from construction. An assessment was carried out that included justification, potential impacts, benefits and proposed controls. On 24 August 2023, Sydney Metro determined that the adjustment is consistent with the Stage 2 Project Approval.

Pymont Station eastern construction site – temporary shaft excavation, Sydney Metro, 15 November 2023

JCG proposed to alter the proposed noise mitigation strategy to respond to the change in construction methodology at the Pymont eastern construction site for the temporary shaft as it was determined that temporary shaft excavation work is only required during approved construction hours. In addition, the shaft excavation would be predominately covered with steel road decking which would provide acoustic attenuation. An assessment was carried out that included justification, potential impacts, benefits and proposed controls. On 15 November 2023, Sydney Metro determined that the adjustment is consistent with the Stage 2 Project Approval.

Hunter Street Additional Hoarding, Sydney Metro, 20 December 2023

JCG proposed to install temporary hoarding at the Radisson Blu Hotel (Former Wales House) (on Hunter Street), along approximately 25 m along O’Connell Street and Pitt Street; and 16 m on Hunter Street; and along approximately 12m of frontage on 15 -17 Hunter Street, known as Pangas House. An assessment was carried out that included justification, potential impacts, benefits and proposed controls. On 20 December 2023, Sydney Metro determined that the adjustment is consistent with the Stage 2 Project Approval.

1.1.3 Phasing

Phasing Reports³ have been prepared for both Stages 1 and 2 of Sydney Metro West. Construction phases and indicative dates, as outlined in the Phasing Reports are presented in Table 1.

Table 1: Construction phases

Approval	Phase ³	Construction commencement date	Completion date
Stage 1 (CSSI 10038)	Phase A – Power Enabling Works	July 2021	March 2023 ¹
	Phase B1 – Central Tunnelling Early Works	January 2022	October 2025 ²
	Phase B2 – Central Tunnelling Main Works	November 2022	October 2025 ²
	Phase C1 – Parramatta, Westmead and Clyde Demolition Works	December 2021	21 April 2023 ¹
	Phase C2 – Parramatta and Clyde Archaeological Works	March 2022	21 December 2022 ¹
	Phase D – Greater Sydney Road Works	This Phase is removed	
	Phase E – Existing Rail Corridor Enabling Works	Low Impact Works Commence October 2021	12 May 2023 ¹
	Phase F – Western Tunnelling Package	July 2022	February 2026 ²
	Phase H – Westmead Utilities Installation and Relocation Works	December 2023	October 2024 ²
	Phase G1 – Preliminary Works	March 2023	May 2023 ¹

³ Sydney Metro West Stage 1 – Phasing Report, Sydney Metro, Revision 1.7, January 2024 prepared in accordance with conditions A10/A11; and Sydney Metro West Stage 2 – Phasing Report, Sydney Metro, Revision 2.0, February 2023 prepared in accordance with conditions A14/A15.

Approval	Phase ³	Construction commencement date	Completion date
Stage 2 (CSSI 19238057)	Phase G2 – Eastern Tunnelling Works	March 2023	September 2025 ²

Notes:

1: Actual completion date

2: Anticipated completion date

3: Shaded phases indicate that the Phase is not included in the scope of this audit.

A summary of the Phases subject to this audit are outlined below.⁴

Phase B - Central Tunnelling Package (CTP):

CTP involves major civil construction works between the Bays and Sydney Olympic Park, including station excavation for new metro stations at Sydney Olympic Park, North Strathfield, Burwood North, Five Dock and The Bays. Tunnel boring machines (TBMs) are being used to excavate twin tunnels, with road headers excavating cross passages between the two tunnels at intervals of about 240 metres. Submission of the CEMP, Sub-plans and monitoring programs for Phase B was staged in two sub Phases (B1 and B2). This approach was based on the preparation of initial documentation to address all work during the civils construction phase. This includes activities such as local traffic modifications, multiple concurrent utility relocations, demolition and site establishment. The documentation was then updated to include tunnelling activities in Phase B2.

Phase F – Western Tunnelling Package (WTP):

WTP involves major civil construction works between Westmead and Sydney Olympic Park, including station excavation for new metro stations at Westmead, Parramatta, and Sydney Olympic Park; and civil works for the establishment of, and connection to, new services facilities at Rosehill within the Clyde MSF.

Tunnelling Civil work includes will be carried out for a stabling and maintenance facility at Clyde including earthworks and structures for crossings of A’Becketts Creek and Duck Creek, including excavation of a tunnel dive structure and associated tunnels at Rosehill to support a connection between the Clyde stabling and maintenance facility and the mainline metro tunnels.

Tunnelling work will involve nine kilometres of TBM excavated twin tunnels between Sydney Olympic Park and Westmead. Cross passages and stub tunnels for future extensions are also to be constructed using hammers and road headers.

Phase G2 – Eastern Tunnelling Package (ETP):

ETP involves major civil construction works between Hunter Street and The Bays and comprises demolition of buildings, shaft excavation, 2.5km TBM excavated twin tunnels plus mined cross caverns and cross passages, and a turnback extension tunnel east of Hunter Street.

Tunnel nozzles will be enlarged and an existing temporary connection adit at Bligh Street, linking Hunter Street Station to Martin Place Station, will be turned into a permanent pedestrian connection linking the stations, cross passages and a turnback extension tunnel east of Hunter Street.

⁴ Refer to Project Phasing Reports for full details.

Phase H – Westmead Utility Relocations (WUR)

WUR involves the installation and relocation of new utility services of both Telstra and Jemena assets from Alexandra Avenue around Metro West Westmead station construction site via Hawkesbury Road, Bailey Street and Hassell Street. The route will involve installation of approximately 450m (open cut trench) of Telstra conduits for communications, formwork works for new Telstra comms pits and installation of approximately 220m of conduits for Jemena gas.

1.1.4 Works conducted during the audit period

The works conducted during the audit period are summarised in Table 2.

Table 2: Works completed during the audit period.

Phase	Works completed
Phase B (CTP)	<p>Sydney Olympic Park: Box excavation, nozzle excavation, ground slabs in box, handover works, nozzle shotcrete.</p> <p>North Strathfield: Box excavation, nozzle excavation, nozzle shotcrete, BWT (including South Shaft), box excavation, nozzle excavation, Ground slabs in box, nozzle shotcrete, adit excavation and shotcrete, preparation for grouting inside acoustic shed, other preparation for TBM breakthrough.</p> <p>Five Dock: Box excavation, cavern excavation, shotcreting, TBM breakthrough, TBM maintenance, TBM re-launch.</p> <p>The Bays: TBM support works including grout and segments, spoil load out.</p> <p>Tunnel: TBM excavation from The Bays to Five Dock, construction of cross passages.</p> <p>Eastern Creek Pre-cast: Normal production.</p>
Phase F (WTP)	<p>Rosehill: Installation and commissioning of the conveyor system, acoustic shed installation, TBM set up and assembly, excavation of sump pit at Rosehill Box East</p> <p>TBM and Cross Passages: TBM drive from Rosehill to SOP (2 TBMs) up to Ch 18000 approx, Cross passage (XP) preparation works (temporary platform, lintel beam, etc) for XP73, 72, 71,70, 69 & 68, cross passages excavation XP 73 & 70, waterproofing at XP73.</p> <p>Sydney Olympic Park: Mobilisation and site set up – including offices and staff amenities, minor excavation for nozzle construction, survey and I/M (Instrumentation and Monitoring) install, waterproofing, invert slab reo and pour, arch formwork assembly, reinforcement arch construction.</p> <p>Clyde Dive: Excavation and shotcrete, stormwater works, installation of canopy tubes and girders at portal.</p> <p>Clyde Connecting Tunnels and Junction Cavern: Excavate and support (rock bolting, mesh, shotcrete).</p> <p>Parramatta: Water Treatment Plant installation and fit-out, paving works at Macquarie Street, sewer relocation works, archaeological investigation works, Install guide walls, D-Wall activities drill and install piles at 218 Church Street, pile removal (abandoned piles), capping beam works, excavation of box, installation of steel struts.</p> <p>Westmead: Excavation and support of the crossover cavern heading (excavate, bolting, mesh and shotcrete), Water Treatment Plant installation and testing for commissioning, installation of acoustic shed, excavation of the station box, pile bolting, anchors, shotcrete in the station box, excavation and support (bolting, mesh, shotcrete) for station cavern.</p> <p>MSF East: Removal of USTs (Underground Storage Tank), trenching at utilities corridor (and removal of contaminated material), installation of watermain pipes, and electrical and gas conduits at utilities corridor, backfill at utilities corridor, installation of temporary sheetpiles and bracing,</p>

Phase	Works completed
	<p>sheetpile removal, FRP works for valve pits and installation of valves, piling at FRP works for support slab at utilities corridor, Ausgrid and Endeavour Energy cut over, platform preparation for CICs (Concrete Injected Columns), ground improvement CICs, ground improvement remove and replace, excavation and removal of contaminated material for retaining walls base slabs, FRP (Form Reo Pour) works for retaining walls base slabs, excavation works and general fill (lower and upper general fill) at MSF, installation of structural fill.</p> <p>MSF West: Trenching and installation of conduits for electricity asset overhead relocation, ground improvements: CICs, CFAs, and LTP (Load transfer) platform (Water Conveyance Structures (WCS) associated), tree removal and creek diversions at WCS, excavation and bedding at WCS, installation of planks at WCS, FRP deck at WCS, FRP works at headstocks at WCS, installation of precast box culvert, FRP works headwalls and wingwalls at box culvert, ground improvement works including removal and replacement, FRP pile caps and columns and headstocks at Unwin Street bridges, Retaining walls (reinforced earthworks walls) at Unwin Street, Fill works, kerbs & gutter, pavement works, asphalt, etc for Unwin Street, temporary diversion.</p>
Phase G2 (ETP)	<p>The Bays: Batter remediation works completed, spoil shed erection completed, segment shed erection 77% completed – ongoing, Water Treatment Plant erection 95% completed – ongoing, TBM launch niche excavation completed, Telstra pit remediation works completed, commenced slurry treatment plant erection 29% completed – ongoing, commenced grout plant erection 35% completed – ongoing.</p> <p>Pymont West: Structural demolition completed, retention works – ongoing, retaining wall and capping beam – ongoing.</p> <p>Pymont East: Structural demolition completed, all piling works completed, tower crane installed and commissioned, retention works – ongoing, retaining wall along Pymont Bridge Road completed, retaining wall along Union Street – ongoing, temporary steel platform erection – ongoing.</p> <p>Hunter Street West: Scaffolding erection 95% completed (7-13 Hunter Street), structural demolition nearing completion (312-318 George Street).</p> <p>Hunter Street East: Scaffolding erection 90% completed (28 O’Connell Street), structural demolition commenced – ongoing.</p> <p>Hunter Street Station Cavern: Station cavern top heading 1 completed, station cavern top heading 2 in progress, station cavern bench 1 nearing completion, pillar replacement in progress.</p> <p>Hunter Street Turnback Tunnels: Excavation of TB1 and TB2 completed (under Holdmark), excavation of TB6 and TB7 in progress (under Bennelong Sewer), excavation of temporary cross passage (XC1) completed.</p>
Phase H (WUR)	<p>Low Impact works commenced 26/10/23.</p> <p>Potholing / slit trenching to determine existing and potentially unknown services.</p> <p>In-situ waste classification and determination of ground conditions.</p> <p>Commencement of main works ‘construction’ on 4/12/23.</p> <p>Trenching and pit works on Bailey Street (day works), Hassall Street (Out of Hours), Hawkesbury Road (Out-of-Hours).</p>

1.2 The audit team

In accordance with condition A40 of CSSI 10038, condition A42 of CSSI 19238057, and Section 3.1 of the Department’s 2020 document *Independent Audit Post Approval Requirements (IAPAR)*,

Independent Auditors must be suitably qualified, experienced, and independent of the Project, and appointed by the Planning Secretary. Table 3 presents the audit team for this Audit.

Table 3: Audit Team

Name	Company	Participation during this audit	Certification
Steve Fermio	WolfPeak	Lead Auditor	Exemplar Global certified Lead Environmental Auditor (Certificate No 110498)
Derek Low	WolfPeak	Auditor	Exemplar Global Certified Lead Environmental Auditor (Certificate No 114283)
Anna Maria Munoz	WolfPeak	Auditor	Exemplar Global Certified Lead Environmental Auditor (Certificate No 115421)

Approval of the audit team was provided by the Department on 19 December 2023. The approval is presented in Appendix B. Declarations from the Auditors are presented in Appendix F.

1.3 The audit objectives

The objective of this Audit is to satisfy conditions A39/A39.1 of CSSI 10038 and condition A41 of CSSI 19238057 which require Independent Audits to be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (IAPAR) and as per the timing and scope set out in an approved Audit Program.

The IAPAR sets out the standard scope, methodology and reporting requirements for the Independent Audit, and an Audit Program (establishing a revised scope and timing) was prepared by WolfPeak⁵ and approved by the Department on 19 December 2023.

1.4 Audit scope

The specific scope for this Audit was developed through a risk-based methodology and in consultation with the Department and Sydney Metro. The audit scope accords with that defined by Section 5 (Table 2) and Section 7 of the approved Audit Program, summarized as follows:

- An assessment of the auditees, activities and requirements identified as being subject to audit in the Scoping Statement, including:
 - An assessment of compliance with:
 - All conditions identified as being subject to audit within the Scoping Statement
 - All post approval and compliance documents applicable to the conditions identified as being subject to audit within the Scoping Statement

⁵ Independent Audit Program – Sydney Metro West | SSI 10038 & SSI 19238057, WolfPeak, 11/12/23

- A review of the environmental performance of the activities and auditees identified as subject to audit in the Scoping Statement, including but not necessarily limited to, an assessment of:
 - Actual impacts compared to predicted impacts documented in the environmental impact assessment⁶
 - The physical extent of the activities subject to audit in comparison with the relevant parts of the approved boundary⁷
 - The performance of the auditee/s and activities subject to audit having regard to agency policy and any particular environmental issues identified through consultation carried out when developing the scope of the audit; and
 - Feedback received from the Department, and other agencies and stakeholders, including the community or Community Consultative Committee (if there is one for the Project), on the environmental performance of the auditee/s and activities subject to audit during the audit period.
- A high-level assessment of the adequacy of the Environmental Management Plans and Sub-plans relevant to the activities and auditees identified as subject to audit in the Scoping Statement.
- A review of:
 - ALL (i.e.: Project wide) incidents, non-compliances and complaints that occurred or were made during the audit period (i.e.: from the preceding audit to the site inspection date), and a list of ALL consistency assessments determined in the audit period; and
 - The status of implementation of ALL previous Independent Audit findings, recommendations and actions (if any) that were open at the time of finalising the preceding Audit Report.

⁶ The EIS and RtS included a range of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project. Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the construction requirements specified in the Conditions and REMMs (and associated management plans), to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Audit. Any such comparison will be high level and qualitative only. With respect to conditions or requirements relating to compliance with the design, Building Codes of Australia (BCA) or satisfaction of the Independent Verifier / Certifier / Certifying Authority, the Audit relies on confirmation from the Independent Verifier / Certifier / Certifying or other Authority that this is the case. The Audit does not extend to a verification of the works against the design or BCA requirements themselves, nor does it examine the steps the Independent Verifier / Certifier / Certifying or other Authority has taken to verify that the design is compliant.

⁷ Physical assessment of boundaries would be completed by observation only and based on the EIS / RtS and any subsequent modifications and consistency assessments. Measurement, survey or geospatial comparison would not be included.

- Any other matters considered relevant by the auditor or the Department, considering relevant regulatory requirements and legislation, knowledge of the development's past performance and comparison to industry best practices.

A Scoping Statement outlining the key parameters of the audit (including dates, auditees, target sites and the conditions proposed to be audited / not audited for each Stage / Phase of works) was submitted to the Department on 28 December 2023. The key audit details from the Scoping Statement are presented in Table 4.

Table 4: Key audit details as per agreed Scoping Statement

Item	Detail
Audit period	<p><u>CSSI 10038:</u> From the 1 September 2023 to the 28 February 2024 inclusive for all requirements audited at each audit cycle.</p> <p><u>CSSI 19238057:</u> From the 9 June 2023 to the 28 February 2024 inclusive for all requirements audited at each audit cycle.</p>
The Auditees	<p>Sydney Metro (across all Projects and Stages/Phases)</p> <p>Stage 1: Phase B (CTP) – Acciona Ferrovia Joint Venture (AFJV)</p> <p>Stage 1: Phase F (WTP) – Gamuda Australia and Laing O'Rourke Joint Venture (GLC)</p> <p>Stage 1: Phase H (WUR) - Quickway</p> <p>Stage 2: Phase G2 – Eastern Tunnelling – John Holland, CPB Contractors, Ghella Joint Venture (JCG)</p>
Sites inspected	<p>Stage 1: Phase B (CTP) - Five Dock (East and West)</p> <p>Stage 1: Phase F (WTP) – Clyde MSF (East and West)</p> <p>Stage 1: Phase H (WUR) – No site inspection proposed.</p> <p>Stage 2: Phase G2 (ETP) – Pymont (East and West)</p>

2. AUDIT METHODOLOGY

2.1 Audit process

The Audit was conducted in a manner consistent with AS/NZS ISO 19011.2019 – *Guidelines for Auditing Management Systems* and the methodology set out in the Department’s IAPAR.

2.2 Audit process detail

2.2.1 Audit initiation and scope development

Prior to the commencement of the audit the following tasks were completed:

- Establish initial contact with the auditee
- Confirm the audit team
- Confirm the audit purpose, scope, criteria and program
- Consult with the Department on the audit scope.

WolfPeak consulted with the Department on 28 December 2023 to obtain its input into the scope of the Audit in accordance with Section 3.2 of the IAPAR.

On 19 January 2024 the Department responded, identifying review of several key areas and requesting consultation with the relevant Councils. A summary of the consultation with stakeholders is presented in Table 5.

Table 5: Key issues and areas of focus raised during consultation

Stakeholder	Issue and Focus	How Addressed
Department of Planning, Housing and Infrastructure	Consultation be undertaken with all Councils (City of Sydney, Inner West, Canada Bay, Burwood, Strathfield, Parramatta, Cumberland).	Consultation was completed. Refer Section 3.5 and Appendix D.
	<p>The layout of the station construction sites is specifically reviewed and assessed including but not limited to:</p> <ul style="list-style-type: none"> • consistency with construction environmental management plans • currency and adequacy of environmental control maps • minimisation or otherwise of impacts (for example, through positioning of worker and vehicle access points, laydown areas, stockpile areas, 24-7 plant such as lights, generators, compressors, tunnel ventilation systems, water treatment plants) • inclusion or otherwise of key mitigation measures (such as acoustic sheds and panels, wheel washes, internal roads) 	Included in scope. Refer to Section 3.5.

Stakeholder	Issue and Focus	How Addressed
	<p>Inclusion of the following conditions:</p> <ul style="list-style-type: none"> for central tunnelling: detailed noise and vibration impact statements (D43 & D44 so that the noise and vibration conditions are holistically audited); temporary activations (D105, so that community benefits and small business engagement are holistically audited); visual amenity (D110) for western tunnelling: flooding conditions (D10 & D12, so that the flooding conditions are audited across Sydney Metro West) and drainage design (D120); Registered Aboriginal Parties (RAP) feedback (D23 if triggered, so that RAP consultation is holistically audited); community consultation (D51, so that the community conditions are holistically audited) for eastern tunnelling: implementation of the heritage sub-plan (C10, so that the heritage conditions are holistically audited). 	Included in scope. Refer to Appendix A.
City of Canada Bay Council	Council requested that the audit consider issues relating to existing public road infrastructure, groundwater and waste, stormwater management, placemaking, tree removal, parking and design verification.	Included in scope. Refer Section 3.5.
City of Parramatta	Council requested the audit consider the provision of public space for recreational use to offset loss of the former Crown Land reserved for Recreation (previous Parramatta Speedway).	Included in scope. Refer Section 3.5.
City of Sydney	Council requested the inclusion of the following conditions within the Phase G (ETP) audit scope: D69, D71, and D79.	Included in scope. Refer Section 3.5.
Strathfield Council.	No comments.	-
Cumberland City Council.	No comments.	-
Burwood Council	No comments.	-
Inner west Council	No comments.	-

2.2.2 Preparing audit activities

The Auditor performed a document review, prepared an audit plan and delivery program, and prepared work documents (audit checklists) and distributed to the auditees in preparation for the Audit.

2.2.3 Personnel involvement

A number of people from the organisations subject to audit were involved in the Audit. Table 6 presents the involvement of key personnel representing the auditees.

Table 6: Key personnel involved

Org.	Stage / Package	Position Title	Name	Involvement
Sydney Metro	All	Senior Manager Environment	Matthew Marrinan	Opening meeting, interviews and document reviews, closing meeting
Sydney Metro	All	Director Environment, Sustainability & Planning	Ben Hodgson-armstrong	Closing meeting
Sydney Metro	All	A/Director Environment	Cath Snelgrove	Closing meeting
Sydney Metro	All	Environmental Officer	Ermin Tham	Opening meeting, interviews and document reviews, closing meeting
Sydney Metro	CTP and ETP	Traffic and Transport Advisory	Sean Clarke	Opening meeting, interviews and document reviews
Sydney Metro	CTP	Design Manager	Karthik Natarajan	Opening meeting, interviews and document reviews
Sydney Metro	CTP	Environment Manager	John Ieroklis	Opening meeting, interviews and document reviews, closing meeting
Sydney Metro	CTP	Communications Manager	Caroline St Clair	Opening meeting, interviews and document reviews
Sydney Metro	CTP	A/Delivery Director	Kenny Soh	Closing meeting
AFJV	CTP	Environment Coordinator	Kelvin Nmereole	Opening meeting, interviews and document reviews
AFJV	CTP	Environment Manager	Jared Lipton	Opening meeting, interviews and document reviews, closing meeting
AFJV	CTP	Operational Services Director	Anne Andersen	Opening meeting, interviews and document reviews, closing meeting
AFJV	CTP	Environment Manager	Sarah Grobler	Opening meeting, interviews and document reviews
AFJV	CTP	Project Manager Five Dock	Ry Neradovsky	Opening meeting, interviews and document reviews
AFJV	CTP	Project Director	David Packer	Closing meeting
Sydney Metro	ETP	Manager Environment	Ari Stypel	Opening meeting, interviews and document reviews, closing meeting
Sydney Metro	ETP	Environment Coordinator	Valerie Lebon	Opening meeting, interviews and document reviews, closing meeting
Sydney Metro	ETP	Environment Officer	Sarah Kemp	Opening meeting, interviews and document reviews, closing meeting
Sydney Metro	ETP	Communications Manager	Nelson Wallis	Opening meeting, interviews and document reviews, closing meeting
Sydney Metro	ETP	Senior Heritage Advisor	Georgia Wright	Document reviews
Sydney Metro	ETP	Archaeological Heritage Advisor	Andre Fleury	Document reviews
Sydney Metro	ETP	Design Manager	Steve Shepherd	Document reviews
Sydney Metro	ETP	Senior Project Manager	Revel Bihnam	Document reviews
Sydney Metro	ETP	Senior Project Manage	Shome Sikdarr	Closing meeting
JCG	ETP	Environment, Approvals & Sustainability Director	Sally Reynolds	Opening meeting, interviews and document reviews, closing meeting
JCG	ETP	Environmental Manager	Stuart Anstee	Opening meeting, interviews and document reviews, closing meeting

Org.	Stage / Package	Position Title	Name	Involvement
JCG	ETP	Community & Stakeholder Engagement Director	Carla Poggioli	Opening meeting, interviews and document reviews, closing meeting
JCG	ETP	Construction Integration Manager	Nathan Bryant	Document reviews
JCG	ETP	Design Director	Stuart Simmonds	Document reviews
Sydney Metro	WTP	Manager Environment	Andrew Hendy	Opening meeting, interviews and document reviews, closing meeting
GLC	WTP	Environment and Sustainability Manager	Stephanie Mifsud	Opening meeting, interviews and document reviews, closing meeting
GLC	WTP	Senior Approvals Advisor	Hussain Nilar	Opening meeting, interviews and document reviews, closing meeting
GLC	WTP	Senior Environment Advisor	Tahli Moore	Opening meeting, interviews and document reviews, closing meeting
GLC	WTP	Senior Environment Advisor	Kellie Hunt	Opening meeting, interviews and document reviews, closing meeting
Sydney Metro	WUR	Environment Coordinator	Syed Shah	Opening meeting, interviews and document reviews, closing meeting
Quickway	WUR	Environment Manager	Tom St Vincent Welch	Opening meeting, interviews and document reviews, closing meeting
Quickway	WUR	Environment Coordinator	Daniel Mutkins	Opening meeting, interviews and document reviews, closing meeting
HBI	CTP and ETP	Environmental Representative	Michael Woolley	Closing meeting
HBI	WTP	Environmental Representative	Greg Byrnes	Closing meeting

2.2.4 Meetings

Opening and closing meetings were held with the Auditor and Project personnel. During the opening meeting, the objectives and scope of the Audit, the resources required and methodology to be applied were discussed. At the closing meeting, preliminary audit findings were presented, preliminary recommendations (as appropriate) were made, and any post-audit actions were confirmed. Table 7 presents the dates for each of the opening and closing meetings.

Table 7: Opening and closing meetings

Phase	Opening meeting	Closing meeting
Phase B (CTP)	26/02/24	03/04/24
Phase F (WTP)	01/03/24	04/04/24
Phase H (WUR)	27/02/24	27/02/24
Phase G (ETP)	28/02/24	05/04/24

Attendance records for the opening and closing meetings are presented in Appendix C. The Auditor notes those people that attended the meetings remotely have not signed on to the attendance record. This is noted in the records.

2.2.5 Site inspection

The on-site inspection of activities was conducted between 26 February 2024 and 4 March 2024. The following sites were inspected:

- Phase B (CTP) - Five Dock (East and West)
- Phase F (WTP) – Clyde MSF (East and West)
- Phase H (WUR) – No site inspection proposed.
- Phase G (ETP) – Pymont (East and West).

The Auditor inspected the entirety of each site where it was safe to do so.

Photos are presented in Appendix E.

2.2.6 Document review and interviews

The Audit included investigation and review of Project files, records and documentation that acts as evidence of compliance (or otherwise) with a compliance requirement, and interviews with key Project personnel.

Refer to Section 2.2.3 for details on the personnel interviewed. Interviews and document review sessions were conducted with the auditees as per Table 8.

Table 8: Interview and document review days

Time of day	Monday 26/02/24	Tuesday 27/02/24	Wednesday 28/02/24	Thursday 29/02/24	Friday 01/03/24	Monday 04/03/24
AM	Phase B (CTP)	Phase B (CTP)	Phase G2 (ETP)	Phase G2 (ETP)	Phase F (WTP)	Phase F (WTP)
PM		Phase H (WUR)		Auditor time		Auditor time

In addition to the above, the Auditor raised requests for information, in order to obtain evidence that was not available during the audit interviews and document reviews. These requests were issued to the auditees on 6 March 2024. Responses were provided by the auditees on 15 and 21 March 2024.

2.2.7 Generating audit findings

Audit findings were based on verifiable evidence. The evidence included:

- Relevant records, documents and reports
- Interviews of relevant site personnel
- Photographs
- Figures and plans; and
- Site inspections of relevant locations, activities and processes.

2.2.8 Compliance evaluation

The Auditor determined the compliance status of each compliance requirement in the Audit Table, using the descriptors from Table 2 of the IAPAR, as listed in Table 9, below:

Table 9: Compliance descriptors from Table 2 of the IAPAR

Status	Description
Compliant	The Auditor has collected sufficient verifiable evidence to demonstrate that all elements of the requirement have been complied with within the scope of the audit.
Non-compliant	The Auditor has determined that one or more specific elements of the conditions or requirements have not been complied with within the scope of the audit.
Not Triggered	A requirement has an activation or timing trigger that has not been met at the time when the audit is undertaken, therefore an assessment of compliance is not relevant.

Observations and notes were also made to provide context, identify opportunities for improvement or highlight positive initiatives.

2.2.9 Evaluation of post audit approval documentation

The Auditor assessed whether post approval documents:

- have been developed in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any) and their content is adequate.
- have been implemented in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any).

The adequacy of post approval documents was determined on the basis of whether:

- there are any non-compliances resulting from the implementation of the document; or
- whether there are any opportunities for improvement.

2.2.10 Completing the audit

The Audit Report was distributed to the auditees to check factual matters and for input into actions in response to findings (where relevant). The Auditor retained the right to make findings or recommendations based on the facts presented. The Auditor's findings have been determined independent of the auditees, the Department and any other parties, based on the evidence assessed during the audit.

3. AUDIT FINDINGS

3.1 Approvals and documents audited, and evidence sighted

The documents audited comprised all the conditions from CSSI 10038 and CSSI 19238057 that were subject to audit as per the agreed Scoping Statement. The full set of documents and evidence sighted against each requirement is detailed within Appendix A.

3.2 Non-compliances, Observations and Actions

3.2.1 Stage 1 (CSSI 10038)

This Section presents findings from the Audit for Stage 1. The summary of conditions assessed and compliance status is presented in Table 10. The non-compliances and observations (along with associated recommended or completed actions) from this Independent Audit are presented in Table 11. Detailed findings against each requirement, along with details on the auditee’s responses on draft findings (where received), are presented in Appendix A. The status of previously open findings (at the time of completion of the previous audit on Stage 1) is presented in Table 12.

Table 10: Summary of conditions assessed and compliance status from this Independent Audit (February 2024)¹

Phase	Summary
Phase B (CTP)	<p>There were 59 conditions within the audit scope that were assessed.</p> <ul style="list-style-type: none"> • 45 conditions were considered to be compliant. • Seven (7) conditions were considered not triggered. • One (1) new non-compliance was identified. This relates to lack of consultation on flood design and impacts. • Six (6) non-compliances were self-reported by the auditee during the audit period. These relate to notification of non-compliances, submission of CPAS Monitoring Reports to Council, construction vehicle numbers, parking and adherence to nominated haul routes. <p>In addition to the above:</p> <ul style="list-style-type: none"> • Six (6) observations were identified. These relate to incident reporting and notification, hours of work, the currency of a DNVIS, real-time tracking of heavy vehicles used for spoil haulage, implementation of the CPAS, and erosion and sediment controls. <p>Three (3) findings were deemed open at the time of completion of the previous audit (completed in November 2023). One (1) is considered still open, relating to reporting of non-compliances.</p>

Phase	Summary
Phase F (WTP)	<p>There were 63 conditions within the audit scope that were assessed:</p> <ul style="list-style-type: none"> • 47 conditions were considered to be compliant. • 14 conditions were considered not triggered. • No new non-compliances were identified during the audit. • One (1) non-compliance against two (2) conditions that were within the agreed scope was self-reported by the auditee during the audit period. This relates to incorrect waste classification. <p>In addition to the above:</p> <ul style="list-style-type: none"> • Three (3) non-compliances against four (4) conditions that were outside of the agreed audit scope were self-reported by the auditee during the audit period. These relate late submission of a monitoring report, truck marshalling on an unapproved haul route and hours of work. • Two (2) observations were identified in relation to real-time tracking of heavy vehicles used for spoil haulage and erosion and sediment controls at Clyde. <p>There were no findings deemed open at the time of completion of the previous audit (completed in November 2023).</p>
Phase H (WUR)	<p>There were 17 conditions within the audit scope that were assessed:</p> <ul style="list-style-type: none"> • 17 conditions were considered to be compliant. <p>In addition to the above:</p> <ul style="list-style-type: none"> • One (1) non-compliance was identified (against a condition that was outside agreed scope), relating to working beyond the approved construction hours.

Note 1: An additional 'Project wide' observation was raised regarding the biannual review of business engagement as set out in the Sydney Metro Overarching Community Communications Strategy. Refer Table 11.

Table 11: Stage 1 (CSSI 10038) findings from the Independent Audit (February 2024)

Item ⁸	Relevant phase	Condition	Type	Requirement	Finding	Recommended or completed action ⁹	By whom	Status ¹⁰
Findings identified during the audit								
10038_Feb24_1	Phase B (CTP)	A43	Observation	<i>The Planning Secretary must be notified via phone or in writing via the Major Projects website immediately after the Proponent becomes aware of an incident. Any notification via phone must be followed up by a notification in writing via the Major Projects website within 24 hours of the initial phone call. The written notification must identify the CSSI (including the application number and the name of the CSSI if it has one) and set out the location and general nature of the incident.</i>	<p>Observation: During AFJV's review of the monthly discharge values from The Bays Water Treatment Plant a range of readings registered at the discharge pH and NTU probes were noted to be above the discharge criteria outlined in the EPL for the period 14/09/23 – 11/10/23. At the time of identification 3800m3 of water was discharged, with pH recorded between 8.6 and 11.9, and turbidity reaching up to 1000NTU (although no plumes observed).</p> <p>AFJV notified the EPA of the data anomalies via email on 12/10/23 as a precautionary measure whilst an investigation was completed to confirm whether non-compliant discharges had taken place. According to AFJV, Sydney Metro and the ER were made aware of the data anomalies on 12/10/23. Records indicate that by 14/10/23 AFJV had confirmed that the readings were as a result of instrument error and that there was no material risk that non-compliant water was discharged from the Water Treatment Plant. Results following instrument correction appear to be compliant.</p> <p>The information sighted indicates that whilst investigations determined that the events related to data anomalies (rather than actual discharges of out of spec water), an incident report was not raised by AFJV in a timely manner and Sydney Metro did not notify the Department upon becoming aware of the event.</p>	Review environmental incident and non-compliance notification process with the view of clarifying the need to notify the Department of occurrences / circumstances that cause or threaten to cause material harm.	Sydney Metro / AFJV	OPEN
10038_Feb24_5	Project wide	B1	Observation	<i>The Overarching Community Communication Strategy as provided in the documents listed in Condition A1 of this schedule must be implemented for the duration of the work.</i>	<p>Observation: Section 11.2 of the OCCS states that the 'project delivery communication team is required to compile business engagement monitoring data on a biannual basis and include lessons learned based on the items in Table 7' of the OCCS.</p> <p>The Auditor requested evidence to demonstrate that this commitment was being fulfilled. In response both CTP and WTP stated that 'due to the low impact on businesses from work carried out during the auditing period, a formal evaluation and effectiveness of the SBOEP was not carried out during the reporting period and the next review of the SBOEP is planned for April 2024.'</p> <p>The findings from an informal review was presented (in response to the Auditor's request) which indicated that most metrics from Table 7 of the OCCS were being met. However not all metrics from Table 7 were included in the auditees' response.</p> <p>The Auditor observes that the whilst the OCCS does not provide flexibility in the 6-monthly business engagement evaluation requirement, Section 2.5 of the CTP SBOEP (AFJV, April 2023) and Section 1.5 of the WTP SBOEP (GLC, March 2023) states that the evaluation would occur 'every 6 months or as required' in accordance with the OCCS.</p>	Update Section 11.2 of the OCCS to accurately reflect the intended timing for review / evaluation of business engagement (i.e.: biannually or as required, based on degree of impact on businesses).	Sydney Metro	OPEN

⁸ Item numbers are not sequential.

⁹ The recommended or completed actions do not preclude the requirement to notify the Department of any actual non-compliance within 7 days of becoming aware of them in accordance with A45/A46.

¹⁰ Status of finding and action according to the Auditor at the time of finalizing the Report.

Item ⁸	Relevant phase	Condition	Type	Requirement	Finding	Recommended or completed action ⁹	By whom	Status ¹⁰
10038_Feb24_6	Phase B (CTP)	D10	Non-compliance	<p><i>Unless otherwise agreed by the Planning Secretary, Stage 1 of the CSSI must be designed and constructed to not worsen flooding characteristics within and in the vicinity of the CSSI. Not worsen existing flooding characteristics means the following:</i></p> <p>a) a maximum increase in inundation time of one hour in a one (1) per cent Annual Exceedance Probability (AEP) flood event;</p> <p>b) a maximum increase of 10 mm in inundation at properties where floor levels are currently exceeded in a one (1) per cent AEP flood event;</p> <p>c) a maximum increase of 50 mm in inundation of land at properties where floor levels would not be exceeded in a one (1) per cent AEP flood event; and</p> <p>d) no inundation of floor levels which are currently not inundated in a one (1) per cent AEP flood event.</p> <p><i>Measures identified in the documents listed in Condition A1 of this schedule to not worsen flooding characteristics or measures that achieve the same outcome must be incorporated into the detailed design of Stage 1 of the CSSI. The incorporation of these measures must be reviewed and endorsed by a suitably qualified and experienced person in consultation with directly affected landowners, DPE Water, DPI Fisheries, DPE BCD, NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s).</i></p> <p>[MOD-2, 3 Jun 2022]</p> <p><i>Where flooding characteristics exceed the levels identified in (a), (b), (c), (d) above, the Proponent must undertake the following:</i></p> <p>a) consult with property owners for properties adversely flood affected as a result of Stage 1 of the CSSI and mitigate where necessary; and</p> <p>b) consult with the NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) regarding the management of any residual flood risk beyond the 1 per cent AEP flood event and up to the probable maximum flood.</p> <p>[MOD-3, 4 Jul 2022]</p>	<p>Non-compliance: The Flood Assessment Report for Sydney Olympic Park Station concludes that flooding at the station does not comply with D10(c) in some small locations adjacent to the Sydney Metro site area or the roadway. The Report states that there are no design options to reduce this impact. This information was presented to SOPA (as the directly affected landowner) and, according to interface meeting minutes, SOPA did not raise any queries or concerns in relation to predicted flood levels. No consultation occurred with the DPE Water, DPI Fisheries, DPE BCD, NSW State Emergency Service (SES) as per D10.</p> <p>Further, The Bays Flood Assessment Report concludes that the proposed surface does not comply with condition D10(c) at 12 locations. Again the Report states that there is very little opportunity to refine the surface to mitigate flood risk. This information was presented to Place Management NSW for White Bay Power Station (as the directly affected landowner). No consultation occurred with the DPE Water, DPI Fisheries, DPE BCD, NSW SES as per D10. The Auditor notes, however, that Sydney Metro consulted with these stakeholders as part of the flood assessment completed for the Eastern Tunnelling Package at The Bays, and the Department accepted this on 16/02/24.</p>	Complete consultation with DPE Water, DPI Fisheries, DPE BCD, NSW SES on flooding at Sydney Olympic Park as required by D10	AFJV / Sydney Metro	OPEN
10038_Feb24_7	Phase B (CTP)	D35	Observation	<p><i>Work must only be undertaken during the following hours:</i></p> <p>a) 7:00am to 6:00pm Mondays to Fridays, inclusive;</p> <p>b) 8:00am to 6:00pm Saturdays; and</p> <p>c) at no time on Sundays or public holidays.</p>	<p>Observation: On 22/11/23 a complaint was received about a generator being left on at North Strathfield until 11:15pm at night. The complaint was classed by the auditees as 'avoidable' (i.e.: the issue was outside planning approval, or commitment that has been given to the community or stakeholders).</p> <p>According to the complaint register, there does not appear to have been any work conducted during the shift (i.e.: the generator was left on following a day shift) and there is no prediction on the likely noise impact experienced by the complainant.</p> <p>Refer also to finding 10038_Feb24_9 regarding a Water Treatment Plant pump being left on after hours at the Burwood North site.</p>	<p>The offending equipment was switched off. There does not appear to be any further complaints recorded during the audit period regarding this issue at North Strathfield.</p> <p>The complaints register is issued to the Department and the Auditor is not aware of the Department raising this matter as a non-compliance with D35.</p>	AFJV	CLOSED

Item ⁸	Relevant phase	Condition	Type	Requirement	Finding	Recommended or completed action ⁹	By whom	Status ¹⁰
10038_Feb24_9	Phase B (CTP)	D43	Observation	<i>Detailed Noise and Vibration Impact Statements (DNVIS) must be prepared for any work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in Conditions D39 and D40 of this schedule at any residence outside construction hours identified in Condition D35 of this schedule, or where receivers will be highly noise affected. The DNVIS must include specific mitigation measures identified through consultation with affected sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the AA and ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy(ies) of the DNVIS.</i>	<p>Observation: The Burwood North site arrangement is different to that specified in the CEMP (preliminary Environmental Control Maps), in that the CEMP shows the Water Treatment Plant situated on the south of the site, against the Parramatta Road boundary, whereas the plant has been installed on the north boundary against 1 Loftus Street and 4-8 Burton Street residences.</p> <p>According to AFJV's response to a request for information (RFI2_61), the current Burwood North DNVIS modelled the Water Treatment Plant on the southern side of the site, not based on its actual arrangement. There is no commentary in the current DNVIS regarding the predicted noise impact from operation of the Water Treatment Plant. AFJV further stated that the Water Treatment Plant was not listed in the current approved DNVIS because it was only periodically operational during standard construction hours and did not meet the criteria for 'highly noise affected' which would trigger the need for inclusion in DNVIS per D43.</p> <p>An updated DNVIS has been prepared and issued to the AA for review on 8/03/24. The updated DNVIS includes an assessment of the Water Treatment Plant on the north of the site, and to allow 24/7 operation of the Water Treatment Plant once the Burwood site becomes a TBM support site. The revised DNVIS indicates that predicted noise levels for the Water Treatment Plant would exceed the applicable night time NML and therefore reasonable and feasible mitigation measures will need to be implemented prior to night time operations of the Water Treatment Plant.</p> <p>A complaint was been received on 27/02/24 regarding the noise emanating from the Water Treatment Plant during out of hours. AFJV states that this was a result of a pump being left on accidentally rather than the Plant being operated on out of hours.</p>	<p>Finalise the updated DNVIS in response to feedback provided by the AA.</p> <p>Implement recommended mitigation measures on the Water Treatment Plant as outlined in the finalised DNVIS, prior to commencing 24-hour operations of the Plant.</p>	AFJV	OPEN
10038_Feb24_10	Phase B (CTP)	D83	Observation	<i>The locations of all Heavy Vehicles used for spoil haulage must be monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.</i>	<p>Observation: Two non-compliances were identified and reported by the auditees in relation to trucks not using approved haul routes in accordance with D90, but both of these events were identified by a community member or TfNSW CJP (and not via real time monitoring).</p> <p>The Auditor observes that failure by the auditee to identify these off route events raises questions as to whether the real-time monitoring of all Heavy Vehicles used for spoil haulage is functioning or being managed correctly,8kis monitored in real time in all cases, the haul route boundaries are accurate or the team administering the system are aware of the requirements of D90.</p>	<p>The non-compliances with D90 were reported to the Department in accordance with A45/A46.</p> <p>It is recommended that the auditees identify the route cause/s of the real-time monitoring system's failure to identify these off-route events (e.g.: verification that real-time monitoring is in place in all cases, that geofencing is correct or that traffic teams are aware of their obligations under the Stage 1 Approval). Any deficiencies identified in the review should be rectified.</p>	AFJV	OPEN

Item ⁸	Relevant phase	Condition	Type	Requirement	Finding	Recommended or completed action ⁹	By whom	Status ¹⁰
10038_Feb24_11	Phase F (WTP)	D83 (out of scope)	Observation	<i>The locations of all Heavy Vehicles used for spoil haulage must be monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.</i>	Observation: During the audit interviews, GLC stated that there have been instances whereby they have become aware of haulage vehicles not having functioning real-time tracking in place.	GLC have undertaken the following actions: <ul style="list-style-type: none"> • Issued commercial letters to remind haulage contractors of their obligations and that the commercial implications with misuse or poor maintenance/installation • Stood down vehicles whose Teletrac Navman Systems are not turned on or functional (thus avoiding having spoil haulage occurring without real-time tracking) • Toolboxed each company on the requirements around the Teletrac Navman System, the commercial implications of mismanagement and where further support can be provided. 	GLC	CLOSED
10038_Feb24_14	Phase B (CTP)	D92	Observation	<i>The Construction Parking and Access Strategy must be submitted to the Planning Secretary for approval at least one (1) month before the commencement of any construction that reduces the availability of existing parking. The approved Construction Parking and Access Strategy must be implemented before impacting on on-street parking and incorporated into the CTMPs.</i>	<p>Observation: The Auditor is of the view that the CPAS is largely being implemented. However, the Auditor requested evidence to show implementation of Section 4.9.1 of the CPAS, specifically:</p> <ul style="list-style-type: none"> • Evidence showing that AFJV are attending sites during shift changes to identify entering/exiting staff and their origin. • Evidence showing that monthly inspections are occurring to identify parking outside the designated parking areas. <p><i>In response, AFJV stated that ‘inspections have been visual only as part of the supervisors ad hoc activities day to day. These inspections are not formally recorded and therefore evidence cannot be produced. Monthly inspections are compiled and reported in the 6 monthly performance report submitted to DPE. Further evidence of the inspections are provided in the form of dashcam videos.’</i></p> <p>Evidence of monthly inspections of parking was provided, but no evidence of AFJV completing identification of entering/exiting staff and their origin was available.</p> <p>Further, the CPAS Performance Report (H2 2023), Revision 00 and an email sent by AFJV on 08/01/24 states that non-compliances were identified (in relation to 29 x instances of workers parking on local roads which is in contravention with Section 4.8 of the CPAS). That being said, the Performance Report also identifies compliant parking and use of public transport in 98% of cases during the reporting period.</p>	Update process of attending sites during shift changes to ensure proper identification of entering/exiting staff and their origin.	AFJV	OPEN

Item ⁸	Relevant phase	Condition	Type	Requirement	Finding	Recommended or completed action ⁹	By whom	Status ¹⁰
10038_Feb24_16	Phase B (CTP)	D116	Observation	<i>Before undertaking any works and during maintenance or construction activities, erosion and sediment controls must be implemented and maintained to prevent water pollution consistent with LandCom's Managing Urban Stormwater series (The Blue Book).</i>	<p>Observation: Overall, the ER Monthly Reports for the audit period, along with the implementation of the Soil and Water Management Plan and 6 – Monthly Monitoring Reports, plus the status of the construction sites (largely sealed / hardstand) indicates that erosion and sediment controls have been implemented during the audit period.</p> <p>During the Audit site inspection (26/02/24) it was observed at Five Dock West that surface water controls on the northern boundary required upgrading as the current arrangement allowed for surface water to overtop the controls and flow on to the St Albans Church property.</p> <p>The City of Canada Bay Council raised concerns over construction water entering St Luke's Canal as part of consultation on the scope of this Audit, specifically that Council made a report to EPA in February 2023 (outside of the audit period) to investigate the concrete wash up that entered the St Luke's Canal which had impacted Council's harvesting and Water Treatment Plant. Council suspects it was from Sydney Metro based on where the water flow was coming from.</p> <p>It is understood by the Auditor that the EPA attended the site (prior to the current audit period) and AFJV provided them with information to demonstrate that the concrete wash water was not associated with their site.</p> <p>The ER identified issues associated with the adequacy of erosion and sediment controls at Burwood North on 8 x occasions between September 2023 and February 2024. Evidence indicates that AFJV rectified the deficiencies in a timely manner, and the ER has not identified any potential for offsite impacts.</p>	<p>Council's concern appears to be related to January 2023 which is outside of this audit period and the Auditor considers this matter closed.</p> <p>Evidence indicates that AFJV rectified the erosion and sediment control deficiencies at Burwood North (as identified by the ER) in a timely manner. The ER has not identified any potential for offsite impacts and the Auditor considers this matter closed.</p> <p>Erosion and sediment controls at Five Dock West were upgraded to manage surface water flows at the St Albans Church property boundary.</p>	AFJV	CLOSED
10038_Feb24_17	Phase F (WTP)	D116	Observation	<i>Before undertaking any works and during maintenance or construction activities, erosion and sediment controls must be implemented and maintained to prevent water pollution consistent with LandCom's Managing Urban Stormwater series (The Blue Book).</i>	<p>Observation: The Auditor notes that the ER has raised findings in relation to erosion and sediment controls at the Clyde and Rosehill sites in October 23, November 23, December 23, January 24 and February 24.</p> <p>During the Audit site inspection at the Clyde MSF West site it was observed that sediment was being tracked on to Unwin Street.</p>	<p>GLC had a manual wheel wash on standby, geofabric placed on unsealed exits and wheel baths operational at Clyde Dive and Clyde MSF East. Street sweepers were on rotation and stormwater pits were also protected.</p> <p>GLC are in the process of extending the sealed section of the internal haul road and establishing a wheel bath to reduce the potential for material tracking. The establishment of these controls should be prioritised.</p>	GLC	OPEN
Non-compliances that were self-reported by the auditees during the audit period								
10038_Feb24_2	Phase B (CTP)	A45	Non-compliance (self-reported)	<i>The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.</i>	<p>Non-compliance: On 6, 10, 12, 27/05/23 (prior to current audit period) truck movements at Five Dock West exceeded the CTMP numbers during peak hours in the approved CTMP. The maximum number of truck movements per hour was 28 trucks during a single hour period during peak hours. On 30/06/23 (prior to current audit period) the truck movements at Five Dock East exceeded the CTMP numbers during morning peak hours in the approved CTMP for the eastern site with 22 heavy vehicle movements recorded during one peak hour.</p> <p>The sighted non-compliance report (NCR030) states that AFJV became aware of the non-compliance whilst reviewing data on the 10/10/23 (up to 6 months after the first event) and reported it on 18/10/23, which is beyond the 7 day timeframe required by A45.</p>	<p>The non-compliance was subsequently reported to the Department in accordance with A45/A46, and the Auditor is not aware of any repeat events.</p>	AFJV	CLOSED

Item ⁸	Relevant phase	Condition	Type	Requirement	Finding	Recommended or completed action ⁹	By whom	Status ¹⁰
10038_Feb24_3	Phase B (CTP)	A45	Non-compliance (self-reported)	<i>The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.</i>	Non-compliance: On 07/11/23 Sydney Metro identified a non-compliance as three CPAS Monitoring Reports were not sent to the City of Canada Bay Council in accordance with D91(k). This non-compliance was not reported until 21/11/23 (i.e.: beyond the 7 day timeframe required by A45) due to the need to complete a review of the responsibility for the non-compliance. The reason for the delay in reporting was included in the non-compliance report issued to the Department.	The non-compliance was subsequently reported to the Department in accordance with A45/A46 and the CPAS Monitoring Reports were issued to the Council.	Sydney Metro	CLOSED
10038_Feb24_8	Phase H (WUR)	D35 (out of scope)	Non-compliance (self-reported)	<i>Work must only be undertaken during the following hours:</i> a) 7:00am to 6:00pm Mondays to Fridays, inclusive; b) 8:00am to 6:00pm Saturdays; and c) at no time on Sundays or public holidays.	Non-compliance: On 05/12/23 due to delayed delivery of backfill materials, traffic controllers for WUR did not complete pack up until fifteen minutes after 6pm and these works did not have a corresponding OOHW approval.	There were no complaints raised as a result of the breach and to the Auditor's knowledge there have been no repeat occurrences. The non-compliance was reported to the Department in accordance with A45/A46. The Department noted the breach and elected to not take any further action.	Quickway	CLOSED
10038_Feb24_12	Phase B (CTP)	D85	Non-compliance (self-reported)	<i>Construction Traffic Management Plans (CTMPs) must be prepared in accordance with the Construction Traffic Management Framework. A copy of the CTMPs must be submitted to the Planning Secretary for information before the commencement of any construction in the area identified and managed within the relevant CTMP.</i>	Non-compliance: On 6, 10, 12, 27/05/23 (prior to current audit period) truck movements at Five Dock West exceeded the CTMP numbers during peak hours in the approved CTMP. The maximum number of truck movements per hour was 28 trucks during a single hour period during peak hours. On 30/06/23 (prior to current audit period) the truck movements at Five Dock East exceeded the CTMP numbers during morning peak hours in the approved CTMP for the eastern site with 22 heavy vehicle movements recorded during one peak hour. AFJV became aware of the non-compliance whilst reviewing data on the 10/10/23 (up to 6 months after the first event) and reported it on 18/10/23.	The non-compliance was reported to the Department in accordance with A45/A46 and the Auditor is not aware of any repeat events.	AFJV	CLOSED
10038_Feb24_13	Phase B (CTP)	D90	Non-compliance (self-reported)	<i>Construction Parking and Access Management Vehicles associated with the project workforce (including light vehicles and Heavy Vehicles) must be managed to:</i> a) minimise parking on public roads; b) minimise idling and queueing on state and regional roads; c) not carry out marshalling of construction vehicles near sensitive land user(s); d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs	Non-compliance: CTP identified three events whereby heavy vehicles used unapproved haulage routes. <ul style="list-style-type: none"> On 04/09/23 it was reported to the project that a truck had stopped in front of a residential driveway for approximately 15min on Second Ave after being loaded at the Five Dock East site. This was identified by a resident. Note that the truck was stopped on an approved haul route in the CTMP. On 27/10/23, a complaint was received by the AFJV place manager from a resident who stated a concrete agitator truck was parked on Lansdowne Street in front of their driveway. Lansdowne Street is not part of the approved haul route in the Burwood North CTMP. On 09/11/23 TfNSW CJP identified and emailed AFJV in regards to a truck turning right out of the northern most driveway at the North Strathfield site, this movement was performed under traffic control. The truck then turned into Waratah Street, which is not part of the approved site haul routes.	These non-compliances were reported to the Department in accordance with A45/A46 and the Auditor is not aware of any repeat occurrences since November 2023. Refer finding 10038_Feb24_10 regarding real-time monitoring of trucks going off approved haul routes.	AFJV	CLOSED
10038_Feb24_18	Phase B (CTP)	D91	Non-compliance (self-reported)	<i>A Construction Parking and Access Strategy must be prepared to identify and mitigate impacts resulting from on- and off-street parking changes during construction. The Construction Parking and Access Strategy must include, but not necessarily be limited to:</i> k) provision of reporting of monitoring results to the Planning Secretary and Relevant Council(s) at six (6) monthly intervals.	Non-compliance: Following the submission of the third CPAS Monitoring Report to the Department in late October 2023, Sydney Metro checked over previous records of submissions of the CPAS Monitoring Reports to the stakeholders identified in D91(k). During this check, Sydney Metro discovered that these reports were not sent to the City of Canada Bay Council.	The non-compliance was subsequently reported to the Department in accordance with A45/A46 and the CPAS Monitoring Reports were issued to the Council.	Sydney Metro	CLOSED

Item ⁸	Relevant phase	Condition	Type	Requirement	Finding	Recommended or completed action ⁹	By whom	Status ¹⁰
10038_Feb24_15	Phase B (CTP)	D93	Non-compliance (self-reported)	<i>During construction, all reasonably practicable measures must be implemented to maintain pedestrian, cyclist and vehicular access to, and parking in the vicinity of, businesses and affected properties. Disruptions are to be avoided, and where avoidance is not possible, minimised. Where disruption cannot be minimised, alternative pedestrian, cyclist and vehicular access, and parking arrangements must be developed in consultation with affected businesses and implemented before the disruption. Adequate signage and directions to businesses must be provided before, and for the duration of, any disruption.</i>	<p>Non-compliance: CTP identified two contraventions with D93 during the audit period, for vehicles parking over non-Project driveways:</p> <ul style="list-style-type: none"> On 20/11/23, a worker parked on Burwood Road and partially obstructed the driveway of 22 Burwood Road for approximately 1 hour. The vehicle was subsequently moved. On the 05/02/24, the Burwood Place Manager received a complaint that there was a truck in front of the drive at 1 Loftus Street Concord. The truck was moved upon identification by the AFJV Burwood Place Manager. 	The vehicles were moved and the non-compliances were reported to the Department in accordance with A45/A46.	AFJV	CLOSED
10038_Feb24_19	Phase F (WTP)	C23 (out of scope)	Non-compliance	<p><i>The results of the Construction Monitoring Programs must be submitted to the Planning Secretary, ER and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program.</i></p> <p><i>Note: Where a relevant CEMP Sub-plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-plan.</i></p>	Non-compliance: WTP recorded a non-compliance with C23 for the lateness of submission of the noise monitoring report covering the Jan – July 23 reporting period.	The non-compliance was reported to the Department in accordance with A45/A46 and the report was submitted after the fact.	GLC	CLOSED
10038_Feb24_20	Phase F (WTP)	D90 (out of scope)	Non-compliance	<p><i>Construction Parking and Access Management</i></p> <p><i>Vehicles associated with the project workforce (including light vehicles and Heavy Vehicles) must be managed to:</i></p> <ol style="list-style-type: none"> <i>minimise parking on public roads;</i> <i>minimise idling and queueing on state and regional roads;</i> <i>not carry out marshalling of construction vehicles near sensitive land user(s);</i> <i>not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and</i> <i>ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs</i> 	Non-compliance: WTP recorded a non-compliance against D90 on 07/11/23 regarding stabling of trucks on Lansdowne Street (which is not an approved haul route).	<p>The non-compliance was reported to the Department on 08/11/23 in accordance with A45/A46.</p> <p>The drivers were retrained and the vehicle management plans were updated and reissued.</p>	GLC	CLOSED
10038_Feb24_21	Phase F (WTP)	D113	Non-compliance	<i>Waste must only be exported to a site licensed by the EPA for the storage, treatment, processing, reprocessing or disposal of the subject waste, or in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, or to any other place that can lawfully accept such waste.</i>	Non-compliance: WTP recorded non-compliances with D113 and D114 regarding the wrongful disposal of waste (PASS was disposed of as RSW Special Waste and the receiving facility (Kemps Creek) was not licenced to receive PASS.	<p>The non-compliance was reported to the Department in accordance with A45/A46.</p> <p>Further investigation determined that the material was not PASS and was able to be retained at the landfill. A review was conducted on the spoil approvals process, training session with the spoil team and signage improved.</p>	GLC	CLOSED

Item ⁸	Relevant phase	Condition	Type	Requirement	Finding	Recommended or completed action ⁹	By whom	Status ¹⁰
10038_Feb24_22	Phase F (WTP)	D114	Non-compliance	<i>All waste must be classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.</i>	Non-compliance: WTP recorded non-compliances with D113 and D114 regarding the wrongful disposal of waste (PASS was disposed of as RSW Special Waste and the receiving facility (Kemps Creek) was not licenced to receive PASS.	The non-compliance was reported to the Department in accordance with A45/A46. Further investigation determined that the material was not PASS and was able to be retained at the landfill. A review was conducted on the spoil approvals process, training session with the spoil team and signage improved.	GLC	CLOSED
10038_Feb24_23	Phase F (WTP)	D37 (out of scope)	Non-compliance	<i>Notwithstanding Conditions D35 and D36 of this schedule work may be undertaken outside the hours specified in the following circumstances:</i> a) <i>By Prescribed Activity, including:</i> i. <i>tunnelling (excluding cut and cover tunnelling and surface works) are permitted 24 hours a day, seven days a week; or</i> ii. <i>concrete batching at the Clyde construction site is permitted 24 hours a day, seven days a week; or</i> iii. <i>delivery of material that is required to be delivered outside of standard construction hours in Condition D35 of this schedule to directly support tunnelling activities, except between the hours 10:00 pm and 7:00 am to / from the Five Dock and Westmead construction sites and to / from Burwood North construction site using any roads / streets other than directly from Parramatta Road; or</i>	Non-compliance: WTP recorded a non-compliance with D37 and D43 regarding the late arrival of a crane at the Westmead site on 02/11/23 without the relevant OOHW approval being approved. WTP was not aware of the plant arriving.	The non-compliance was reported to the Department in accordance with A45/A46. The gatekeeper let the crane in and no complaints were received. The Project team was toolboxed and there has not been a recurrence.	GLC	CLOSED
10038_Feb24_24	Phase F (WTP)	D43 (out of scope)	Non-compliance	<i>Detailed Noise and Vibration Impact Statements (DNVIS) must be prepared for any work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in Conditions D39 and D40 of this schedule at any residence outside construction hours identified in Condition D35 of this schedule, or where receivers will be highly noise affected. The DNVIS must include specific mitigation measures identified through consultation with affected sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the AA and ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy(ies) of the DNVIS.</i>	Non-compliance: WTP recorded a non-compliance with D37 and D43 regarding the late arrival of a crane at the Westmead site on 02/11/23 without the relevant OOHW approval being approved. WTP was not aware of the plant arriving.	The non-compliance was reported to the Department in accordance with A45/A46. The gatekeeper let the crane in and no complaints were received. The Project team was toolboxed and there has not been a recurrence.	GLC	CLOSED

Table 12: Status of findings that were open at the time of finalising the previous Stage 1 (CSSI 10038) Independent Audit (November 2023)¹¹

Item	Condition	Type	Requirement	Finding	Recommended or completed action	Status ¹²																				
10038_IA3_01	A45	Non-Compliance	<i>The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.</i>	<p>Non-compliance: The CEMP states the notification requirements between parties (Sydney Metro, AFJV and the Planning Secretary) associated with non-compliances / breaches of environmental requirements when identified during incident investigation, audits or through a complaint investigation. Upon identification of a potential non-compliance, an investigation is initially undertaken by the Delivery Contractor to confirm if the occurrence qualifies as a non-compliance. Once a non-compliance is confirmed as having occurred, the Delivery Contractor notifies Sydney Metro, at which time Sydney Metro advised that the seven (7) day notification period to DPE commences.</p> <p>Review of the Phase B (Central Tunnelling Package) and Phase F (Western Tunnelling Package) Non-Compliances_SAI360 extract report, Environmental Incident and Non-compliance Notification Reports and DPE lodgement confirmation email from Sydney Metro for all non-compliances identified by the Project within the audit period, observed delays exceeding the seven (7) day notification period in notifying DPE of the following three (3) non-compliances identified for Phase B:</p> <table border="1"> <thead> <tr> <th>Non-Compliance</th> <th>Date of non-compliance</th> <th>Date Sydney Metro was notified by AFJV</th> <th>Date lodged per DPE lodgement confirmation</th> <th>Submitted within 7-day notification period</th> </tr> </thead> <tbody> <tr> <td>EVT0001559</td> <td>19/01/2023</td> <td>08/02/2023</td> <td>22/02/2023</td> <td>No</td> </tr> <tr> <td>EVT0001562</td> <td>17/02/2023</td> <td>20/02/2023</td> <td>02/03/2023</td> <td>No</td> </tr> <tr> <td>EVT0001652</td> <td>20/05/2023</td> <td>26/05/2023</td> <td>09/06/2023</td> <td>No</td> </tr> </tbody> </table>	Non-Compliance	Date of non-compliance	Date Sydney Metro was notified by AFJV	Date lodged per DPE lodgement confirmation	Submitted within 7-day notification period	EVT0001559	19/01/2023	08/02/2023	22/02/2023	No	EVT0001562	17/02/2023	20/02/2023	02/03/2023	No	EVT0001652	20/05/2023	26/05/2023	09/06/2023	No	<ul style="list-style-type: none"> Review existing processes and communication methods to improve investigation timeframes for any potential non-compliances identified for the project and ensure that DPE is notified within the required seven (7) day period once an occurrence has been confirmed as a non-compliance and Sydney Metro has been informed accordingly. Review the existing non-compliance report (NCR) form to include additional fields to allow for further detail, including: <ul style="list-style-type: none"> The date when Sydney Metro was notified of the non-compliance by AFJV. Status to confirm if the NCR is submitted within the notification deadline. 	<p>OPEN</p> <p>Refer to findings 10038_Feb24_1, 10038_Feb24_2, 10038_Feb24_3 in Table 11 of this Report.</p>
Non-Compliance	Date of non-compliance	Date Sydney Metro was notified by AFJV	Date lodged per DPE lodgement confirmation	Submitted within 7-day notification period																						
EVT0001559	19/01/2023	08/02/2023	22/02/2023	No																						
EVT0001562	17/02/2023	20/02/2023	02/03/2023	No																						
EVT0001652	20/05/2023	26/05/2023	09/06/2023	No																						
10038_IA3_02	D77	Observation	<i>An Unexpected Contaminated Land and Asbestos Finds Procedure must be prepared before the commencement of construction and must be followed should unexpected contaminated land or asbestos (or suspected contaminated land or asbestos) be excavated or otherwise discovered during construction</i>	<p>Observation: A Soil and Water Management Plan (SWMP) has been prepared and is being implemented for the Phase F works. Assessment of the SWMP during the Independent Audit observed that it includes an Unexpected Contaminated Land and Asbestos Finds Procedure as Attachment 4. Based on testing of this documentation, the Auditor notes that the Unexpected Contaminated Land and Asbestos Finds Procedure within the SWMP does not include procedures for notifying regulatory authorities in the event of unexpected finds. Furthermore, it does not prescribe regulatory authority notifications as required by regulatory instruments and guidelines including, for example, in the event of redevelopment of former service station sites and unexpected finds of abandoned underground storage tanks (USTs).</p>	<p>GLC and Sydney Metro consider updating the SWMP to include a defined process for notification of regulatory authorities inclusive of stakeholder touch points from GLC, Sydney Metro, Environmental Representatives (ER) and regulatory authorities</p>	<p>CLOSED</p> <p>GLC completed a review of the SWMP and made updates to address Sydney Metro and ER comments. The reporting expectations in the Protocol are defined and the ER endorsed the updated SWMP.</p>																				
10038_IA3_15	D90	Non-Compliance	<i>Construction Parking and Access Management Vehicles associated with the project workforce (including light vehicles and Heavy Vehicles) must be managed to: a) minimise parking on public roads; b) minimise idling and queueing on state and regional roads; c) not carry out marshalling of construction vehicles near sensitive land user(s); d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs.</i>	<p>Non-compliance number: EVT-0001733, Date of Non-compliance: 18/08/2023, Site: Phase B1 - AFJV - Five Dock</p> <p>Non-Compliance type: Traffic, Transport and Access</p> <p>Description of Non-compliance: Five Dock Construction Traffic Management Plan (CTMP) Rev 8 was the approved revision of the CTMP for Five Dock during May 2023. This version of the CTMP permitted 12 heavy vehicle movements (six (6) trucks) per hour at Five Dock west site between 7am and 10am (AM peak hour); and 4pm and 6pm (PM peak hour).</p> <p>On 3-5, 8-9, 15-16, 22-24, 26 and 30 May 2023 truck movements at Five Dock West exceeded the CTMP numbers during peak hours in the approved CTMP. The maximum exceedance of trucks per hour was eight (8) trucks during a single hour period during peak hours.</p>	<p>AFJV reported the non-compliance on 18/10/23. Refer to finding 10038_Feb24_2 in Table 10 of this Report.</p>	<p>CLOSED</p>																				

¹¹ Independent Audit Report Audit No 3. SSI-10038, KPMG, 17/11/23

¹² Status of finding and action according to the Auditor at the time of finalizing the Report.

3.2.2 Stage 2 (CSSI 19238057)

This Section presents findings from the Audit for Stage 2. The summary of conditions assessed and compliance status is presented in Table 13. The non-compliances and observations (along with associated recommended or completed actions) from this Audit are presented in Table 14. Detailed findings against each requirement, along with details on the auditee's responses on draft findings (where received), are presented in Appendix A. The status of previously open findings (at the time of completion of the previous audit on Stage 1) is presented in Table 15.

Table 13: Summary of conditions assessed and compliance status from this Independent Audit (February 2024)

Phase	Summary
Phase G (ETP)	<p>There were 52 conditions within the audit scope that were assessed.</p> <ul style="list-style-type: none"> • 32 conditions were considered to be compliant. • 19 conditions were considered not triggered. • One (1) new non-compliance was identified in relation to submission of the CPAS Monitoring Report to Council. <p>In addition to the above:</p> <ul style="list-style-type: none"> • Two (2) non-compliances against one (1) condition that was outside of the agreed audit scope were self-reported by the auditee during the audit period. These relate to adherence to nominated haul routes. <p>Two (2) findings were deemed open at the time of completion of the previous audit (completed in July 2023). Both are considered by the Auditor to be closed.</p>

Table 14: Stage 2 (CSSI 19238057) findings from the Independent Audit (February 2024)

Item ¹³	Condition	Type	Requirement	Finding	Recommended or completed action ¹⁴	By Whom	Status ¹⁵
Findings identified during the audit							
19238057_Feb24_1	A46	Observation	<i>The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.</i>	<p>Observation: A non-compliance was identified by Sydney Metro against D73 for trucks not using the nominated haulage routes between April and September 2023. This non-compliance was not notified until Sydney Metro Environment Team had reviewed the CPAS monitoring report (~3-6 months after the events occurred).</p> <p>The Auditor understands that the Project operates real-time tracking of heavy vehicles, and the system alerts the Project team of events whereby trucks do not adhere to nominated haul routes. Therefore, the Auditor is of the view that the Project team ought to have been aware of a breach at the time it occurred and thus should have reported this at that time.</p>	<p>The non-compliance was reported to the Department after the fact in accordance with A46/A47.</p> <p>JCG indicated that an environmental approval training session with Traffic Manager / Construction Integration Manager has been scheduled for 14/3/24 to reinforce their responsibilities in identifying non-compliances with traffic related conditions.</p>	JCG	OPEN
19238057_Feb24_4	D78	Non-compliance	<p><i>A Construction Parking and Access Strategy must be prepared to identify and mitigate impacts resulting from on- and off-street parking changes during construction. The Construction Parking and Access Strategy must include, but not necessarily be limited to:</i></p> <p><i>j) provision of reporting of monitoring results to the Planning Secretary and Relevant Council(s) at six (6) monthly intervals.</i></p>	<p>Non-compliance: According to the evidence sighted, the CPAS Monitoring Report (April-Sep 2023) has not been provided to City of Sydney Council as required by condition D78 (j).</p>	<p>On 11 April 2024 (after the audit period) Sydney Metro submitted the CPAS Monitoring Report to the City of Sydney Council.</p>	Sydney Metro	CLOSED
Non-compliances that were self-reported by the auditees during the audit period							
19238057_Feb24_2	D73 (out of scope)	Non-compliance (self-reported)	<i>Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 must be approved by the Planning Secretary and be included in the CTMPs.</i>	<p>Non-compliance: Upon Sydney Metro's review of the CPAS Monitoring Report (April-Sep 2023) section 3.2.5 and 4.0, it was identified that, on nine (9) occasions, Heavy Vehicles were identified using routes outside of the approved haulage routes and on 3 occasions vehicles were parked on non-authorised local roads.</p>	<p>The non-compliance was reported to the Department in accordance with A46/A47. Actions to address the non-compliance included a toolbox talk to the workforce on reinforced nominated haulage routes and parking restrictions.</p> <p>JCGJV indicated that an environmental approval training with Traffic Manager / Construction Integration Manager has been scheduled for 14/3/2024 to reinforce their responsibilities in identifying non-compliances with traffic related conditions.</p>	JCG	CLOSED
19238057_Feb24_3	D73 (out of scope)	Non-compliance (self-reported)	<i>Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 must be approved by the Planning Secretary and be included in the CTMPs.</i>	<p>Non-compliance: A non-compliance was also raised by Sydney Metro on the 11/7/2023 regarding a small mini tanker parked in Paternoster Row outside the Pymont West site. Paternoster Row is not an approved heavy vehicle route.</p>	<p>The JCG supervisor stopped the refuelling activity and truck was removed from the area.</p> <p>The non-compliance was reported to the Department in accordance with A46/A47.</p>	JCG	CLOSED

¹³ Item numbers are not sequential.

¹⁴ The recommended or completed actions do not preclude the requirement to notify the Department of any actual non-compliance within 7 days of becoming aware of them in accordance with A46/A47.

¹⁵ Status of finding and action according to the Auditor at the time of finalizing the Report.

Table 15: Status of findings that were open at the time of finalising the previous Stage 2 (CSSI 19238057) Independent Audit (July 2023)¹⁶

Item	Condition	Type	Requirement	Finding	Recommended or completed action	Status ¹⁷
19238057_IA1_3	B11	Observation	<p>A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all phases of construction of the CSSI. Up-to-date information (excluding confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including:</p> <p>a) information on the current implementation status of the CSSI;</p> <p>b) a copy of the documents listed in Condition A1, and any documentation relating to any modifications made to the CSSI or the conditions of this approval;</p> <p>c) a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its conditions), and copies of any approval granted by the Minister to a modification of the conditions of this approval, or links to the referenced documents where available;</p> <p>d) a copy of each statutory approval, licence or permit required and obtained in relation to the CSSI, or where the issuing agency maintains a website of approvals, licences or permits, a link to that website;</p> <p>e) a current copy of each document required under the conditions of this approval, which must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation, as the case may be; and</p> <p>f) a copy of the audit reports required under this approval.</p> <p>Where the information / document relates to a particular work or is required to be implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation.</p> <p>All information required in this condition is to be provided on the website or webpage, and easy to navigate.</p>	<p>Observation: The Auditor observes that Sydney Metro are not utilizing a [singular] website as stated in B11. Rather they are relying on the contractor website for publication of documents that are relevant to it. The auditees provided correspondence from the Department dated 12/09/17 whereby the Department agreed to the use of third party websites for documents to be uploaded, provided a link was included on the Sydney Metro website. The Department has been aware of Metro's approach since that time and to the Auditor's knowledge have not directed Metro to alter its position. Notwithstanding this, the Auditor is of the view that the link to the contractors' website is not easy to locate unless the user knows their location (i.e.: the link are placed under a Sustainability and Planning / planning and compliance drop down). This means that a community member may not be able to locate documentation without contacting Metro directly.</p> <p>The Auditor is satisfied that the Project website (including the JCG website) contains the information required by this condition. The Auditor requested evidence be provided to demonstrate that documents were uploaded within the timeframe specified by B11(e). A register of upload was provided in response to the request. It is observed that JCG clearly identified the document revision/approval dates and the upload dates, but this was not directly provided by Sydney Metro. For Sydney Metro documents, the Auditor was required to identify revision dates for each document on the website and compare them to the stated upload dates. It was not readily apparent that this had been done by Sydney Metro.</p>	<p>Sydney Metro updated the website prior to the finalisation of this Report to provide link to the contractors' website more accessible.</p> <p>https://www.sydneymetro.info/station/hunter-street-station</p> <p>https://www.sydneymetro.info/station/pyrmont-station</p> <p>https://www.sydneymetro.info/station/bays-station</p> <p>This action is considered closed by the Auditor.</p> <p>As works proceed and the number of documents requiring to be published increases, it is recommended that (to ensure compliance with B11(e) continues) the register be amended and maintained by both Metro and JCG so as to include the following:</p> <ul style="list-style-type: none"> date of approval of the document or commencement of relevant works date of upload of documents on the contractor's websites (or the date of document approval or commencement of relevant works). 	<p>CLOSED</p> <p>The Auditor is satisfied that the Project website (including the JCG website) contains the information required by this condition. The Auditor requested evidence be provided to demonstrate that documents were uploaded within the timeframe specified by B11(e). It is observed that JCG clearly identified the document revision/approval dates and the upload dates, but this was not directly provided by Sydney Metro. That being said, the Auditor has not identified circumstances where documents are missing or where the B11(e) timeframes have not been met.</p>
19238057_IA1_4	D6	Observation	<p>Before commencement of excavation at the Hunter Street metro station construction site, investigations must be carried out to confirm and record the location, depth, integrity, extent and condition of the Tank Stream (SHR item no. 00636) and Bennelong Stormwater Channel No. 29A (Sydney Water s170 item no. 4570854). Survey investigations must be supervised by a suitably qualified heritage consultant in consultation with Sydney Water. The results of the investigations must be incorporated in the relevant final Heritage Report.</p>	<p>The Auditor understands that this condition is referring to activities at Hunter Street West. Excavation at Hunter Street East is not yet proximal to the Tank Stream and Bennelong Stormwater Channel.</p> <p>Observation: The preliminary advice from the heritage specialist on the Tank Stream questions previous findings from earlier investigations and research, and indicates that further assessment may contradict earlier findings about the significance and condition of the Tank Stream.</p> <p>Also, Sydney Water indicates in its 16/01/23 correspondence that they require further information (around survey validity and additional investigations) before they can readily accept the dilapidation reports. The Auditor acknowledges that Sydney Water does not have an approval role under this condition.</p> <p>As noted above, excavation is not yet proximal to the Tank Stream and Bennelong Stormwater Channel.</p>	<p>Complete further investigations on the Tank Stream and Bennelong Stormwater as recommended by Sydney Water.</p>	<p>CLOSED</p> <p>A Design Memorandum from PSM (Design Consultant) indicates that given the predicted negligible consequence category, long term structural integrity of the assets is unlikely to be compromised, subject to further analysis. Sydney Water comments are included in Appendix A of the Memorandum.</p> <p>Sydney Water has issued an approval for building over/adjacent to Sydney Water Asset on the 11/8/23, demonstrating that they are satisfied for works to proceed.</p>

¹⁶ Independent Audit No. 1 – Audit Report, Sydney Metro West Stage 2 – SSI 19238057, WolfPeak, 30/07/23

¹⁷ Status of finding and action according to the Auditor at the time of finalizing the Report.

3.3 Adequacy of Environmental Management Plans, sub-plans and post approval documents

As part the Audit, the Auditor reviewed the following post approval documents:

- Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 4, 14/12/23)
- Sydney Metro Complaints Management System, 20/10/23
- CTP Small Business Owners Engagement Plan, AFJV, April 2023
- CTP Community Communication Strategy, AFJV, December 2023
- CTP Noise and Vibration Management Plan and Monitoring Program, AFJV, 13/07/22
- CTP Overarching Construction Traffic Management Plan, AFJV, 12/10/23
- CTP Construction Parking and Access Plan, AFJV, 15/12/23
- WTP Community Communications Strategy, GLC, 07/02/24
- WTP Small Business Owners Engagement Plan, GLC, 17/03/23
- WTP Community Benefits Implementation Plan, GLC, 15/08/22
- WTP Flora and Fauna Management Plan, Revision H, GLC, 14/01/24
- WTP Heritage Management Plan, GLC, 19/12/23
- WTP Spoil Management Plan, GLC, 07/11/22
- WTP Waste Management Plan, GLC, 05/12/23
- ETP Community Communications Strategy, JCG, 10/11/23
- ETP Small Business Owners Engagement Plan, JCG, 09/03/23
- ETP Noise and Vibration Management Sub-plan and Monitoring Program, JCG/Renzo Tonin, 22/03/23
- ETP Heritage Management Sub-plan, JCG/AMBS, 22/03/23
- ETP Overarching Construction Traffic Management Plan, JCG, 10/03/23
- ETP Construction Parking and Access Strategy, Stage 1 for Hunter and Pymont, JCG 19/12/23.

The Auditor assessed whether the above documents:

- have been developed in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any) and their content is adequate
- have been implemented in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any).

The Auditor also assessed the adequacy of post approval documents (on the basis of whether):

- there are any non-compliances resulting from the implementation of the document; or
- whether there are any opportunities for improvement.

Environmental documents

The Auditor is of the view that the CEMP, Sub-plans and monitoring programs that were subject to audit are of a high quality. They have undergone review and endorsement by the necessary parties (Sydney Metro, the ER, the AA and, where relevant, the Department).

The endorsements and approvals have confirmed that the relevant requirements from the Approval and the EIS and RfS have been incorporated. The Auditor agrees with this assessment and has not identified any material deficiencies. Furthermore the Auditor is of the view that implementation of the documents would not result in a non-compliance.

Whilst not strictly environmental documents, the Auditor is of the view that (in consideration of the findings in Section 3.2 of this Report made in relation to incident/non-compliance reporting) that a review of the environmental incident and non-compliance notification process is warranted.

Communication documents

It is noted that the Auditors are not experienced or qualified to provide an informed finding as to the adequacy or degree of implementation of the communication documents during the audit period. Within the confines of the audit and based on the evidence provided by the auditees, the Auditors are of the view that the documents appear to be of a sufficient quality and are largely being implemented. Of note is finding 10038_Feb24_5 in Section 3.2 regarding the lack of a periodic review on business engagement during the audit period, as is required by the Overarching Community Communications Strategy.

Traffic documents

It is noted that neither Auditors are experienced or qualified to provide an informed finding as to the adequacy or degree of implementation of the construction traffic related documents. Within the confines of the audit and based on the evidence provided by the auditees, the Auditor is of the view that the documents appear to be of a sufficient quality and satisfy the relevant conditions.

The Auditor does however draw attention to the findings in Section 3.2 involving vehicles parking over driveways, truck leaving approved haul routes and real-time tracking of heavy vehicles. As such, whilst the documents appear to be of a sufficient quality, there are opportunities for improvement in the implementation of traffic and access controls, and in the governance of heavy vehicles that should be pursued.

3.4 Summary of notices from agencies

The Department issued a series of notices during the audit period, which stemmed from non-compliances that occurred in the preceding audit period. These are summarised below:

- 13 October 2023: The Department issued six warning letters to six haulage companies that were engaged by AFJV on CTP (Bakers Landscape Supplies, Holcim (Australia), Load & Go, Load Master, N. Moit & Sons and Mulgoa Excavations). The warning letters alleged breaches of CSSI 10038 conditions D85 and D90 for various instances of heavy vehicles not adhering to the nominated haul routes.
- 17 October 2023: The Department issued a Show Cause to AFJV for the following alleged breaches with CSSI 10038 requirement, namely:
 - D90(c) spoil truck marshalling, Five Dock

- A2 spoil truck movements during peak periods, Five Dock
- A2 spoil truck reversing, Five Dock
- B11(e) construction traffic management plans, AFJV website
- D44 detailed noise and vibration impact statement preparation, Burwood North
- D43 detailed noise and vibration impact statement implementation, Burwood North
- D44 detailed noise and vibration impact statement preparation, Five Dock
- D43 detailed noise and vibration impact statement implementation, Five Dock.

The Show Cause notice gave AFJV the opportunity to make representations as to why the Department should not take formal enforcement action in relation to the alleged breaches. The representations were to be submitted by 13 November 2023.

On 9 November 2023, AFJV responded to the Show Cause, presenting its position on each alleged breach.

- 11 December 2023: The Department issued (via a single letter) three penalty notices and three official cautions to AFJV, being:
 - Penalty notice (ENF-65117512): Burwood North DNVIS (acoustic panel) not implemented
 - Penalty notice (ENF-65032984): Five Dock DNVIS (West acoustic shed) not implemented
 - Penalty notice (ENF-65032978): Five Dock West spoil truck reversing
 - Official Caution (ENF-65032981): Five Dock West spoil truck marshalling
 - Official Caution (ENF-65032975): Five Dock CTMP not published.

In each instance, AFJV has completed corrective actions to address the non-compliances (updating DNVISs, CTMPs and publishing documents). There were no outstanding actions or directions from the Department within the penalty notices / cautions.

3.5 Other matters considered relevant by the Auditor or the Department

The Auditor has no other matters to raise other than that presented elsewhere in Section 3 of this Report. Matters considered relevant by the Department and Councils are discussed below.

Department of Planning, Housing and Infrastructure

The Department requested the Audit consider how / whether sites are consistent with construction environmental management plans, the currency and adequacy of environmental control maps, minimisation or otherwise of impacts; and the inclusion or otherwise of key mitigation measures.

The Auditor has reviewed the current Environmental Control Maps (ECMs), Minor Ancillary Facility approvals and the site layouts as shown in the Environmental Control Maps within the approved CEMPs for CTP, WTP and ETP. The following is of note:¹⁸

- Each of the CEMPs identify that the ECMs are indicative and / or preliminary, would be subject to updates as works progress, and that the ECMs within the CEMP would not be updated accordingly. The ER in endorsing the CEMPs has agreed to this approach and has not raised material issues with site arrangements in the ER Monthly Reports for the audit period.
- The Auditor considers this to be appropriate for dynamic activities and controls such as movement of stockpiles, laydown and some access arrangements where the updates are unlikely to result in a material change in impacts to the environment or community (the maintenance of the WTP Clyde MSF ECM is a case in point).
- However, the Auditor is of the view that CEMPs should be maintained so they are current and reflect fixed site components. For example:
 - The CTP Five Dock West ECM in the CEMP still shows the acoustic shed which was never erected.
 - The CTP Five Dock East ECM in the CEMP does not show the existence of a portion of a Water Treatment Plant on its western façade outside of the acoustic shed (but shielded by hoarding) or the external laydown areas on Second Avenue and Waterview Street despite these being in place. To note the current ECM for Five Dock East does not capture the external laydown areas on Second Avenue and Waterview Street either.
 - The CTP Burwood North ECM in the CEMP shows an acoustic shed on the southern site which was never erected.
 - The CTP Burwood North ECM in the CEMP shows the Water Treatment Plant situated on the south of the site, against the Parramatta Road boundary, whereas the plant has been installed on the north boundary against 1 Loftus Street and 4-8 Burton Street residences.
 - The WTP Parramatta ECM in the CEMP does not include the Bentonite Plant on site.

¹⁸ The CEMPs published online up to 7 April 2024 were considered as part of the review. Any updates after this time have not been subject to review.

City of Canada Bay Council

Tracking and recording of road works

Council requested the audit to query and investigate how Sydney Metro is tracking all their road works (inclusive of road excavation) and whether there are records available.

The Auditor observes that pre construction condition surveys appear to have been completed on all relevant roads in accordance with D88. Teambinder submissions to Council were presented in the second Independent Audit (WolfPeak, September 2022). D89 requires that if damage to roads occurs as a result of the construction of the Project Sydney Metro / AFJV must either (at the Relevant Road Authority's discretion): (a) compensate the Road Authority for the damage so caused; or (b) rectify the damage to restore the road to at least the condition it was in pre-work as identified in the D88 Road Dilapidation Report. This would occur once major site works are complete. There is no requirement within the CSSI 10038 Approval for structural pavement assessments.

Discharges from Burwood North and monitoring of groundwater levels

Council requested that the audit include assessment of the quality of the liquid being discharged into the public stormwater drainage system at Burwood North site (noting a complaint from Council from February 2023, which is outside the audit period) and monitoring of the Ground Water level for this and subsequent periods.

With respect to discharges to the stormwater network, the Auditor notes that according to the CTP EPL Monitoring Reports, the Burwood North Water Treatment Plant did not discharge to the environment during the audit period. Refer also to finding 10038_Feb24_16 in Section 3.2 of this Report. The ER identified issues associated with the adequacy of erosion and sediment controls at Burwood North on 8 occasions between September 2023 and February 2024. Evidence indicates that AFJV rectified the deficiencies in a timely manner, and the ER has not identified any potential for offsite impacts.

With respect to groundwater levels and monitoring, the Auditor notes the findings from Section 8.6 of the Burwood North Station Excavation - Hydrogeological Design Report¹⁹ which states that the predicted groundwater level drawdown due to CTP works extends across the alluvium at which St Lukes Canal is located, that the extent of significant impact on baseflow contributions is unlikely, but that uncertainties mean that groundwater level monitoring at two locations in the vicinity of St Lukes Canal (Location IDs: SLCM1 and SLCM2) , and monitoring (gauging) of streamflows in the canal is recommended.

The Bi-Annual Construction Monitoring Reports²⁰ do not appear to monitor groundwater levels at the two locations specified by the Hydrogeological Design Report. Sydney Metro has stated that it monitors groundwater levels proximal to St Lukes Canal separate to construction monitoring conducted under the project Approval, and engages with Council directly on this issue. The Auditor has not sighted the monitoring results or communications with Council in this regard.

¹⁹ Burwood North Station Excavation - Hydrogeological Design Report, AFJV, 20/07/22

²⁰ Bi-Annual Construction Monitoring Reports for December 2022 – June 2023 and July – December 2023

Stormwater pit maintenance

Council request the audit assess AFJV's cleaning routine on street stormwater pits and gutter (particularly on Queen Street south of Wellbank Street in North Strathfield).

Evidence was provided showing that AFJV complete weekly environmental inspections and include review or erosion and sediment controls. Example docketts of the street sweeper usage have been included as evidence of road cleaning. According to AFJV, the subject pits are not cleaned out on the basis that they are not located in close proximity to construction site and they have not identified sediment entering the stormwater network. The ER has not identified any material or recurring issues associated with erosion and sediment controls or material tracking from the North Strathfield site in the Monthly Reports during the audit period.

Placemaking and integration

Council requested that the audit consider placemaking and integration with shop fronts and infrastructure beyond the current construction footprints.

The Auditor notes that Sydney Metro Stage 1 does not include any station developments, placemaking / urban design and landscaping. These elements are being delivered as part of Stage 3 (CSSI 22765520).

Tree removal surveys

Council requested that the audit consider whether the number of existing trees removed have been accurately captured to enable Council to review.

It is understood that all tree removal occurred outside of the audit period and therefore the Auditor cannot verify whether the number of existing trees removed have been accurately captured (or not). Sydney Metro provided evidence showing that a Tree Removal Strategy had been provided to Council and it identifies trees removed and those to be replanted.

Parking surveys

Council requested that the scope of audit consider any complaints regarding parking, whether there are any monitoring reports produced and noted that the parking survey is too small and should be expanded to reflect the actual impact on the community.

According to the complaints register, traffic, access and parking was the second biggest issue raised by complainants during the audit period (after noise and vibration), accounting for approximately 15% of those received by CTP. The complaints are not insubstantial and are in most cases valid, but they are considered to be associated with impacts consistent with those described in the EIS' and related documents.

The Auditor notes that there have been four non-compliances self-reported by the auditees in relation to parking over driveways. The Auditor also observes finding 10038_Feb24_18 in Section 3.2 of this Report with respect to the issue of CPAS monitoring reports. Evidence was provided showing that the three outstanding CPAS Monitoring Reports were provided to Council on 9 November 2023.

The parking survey is included in Appendix 6 of the CPAS. The document was reviewed by the Department and most recently approved by the Department on 22 December 2023. The maps from the CPAS Monitoring Reports align with the footprints from the CPAS surveys. The Auditor is not in a position to be able to determine the adequacy of the extent of the survey or monitoring.

Design compliance with Council's Development Control Plan

Council requested that the scope of the audit consider how Sydney Metro demonstrated compliance with City of Canada Bay Development Control Plan (DCP) and where improvements can be made with the process.

The Auditor observes that there is no requirement under the Approval to meet Council's DCP. That being said, during the audit interviews, AFJV stated that Schedule 2 of the Interface Agreement with Council requires compliance with Council's engineering standard. An extract of the Interface Agreement with Council was provided as evidence.

City of Parramatta

Progress on provision of recreational land

Council requested that the audit consider whether the project has satisfied CSSI 10038 condition C-B2(b), which states:

For the relevant future stage application, the following must be considered at the Clyde Maintenance and Stabling Facility site:

(b) public spaces for recreational use on residual land to offset the loss of the private recreational land, or any alternate and commensurate opportunity that achieves the objective and provides value for money, developed in consultation with City of Parramatta Council;

Council states that Sydney Metro were initially considering the use of land to the north of the Clyde MSF for recreation purposes. However, Council state that Sydney Metro advised that flooding and contamination constraints mean that this land may not be suitable for recreational use.

Sydney Metro stated during the audit that design and optioning continue, that consultation with Council on this matter is ongoing and that this requirement is not necessarily required to be fulfilled as part of Stage 1.

City of Sydney

D69 – Access

Council requested that the audit consider whether all practicable measures have been implemented to maintain pedestrian and cyclist access around affected sites.

The Auditor refers to CSSI 19238057 condition D69 in Appendix A, and the finding against the same requirement in the first audit on Sydney Metro West Stage 2.²¹ The Auditor is satisfied that adequate access arrangements have been implemented on each of the ETP sites.

D71 – Real-time monitoring for heavy vehicles

Council noted its interest in whether the real-time monitoring system is operating as advised, whether records have been created and to whom they have been provided.

The Telematics system (online live system) monitors the spoil trucks, speed, fatigue, route compliance, speeding offenses and sends alerts, as required. The system generates reports for internal use. Breaches of 'geo-fences' trigger an alert to the JCG traffic team to review and rectify.

²¹ Independent Audit No. 1 – Audit Report, Sydney Metro West Stage 2 – SSI 19238057, WolfPeak, 30/07/23

The Auditor refers to the findings in Table 14 of this Report. A non-compliance was identified against D73 for trucks not using the nominated haulage routes between April and September 2023. This non-compliance was not notified until Sydney Metro Environment Team had reviewed the CPAS monitoring report (~3-6 months after the events occurred). The CPAS Monitoring Report (April-Sep 2023) was issued to the City of Sydney Council on 11 April 2024 (refer to finding 19238057_Feb24_4).

D79 – Traffic and Transport Liaison Group (TTLG)

Council noted that Sydney Metro is obligated to establish the TTLG in accordance with the Construction Traffic Management Framework to inform the development of CTMPs. According to the Framework, the group is meant to allow local councils to inform the development of traffic management plans, rather than presenting them post-hoc. The Council requests that the audit consider whether Sydney Metro’s process is consistent with the condition.

The Auditor has reviewed the Construction Traffic Management Framework, the CTMPs (including the revision / comments sheets), the TTLG presentations and TTLG meeting minutes for the audit period. The Auditor is of the view that the TTLG is functioning in line with the Framework and condition. The TTLG minutes and CTMP comment sheets indicate that the CTMPs are issued as draft for feedback and undergo extensive review and update. There also appears to be adequate opportunity for TTLG members to raise concerns. As the Auditor’s are not traffic experts, we cannot confirm whether recommendations or comments from the TTLG should be incorporated (or not).

3.6 Complaints

A complaints register is being maintained for the Project using the software, Consultation Manager. The complaints register is issued to the ER and the Department on a regular basis. The complaints register was provided to the Auditor on 21 March 2024 (covering the audit period set out in Table 4 of this Report). According to the register 109 complaints were received in the audit period. The breakdown is presented in Figures 4 – 8. The complaints register identifies the actions taken in response to each complaint, and the timing by which the complaint is considered closed. It is the Auditors view that Sydney Metro and its contractors have adequately responded to the complaints.

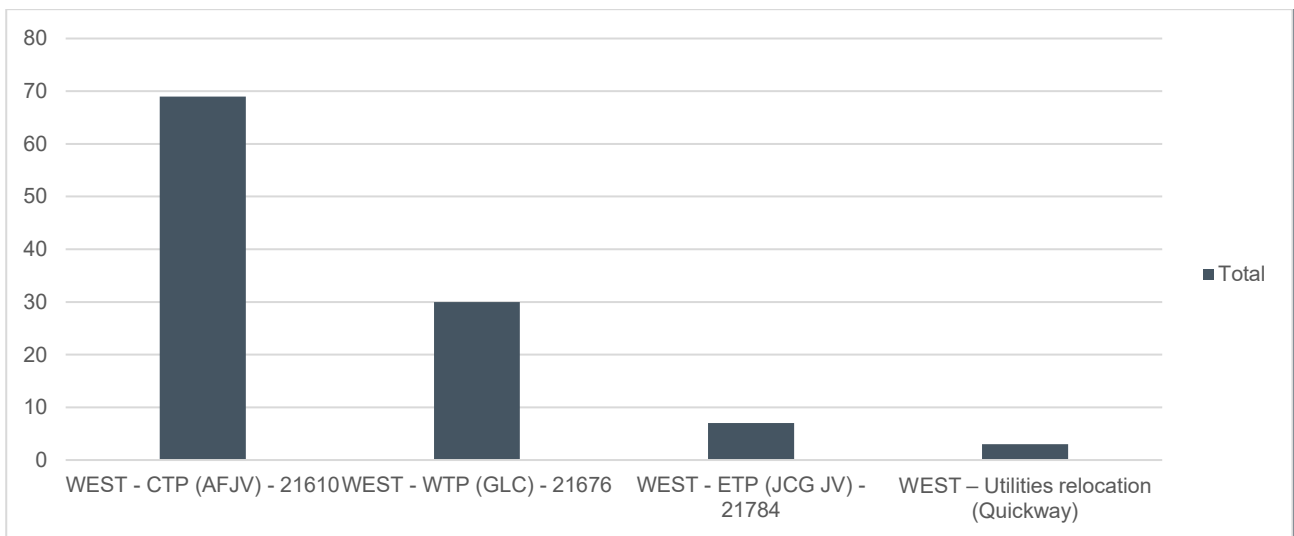


Figure 4: Complaint by phase

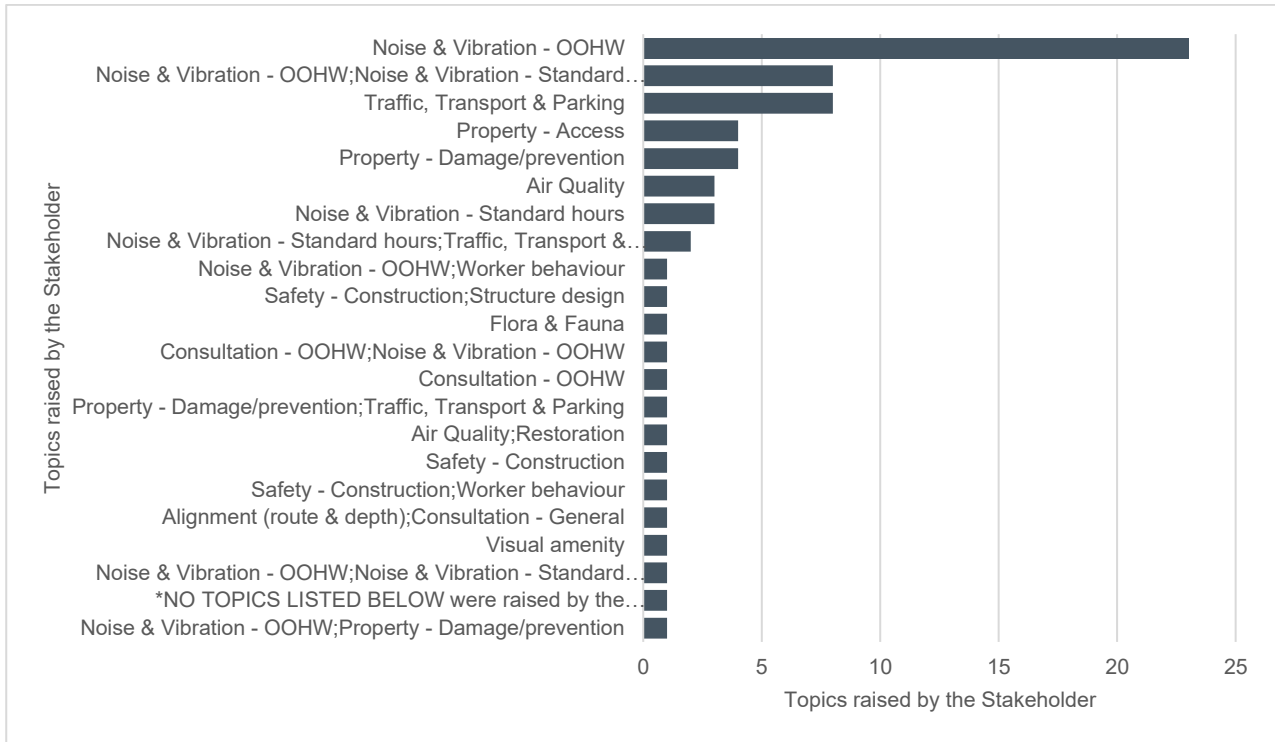


Figure 5: Phase B (CTP) complaint by issue

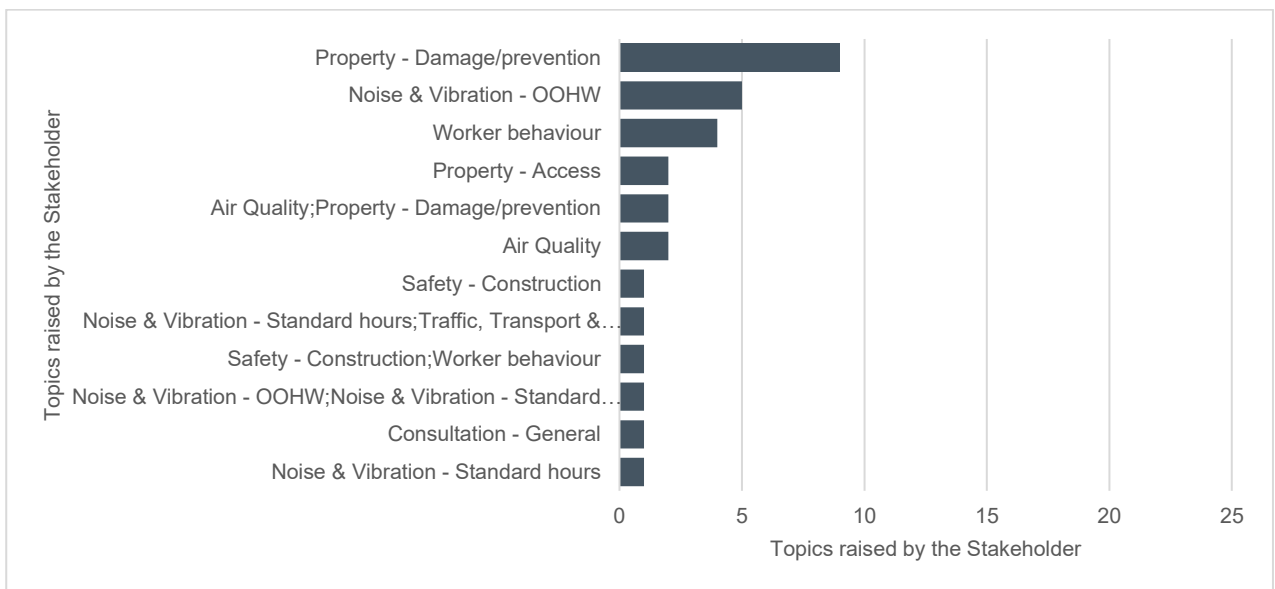


Figure 6: Phase F (WTP) complaint by issue

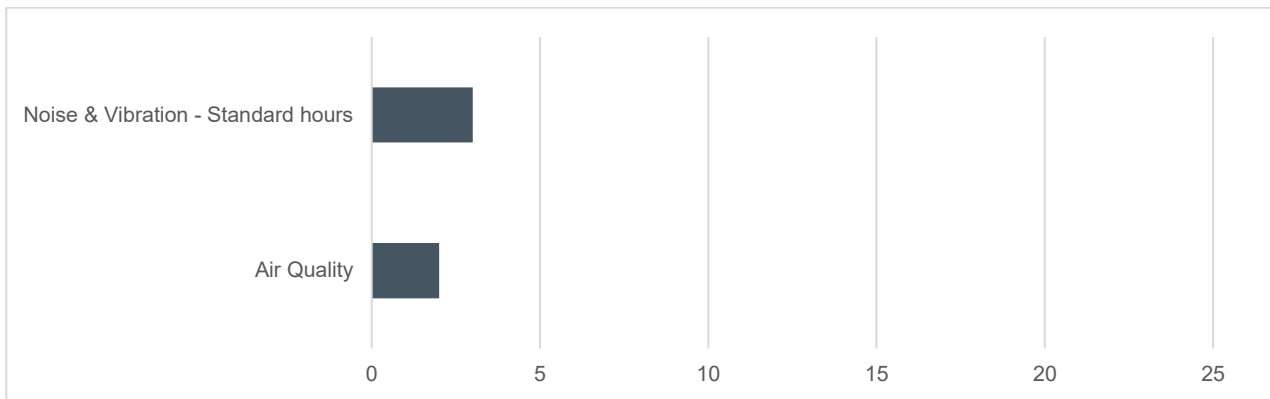


Figure 7: Phase G (ETP) complaint by issue

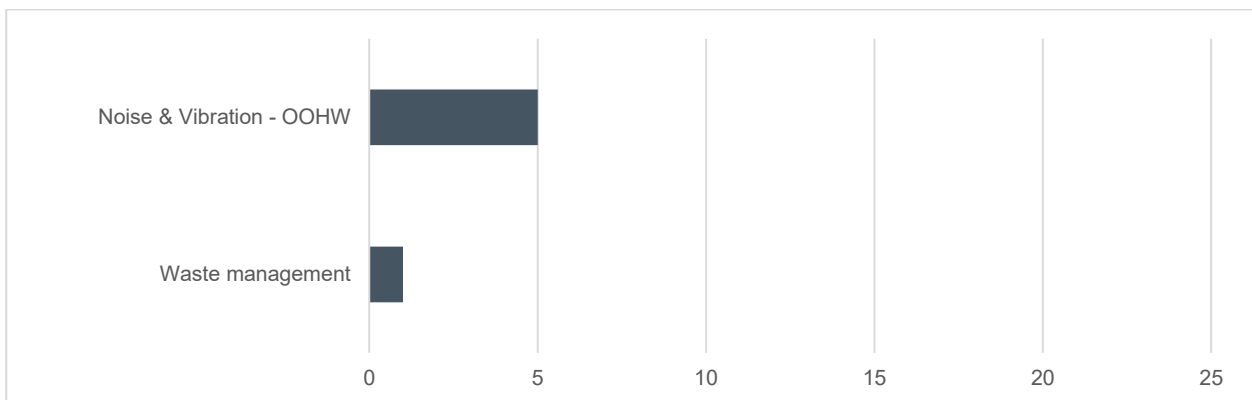


Figure 8: Phase H (WUR) complaint by issue

3.7 Incidents

The Project wide incident register was sighted by the Auditor. The incident register is capable of capturing the date, time, location, team responsible, significance rating and actions taken to rectify any incidents. The system is such that actions not completed by the target due date are escalated until closed.

No environmental or community incidents were recorded on the Project wide incident register as defined by the Approval that need to be reported to the Department as required under CSSI 10038 conditions A43/A44 or CSSI 19238057 conditions A45/A46. Refer to finding 10038_Feb24_1 in Section 3.2 of this Report regarding the notification of a potential water discharge incident which was later determined to be associated with data anomalies.

3.8 Actual versus predicted impacts

Predicted outcomes associated with the construction of the Project are described in:

- Stage 1 (CSSI 10038)
 - Chapters 10 – 28 of the Concept and Stage 1 (major civil construction between Westmead and The Bays) – Environmental Impact Statement, 15 April 2020

- Sections 6.10 – 6.26 of the Sydney Metro West Westmead to The Bays and Sydney CBD Submissions Report Concept and Stage 1, 2020
- Section 5 of the Clyde Stabling and Maintenance Facility Modification Report, November 2021
- Section 3 of Modification Report Clyde Stabling and Maintenance Facility – Additional Mangrove Impact –Modification, July 2023
- Stage 2 (CSSI 19238057)
 - Chapters 5 – 22 of the Sydney Metro West Major civil construction work between The Bays and Sydney CBD – Environmental Impact Statement, 25 October 2021
 - Sections 2.2 – 2.7 and 5.6 – 5.16 of the Sydney Metro West Submissions Report – Major civil construction work between The Bays and Sydney CBD, April 2022
 - Section 5 of Major civil construction between The Bays and Sydney CBD Modification Request (Mod 1), Sydney Metro, 1 February 2023
- The consistency assessments listed in Section 1.1.2 of this Report.

The EIS, RtS and Mod 1 included a range of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project. Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the construction requirements specified in the conditions and REMMs, to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Independent Audit. Any such comparison is qualitative only.

Assessment of actual vs predicted impacts considered:

- The extent to which the Project has been altered to that assessed in the EIS and RtS and approved, including whether Project boundaries have changed
- The works conducted during the audit period
- The degree of compliance with the Approval and the REMMS, relevant to the audit period and the works carried out
- The degree of adequacy and implementation of the approved post approval documents
- The number, nature and severity of incidents recorded during the audit period
- The number, nature and severity of complaints recorded during the audit period.

The Auditor observes that the Project has been altered via seven modifications in all (refer Section 1.1.2 of this Report), three of which were not 'administrative' in nature. Whilst the revised impacts were not considered / predicted during the initial application, Sydney Metro (and the Department, through its approval of the modifications) determined that the changes would not result in a material change to impacts on the environment beyond those originally assessed.

The consistency assessments determined during the audit period have all been assessed as being consistent with the respective Approvals, and the associated environmental assessments.

The works undertaken during the audit period (described in Section 1.1.4) appear to be consistent with the construction works described in the EIS'. The ER (in monitoring compliance with the

Approvals) has not identified any material departures between the works undertaken during the audit period, and those identified in the aforementioned documents. The ER has not raised any concerns of this nature in the ER Monthly Reports.

As set out in Section 3.2 and Appendix A, the degree of compliance with the Approval and the REMMs is high, and do not suggest that works / impacts have had any material departure from the impacts above or beyond those contemplated in the EIS' or related documents.

It is worth noting however that the CSSI 10038 EIS assumed that 24 hour construction would occur at Burwood North and Five Dock West. AFJV's change to standard hour construction at these sites (and the decision to not proceed with the acoustic panel and shed) is a change from the EIS.²² AFJV states that there was no additional impact as a result of the change. Nevertheless the Department issued penalty notices for not implementing the acoustic panel and shed as they were described in the DNVISs for each site.

Complaints for Project are inevitable given the scale and complexity of the works. As noted in Sections 3.6 above the Auditor is of the view that Sydney Metro and its contractors have adequately identified and responded to the complaints received during the audit period. The Auditor observes that complaints relating noise and vibration, hours of work, traffic and access and property damage are not insubstantial, however they are considered to be associated with impacts consistent with those described in the EIS' and related documents.

The auditees have not identified any incidents as defined by the Approval that need to be reported to the Department as required under A45/A46, that is, no incidents have been assessed as having potential or actual material harm on the environment or community (or actual or potential loss or property damage of an amount, or amounts in aggregate, exceeding \$10,000).

3.9 Environmental performance

The construction of Stage 1 is well advanced and Stage 2 site establishment works are well underway. Considering the Project is in a peak construction phase, the environmental performance of the Project during the audit period is considered by the Auditor to be high. The following matters are of note:

- The compliance records were very well organized and available at the time of the site inspection and interview with key Project personnel.
- A good degree of compliance has been achieved.
- Relevant environmental and compliance monitoring activities are being undertaken by Sydney Metro and its contractors to provide verification of compliance against statutory requirements and the broader Project legislative requirements.
- There are opportunities for improvement associated with:
 - stress testing the real-time tracking on heavy vehicles to ensure the systems are functioning at all times and supporting the Project teams in identifying issues associated with adherence with nominated haul routes

²² Concept and Stage 1 (major civil construction between Westmead and The Bays) – Environmental Impact Statement - Chapter 11, Noise and Vibration

- training traffic/logistics teams on their responsibilities under the Approvals, so that non-compliances are adequately identified and reported. Whilst no findings were identified against other disciplines, there would be value in rolling out similar training to all personnel responsible for managing activities subject to Approval conditions (including community engagement, design, waste/spoil etc.).

4. CONCLUSIONS

This Audit Report presents the findings from the February 2024 audit conducted Sydney Metro West Stage 1 (CSSI 10038) and Stage 2 (CSSI 19238057).

The overall outcome of the Audit was positive. Compliance records were organised and available at the time of the site inspection and interviews with Project personnel from Sydney Metro, AFJV, GLC, Quickway and JCG (together, the auditees). The auditees were cooperative and responsive to the Auditor's requests and requirements of the audit.

Relevant environmental and compliance records were being collected and reported to enable verification against compliance and Project environmental requirements. Table 16 presents the summary of findings from this Audit:

Table 16: Summary of findings

Phase	Summary
Phase B (CTP)	<p>There were 59 conditions within the audit scope that were assessed.</p> <ul style="list-style-type: none"> • 45 conditions were considered to be compliant. • Seven (7) conditions were considered not triggered. • One (1) new non-compliance was identified. This relates to lack of consultation on flood design and impacts. • Six (6) non-compliances were self-reported by the auditee during the audit period. These relate to notification of non-compliances, submission of CPAS Monitoring Reports to Council, construction vehicle numbers, parking and adherence to nominated haul routes. <p>In addition to the above:</p> <ul style="list-style-type: none"> • Six (6) observations were identified. These relate to incident reporting and notification, hours of work, the currency of a DNVIS, real-time tracking of heavy vehicles used for spoil haulage, implementation of the CPAS, and erosion and sediment controls. <p>Three (3) findings were deemed open at the time of completion of the previous audit (completed in November 2023). One (1) is considered still open, relating to reporting of non-compliances.</p>

Phase	Summary
Phase F (WTP)	<p>There were 63 conditions within the audit scope that were assessed:</p> <ul style="list-style-type: none"> • 47 conditions were considered to be compliant. • 14 conditions were considered not triggered. • No new non-compliances were identified during the audit. • One (1) non-compliance against two (2) conditions that were within the agreed scope was self-reported by the auditee during the audit period. This relates to incorrect waste classification. <p>In addition to the above:</p> <ul style="list-style-type: none"> • Three (3) non-compliances against four (4) conditions that were outside of the agreed audit scope were self-reported by the auditee during the audit period. These relate late submission of a monitoring report, truck marshalling on an unapproved haul route and hours of work. • Two (2) observations were identified in relation to real-time tracking of heavy vehicles used for spoil haulage and erosion and sediment controls at Clyde. <p>There were no findings deemed open at the time of completion of the previous audit (completed in November 2023).</p>
Phase H (WUR)	<p>There were 17 conditions within the audit scope that were assessed:</p> <ul style="list-style-type: none"> • 17 conditions were considered to be compliant. <p>In addition to the above:</p> <ul style="list-style-type: none"> • One (1) non-compliance was identified (against a condition that was outside agreed scope), relating to working beyond the approved construction hours.
Phase G (ETP)	<p>There were 52 conditions within the audit scope that were assessed.</p> <ul style="list-style-type: none"> • 32 conditions were considered to be compliant. • 19 conditions were considered not triggered. • One (1) new non-compliance was identified in relation to submission of the CPAS Monitoring Report to Council. <p>In addition to the above:</p> <ul style="list-style-type: none"> • Two (2) non-compliances against one (1) condition that was outside of the agreed audit scope were self-reported by the auditee during the audit period. These relate to adherence to nominated haul routes. <p>Two (2) findings were deemed open at the time of completion of the previous audit (completed in July 2023). Both are considered by the Auditor to be closed.</p>

The Auditor has found that the CEMP, Sub-plans and monitoring programs, and community and traffic related post approval documents to be of a very high quality and have been implemented during the audit period. The Auditor considers there to be value in

- reviewing the environmental incident and non-compliance notification process to ensure these events are appropriately reported

- stress testing the real-time tracking on heavy vehicles to ensure the systems are functioning at all times and supporting the Project teams in identifying issues associated with adherence with nominated haul routes; and
- training traffic/logistics teams on their responsibilities under the Approvals, so that non-compliances are adequately identified and reported. Whilst no findings were identified against other disciplines, there would be value in rolling out similar training to all personnel responsible for managing activities subject to Approval conditions (including community engagement, design, waste/spoil etc.).

The Auditor observes that complaints relating to noise and vibration, hours of work, traffic and access and property damage are not insubstantial, however they are considered to be associated with impacts consistent with those described in the EIS' and related documents. Further, Sydney Metro and its contractors appear to have adequately identified and responded to the complaints received during the audit period.

The auditees have not identified any incidents as defined by the Approval that need to be reported to the Department as required under A45/A46, that is, no incidents have been assessed as having potential or actual material harm on the environment or community (or actual or potential loss or property damage of an amount, or amounts in aggregate, exceeding \$10,000).

Detailed findings are presented in Section 3, along with actions proposed or undertaken by the auditees to address the findings.

The Auditor would like to thank the auditees from Sydney Metro, AFJV, GLC, Quickway and JCG, for their high level of organisation, cooperation, and assistance during the Independent Audit.

LIMITATIONS

This Document has been provided by WolfPeak Pty Ltd (WolfPeak) to the Client and is subject to the following limitations:

This Document has been prepared for the particular purpose/s outlined in the WolfPeak proposal/contract/relevant terms of engagement, or as otherwise agreed, between WolfPeak and the Client.

In preparing this Document, WolfPeak has relied upon data, surveys, analyses, designs, plans, interviews and other information provided by the Client and other individuals and organisations (the information). Except as otherwise stated in the Document, WolfPeak has not verified the accuracy or completeness of the information. To the extent that the statements, opinions, facts, findings, conclusions and/or recommendations in this Document (conclusions) are based in whole or part on the information, those conclusions are contingent upon the accuracy and completeness of the information. WolfPeak will not be liable in relation to incorrect conclusions should any information be incomplete, incorrect or have been concealed, withheld, misrepresented or otherwise not fully disclosed to WolfPeak.

With respect to conditions relating to compliance with the design, Building Codes of Australia (BCA) or satisfaction of the Independent Verifier / Certifier / Certifying Authority, the Independent Audits may rely on confirmation from the Independent Verifier / Certifier / Certifying Authority that this is the case. The Independent Audits do not extend to a verification of the works against the design or BCA requirements themselves, nor do they examine the steps the Independent Verifier / Certifier / Certifying Authority has taken to verify that the design is compliant.

The assessment of actual impacts and those predicted in the Environmental Impact Assessment(s) was a high-level assessment qualitative assessment only. The Environmental Impact Assessment(s) include a voluminous number of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project (including mitigation measures). Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the requirements specified in the conditions, to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Independent Audit.

Audits of all post approval documents prepared to satisfy the conditions, including an assessment of the implementation of Environmental Management Plans and Sub-plans, adopts a Judgement Based Sampling approach. Judgement Based Sampling is the process of selecting a sample of commitments and evidence from within the total available data set (population) to obtain and evaluate evidence about some characteristic of that population, in order to form a conclusion concerning the population.

This Document has been prepared for the exclusive benefit of the Client and no other party. WolfPeak bears no responsibility for the use of this Document, in whole or in part, in other contexts or for any other purpose. WolfPeak bears no responsibility and will not be liable to any other person or organisation for or in relation to any matter dealt with in this Document, or for any loss or damage suffered by any other person or organisation arising from matters dealt with or conclusions expressed in this Document (including without limitation matters arising from any negligent act or omission of WolfPeak or for any loss or damage suffered by any other party relying



upon the matters dealt with or conclusions expressed in this Document). Other parties should not rely upon this Document or the accuracy or completeness of any conclusions and should make their own inquiries and obtain independent advice in relation to such matters.

To the best of WolfPeak's knowledge, the facts and matters described in this Document reasonably represent the Client's intentions at the time of which WolfPeak issued the Document to the Client. However, the passage of time, the manifestation of latent conditions or the impact of future events (including a change in applicable law) may have resulted in a variation of the Document and its possible impact. WolfPeak will not be liable to update or revise the Document to take into account any events or emergent circumstances or facts occurring or becoming apparent after the date of issue of the Document.



APPENDIX A – CONDITIONS OF APPROVAL



Stage 1 (CSSI 10038)

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
SCHEDULE 2: CONDITIONS OF APPROVAL FOR CONCEPT PROPOSAL							
PART C-A: ADMINISTRATIVE CONDITIONS							
General							
C-A1	Approval is granted to the 'Concept' as described in Schedule 1 and in Chapter 6 and in Chapter 7 of the Sydney Metro West – Westmead to The Bays and Sydney CBD Environmental Impact Statement dated 15 April 2020, as amended by the following: <ul style="list-style-type: none"> a) Sydney Metro West – Westmead to The Bays and Sydney CBD Amendment Report dated 20 November 2020; and b) Sydney Metro West – Westmead to The Bays and Sydney CBD Submissions Report dated 20 November 2020. 	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-A2	The Proponent must carry out the CSSI Concept in accordance with the conditions of this approval and the documents listed in Condition C-A1 of this schedule unless otherwise specified in, or required under, the conditions of this approval.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-A3	In the event of an inconsistency between: <ul style="list-style-type: none"> a) the conditions of this approval and any document listed in Condition C-A1 of this schedule inclusive, the conditions of this approval will prevail to the extent of the inconsistency; and b) any document listed in Condition C-A1 of this schedule, the most recent document will prevail to the extent of the inconsistency. <p>Note: For the purpose of this condition, there will be an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.</p>	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-A4	Except to the extent described in any document listed in Condition C-A1 of this schedule, any over station development, including any future uses, does not form part of this CSSI and will be subject to the relevant assessment pathway prescribed by the EP&A Act.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
PART C-B: KEY ISSUE CONDITIONS							
Place and Design							
C-B1	Place and Design <p>To ensure that a high-quality urban design response is achieved, the CSSI must have regard to, and be generally consistent with, the place and design principles for each location outlined in the documents listed in Condition C-A1 of this schedule, unless expressly specified in the conditions of this approval.</p>	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
C-B2	<p>Clyde Stabling and Maintenance Facility Site</p> <p>For the relevant future stage application, the following must be considered at the Clyde Maintenance and Stabling Facility site:</p> <ul style="list-style-type: none"> a) publicly-accessible active transport corridors immediately around the site adjoining James Ruse Drive that connects to existing and future links and open spaces; b) public spaces for recreational use on residual land to offset the loss of the private recreational land, or any alternate and commensurate opportunity that achieves the objective and provides value for money, developed in consultation with City of Parramatta Council; c) renaturalisation of parts of Duck Creek and A'Becketts Creek and rehabilitation of the riparian corridor; and d) integration with strategic planning for the precinct. 	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-B3	<p>Parramatta Metro Station Site</p> <p>The delivery of the section of the future Parramatta Civic Link located on the Parramatta metro station construction site must be facilitated to enable completion before operation of the CSSI.</p>	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
Aboriginal and Non-Aboriginal Heritage							
C-B4	The relevant future stage application relating to the design of stations must include a Heritage Interpretation Strategy, prepared in consultation with Heritage NSW, which outlines how key Aboriginal and non-Aboriginal heritage values and stories of Heritage items will be interpreted in the project design, including station and precinct urban design. The Heritage Interpretation Strategy must include procedures for how to include results of archaeological findings (historical and Aboriginal archaeological results) when they become available.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-B5	The Heritage Interpretation Strategy must be prepared in accordance with the NSW Heritage Manual, the NSW Heritage Office's Interpreting Heritage Places and Items: Guidelines (August 2005), and the NSW Heritage Council's Heritage Interpretation Policy.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-B6	<p>The Heritage Interpretation Strategy must include, but not be limited to:</p> <ul style="list-style-type: none"> a) a discussion of key interpretive themes, stories and messages proposed to interpret the history and significance of archaeological excavation, the affected Heritage items and sections of heritage conservation areas (if applicable); b) options for the re-purposing of archaeological finds (results and artefacts), heritage features or listed items salvaged or protected during construction stages of the CSSI, and how they will be integrated into the final project design; c) Aboriginal cultural and heritage values of the project area including the results of any archaeological investigations undertaken (or any interim results of any archaeological investigations that have commenced but have yet to be completed) and key socio-cultural values identified in the Aboriginal Cultural Heritage Assessment Report referred to in Condition C-A1 of this schedule, and those of any future stages of the CSSI; 	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	<ul style="list-style-type: none"> d) details of the audience, potential devices to be employed in interpretation, possible locations for interpretation and how this will be incorporated into design; e) engagement with the Relevant Council(s) and regard for any relevant council heritage interpretation guidelines; and f) with respect to the Parramatta construction site and (a) above, any discussion must include how the heritage interpretation of the CSSI relates to the heritage interpretations of other projects in Parramatta, including State Significant Development projects and other SSI projects. 						
Sustainability							
C-B7	The CSSI must achieve a minimum Infrastructure Sustainability Council of Australia (ISCA) Infrastructure Sustainability rating of 75 (Version 1.2) (or equivalent level of performance using a demonstrated equivalent rating tool) or a 5-Star Green Star rating (or equivalent level of performance using a demonstrated equivalent rating tool).	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
Biodiversity and Trees							
C-B8	As many mature trees as practicable must be retained. In addition, within ten (10) years of the date of this approval or no later than the commencement of operation of the CSSI (whichever is earlier) there must be a net increase in the number of mature trees provided at a ratio of 2:1. A net increase in the number of mature trees does not apply to trees that are subject to a biodiversity offset	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-B9	The CSSI must result in an increase in tree canopy coverage.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-B10	<p>Parts of Duck Creek and A'Becketts Creek that remain open channels at the Clyde Stabling and Maintenance Facility site must be rehabilitated and / or renaturalised before operation of the CSSI commences.</p> <p>In areas that are within the tidal limits of Duck Creek and A'Becketts Creek, only species that are representative of PCT 920 are to be used in the revegetation. Elsewhere, revegetation must use species that are representative of the most appropriate plant community type in each location, depending on levels of inundation, salinity levels, and elevation as determined by an ecologist.</p> <p>Note: The most appropriate PCT may include the following: 1234, 1136, 781, 1808, 849, and 1800</p> <p>[MOD-3 – 4 July 2022]</p>	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
Climate Change							
C-B11	The CSSI must be designed to withstand known impacts associated with climate change to year 2100.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
SCHEDULE 3: CONDITIONS OF APPROVAL FOR STAGE 1							

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
PART A: ADMINISTRATIVE CONDITIONS							
General							
A1	<p>The Proponent must carry out Stage 1 of the CSSI in accordance with the conditions of this approval and generally in accordance with the:</p> <ul style="list-style-type: none"> a) Sydney Metro West – Westmead to The Bays and Sydney CBD Environmental Impact Statement dated 15 April 2020; b) Sydney Metro West – Westmead to The Bays and Sydney CBD Submissions Report dated 20 November 2020; c) Sydney Metro West – Westmead to The Bays and Sydney CBD Amendment Report dated 20 November 2020.; d) Sydney Metro West – Westmead to The Bays and Sydney CBD Modification Request Letter dated 21 June 2021; [MOD-1, 28 Jul 2021] e) Sydney Metro West – Clyde stabling and maintenance facility Modification Report dated November 2021; [MOD-2, 3 Jun 2022] f) Sydney Metro West – Concept and Stage 1 – Modification 2 Clyde stabling and maintenance facility (SSI-10038-Mod-2): Response to submissions dated 21 March 2022; [MOD-2, 3 Jun 2022] g) Sydney Metro West – Concept and Stage 1 – Modification 3 Administrative Mod dated May 2022; [MOD-3, 4 Jul 2022] h) Sydney Metro West -Concept and Stage 1 – Modification 4 Administrative Mod dated 11 November 2022; and [MOD-4, 22 Dec 2022] i) Modification Report – Clyde stabling and maintenance facility – Additional Mangrove Impact – Modification dated July 2023 [MOD-5, 20 Sep 2023] 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A2	Stage 1 of the CSSI must only be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in Condition A1 of this schedule unless otherwise specified in, or required under, this approval.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A3	<p>In the event of an inconsistency between:</p> <ul style="list-style-type: none"> a) the conditions of this approval and any document listed in Condition A1 of this schedule, the conditions of this approval will prevail to the extent of the inconsistency; and b) any document listed in Condition A1 of this schedule, the most recent document will prevail to the extent of the inconsistency. 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	Note: For the purpose of this condition, there is an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.						
A4	In the event that there are differing interpretations of the conditions of this approval, including in relation to a condition of this approval, the Planning Secretary's interpretation is final.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A5	The Proponent must comply with all written requirements or directions of the Planning Secretary, including in relation to: <ul style="list-style-type: none"> a) the environmental performance of Stage 1 of the CSSI; b) any document or correspondence in relation to Stage 1 of the CSSI; c) any notification given to the Planning Secretary under the conditions of this approval; d) any audit of Stage 1 of the CSSI; e) the conditions of this approval and compliance with the conditions of this approval (including anything required to be done under this approval); f) the carrying out of any additional monitoring or mitigation measures; and g) in respect of ongoing monitoring and management obligations, compliance with an updated or revised version of a guideline, protocol, Australian Standard or policy required to be complied with under the conditions of this approval. 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A6	Where the conditions of this approval require a document or monitoring program to be prepared, or a review to be undertaken, in consultation with identified parties, evidence of the consultation undertaken must be submitted to the Planning Secretary with the document. The evidence must include: <ul style="list-style-type: none"> a) documentation of the engagement with the party identified in the condition of approval that has occurred before submitting the document for approval; b) a log of the dates of engagement or attempted engagement with the identified party and a summary of the issues raised by them; c) documentation of the follow-up with the identified party(s) where feedback has not been provided to confirm that the party(s) has none or has failed to provide feedback after repeated requests; d) outline of the issues raised by the identified party(s) and how they have been addressed; and e) a description of the outstanding issues raised by the identified party(s) and the reasons why they have not been addressed. 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A7	This approval lapses five (5) years after the date on which it is granted, unless work has physically commenced on or before that date.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
A8	References in the conditions of this approval to any guideline, protocol, Australian Standard or policy are to such guidelines, protocols, standards or policies in the form they are in as at the date of this approval.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A9	Any document that must be submitted or action taken within a timeframe specified in or under the conditions of this approval may be submitted or undertaken within a later timeframe agreed with the Planning Secretary. This condition does not apply to the written notification required in respect of an incident under Condition A43 of this schedule	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
Phasing							
A10	Stage 1 of the CSSI may be constructed in phases. Where phased construction is proposed, a Phasing Report must be prepared and submitted to the Planning Secretary for information. The Phasing Report must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction of the first of the proposed phases of construction	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A11	<p>The Phasing Report must:</p> <p>set out how construction of the whole of Stage 1 of the CSSI will be phased, including details of work and other activities to be carried out in each phase and the general timing of when construction of each phase will commence and finish;</p> <ul style="list-style-type: none"> a) specify the relevant conditions that apply to each phase and how compliance with conditions will be achieved across and between each of the phases of Stage 1 of the CSSI; b) set out mechanisms for managing any cumulative impacts arising from the proposed phasing; and c) for the purposes of informing Conditions C2, C7 and C18, include an assessment of the predicted level of environmental risk and potential level of community concern posed by the construction activities required to construct each phase of Stage 1 of the CSSI. <p>With respect to (d) above, the risk assessment must use an appropriate process consistent with AS/NZS ISO 31000: 2018; Risk Management - Principles and Guidelines and must be endorsed by the ER.</p> <p>[MOD-1, 28 Jul 2021] [MOD-2, 3 Jun 2022]</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A12	Stage 1 of the CSSI must be phased in accordance with the Phasing Report, as submitted to the Planning Secretary for information.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A13	Where phasing is proposed, the conditions of this approval that apply or are relevant to the work or activities to be carried out in a specific phase must be complied with at the relevant time for that phase.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A14	Where changes are proposed to the phasing of construction, a revised Phasing Report must be prepared and submitted to the Planning Secretary for information before the commencement of changes to the phasing of construction.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
A15	<p>With the approval of the Planning Secretary, the Proponent may submit any strategies, plans or programs required by this approval on a progressive basis within each phase of Stage 1 of the CSSI.</p> <p>Notes:</p> <ol style="list-style-type: none"> 1. While any strategy, plan or program may be submitted on a progressive basis, the Proponent will need to ensure that the existing activities on site are covered by suitable strategies, plans or programs at all times; and 2. If the submission of any strategy, plan or program is to be submitted on a progressive basis, then the relevant strategy, plan or program must clearly describe the activities to which the strategy, plan or program applies, the relationship of this activity to any future activities within the phase, and the trigger for updating the strategy, plan or program 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
Ancillary Facilities							
A16	<p>Ancillary Facilities</p> <p>Ancillary facilities that are not identified by description and location in the documents listed in Condition A1 of this schedule can only be established and used in each case if:</p> <ol style="list-style-type: none"> a) they are located within or immediately adjacent to the Construction Boundary; and b) they are not located next to sensitive land user(s) (including where an access road is between the facility and the receiver), unless the landowner and occupier have given written acceptance to the carrying out of the relevant facility in the proposed location; and c) they have no impacts on Heritage items (including areas of archaeological sensitivity), threatened species, populations or ecological communities beyond the impacts approved under the conditions of this approval; and d) the establishment and use of the facility can be carried out and managed within the outcomes set out in the conditions of this approval, including in relation to environmental, social and economic impacts. <p>Note: This condition does not apply to any ancillary facilities or work that are exempt or complying development, established before the commencement of construction under this approval or minor ancillary facilities established under Condition A21 of this schedule.</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
Site Establishment Work							
A17	<p>Site Establishment Management Plan</p> <p>Before establishment of any ancillary facility (excluding exempt or complying development, minor ancillary facilities determined by the ER to have minimal environmental impact and those established under Condition A21 of this schedule, and those considered in an approved CEMP), the Proponent must prepare a Site Establishment Management Plan which outlines the environmental management practices and procedures to be implemented for the establishment of the ancillary facilities. The Site Establishment Management Plan must be prepared in consultation with the Relevant Council(s) and relevant government agencies. The Site Establishment Management Plan must include:</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	<ul style="list-style-type: none"> a) a description of activities to be undertaken during establishment of the ancillary facility (including scheduling and duration of work to be undertaken at the site); b) figures illustrating the proposed operational site layout and the location of the closest sensitive land user(s); c) a program for ongoing analysis of the key environmental risks arising from the site establishment activities described in subsection (a) of this condition, including an initial risk assessment undertaken before the commencement of site establishment work; d) details of how the site establishment activities described in subsection (a) of this condition will be carried out to: <ul style="list-style-type: none"> i. meet the performance outcomes stated in the documents listed in Condition A1 of this schedule, and ii. manage the risks identified in the risk analysis undertaken in subsection (c) of this condition; and a) a program for monitoring the performance outcomes, including a program for construction noise monitoring, where appropriate or required. Nothing in this condition prevents the Proponent from preparing individual Site Establishment Management Plans for each ancillary facility. 						
A18	With the exception of a Site Establishment Management Plan relating to the Silverwater ancillary facility referred to in Condition A19 below and any other Site Establishment Management Plan expressly nominated by the Planning Secretary to be endorsed by the ER, all Site Establishment Management Plans must be submitted to the Planning Secretary for approval one (1) month before the establishment of any ancillary facilities.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A19	A Site Establishment Management Plan relating to the Silverwater ancillary facility and any other Site Establishment Management Plan expressly nominated by the Planning Secretary must be submitted to the ER for endorsement one (1) month before the establishment of that ancillary facility or as otherwise agreed with the ER.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A20	<p>Use of Ancillary Facilities</p> <p>The use of an ancillary facility for construction must not commence until the CEMP required by Condition C1 of this schedule, relevant CEMP Sub-plans required by Condition C5 of this schedule and relevant Construction Monitoring Programs required by Condition C14 of this schedule have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable). Note: This condition does not apply to Condition A21 of this schedule or where the use of an ancillary facility is Low Impact Work or for Low Impact Work.</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A21	<p>Minor Ancillary Facilities</p> <p>Lunch sheds, office sheds, portable toilet facilities, and the like, can be established and used where they have been assessed in the documents listed in Condition A1 of this schedule or satisfy the following criteria:</p> <ul style="list-style-type: none"> a) are located within or adjacent to the Construction Boundary; and b) have been assessed by the ER to have: <ul style="list-style-type: none"> i. minimal amenity impacts to surrounding residences and businesses, after consideration of matters such as compliance with the ICNG, traffic and access impacts, dust 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	<ul style="list-style-type: none"> and odour impacts, and visual (including light spill) impacts, and ii. minimal environmental impact with respect to waste management and flooding, and iii. no impacts on biodiversity, soil and water, and Heritage items beyond those already approved under other conditions of this approval. 						
A22	<p>Boundary Screening</p> <p>Boundary screening must be erected around ancillary facilities that are adjacent to sensitive land user(s) for the duration that the ancillary facility is in use unless otherwise agreed with relevant affected residents, business operators or landowners.</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A23	Boundary screening required under Condition A22 of this schedule must minimise visual impacts on adjacent sensitive land user(s).	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
Independent Appointments							
A24	All Independent Appointments required by the conditions of this approval must hold current membership of a relevant professional body, unless otherwise agreed by the Planning Secretary.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A25	<p>The Planning Secretary may at any time commission an audit of how an Independent Appointment has exercised their functions. The Proponent must:</p> <ul style="list-style-type: none"> a) facilitate and assist the Planning Secretary in any such audit; and b) make it a term of their engagement of an Independent Appointment that the Independent Appointment facilitate and assist the Planning Secretary in any such audit. 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A26	<p>Upon completion of an audit under Conditions A25 above, the Planning Secretary may withdraw its approval of an Independent Appointment should they consider the Independent Appointment has not exercised their functions in accordance with this approval.</p> <p>Note: Conditions A25 and A26 of this schedule apply to all Independent Appointments including the ER, AA and Independent Auditor</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
Environment Representative							
A27	Work must not commence until an Environmental Representative (ER) has been nominated by the Proponent and approved by the Planning Secretary.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A28	The proposed ER must be a suitably qualified and experienced person(s) who was not involved in the preparation of the documents listed in Condition A1 of this schedule, and is independent from the design and construction personnel for the CSSI and those involved in the delivery of it.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
A29	The Proponent may engage more than one ER for Stage 1 of the CSSI, in which case the functions to be exercised by an ER under the conditions of this approval may be carried out by any ER that is approved by the Planning Secretary for the purposes of Stage 1 of the CSSI. The ER must meet the requirements of the Department's Environmental Representative Protocol (DPE, 2018). The appointment of the ER must have regard to the Department's guideline Seeking approval from the Department for the appointment of independent experts (DPIE, 2020).	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A30	<p>For the duration of the work or as agreed with the Planning Secretary, the approved ER must:</p> <ul style="list-style-type: none"> a) receive and respond to communication from the Planning Secretary in relation to the environmental performance of Stage 1 of the CSSI; b) consider and inform the Planning Secretary on matters specified in the conditions of this approval; c) consider and recommend to the Proponent any improvements that may be made to work practices to avoid or minimise adverse impact to the environment and to the community; d) review documents identified in Conditions A10, A17, A19, C1, C5 and C14 of this schedule and any other documents that are identified by the Planning Secretary, to ensure they are consistent with requirements in or under this approval and if so: <ul style="list-style-type: none"> i. endorse the documents before submission of such documents to the Planning Secretary (if those documents are required to be approved by the Planning Secretary); or ii. endorse the documents before the implementation of such documents (if those documents are only required to be submitted to the Planning Secretary / Department for information or are not required to be submitted to the Planning Secretary / Department); e) for documents that are required to be submitted to the Planning Secretary / Department for information under (d)(ii) above, the documents must be submitted as soon as practicable to the Planning Secretary / Department after endorsement by the ER, unless otherwise agreed by the Planning Secretary; f) regularly monitor the implementation of the documents listed in Conditions A10, A17, A19, C1, C5 and C14 of this schedule to ensure implementation is being carried out in accordance with the document and the conditions of this approval; g) as may be requested by the Planning Secretary, help plan or attend audits of the development commissioned by the Department including scoping audits, programming audits, briefings and site visits, but not independent environmental audits required under Condition A39 of this schedule; h) as may be requested by the Planning Secretary, assist in the resolution of community complaints received directly by the Department; i) consider or assess the impacts of minor ancillary facilities comprising lunch sheds, office sheds and portable toilet facilities as required by Condition A21 of this schedule; and j) consider any minor amendments to be made to the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs without increasing impacts to nearby sensitive receivers, and are 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	<p>consistent with the conditions of this approval and the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, approve the amendment. This does not include any modifications to the conditions of this approval;</p> <p>k) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, an Environmental Representative Monthly Report providing the information set out in the Environmental Representative Protocol under the heading "Environmental Representative Monthly Reports". The Environmental Representative Monthly Report must be submitted within seven (7) days following the end of each month for the duration of the ER's engagement for Stage 1 of the CSSI, or as otherwise agreed by the Planning Secretary; and</p> <p>l) assess the impacts of activities as required by the Low Impact Work definition.</p> <p>With respect to (d) above, the ER is not required to endorse the specialist content in documents requiring specialist review and / or endorsement.</p>						
A31	<p>The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions specified in Condition A30 of this schedule (including preparation of the ER monthly report), as well as:</p> <p>a) the Complaints Register (to be provided on a weekly basis or as requested); and</p> <p>b) a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work).</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
Acoustics Advisor							
A32	<p>A suitably qualified and experienced Acoustics Advisor(s) (AA) in noise and vibration management, who is independent of the design and construction personnel, must be nominated by the Proponent and engaged for the duration of work (as required by Condition A35 of this schedule) and for no less than six (6) months following completion of construction of Stage 1 of the CSSI.</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A33	<p>Work must not commence until an AA has been nominated by the Proponent and approved by the Planning Secretary.</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A34	<p>The Proponent must cooperate with the AA by:</p> <p>a) providing access to noise and vibration monitoring activities as they take place;</p> <p>b) providing access to the Complaints Register if requested;</p> <p>c) providing for review of noise and vibration documents required to be prepared under the conditions of this approval; and</p> <p>d) considering any recommendations to improve practices and demonstrating, to the satisfaction of the AA, why any recommendation is not adopted.</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
A35	The Proponent may nominate additional suitably qualified and experienced persons to assist the lead AA for the Planning Secretary's approval.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A36	<p>The approved AA must:</p> <ul style="list-style-type: none"> a) receive and respond to communication from the Planning Secretary in relation to the performance of Stage 1 of the CSSI in relation to noise and vibration; b) consider and inform the Planning Secretary on matters specified in the conditions of this approval relating to noise and vibration; c) consider and recommend, to the Proponent, improvements that may be made to avoid or minimise adverse noise and vibration impacts; d) review all proposed night-time works (with the exception of low risk activities) to determine if sleep disturbance would occur and recommend measures to avoid sleep disturbance or appropriate additional alternative mitigation measures; e) review all noise and vibration documents required to be prepared under the conditions of this approval and, should they be consistent with the conditions of this approval, endorse them before submission to the Planning Secretary (if required to be submitted to the Planning Secretary) or before implementation (if not required to be submitted to the Planning Secretary); f) regularly monitor the implementation of all noise and vibration documents required to be prepared under the conditions of this approval to ensure implementation is in accordance with what is stated in the document and the conditions of this approval; g) review the Proponent's notification of incidents in accordance with Condition A43 of this schedule; h) in conjunction with the ER (where required), the AA must: <ul style="list-style-type: none"> i. as may be requested by the Planning Secretary or Community Complaints Mediator (required by Condition B8 of this schedule), help plan, attend or undertake audits of noise and vibration management of Stage 1 of the CSSI including briefings, and site visits, ii. in the event that conflict arises between the Proponent and the community in relation to the noise and vibration performance of Stage 1 of the CSSI, follow the procedure in the Overarching Community Communication Strategy referenced in Condition B1 of this schedule to attempt to resolve the conflict, and if it cannot be resolved, notify the Planning Secretary, i) if requested by the ER, consider relevant minor amendments made to the Site Establishment Management Plan, CEMP, relevant sub-plans and noise and vibration monitoring programs that require updating or are of an administrative nature, and are consistent with the conditions of this approval and the management plans and monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, endorse the amendment, (this does not include any modifications to the conditions of this approval), 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	<p>j) if requested by the ER, review the noise impacts of minor ancillary facilities, and</p> <p>k) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, a Monthly Noise and Vibration Report detailing the AA's actions and decisions on matters for which the AA was responsible in the preceding month. The Monthly Noise and Vibration Report must be submitted within seven (7) days following the end of each month for the duration of the AA's engagement for Stage 1 of the CSSI, or as otherwise agreed by the Planning Secretary.</p>						
Notification of Commencement							
A37	The Department must be notified in writing of the date of commencement of construction before the commencement of construction	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A38	If construction of Stage 1 of the CSSI is to be phased, the Department must be notified in writing before the commencement of each phase, of the date of the commencement of that phase.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
Independent Environmental Audit							
A39	Independent Audits of Stage 1 of the CSSI must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (DPIE, 2020).	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A39.1	Notwithstanding Condition A39, the Proponent may prepare an audit program to outline the scope and timing of each independent audit that will be undertaken during construction. If prepared, the audit program must be developed in consultation with, and approved by, the Planning Secretary before commencement of the first audit and implemented throughout construction. [MOD-1, 28 Jul 2021]	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A40	Proposed independent auditors must be approved by the Planning Secretary before the commencement of an Independent Audit	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A41	The Planning Secretary may require the initial and subsequent Independent Audits to be undertaken at different times to those specified in the Independent Audit Post Approval Requirements (DPIE, 2020), upon giving at least four (4) weeks' notice (or timing as stipulated by the Planning Secretary) to the Proponent of the date upon which the audit must be commenced.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A42	Independent Audit Reports and the Proponent's response to audit findings must be submitted to the Planning Secretary within two (2) months of undertaking the independent audit site inspection as outlined in the Independent Audit Post Approval Requirements (DPIE, 2020), unless otherwise agreed by the Planning Secretary.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
Incident and Non-compliance Notification and Reporting							

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
A43	The Planning Secretary must be notified via phone or in writing via the Major Projects website immediately after the Proponent becomes aware of an incident. Any notification via phone must be followed up by a notification in writing via the Major Projects website within 24 hours of the initial phone call. The written notification must identify the CSSI (including the application number and the name of the CSSI if it has one) and set out the location and general nature of the incident.	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	<p>Sydney Metro incident register current to 08/02/24</p> <p>CTP Incident Reports (x3 for out of specification discharges from Bays Water Treatment Plant), 21/09/23 (Oil spill at SOP), 10/10/23 (discharge of untreated water to pit at SOP), 26/10/23 (hydraulic hose failure at The Bays), 01/11/23 (leak of ferric at Burwood North Water Treatment Plant), 17/01/24 (spill of water from tank at North Strathfield)</p> <p>EPL 21610</p> <p>EPL Monitoring Reports September 2023 – January 2024, AFJV</p> <p>WTP incident record 19/02/24 (bentonite spill at Parramatta)</p>	<p>The auditees have not identified any incidents that caused material harm.</p> <p>Metro Observation: During AFJV's review of the monthly discharge values from The Bays Water Treatment Plant a range of readings registered at the discharge pH and NTU probes were noted to be above the discharge criteria outlined in the EPL for the period 14/09/23 – 11/10/23. At the time of identification 3800m3 of water was discharged, with pH recorded between 8.6 and 11.9, and turbidity reaching up to 1000NTU (although no plumes observed).</p> <p>AFJV notified the EPA of the data anomalies via email on 12/10/23 as a precautionary measure whilst an investigation was completed to confirm whether non-compliant discharges had taken place. According to AFJV, Sydney Metro and the ER were made aware of the data anomalies on 12/10/23. Records indicate that by 14/10/23 AFJV had confirmed that the readings were as a result of instrument error and that there was no material risk that non-compliant water was discharged from the Water Treatment Plant. Results following instrument correction appear to be compliant.</p> <p>The information sighted indicates that whilst investigations determined that the events related to data anomalies (rather than actual discharges of out of spec water), an incident report was not raised by AFJV in a timely manner and Sydney Metro did not notify the Department upon becoming aware of the event.</p> <p>On 06/02/24 an incident at WTP involving construction water leaving the Parramatta site was notified to the Department via the hotline. Further investigation by the Project team as not requiring notification.</p>	Not Triggered
A44	Subsequent notification must be given and reports submitted in accordance with the requirements set out in Appendix A.	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	<p>Sydney Metro incident register current to 08/02/24</p> <p>Phase B</p> <p>CTP Incident Reports (x3 for out of specification discharges from Bays Water Treatment Plant), 21/09/23 (Oil spill at SOP), 10/10/23 (discharge of untreated water to pit at SOP), 26/10/23 (hydraulic hose failure at The Bays), 01/11/23 (leak of ferric at Burwood North Water Treatment Plant), 17/01/24 (spill of water from tank at North Strathfield)</p> <p>Phase F</p> <p>WTP incident record 19/02/24 (bentonite spill at Parramatta)</p>	<p>The auditees have not identified any incidents that caused material harm.</p> <p>Refer A43 regarding immediate notifications. No subsequent notifications were required.</p>	Not Triggered
A45	The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	<p>Sydney Metro incident register current to 08/02/24</p> <p>Phase B</p>	<p>CTP Non-compliance: On 6, 10, 12, 27/05/23 (prior to current audit period) truck movements at Five Dock West exceeded the CTMP numbers during peak hours in the approved CTMP. The maximum number of truck</p>	Non-Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					<p>Letters, DPPI to Bakers Group, Holcim, Load and Go, Load Master, Moits, Mulgoa (CTP Haulage), 13/10/23 (DPPI warning letter over breach of D90 that occurred prior to the current audit period).</p> <p>Non-compliance Report, 19/09/23 and post approval portal lodgement 19/09/23 (truck parking over driveway as non-compliance with D90).</p> <p>Non-compliance Report, 17/10/23 and post approval portal lodgement 18/10/23 (truck movements exceeding the CTMP numbers).</p> <p>Non-compliance Report, 02/11/23 and post approval portal lodgement 03/11/23 (truck using unapproved haul route and parking over driveway as non-compliance with D90).</p> <p>Non-compliance Report, 15/11/23 and post approval portal lodgement 15/11/23 (truck using unapproved haul route as non-compliance with D90).</p> <p>Non-compliance Report, 27/11/23 and post approval portal lodgement 27/11/23 (vehicle parking over driveway as non-compliance with CTMP/D93).</p> <p>Non-compliance Report, 13/02/24 and post approval portal lodgement 14/02/24 (vehicle parking over driveway as non-compliance with CTMP/D93).</p> <p>Non-compliance Report, 07/11/23 and post approval portal lodgement 21/11/23 (three CPAS Monitoring Reports were not sent to the City of Canada Bay Council as non-compliance with D91(k)).</p> <p>Phase F</p> <p>Non-compliance Report 17/11/23 and DPPI post approval lodgement, 24/11/23 (wrongful disposal of waste as non-compliance with D113, D114)</p> <p>Non-compliance Report 23/10/23 and DPPI post approval portal lodgement, 08/11/23 (stabling of trucks in residential area Lansdowne Street as non-compliance with D90)</p> <p>Non-compliance Report 15/09/23 DPPI post approval lodgement, 21/09/23 (lateness of submission of noise monitoring report non-compliance with C23).</p> <p>Non-compliance Report, 06/11/23 and DPPI post approval portal lodgement 09/11/23 (non-compliance with D37(d)(iii) and D43)</p> <p>Phase H</p> <p>Non-compliance report and post approval portal lodgement 12/12/23 (WUR traffic control working</p>	<p>movements per hour was 28 trucks during a single hour period during peak hours. On 30/06/23 (prior to current audit period) the truck movements at Five Dock East exceeded the CTMP numbers during morning peak hours in the approved CTMP for the eastern site with 22 heavy vehicle movements recorded during one peak hour. The sighted non-compliance report (NCR030) states that AFJV became aware of the non-compliance whilst reviewing data on the 10/10/23 (up to 6 months after the first event) and reported it on 18/10/23, which is beyond the 7 day timeframe required by A45.</p> <p>Metro Non-compliance: On 07/11/23 Sydney Metro identified a non-compliance as three CPAS Monitoring Reports were not sent to the City of Canada Bay Council in accordance with D91(k). This non-compliance was not reported until 21/11/23 (i.e.: beyond the 7 day timeframe required by A45) due to the need to complete a review on the responsibility for the non-compliance. The reason for the delay in reporting was included in the non-compliance report issued to the Department.</p> <p>CTP also recorded non-compliances with D90 and D93 (for breaches in parking on local streets and / or travelling on unapproved haul routes) and these were reported in accordance with A45/A46.</p> <p>WTP also recorded non-compliances with D113 and D114 regarding the wrongful disposal of waste (PASS was disposed of as RSW Special Waste and the receiving facility (Kemps Creek) was not licenced to receive PASS. Further investigation determined that the material was not PASS and was able to be retained at the landfill. A review was conducted on the spoil approvals process, training session with the spoil team and signage improved.</p> <p>WTP recorded a non-compliance against D90 regarding stabling of trucks on Lansdowne Street in residential area. The drivers were retained and VMPs updated and issue has not recurred.</p> <p>WTP recorded a non-compliance with C23 for the lateness of submission of the noise monitoring report covering the Jan – July 23 reporting period. WTP are in the process of updating the monitoring programs to provide consistent and achievable reporting timeframes going forward.</p> <p>WTP recorded a non-compliance with D37 and D43 regarding the late arrival of a crane without the relevant OOHV approval being approved. WTP was not aware of the plant arriving. The gatekeeper let the crane in and no complaints were received. The Project team was toolboxed and there has not been a recurrence.</p> <p>WUR had one non-compliance: this was reported in accordance with this condition.</p>	

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					beyond approved hours as non-compliance with D35)		
A46	<p>A non-compliance notification must identify the CSSI (including the application number for it), set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be undertaken to address the non-compliance.</p> <p>Note: A non-compliance which has been notified as an incident does not need to also be notified as a noncompliance.</p>	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	<p>Sydney Metro incident register current to 08/02/24</p> <p>Phase B</p> <p>Letters, DPFI to Bakers Group, Holcim, Load and Go, Load Master, Moits, Mulgoa (CTP Haulage), 13/10/23 (DPFI warning letter over breach of D90 that occurred prior to the current audit period).</p> <p>Non-compliance Report, 19/09/23 and post approval portal lodgement 19/09/23 (truck parking over driveway as non-compliance with D90).</p> <p>Non-compliance Report, 17/10/23 and post approval portal lodgement 18/10/23 (truck movements exceeding the CTMP numbers).</p> <p>Non-compliance Report, 02/11/23 and post approval portal lodgement 03/11/23 (truck using unapproved haul route and parking over driveway as non-compliance with D90).</p> <p>Non-compliance Report, 15/11/23 and post approval portal lodgement 15/11/23 (truck using unapproved haul route as non-compliance with D90).</p> <p>Non-compliance Report, 27/11/23 and post approval portal lodgement 27/11/23 (vehicle parking over driveway as non-compliance with CTMP/D93).</p> <p>Non-compliance Report, 13/02/24 and post approval portal lodgement 14/02/24 (vehicle parking over driveway as non-compliance with CTMP/D93).</p> <p>Non-compliance Report, 07/11/23 and post approval portal lodgement 21/11/23 (three CPAS Monitoring Reports were not sent to the City of Canada Bay Council as non-compliance with D91(k)).</p> <p>Phase F</p> <p>Non-compliance Report 17/11/23 and DPFI post approval lodgement, 24/11/23 (wrongful disposal of waste as non-compliance with D113, D114)</p> <p>Non-compliance Report 23/10/23 and DPFI post approval portal lodgement, 08/11/23 (stabling of trucks in residential area Lansdowne Street as non-compliance with D90)</p> <p>Non-compliance Report 15/09/23 DPFI post approval lodgement, 21/09/23 (lateness of submission of noise monitoring report non-compliance with C23).</p>	The non-compliance notifications sighted contain the required information.	Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					<p>Non-compliance Report, 06/11/23 and DPHI post approval portal lodgement 09/11/23 (non-compliance with D37(d)(iii) and D43)</p> <p>Phase H</p> <p>Non-compliance report and post approval portal lodgement 12/12/23 (WUR traffic control working beyond approved hours as non-compliance with D35)</p>		
Identification of Workforce							
A47	All Heavy Vehicles used for spoil haulage must be clearly marked on the sides and rear with the project name and application number to enable immediate identification by a person viewing the Heavy Vehicle standing 20 metres away.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
A48	The CSSI name, application number, telephone number, postal address and email address required under Condition B3 of this schedule must be available on site boundary fencing / hoarding at each ancillary facility before the commencement of construction. This information must also be provided on the website required under Condition B11 of this schedule.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
PART B: COMMUNITY INFORMATION AND REPORTING							
Community Information, Consultation and Involvement							
B1	<p>Community Communication</p> <p>The Overarching Community Communication Strategy as provided in the documents listed in Condition A1 of this schedule must be implemented for the duration of the work.</p>	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	<p>Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 4, 14/12/23)</p> <p>DPHI Post Approval portal lodgement, 25/01/24 and DPHI response 09/02/24 (submission of updated OCCS)</p> <p>Email Metro to Metro, 04/03/24 (communication of marked up version of OCCS).</p> <p>OCCS 2023 Rev 4 _mark up for contractors (tracked change version of OCCS dated 14/12/23 including mark up of changes).</p> <p>https://caportal.com.au/tfnsw/sydneywest/map</p> <p>Sydney Metro Connect Application (no date)</p> <p>Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management)</p> <p>Sydney Metro Complaints Management System, 20/10/23</p> <p>https://www.sydneymetro.info/west/project-overview</p> <p>https://www.sydneymetro.info/station/westmead-metro-station</p>	<p>Sydney Metro are responsible for the majority of communications with the public and implementation of the OCCS. Dedicated place managers are being deployed. These place managers work with the contractor teams. The Project outreach, website, social media, signage, phone number and letterbox all appear to have been in operation / implemented during the audit period.</p> <p>The Complaints Management System has been formally documented in the audit period. The document sets out the procedure for managing complaints across the Project.</p> <p>The Sydney Metro and Contractor Consultation Manager modules demonstrate the proactive and reactive community engagement efforts for each and every stakeholder.</p> <p>The contractor Communications Management Plans have been prepared in accordance with the OCCS. Specific commitments on the contractor are the written notifications for each site and the OOHW / work updates. All the material is sent through Sydney Metro.</p> <p>Community open days occurred during the audit period at the Bays, and Five Dock (twice) as a minimum.</p> <p>Engagement with Councils appears to be particularly strong. Whilst not all expectations of Councils are being met, evidence indicates regular and well documented engagement.</p>	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					https://www.sydneymetro.info/station/parramatta-metro-station https://www.sydneymetro.info/station/clyde-stabling-and-maintenance-facility https://www.sydneymetro.info/station/Silverwater-laydown-site https://www.sydneymetro.info/station/sydney-olympic-park-metro-station https://www.sydneymetro.info/station/north-strathfield-metro-station https://www.sydneymetro.info/station/burwood-north-station https://www.sydneymetro.info/station/five-dock-station https://www.sydneymetro.info/station/bays-station https://www.linkedin.com/company/sydney-metro/?originalSubdomain=au https://www.facebook.com/SydneyMetro/ https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/how-to-make-a-complaint https://www.sydneymetro.info/complaints-privacy-collection-notice Small Business Owners Engagement Plan, AFJV, April 2023 Community Communication Strategy, AFJV, December 2023 CTP Community open day events (Five Dock 04/02/24, Burwood 28/10/23, North Strathfield 26/11/23, SOP 24/09/23) CTP November Newington Public School Information Days, 06 and 13/11/23 CTP Wellbank Childcare Open Day 09/11/23 CTP North Strathfield Ladies Prbus Club community information session (February 2024). CTP Monthly construction interface meeting with CoCBC, Sep 23 – Feb 24 CTP Monthly Control Group Meeting with CoCBC, Sep 23 – Feb 24. 10038_RFI2_6 - B1 - summary of CCS and sub plan reviews, plus teambinder submissions (AFJV to Metro) 23/10/23 and 05/12/23. Community Communications Strategy, GLC, 07/02/24	The evidence provided indicates that reviews of the implementation of the contractor CCS was completed as per Section 11.1 of the OCCS. Metro Observation: Section 11.2 of the OCCS states that the 'project delivery communication team is required to compile business engagement monitoring data on a biannual basis and include lessons learned based on the items in Table 7' of the OCCS. The Auditor requested evidence to demonstrate that this commitment was being fulfilled. In response both CTP and WTP stated that 'due to the low impact on businesses from work carried out during the auditing period, a formal evaluation and effectiveness of the SBOEP was not carried out during the reporting period and the next review of the SBOEP is planned for April 2024.' The findings from an informal review was presented (in response to the Auditor's request) which indicated that most metrics from Table 7 of the OCCS were being met. However not all metrics from Table 7 were included in the auditees' response. The Auditor observes that the whilst the OCCS does not provide flexibility in the 6-monthly business engagement evaluation requirement, Section 2.5 of the CTP SBOEP (AFJV, April 2023) and Section 1.5 of the WTP SBOEP (GLC, March 2023) states that the evaluation would occur 'every 6 months or as required' in accordance with the OCCS.	

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					<p>Small Business Owners Engagement Plan, GLC, 17/03/23</p> <p>Community Benefits Implementation Plan, GLC, 15/08/22</p> <p>Auditee response to RFI2, received 21/03/24</p> <p>10038_RFI2_10 - B1 - summary of CCS and sub plan reviews, plus teambinder submissions (GLC to Metro) 11/10/23 – 07/03/24.</p>		
Complaints Management System							
B2	A Complaints Management System must be prepared and implemented before the commencement of any work and maintained for the duration of construction and for a minimum for 12 months following completion of construction of Stage 1 of the CSSI.	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	<p>Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 4, 14/12/23)</p> <p>DPHI Post Approval portal lodgement, 25/01/24 and DPHI response 09/02/24 (submission of updated OCCS)</p> <p>Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management)</p> <p>Sydney Metro Complaints Management System, 20/10/23</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/how-to-make-a-complaint</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p> <p>Complaints register current to 27/02/24</p>	<p>Sydney Metro operates an overarching complaints register via the Consultation Manager platform. CTP and WTP are also running Consultation Manager. The contractor complaints appear to be fed to Sydney Metro for consolidation.</p> <p>The data required under the OCCS and B4 has been captured.</p>	Compliant
B3	<p>The following information must be available to facilitate community enquiries and manage complaints before the commencement of work and for 12 months following the completion of construction:</p> <ul style="list-style-type: none"> a) a 24- hour telephone number for the registration of complaints and enquiries about the CSSI; b) a postal address to which written complaints and enquires may be sent; c) an email address to which electronic complaints and enquiries may be transmitted; and d) a mediation system for complaints unable to be resolved. This information must be accessible to all in the community regardless of age, ethnicity, disability or literacy level. 	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	<p>Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 4, 14/12/23)</p> <p>DPHI Post Approval portal lodgement, 25/01/24 and DPHI response 09/02/24 (submission of updated OCCS)</p> <p>Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management)</p> <p>Sydney Metro Complaints Management System, 20/10/23</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/how-to-make-a-complaint</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p>	<p>Project signage is on each compound fence line sighted during the audit, identifying the contact details as required by this condition.</p> <p>The Project works notifications includes contact details as required by this condition. Complaint mediation system is described in the OCCS and each of the Community Communications Strategies.</p> <p>The auditees advise that if a complaint cannot be resolved, and the ER (or the Director of Communications) recommends mediation, this is escalated. The website includes a statement about how complaints are managed and the availability of mediation if required.</p>	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					https://www.sydneymetro.info/station/westmead-metro-station https://www.sydneymetro.info/station/parramatta-metro-station https://www.sydneymetro.info/station/clyde-stabling-and-maintenance-facility https://www.sydneymetro.info/station/Silverwater-laydown-site https://www.sydneymetro.info/station/sydney-olympic-park-metro-station https://www.sydneymetro.info/station/north-strathfield-metro-station https://www.sydneymetro.info/station/burwood-north-station https://www.sydneymetro.info/station/five-dock-station https://www.sydneymetro.info/station/bays-station Complaints register current to 27/02/24		
B4	<p>A Complaints Register must be maintained recording information on all complaints received about the CSSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The Complaints Register must record the:</p> <ol style="list-style-type: none"> number of complaints received; date and time of the complaint; number of people in the household affected in relation to a complaint, if relevant; method by which the complaint was made; any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect; issue of the complaint; means by which the complaint was addressed and whether resolution was reached, with or without mediation; and if no action was taken, the reason(s) why no action was taken. 	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	<p>Construction Complaints Management System, Sydney Metro, 20/10/23</p> <p>Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management)</p> <p>Complaints register current to 27/02/24</p>	<p>Sydney Metro operates an overarching complaints register via the Consultation Manager platform. CTP and WTP are also running Consultation Manager. The contractor complaints appear to be fed to Sydney Metro for consolidation.</p> <p>According to the complaints register sighted, 102 complaints were received during the audit period. 69 x relate to CTP, 30 x relate to WTP and 3 x relate to WUR. 18 x were determined to not be related to the project. Noise and vibration associated with out of hours works make up the vast majority of complaints (~35%).</p> <p>The Complaints Management System and register define whether a complaint is:</p> <ul style="list-style-type: none"> 'unavoidable', that is a complaint 'in opposition to the Project or government policy, or complaints about issues that are within Project planning approvals.' or 'avoidable' that is a complaint 'about issues outside planning approval, or a commitment that has been given to the community or stakeholders'. <p>According to the Complaints Management System, complaints classed as unavoidable must be supported by a justification. 63 x complaints were classed as 'unavoidable'.</p> <p>16 x complaints were classed as avoidable of which 11 x related to CTP (covering issues around trucks blocking access, driver behaviour, light spill and noise); and 5 x relate to WTP (covering issues around property damage, access and worker behaviour).</p>	Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
						5 x complaints are considered under investigation. These are either recently received or relate to property damage (which is being worked through with the complainant).	
B5	<p>Complainants must be advised of the following information before, or as soon as practicable after, providing personal information:</p> <ul style="list-style-type: none"> a) the Complaints Register may be forwarded to government agencies, including the Department (Department of Planning Industry and Environment, 4 Parramatta Square, 12 Darcy Street, Parramatta NSW 2150), to allow them to undertake their regulatory duties; b) by providing personal information, the complainant authorises the Proponent to provide that information to government agencies; c) the supply of personal information by the complainant is voluntary; and d) the complainant has the right to contact government agencies to access personal information held about them and to correct or amend that information (Collection Statement). The Collection Statement must be included on the Proponent or development website to make prospective complainants aware of their rights under the Privacy and Personal Information Protection Act 1998 (NSW). For any complaints made in person, the complainant must be made aware of the Collection Statement. 	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	<p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p> <p>Email auto reply for community email (example only)</p> <p>Community Information line (1800 612 173)</p>	<p>The collection statement is available on the Sydney Metro website.</p> <p>The voicemail introduction to the complaints line and the email immediate response identifies that personal information will be recorded and managed in accordance with the Privacy and Personal Information Protection Act, and directs the complainant to the Collection Notice on the website for further information. The collection notice provides the relevant details in accordance with this condition.</p>	Compliant
B6	The Complaints Register must be provided to the Planning Secretary upon request, within the timeframe stated in the request. Note: Complainants must be advised that the Complaints Register may be forwarded to Government agencies to allow them to undertake their regulatory duties.	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	DPHI Post approval portal lodgements (x27) between 01/09/23 and 30/01/24 (submission of complaints register to DPHI).	<p>Sydney Metro West complaints register is issued to the Department on a weekly basis; sighted records current to January 2024.</p> <p>Refer B5 regarding notification about use of personal information.</p>	Compliant
B7	A Community Complaints Mediator that is independent of the design and construction personnel must be engaged by the Proponent, upon the referral of the complaint by the ER in accordance with the Overarching Community Communication Strategy.	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	<p>Sydney Metro West – Statement of Independence dated March 21, 2022 Sydney Metro – Mediation Services –</p> <p>Standing Offer Deed – Contract No. SMC-21-0232 - 14 December 2021</p>	At the previous Independent Audit the auditor sighted a letter from Sydney Metro was observed which accepted a mediation proposal dated 30/09/21 to provide Mediation Services for Sydney Metro by Steve Lancken Conflict Management Pty Limited t/as Negocio Resolutions. The letter stated that neither Negocio Resolutions nor its contractors had provided any other services to or at the request of Sydney Metro West Project and that Negocio Resolutions does not provide any services to any contractor or subcontractor for the Sydney West Project.	Compliant
B8	The role of the Community Complaints Mediator is to provide independent mediation services for any reasonable and unresolved complaint referred by the ER where a member of the public is not satisfied by the Proponent's response. Where a Community Complaints Mediator is required, a mediator accredited under the National Mediator Accreditation System (NMAS), administered by the Mediator Standards Board must be appointed.	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	<p>Sydney Metro West – Statement of Independence dated March 21, 2022 Sydney Metro – Mediation Services –</p> <p>Standing Offer Deed – Contract No. SMC-21-0232 - 14 December 2021</p>	The Mediator Standards Board website (https://msb.org.au/mediators) confirms that Mr. Stephen Lancken is accredited under the NMAS (ref. 130-3710) by the Resolution Institute.	Compliant
B9	<p>Community Complaints Mediation will:</p> <ul style="list-style-type: none"> a) review any unresolved disputes, referred by the ER in accordance with the Overarching Community Communication Strategy; b) make recommendations to the Proponent to satisfactorily address complaints 	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	<p>Construction Complaints Management System, Sydney Metro, 20/10/23</p> <p>Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management)</p>	At the previous Independent Audit the auditor sighted a Complaint Escalation Report for a residential property in the proximity of the Five Dock site (dated 21 October 2022), which had been reviewed by the ER. The ER agreed with the decision of Sydney Metro to refer the complainant to the Community Complaints Mediator in accordance with the OCCS. The mediation was completed in November 2022. AFJV and Sydney Metro have responded to the complaints	Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					Complaints register current to 27/02/24	and have had a number of meetings with the complainant. Further mitigation measures have been implemented as described in the complaint mediation report. Long term relocation has been offered by Sydney Metro to the complainant but not taken up. According to the auditees, the Project team continues to respond to any new issues raised by the complainant as required. No other cases have been referred to the Complaints Mediator.	
B10	Community Complaints Mediation will not be enacted before the Complaints Management System required by Condition B2 of this schedule has been executed for a complaint and will not consider issues such as property acquisition, where other dispute processes are provided for in this approval or clear government policy and resolution processes are available, or matters which are not within the scope of this CSSI.	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	Construction Complaints Management System, Sydney Metro, 20/10/23 Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management) Complaints register current to 27/02/24	At the previous Independent Audit the auditor sighted a Complaint Escalation Report for a residential property in the proximity of the Five Dock site (dated 21 October 2022), which had been reviewed by the ER. The ER agreed with the decision of Sydney Metro to refer the complainant to the Community Complaints Mediator in accordance with the OCCS. The mediation was completed in November 2022. AFJV and Sydney Metro have responded to the complaints and have had a number of meetings with the complainant. Further mitigation measures have been implemented as described in the complaint mediation report. Long term relocation has been offered by Sydney Metro to the complainant but not taken up. According to the auditees, the Project team continues to respond to any new issues raised by the complainant as required. No other cases have been referred to the Complaints Mediator.	Compliant
Provision of Electronic Information							
B11	A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all phases of construction of Stage 1 of the CSSI. Up-to-date information (excluding confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including: <ul style="list-style-type: none"> a) information on the current implementation status of Stage 1 of the CSSI; b) a copy of the documents listed in Condition A1 of this schedule, and any documentation relating to any modifications made to the CSSI or the conditions of this approval; c) a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its conditions), and copies of any approval granted by the Minister to a modification of the conditions of this approval, or links to the referenced documents where available; d) a copy of each statutory approval, licence or permit required and obtained in relation to Stage 1 of the CSSI, or where the issuing agency maintains a website of approvals, licences or permits, a link to that website; e) a current copy of each document required under the conditions of this approval, which must be published within one (1) week of its approval or 	To be audited in February 2024.	To be audited in February 2024.	To be audited in February 2024.	https://www.sydneymetro.info/documents https://www.sydneymetro.info/west/project-overview https://www.sydneymetro.info/station/westmead-metro-station https://www.sydneymetro.info/station/parramatta-metro-station https://www.sydneymetro.info/station/clyde-stabling-and-maintenance-facility https://www.sydneymetro.info/station/Silverwater-laydown-site https://www.sydneymetro.info/station/sydney-olympic-park-metro-station https://www.sydneymetro.info/station/north-strathfield-metro-station https://www.sydneymetro.info/station/burwood-north-station https://www.sydneymetro.info/station/five-dock-station	The information required under the Approval appears to all have been published on the Sydney Metro website or the websites of its contractors. The Auditor notes that the use of third party websites was agreed to by the Department in 2017 (as part of another Sydney Metro project) and has been applied consistently since. The Auditor is not aware of any direction from the Department stating otherwise. The Auditor is satisfied that the Project website (including the AFJV and GLC websites) contains the information required by this condition. The Auditor requested Sydney Metro and its contractors verify that each document required under the terms of the approval has been published within the timeframes specified by B11(e). The Auditor has not identified circumstances where B11(e) timeframes have not been met, and observes that construction is at a phase where documents primarily (but not always) relate to ongoing works. The exceptions include OOHW notifications and changes to activities subject to assessment. The Auditor does however note the following responses from the auditees: <ul style="list-style-type: none"> • Sydney Metro were of the view that documents had been uploaded in a timely manner and this could be confirmed by 	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	<p>before the commencement of any work to which they relate or before their implementation, as the case may be; and</p> <p>f) a copy of the audit reports required under this approval. Where the information / document relates to a particular work or is required to be implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation. All information required in this condition is to be provided on the website or webpage, and easy to navigate.</p>				<p>https://www.sydneymetro.info/station/bays-station</p> <p>https://www.linkedin.com/company/sydney-metro/?originalSubdomain=au</p> <p>https://www.facebook.com/SydneyMetro/</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/how-to-make-a-complaint</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p> <p>Email DPHI to Metro, 12/09/17 (DPHI agreement for the use of third party websites).</p> <p>https://www.acciona.com.au/sydney-metro-west-ctp/?_adin=02021864894</p> <p>https://gamuda.com.au/sydney-metro-west-western-tunnelling-package-wtp/</p> <p>https://www.quickway.com.au/projects/sydney-metro-west-westmead-utility-relocations/</p> <p>10038_RFI2_16, 17, 18, 19 - B11 - summary of website publishing plus WTP audits and maintenance communications (</p>	<p>reviewing the date of approval and date of publish data on the website. Sydney Metro indicated that they had provided a report summarising this data. No report was presented for the Auditor to review. Further, the website upload date does not allow review / verification that upload has occurred prior to relevant works. Sydney Metro stated that they '...do not carry out any work in relation to documentation we are responsible for developing and uploading.' The Auditor considers this response to be incorrect given Sydney Metro is responsible for notifying the community of specific upcoming works.</p> <ul style="list-style-type: none"> CTP stated that at this stage, the only documents that are generated which must be uploaded prior to works commencing are the DNVIS and CTMPs and CPAS. These documents are uploaded post endorsement/approval and pre works commencing. Three examples of email confirmation were put forward (Tunnelling DNVIS endorsed by AA on 11/10/23, uploaded to website 16/10/23; FFMP, AQMP, SMP, GWMP endorsed by ER on 29/10/2023, uploaded to website 1/11/2023; Five Dock DNVIS endorsed by AA on 22/11/23, uploaded to website 29/11/23 and commenced in December 2023). WTP stated that it has several mechanisms in place to confirm that B11(e) is being complied with. The WTP approvals manager is responsible for actioning the publishing of documents and this is routine once approval/endorsement is obtained. An internal audit was conducted in November 2023. Evidence was provided demonstrating implementation of these actions. 	
PART C: CONSTRUCTION ENVIRONMENTAL MANAGEMENT							
Construction Environmental Management Plan							
C1	Construction Environmental Management Plans (CEMPs) and CEMP Sub-plans must be prepared in accordance with the Construction Environmental Management Framework (CEMF) included in the documents listed in Condition A1 of this schedule to detail how the performance outcomes, commitments and mitigation measures specified in the documents listed in Condition A1 of this schedule will be implemented and achieved during construction	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
C2	With the exception of any CEMPs expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMPs must be submitted to the Planning Secretary for approval.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
C3	The CEMP(s) not requiring the Planning Secretary's approval must be submitted to the ER for endorsement no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase. That CEMP must obtain the endorsement of the ER as being consistent with the conditions of	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status																	
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)																				
	this approval and all undertakings made in the documents listed in Condition A1 of this schedule.																							
C4	Any CEMP to be approved by the Planning Secretary must be endorsed by the ER and then submitted to the Planning Secretary for approval no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.																				
C5	<p>Of the CEMP Sub-plans required under Condition C1 of this schedule, the following CEMP Sub-plans must be prepared in consultation with the relevant government agencies identified for each CEMP Sub-plan. Details of issues raised by a government agency during consultation must be included in the relevant CEMP Sub-plan, including copies of all correspondence from those government agencies as required by Condition A6 of this schedule. Where a government agency (ies) request(s) is not included, the Proponent must provide the Planning Secretary / ER (whichever is applicable) justification as to why:</p> <table border="1"> <thead> <tr> <th></th> <th>Required CEMP Sub-plan</th> <th>Relevant government agencies to be consulted for each CEMP Sub-plan</th> </tr> </thead> <tbody> <tr> <td>a)</td> <td>Noise and vibration</td> <td>SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>b)</td> <td>Flora and fauna</td> <td>DPE BCD, DPI Fisheries, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s)</td> </tr> <tr> <td>c)</td> <td>Soil and water</td> <td>DPE BCD, Relevant Council(s), SOPA (in respect of Sydney Olympic Park) and Sydney Water (if Sydney Water's assets are affected)</td> </tr> <tr> <td>d)</td> <td>Heritage (Non-Aboriginal and Aboriginal)</td> <td>Heritage NSW, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>e)</td> <td>Spoil</td> <td>Relevant Council(s) and SOPA (in respect of Sydney Olympic Park)</td> </tr> </tbody> </table> <p>[MOD-2, 3 Jun 2022]</p>		Required CEMP Sub-plan	Relevant government agencies to be consulted for each CEMP Sub-plan	a)	Noise and vibration	SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)	b)	Flora and fauna	DPE BCD, DPI Fisheries, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s)	c)	Soil and water	DPE BCD, Relevant Council(s), SOPA (in respect of Sydney Olympic Park) and Sydney Water (if Sydney Water's assets are affected)	d)	Heritage (Non-Aboriginal and Aboriginal)	Heritage NSW, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)	e)	Spoil	Relevant Council(s) and SOPA (in respect of Sydney Olympic Park)	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.		
	Required CEMP Sub-plan	Relevant government agencies to be consulted for each CEMP Sub-plan																						
a)	Noise and vibration	SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)																						
b)	Flora and fauna	DPE BCD, DPI Fisheries, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s)																						
c)	Soil and water	DPE BCD, Relevant Council(s), SOPA (in respect of Sydney Olympic Park) and Sydney Water (if Sydney Water's assets are affected)																						
d)	Heritage (Non-Aboriginal and Aboriginal)	Heritage NSW, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)																						
e)	Spoil	Relevant Council(s) and SOPA (in respect of Sydney Olympic Park)																						
C6	<p>The CEMP Sub-plans must state how:</p> <ul style="list-style-type: none"> a) the environmental performance outcomes identified in the documents listed in Condition A1 of this schedule will be achieved; b) the mitigation measures identified in the documents listed in Condition A1 of this schedule will be implemented; c) the relevant conditions of this approval will be complied with; and d) issues requiring management during construction (including cumulative impacts), as identified through ongoing environmental risk analysis, will be managed through SMART principles. 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.																				

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
C7	With the exception of any CEMP Sub-plans expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMP Sub-plans must be submitted to the Planning Secretary for approval.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
C8	The CEMP Sub-plans not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all relevant undertakings made in the documents listed in Condition A1 of this schedule. Any of these CEMP Sub-plans must be submitted to the ER with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
C9	Any of the CEMP Sub-plans to be approved by the Planning Secretary must be submitted to the Planning Secretary with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
C10	Construction must not commence until the CEMP and all CEMP Sub-plans have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable), unless otherwise agreed by the Planning Secretary. The CEMP and CEMP Sub-plans, as approved by the Planning Secretary or endorsed by the ER (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction. Where construction of Stage 1 of the CSSI is phased, construction of a phase must not commence until the CEMP and CEMP Sub-plans for that phase have been approved by the Planning Secretary or endorsed by the ER upon nomination by the Planning Secretary (whichever is applicable). MOD-1	Implementation of Noise and Vibration CEMP Sub-plan to be audited in February 2024	Implementation of the Flora and Fauna; Heritage (Non-Aboriginal and Aboriginal); and Spoil CEMP Sub-plan to be audited in February 2024.	Not subject to audit at this time.	<p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>CTP Five Dock Site induction, 26/02/24 (includes hours, community, parking, vehicle management, water discharges and concrete washout, incidents haul routes, respite).</p> <p>CTP Project Induction, (includes sustainability goals, CEMP and EPL, work hours, groundborne noise, airborne noise, erosion and sediment control, dust management, vibration, spills, incidents, heritage and community).</p> <p>ITwoCx OOHW permit module (online)</p> <p>CTP Work Pack, Burwood North Nozzle Lining, 19/09/23 (includes environmental controls including hours permitted for the works and whether OOHW approval required).</p> <p>CTP OOHW Permit register 2024</p> <p>Bays Traffic and Cumulative Impact Meeting, Sep 23 – Feb 24</p> <p>Noise monitoring register, current 21/02/24 (airborne and groundborne)</p> <p>Groundborne Noise Monitoring Report 7 East Street, 08/02/24 and 12/02/24</p> <p>Vibration Monitoring Register, 31/01/24</p> <p>AFJV photo 24/01/24 (noise matting on generator at Five Dock)</p> <p>AFJV photo 08/02/24 (noise mats at North Strathfield)</p> <p>Bi-Annual Construction Monitoring Report July – Dec 2023, AFJV, 07/03/24, plus AA endorsement 08/03/24 and Letter DPHI to Sydney Metro 26/02/24 (DPHI approval of extension of time to submit Bi-Annual Construction Monitoring Report)</p>	<p>Evidence indicated that the CTP Noise and Vibration Management Plan and monitoring Program has been implemented during the audit period. Project requirements are included in the relevant plans, permits and training material. OOHWs are planned, assessed, coordinated and authorised prior to being undertaken. Monitoring is being conducted as required by the Program and exceedances of predicted levels appear to be attributed to non-Project activities or instrument checks. 41 x noise and vibration complaints were recorded during the audit period, but these do not appear to indicate a failure to implement the Noise and Vibration Management Plan or Monitoring Program.</p> <p>The Flora and Fauna Management Requirements on WTP have been incorporated into training material, site maps and figures and permits. A limited amount of vegetation has been cleared during the audit period, but this appears to have followed the clearing and grubbing process from the Flora and Fauna Management Plan. Microbats were assessed and not identified prior to removal of potential habitat sites. Ecosystem credit retirements were completed prior to clearing. No go zones were observed during the audit site inspection, consistent with the environmental control maps.</p> <p>The WTP Heritage Management Plan appears to have been implemented during the audit period. Excavation Directors continued to be engaged, unexpected finds protocol enacted as required, clearance of heritage items are provided prior to impacting works and Department approval is granted for heritage item removal where required. Heritage curtilages are being maintained and vibration monitoring is being conducted where required (with results being adequate).</p> <p>Training has been provided on the WTP Spoil Management Plan to the workforce during the audit period as per Table 9 of the Plan. The Zone 3 Material Management Plan and Zone 1 RAP has captured and reference the mitigation measures</p>	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					<p>Flora and Fauna Management Plan, Revision H, GLC, 14/01/24</p> <p>WTP Training Register, current to 01/03/24 (including biodiversity training records)</p> <p>WTP ECM's MSF Rev M (ECM for clearing event at MSF West)</p> <p>Permit to clear 11/12/23 (including pre-clearing inspection checklist and post clearing)</p> <p>Permit to clear 14/02/24 (including pre-clearing inspection checklist and post clearing)</p> <p>Duck Creek Permit to Clear Weeds and pre and post clearing inspection, GLC, 14/02/24 WTP GIS biodiversity overlays, current to 01/03/24</p> <p>Memo, Umwelt to WTP, 30/06/22 (microbat survey advice)</p> <p>Ecological Survey Clyde MSF, Stantec, 28/02/24 (Clyde microbat survey)</p> <p>Heritage Management Plan, GLC, 19/12/23</p> <p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), 19/12/23</p> <p>Unexpected Finds Report, 19/09/23 (Water Treatment Plant discharge Line, sandstone bricks)</p> <p>Unexpected Finds Report, 13/12/23 (Parramatta Box excavation find of animal bones and other artefacts that were not of heritage significance)</p> <p>SiteHive module (online) vibration data 01/09/23 – 19/02/24</p> <p>Correspondence 14/12/2023 re. working adjacent to Roxy Theatre (removal of the hoarding)</p> <p>Velocity EHS system, inspection 20/2/24 including check records that heritage controls are in place).</p> <p>Letter DPHI to Sydney Metro, 24/05/23 (approval to remove heritage convict drain)</p> <p>Letter DPHI to Sydney Metro 08/09/22 (approval to remove timber capping)</p> <p>Letter DPHI to Sydney Metro, 25/10/22 (removal of concrete structures)</p> <p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23</p> <p>Parramatta Heritage Interpretation Plan (DRAFT)</p>	<p>from the Spoil Management Plan. The Trimble Propeller module allows for the tracking of stockpile volumes during placement. Once placement is complete the subcontractor notifies GLC of the need for the stockpile to be tested / validated, and the stockpile to be closed from receiving more material. GLC books in the validation and once validated it can be reused on site. the source and reuse location is tracked via the material tracking register. A 3D model is built and lots reviewed and closed by the WTP quality team. Inspections and monitoring appear to be occurring as per the Spoil Management Plan. The Plan appears to have been implemented during the audit period.</p> <p>The ER and AA Monthly Reports demonstrate that both are monitoring the implementation of the CTP Noise and Vibration Management Plan and Monitoring Program, and the WTP Flora and Fauna Heritage (Non-Aboriginal and Aboriginal) and Spoil Management Plans, and whilst some findings and recommendations have been raised, they have not raised any non-compliances in this regard.</p>	

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					<p>Parramatta Convict Drain Archival Report, GML, July 23</p> <p>Conservation Report (interim), ICS, October 23 (conservation report for Drain timbers)</p> <p>Correspondence 14/12/2023 re. working adjacent to Roxy Theatre (removal of the hoarding) showing the works and measures, confirmation they were happy with this, which included and Environmental Control Plan (attachment).</p> <p>Email City of Parramatta, 1/11/23 (Council statement that it does not have a suitable use for the bridge)</p> <p>RAP Fieldwork Participation and Consultation register, Umwelt, current to 08/02/24</p> <p>WTP Interview with Josh Beetes (Clyde MSF Project Engineer) 01/03/24</p> <p>WTP training 18/10/.23 (spoil management training)</p> <p>Zone 3 Material Management Plan, Epic</p> <p>Sitewide offsite disposal RAP, Epic, 05/11/22 and Section B SAS, Geosyntec, 25/11/22</p> <p>Clyde Zone 1 – RAP, Epic, 15/03/23 and Section B SAS, Geosyntec 19/05/23</p> <p>Clyde Zone 5 - RAP, Epic, 22/02/24 (under review by Contaminated Sites Auditor)</p> <p>Clyde Zone 6 – RAP, Epic, 14/02/24 (under review by Contaminated Sites Auditor)</p> <p>Contaminated Land Document Requirements Register, December 23 (SM Reporting tab)</p> <p>WTP Trimble Propeller online module (site material tracker), current to 29/02/24</p> <p>Stockpile waste tracking register, current to 01/03/24</p> <p>Material placement model (various files)</p> <p>Sample request form, WTP to Epic for Clyde Dive, CD33.</p> <p>WTP review of waste classification report, Clyde Dive, Zone 3</p> <p>Velocity EHS inspection module, current to 01/03/24 (includes stockpile inspections)</p> <p>Complaints register current to 27/02/24</p> <p>ER Monthly Reports, HBI, Sep 23 – Jan 24</p>		

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					AA Monthly Reports, Acoustic Studio, Sep 23 – Jan 24		
C11	<p>In addition to the relevant requirements of the CEMF, the Flora and fauna CEMP Sub-plan must include, but not be limited to:</p> <ul style="list-style-type: none"> a) site specific mitigation measures to manage impacts (including proposed techniques, timing, frequency and responsibility of implementing); b) measures to minimise disturbance to habitat associated with Myotis macropus / Southern Myotis, including demolition inspections by a suitably qualified ecologist of any vegetation to be cleared and any buildings or structures identified as potential roosting habitat for microbats that are to be demolished or refurbished; c) measures to minimise and mitigate disturbance to mangrove forests at the Clyde Maintenance and Stabling construction site to the extent necessary; and d) details for undertaking and mitigating vegetation clearance through improved environmental outcomes. 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
C12	<p>In addition to the relevant requirements of the CEMF, the Soil and Water CEMP Sub-plan must include, but not be limited to:</p> <ul style="list-style-type: none"> a) details of construction activities and their locations which have the potential to expose areas known to contain, or potentially contain, contaminated soils and / or materials; b) measures for the handling, treatment and management of hazardous and contaminated soils and materials including measures to manage and / or minimise worker and public health and safety with regards to exposure to contamination; and c) a description of how the effectiveness of the actions and measures for managing contamination impacts would be monitored during the proposed works, clearly indicating how often this monitoring would be undertaken, the locations where monitoring would take place, and how the results of the monitoring would be recorded and reported. 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
C13	<p>In addition to the relevant requirements of the CEMF, the Heritage CEMP Sub-plan must include, but not be limited to:</p> <ul style="list-style-type: none"> a) be prepared in consultation with a suitably qualified and experienced heritage expert; b) identify exclusion zones, archival recording requirements, baseline and periodic monitoring protocols (including before and during construction); c) identify and assess the heritage significance of the ancillary structures proposed to be demolished or significantly impacted that are within the curtilage of White Bay Power Station and other items identified as retaining 'potential heritage significance' in the documents listed in Condition A1 of this schedule and which will be impacted by the CSSI; d) in association with Condition D61 of this schedule, set out the final site inspections to be conducted within three (3) months of completion of construction for the following heritage sites unless otherwise agreed by the Planning Secretary: 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status														
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)																	
	<ul style="list-style-type: none"> i. the Roxy Theatre (SHR I00711); ii. White Bay Power Station (SHR I01015); iii. the former State Abattoirs (State Environmental Planning Policy (State Significant Precincts) 2005 Item 141); and iv. the RTA Depot facade fronting Unwin Street (Parramatta Local Environmental Plan 2011 I576); and <p>e) set out means of rectification of any damage by the CSSI to Heritage items (d)(i) to (d)(iv) above within six (6) months of the completion of construction at the construction site identified in the relevant Heritage CEMP Sub-plan. This rectification work must be in consultation with a suitably qualified and experienced heritage consultant to ensure the use of appropriate materials, appropriate conservation practices and in accordance with existing heritage management documents (for example, conservation management plans or strategies) to protect and conserve the heritage significance of the items. The Heritage CEMP Sub-plan must include Aboriginal cultural heritage management and mitigation measures (that may include conservation, archaeological salvage excavation and community collection) based on the Aboriginal Cultural Heritage Excavation Report and continuing Aboriginal community consultation.</p>																				
Construction Monitoring Programs																					
C14	<p>The following Construction Monitoring Programs must be prepared in consultation with the relevant government agencies identified for each to compare actual performance of construction of Stage 1 of the CSSI against the performance predicted in the documents listed in Condition A1 of this schedule or in the CEMP:</p> <table border="1" data-bbox="240 1121 1071 1633"> <thead> <tr> <th></th> <th>Required Construction Monitoring Programs</th> <th>Relevant government agencies to be consulted for each Construction Monitoring Program</th> </tr> </thead> <tbody> <tr> <td>a)</td> <td>Noise and vibration</td> <td>EPA, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>b)</td> <td>Blasting</td> <td>SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>c)</td> <td>Surface water quality</td> <td>DPE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)</td> </tr> <tr> <td>d)</td> <td>Groundwater</td> <td>DPE Water and SOPA (in respect of Sydney Olympic Park)</td> </tr> </tbody> </table> <p>Note: The Blasting Construction Monitoring Program is only required to be prepared if blasting is proposed to be conducted during construction.</p> <p>[MOD-2, 3 Jun 2022]</p>		Required Construction Monitoring Programs	Relevant government agencies to be consulted for each Construction Monitoring Program	a)	Noise and vibration	EPA, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)	b)	Blasting	SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)	c)	Surface water quality	DPE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)	d)	Groundwater	DPE Water and SOPA (in respect of Sydney Olympic Park)	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.		
	Required Construction Monitoring Programs	Relevant government agencies to be consulted for each Construction Monitoring Program																			
a)	Noise and vibration	EPA, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)																			
b)	Blasting	SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)																			
c)	Surface water quality	DPE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)																			
d)	Groundwater	DPE Water and SOPA (in respect of Sydney Olympic Park)																			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
C15	<p>Each Construction Monitoring Program must provide:</p> <ul style="list-style-type: none"> a) details of baseline data available including the period of baseline monitoring; b) details of baseline data to be obtained and when; c) details of all monitoring of the project to be undertaken; d) the parameters of the project to be monitored; e) the frequency of monitoring to be undertaken; f) the location of monitoring; g) the reporting of monitoring results and analysis results against relevant criteria; h) details of the methods that will be used to analyse the monitoring data; i) procedures to identify and implement additional mitigation measures where the results of the monitoring indicated unacceptable project impacts; j) a consideration of SMART principles; and k) any consultation to be undertaken in relation to the monitoring programs; and l) any specific requirements as required by Conditions C16 to C17 of this schedule. 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
C16	<p>The Noise and Vibration Construction Monitoring Program and Blasting Construction Monitoring Program must include:</p> <ul style="list-style-type: none"> a) noise and vibration monitoring determined in consultation with the AA to confirm the best achievable construction noise and vibration levels with consideration of all reasonable and feasible mitigation and management measures that will be implemented; b) for the purposes of (a), noise monitoring must be undertaken during the day, evening and night-time periods and within the first month of work as well as throughout the construction period and cover the range of activities being undertaken at the sites; and c) a process to undertake real time noise and vibration monitoring. The results of the monitoring must be readily available to the construction team, the Proponent, ER and AA. The Planning Secretary and EPA must be provided with access to the results on request. 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
C17	<p>Groundwater Construction Monitoring Program must include:</p> <ul style="list-style-type: none"> a) groundwater monitoring networks at each construction excavation site; b) detail of the location of all monitoring bores with nested sites to monitor both shallow and deep groundwater levels and quality; c) define the location of saltwater interception monitoring where sentinel groundwater monitoring bores will be installed between the saline sources of the estuary or river and that of the stations or shafts; d) results from existing monitoring bores; 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend

Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	<ul style="list-style-type: none"> e) monitoring and gauging of groundwater inflow to the excavations, appropriate trigger action response plan for all predicted groundwater impacts upon each noted neighbouring groundwater system component for each excavation construction site; f) trigger levels for groundwater quality, salinity and groundwater drawdown in monitoring bores and / or other groundwater users; g) daily measurement of the amount of water discharged from the water treatment plants; h) water quality testing of the water discharged from treatment plants; i) management and mitigation measures and criteria; j) groundwater inflow to the excavations to enable a full accounting of the groundwater take from the Sydney Basin Central Groundwater Source; and k) reporting of groundwater gauging at excavations, groundwater monitoring, groundwater trigger events and action responses; and l) methods for providing the data collected to Sydney Water where discharges are directed to their assets. 						
C18	With the exception of any Construction Monitoring Programs expressly nominated by the Planning Secretary to be endorsed by the ER, all Construction Monitoring Programs must be submitted to the Planning Secretary for approval.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
C19	The Construction Monitoring Programs not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all undertakings made in the documents listed in Condition A1 of this schedule. Any of these Construction Monitoring Programs must be submitted to the ER for endorsement at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
C20	Any of the Construction Monitoring Programs which require Planning Secretary approval must be endorsed by the ER and then submitted to the Planning Secretary for approval at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
C21	Unless otherwise agreed with the Planning Secretary, construction must not commence until the Planning Secretary has approved, or the ER has endorsed (whichever is applicable), all of the required Construction Monitoring Programs and all relevant baseline data for the specific construction activity has been collected.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
C22	The Construction Monitoring Programs, as approved by the Planning Secretary or the ER has endorsed (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction and for any longer period set out in the monitoring program or specified by the Planning Secretary or the ER (whichever is applicable), whichever is the greater.	Implementation of Noise and Vibration Monitoring Program to be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>CTP Five Dock Site induction, 26/02/24 (includes hours, community, parking, vehicle management, water discharges and concrete washout, incidents haul routes, respite).</p> <p>CTP Project Induction, (includes sustainability goals, CEMP and EPL, work hours, groundborne noise, airborne noise, erosion and sediment</p>	Evidence indicated that the CTP Noise and Vibration Management Plan and monitoring Program has been implemented during the audit period. Project requirements are included in the relevant plans, permits and training material. OOHWs are planned, assessed, coordinated and authorised prior to being undertaken. Monitoring is being conducted as required by the Program and exceedances of predicted levels appear to be attributed to non-Project activities or instrument checks. 41 x noise and vibration complaints were recorded during the audit period, but these do not appear to indicate a failure to implement the Noise and Vibration Management Plan or Monitoring Program.	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					control, dust management, vibration, spills, incidents, heritage and community). ITwoCx OOHW permit module (online) CTP Work Pack, Burwood North Nozzle Lining, 19/09/23 (includes environmental controls including hours permitted for the works and whether OOHW approval required). CTP OOHW Permit register 2024 Bays Traffic and Cumulative Impact Meeting, Sep 23 – Feb 24 Noise monitoring register, current 21/02/24 (airborne and groundborne) Groundborne Noise Monitoring Report 7 East Street, 08/02/24 and 12/02/24 Vibration Monitoring Register, 31/01/24 AFJV photo 24/01/24 (noise matting on generator at Five Dock) AFJV photo 08/02/24 (noise mats at North Strathfield) Bi-Annual Construction Monitoring Report July – Dec 2023, AFJV, 07/03/24, plus AA endorsement 08/03/24 and Letter DPHI to Sydney Metro 26/02/24 (DPHI approval of extension of time to submit Bi-Annual Construction Monitoring Report)	The ER and AA Monthly Reports demonstrate that both are monitoring the implementation of the CTP Noise and Vibration Management Plan and Monitoring Program, and whilst some findings and recommendations have been raised, they have not raised any non-compliances in this regard.	
C23	The results of the Construction Monitoring Programs must be submitted to the Planning Secretary, ER and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program. Note: Where a relevant CEMP Sub-plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-plan.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.	Non-compliance Report 15/09/23 DPHI post approval lodgement, 21/09/23 (lateness of submission of noise monitoring report non-compliance with C23).	Non-compliance: WTP recorded a non-compliance with C23 for the lateness of submission of the noise monitoring report covering the Jan – July 23 reporting period. WTP are in the process of updating the monitoring programs to provide consistent and achievable reporting timeframes going forward.	Non-Compliant
PART D: KEY ISSUE CONDITIONS							
Air Quality							
D1	All reasonably practicable measures must be implemented to minimise the emission of dust and other air pollutants during construction.	To be audited in February 2024. ** Should reductions in the audit scope be required (so the in-person audit activities are conducted and carried out within the allocated five days), the Department suggests that	Not subject to audit at this time.	Not subject to audit at this time.	Air Quality Management Plan, AFJV, 01/09/23 Complaints register current to 27/02/24 Site inspection 26/02/24 ER Monthly Reports Sep 23 – Jan 24, HBI	The Air Quality Management Plan includes mitigation and management measures to minimise the emission of dust and other air pollutants during construction. At the audit site inspection (and as per ER Monthly Reports during the audit period) much of CTP is now sealed, with dust risk falling significantly compared to that from early construction The ER has identified dust as an issue to be managed and has noted in their Monthly Reports that dust control is sound. 5 x complaints were received during the audit period in relation to CTP and dust. The response to each complaint appears to be satisfactory.	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status				
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)							
		this condition be de-prioritised.									
Biodiversity and Trees											
D2	Biodiversity Credits The clearing of native vegetation must be minimised to the greatest extent practicable with the objective of reducing impacts to threatened ecological communities and threatened species habitat.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Flora and Fauna Management Plan, Revision H, GLC, 14/01/24 WTP Training Register, current to 01/03/24 (including biodiversity training records) WTP ECM's MSF Rev M (ECM for clearing event at MSF West) Permit to clear 11/12/23 (including pre-clearing inspection checklist and post clearing) Permit to clear 14/02/24 (including pre-clearing inspection checklist and post clearing) Duck Creek Permit to Clear Weeds and pre and post clearing inspection, GLC, 14/02/24	Design development relevant to clearing was conducted prior to the current audit period. WTP has communicated the obligation to manage clearing as per the FFMP and CEMP to the workforce on 2 x occasions during the audit period. ECMs show the areas to be retained and disturbed etc. The ECMs are posted on site for the crews to see/observe. The sighted permit to clear, pre-clearing and post clearing inspection checklists align with the obligations from the FFMP. There were no hollows or large woody debris cleared during the audit period.	Compliant				
D3	Impacts to plant community types must not exceed those identified in the documents listed in Condition A1 of this schedule, unless otherwise approved by the Planning Secretary. In requesting the Planning Secretary's approval, an assessment of the additional impact(s) to plant community types and an updated ecosystem and / or species credit requirement under Condition D4 below, if required, must be provided	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Flora and Fauna Management Plan, Revision H, GLC 14/01/24 Statement confirming payment into the Biodiversity Conservation Fund for an offset obligation, Dated 19 July 2022 Statement confirming payment to BCF, 05/10/23 (payment for retirement of credits under mod5) DPHI post approval portal lodgement, 05/10/23 (submission of evidence of offset credit requirement to DPHI under D4, D5, D6). Permit to clear 11/12/23 (including pre-clearing inspection checklist and post clearing) Permit to clear 14/02/24 (including pre-clearing inspection checklist and post clearing) WTP GIS biodiversity overlays, current to 01/03/24	As at 02/02/24 552m2 of PCT 520, which is well within the area covered by the 11 credits retired under D4. The GIS shows the areas approved for removal and that to be retained. No unapproved clearing appears to have occurred.	Compliant				
D4	Before any vegetation clearing or tree removal that must be offset, under the BC Act, the relevant credits specified in Table 3 below must be purchased and retired. The retirement of credits must be carried out in accordance with the offset rules of the BC Act. Table 3: Biodiversity Credits to be Retired <table border="1" data-bbox="243 1577 917 1724"> <thead> <tr> <th>Credit Type</th> <th>Number of Credits</th> </tr> </thead> <tbody> <tr> <td>Ecosystem Credits</td> <td></td> </tr> </tbody> </table>	Credit Type	Number of Credits	Ecosystem Credits		Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Flora and Fauna Management Plan, Revision H, GLC 14/01/24 Statement confirming payment into the Biodiversity Conservation Fund for an offset obligation, Dated 19 July 2022 Statement confirming payment to BCF, 05/10/23 (payment for retirement of credits under mod5) DPHI post approval portal lodgement, 05/10/23 (submission of evidence of offset credit requirement to DPHI under D4, D5, D6). Permit to clear 11/12/23 (including pre-clearing inspection checklist and post clearing)	Payment to the Biodiversity Conservation Fund, which satisfies the requirement in condition D4 (for both Mod 2 and mod 5) was sighted and was submitted to DPHI prior to the clearing occurring.	Compliant
Credit Type	Number of Credits										
Ecosystem Credits											

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status								
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)											
	<table border="1"> <tr> <td>Mangrove Forests in estuaries of the Sydney Basin Bioregion and South East Corner Bioregion (Plant Community Type 920) - Poor</td> <td>11</td> </tr> <tr> <td colspan="2">Species Credits for Threatened Species</td> </tr> <tr> <td>Myotis macropus / Southern Myotis (Fauna)</td> <td>11</td> </tr> <tr> <td>Acacia pubescens / Downy Wattle (Flora)</td> <td>1</td> </tr> </table> <p>[MOD-2, 3 Jun 2022] [MOD-5, 20 Sep 2023]</p>	Mangrove Forests in estuaries of the Sydney Basin Bioregion and South East Corner Bioregion (Plant Community Type 920) - Poor	11	Species Credits for Threatened Species		Myotis macropus / Southern Myotis (Fauna)	11	Acacia pubescens / Downy Wattle (Flora)	1				Permit to clear 14/02/24 (including pre-clearing inspection checklist and post clearing)		
Mangrove Forests in estuaries of the Sydney Basin Bioregion and South East Corner Bioregion (Plant Community Type 920) - Poor	11														
Species Credits for Threatened Species															
Myotis macropus / Southern Myotis (Fauna)	11														
Acacia pubescens / Downy Wattle (Flora)	1														
D5	The requirement to retire credits in Condition D4 above may be satisfied by payment to the Biodiversity Conservation Fund of an amount equivalent to the class and number of species credits, as calculated by the Biodiversity Offsets Payment Calculator.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Flora and Fauna Management Plan, Revision H, 14/01/24</p> <p>Statement confirming payment into the Biodiversity Conservation Fund for an offset obligation, Dated 19 July 2022</p> <p>Statement confirming payment to BCF, 05/10/23 (payment for retirement of credits under mod5)</p> <p>DPHI post approval portal lodgement, 05/10/23 (submission of evidence of offset credit requirement to DPHI under D4, D5, D6).</p> <p>Permit to clear 11/12/23 (including pre-clearing inspection checklist and post clearing)</p> <p>Permit to clear 14/02/24 (including pre-clearing inspection checklist and post clearing)</p>	Payment to the Biodiversity Conservation Fund, which satisfies the requirement in condition D4 (for both Mod 2 and mod 5) was sighted and was submitted to DPHI prior to the clearing occurring.	Compliant								
D6	The Proponent must submit evidence of the retirement of credits required by Condition D4 above to the Planning Secretary for information within one (1) month of receiving evidence of the retirement of credits and / or a certificate confirming payment under Condition D5 above before any vegetation clearing or tree removal that must be offset under the BC Act. [MOD-5, 20 Sep 2023]	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Flora and Fauna Management Plan, Revision H, 14/01/24</p> <p>Statement confirming payment into the Biodiversity Conservation Fund for an offset obligation, Dated 19 July 2022</p> <p>Statement confirming payment to BCF, 05/10/23 (payment for retirement of credits under mod5)</p> <p>DPHI post approval portal lodgement, 05/10/23 (submission of evidence of offset credit requirement to DPHI under D4, D5, D6).</p> <p>Permit to clear 11/12/23 (including pre-clearing inspection checklist and post clearing)</p> <p>Permit to clear 14/02/24 (including pre-clearing inspection checklist and post clearing)</p>	Payment to the Biodiversity Conservation Fund, which satisfies the requirement in condition D4 (for both Mod 2 and mod 5) was sighted and was submitted to DPHI prior to the clearing occurring.	Compliant								
D6A	Impact to Key Fish Habitat (KFH) as defined in Policy and Guidelines for Fish Habitat Conservation and Management (DPI, 2013 update) must be avoided where possible. KFH must be offset at a ratio of 2:1 in accordance with the documents listed in Condition A1.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Site inspection 01/03/24	The Key Fish Habitat Offset Strategy is required to be prepared prior to operations. The Project is in construction.	Not Triggered								

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	<p>On-ground offsetting within Duck or A'Becketts creek catchments must be prioritised. Where there are insufficient on-ground offset opportunities within the Parramatta River catchment, a compensatory payment for the residual offset, at the rate outlined in the documents listed in Condition A1 of this schedule, must be made to the DPI Fish Conservation Trust Fund by the time specified in the Key Fish Habitat Offset Strategy required in Condition D6B. A receipt confirming payment to the DPI Fish Conservation Trust Fund must be submitted to the Planning Secretary within one (1) month of making the payment.</p> <p>[MOD-5, 20 Sep 2023]</p>						
D6B	<p>A Key Fish Habitat Offset Strategy (the strategy) must be prepared in consultation with DPI Fisheries and published in accordance with Condition B11 before the commencement of operation of the Concept of the CSSI. The strategy must:</p> <ul style="list-style-type: none"> a) consider relevant policies and guidelines, including but not limited to, the NSW Biodiversity Offsets Policy for Major Projects and Policy and guidelines for fish habitat conservation and management. Update 2013 (DPI, 2013); b) preference on-ground offsetting within Duck or A'Becketts creek catchments where practicable. Where sufficient offsets cannot be provided in those locations, alternative locations within the Parramatta River catchment may be considered; c) consider, in order of priority: d) expanding existing mangrove or saltmarsh patches, and e) improving condition of existing mangrove or saltmarsh patches by removing exotic or non-endemic species to allow for natural regeneration of mangrove and saltmarsh species and / or replacing these with mangrove or saltmarsh species; f) identify outcomes to be achieved, including the form and timing for them to be achieved and the likely split between on-ground and other offsets; g) include a program for completion of rehabilitation work identified; and h) include a maintenance and monitoring program which establishes clear actions, timing, success targets and actions to be undertaken when success is not achieved. <p>Note: When considering (c) (i), the Proponent should investigate opportunities for reducing the gradient of steep banks that currently do not support marine vegetation and creating new levels that would be appropriate for mangrove or saltmarsh habitat.</p> <p>[MOD-5, 20 Sep 2023]</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Site inspection 01/03/24	The Key Fish Habitat Offset Strategy is required to be prepared prior to operations. The Project is in construction.	Not Triggered
D7	<p>Microbat Management</p> <p>Before the removal or clearing of any vegetation, or the demolition of structures identified as potential roosting sites for microbats at the Clyde Stabling and Maintenance Facility site commences, pre-clearing / demolition inspections for the threatened species must be undertaken. The inspections, and any subsequent relocation of fauna and associated management / offset measures, must be undertaken under the guidance of a suitably qualified and experienced ecologist. Survey and relocation methodologies and management / offset measures must be included in the Flora and fauna CEMP Sub-plan required under Condition C5 of this schedule or the relevant Site Establishment Management Plan required by Condition A17 of this schedule.</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Flora and Fauna Management Plan, Revision H, 14/01/24</p> <p>Memo, Umwelt to WTP, 30/06/22 (microbat survey advice)</p> <p>Ecological Survey Clyde MSF, Stantec, 28/02/24 (Clyde microbat survey)</p>	Technical advice on microbat habitats has been obtained in accordance with the Flora and Fauna Management Plan and the Biodiversity Assessment Method, and no microbats were identified.	Compliant

Legend
<p>Condition / requirement within this audit scope and subject to assessment.</p>

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
D8	In the event roosting sites have been identified under Condition D7 above, bat boxes must be provided or suitable habitat built within the Clyde Stabling and Maintenance Facility site.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Flora and Fauna Management Plan, Revision H, 14/01/24 Memo, Umwelt to WTP, 30/06/22 (microbat survey advice) Ecological Survey Clyde MSF, Stantec, 28/02/24 (Clyde microbat survey)	Technical advice on microbat habitats has been obtained in accordance with the Flora and Fauna Management Plan and the Biodiversity Assessment Method, and no microbats were identified.	Not Triggered
D9	Tree Removal As many mature trees and as much urban canopy as practicable must be retained during construction. Canopy trimming should be considered where practicable prior to any mature tree removal.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Flora and Fauna Management Plan, Revision H, 14/01/24 WTP GIS biodiversity overlays, current to 01/03/24 Permit to clear 11/12/23 (including pre-clearing inspection checklist and post clearing) Permit to clear 14/02/24 (including pre-clearing inspection checklist and post clearing)	The Flora and Fauna Management Plan was observed to include mitigation and management measures for the retention of mature trees and urban canopy during construction and consideration for canopy trimming prior to any mature tree removal. In addition, the site visits observed minimal vegetation present at Westmead, Parramatta and Clyde and Five Dock sites. During the site inspection trees being retained at the Project worksites were observed and it appeared that tree trimming had been minimised. According to the auditees, there has not been any canopy removal works during the audit period. Clearing under Mod 5 was justified (and approved) as being necessary and did not involve canopy removal.	Not Triggered
Flooding							
D10	Unless otherwise agreed by the Planning Secretary, Stage 1 of the CSSI must be designed and constructed to not worsen flooding characteristics within and in the vicinity of the CSSI. Not worsen existing flooding characteristics means the following: a) a maximum increase in inundation time of one hour in a one (1) per cent Annual Exceedance Probability (AEP) flood event; b) a maximum increase of 10 mm in inundation at properties where floor levels are currently exceeded in a one (1) per cent AEP flood event; c) a maximum increase of 50 mm in inundation of land at properties where floor levels would not be exceeded in a one (1) per cent AEP flood event; and d) no inundation of floor levels which are currently not inundated in a one (1) per cent AEP flood event. Measures identified in the documents listed in Condition A1 of this schedule to not worsen flooding characteristics or measures that achieve the same outcome must be incorporated into the detailed design of Stage 1 of the CSSI. The incorporation of these measures must be reviewed and endorsed by a suitably qualified and experienced person in consultation with directly affected landowners, DPE Water, DPI Fisheries, DPE BCD, NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s). [MOD-2, 3 Jun 2022] Where flooding characteristics exceed the levels identified in (a), (b), (c), (d) above, the Proponent must undertake the following: a) consult with property owners for properties adversely flood affected as a result of Stage 1 of the CSSI and mitigate where necessary; and	To be audited in February 2024.	To be audited in February 2024.	Not subject to audit at this time.	Interview with auditees 26/02/24 CTP Flood Assessment Report, Olympic Park Station, Jacobs Typsa, 23/03/22 CTP The Bays Flood Assessment Report, Jacobs Typsa, 09/05/23 Meeting with Place Management, 17/06/22 Letter Sydney Metro to DPHI, 04/02/24 (requesting DPHI acceptance of D10 model) Letter DPHI to Sydney Metro, 16/02/24 (acceptance of D10 model) Clyde MSF Flood and Hydrology Report, 28/11/23 Letter SES to WTP, 04/07/23 (consultation on Clyde Flood and Hydrology Report) Teambinder correspondence WTP and Cumberland Council, SES, DPHI BCD, DPHI Fisheries and Water, Parramatta City Council 11/08/23	Flood Design Reports have been prepared for each station. The Bays, SOP and Clyde were the stations that had issues associated with flooding. CTP Non-compliance: The Flood Assessment Report for Sydney Olympic Park Station concludes that flooding at the station does not comply with D10(c) in some small locations adjacent to the Sydney Metro site area or the roadway. The Report states that there are no design options to reduce this impact. This information was presented to SOPA (as the directly affected landowner) and, according to interface meeting minutes, SOPA did not raise any queries or concerns in relation to predicted flood levels. No consultation occurred with the DPE Water, DPI Fisheries, DPE BCD, NSW State Emergency Service (SES) as per D10. Further, The Bays Flood Assessment Report concludes that the proposed surface does not comply with condition D10(c) at 12 locations. Again the Report states that there is very little opportunity to refine the surface to mitigate flood risk. This information was presented to Place Management NSW for White Bay Power Station (as the directly affected landowner). No consultation occurred with the DPE Water, DPI Fisheries, DPE BCD, NSW SES as per D10. The Auditor notes, however, that Sydney Metro consulted with these stakeholders as part of the flood assessment completed for the Eastern Tunnelling Package at The Bays, and the Department accepted this on 16/02/24.	Non-Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	<p>b) consult with the NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) regarding the management of any residual flood risk beyond the 1 per cent AEP flood event and up to the probable maximum flood.</p> <p>[MOD-3, 4 Jul 2022]</p>					<p>On WTP, Clyde is the only site that is subject to flooding. The Clyde Flood and Hydrology Report was prepared and identified compliance with D10, with minor exceedances on several properties. The Report is undergoing review by Sydney Metro to verify the significance of the minor exceedances. Consultation was commenced on 11/08/23. Assessment of how WTP have addressed the comments and issues raised at the next audit.</p>	
D11	<p>Deleted</p> <p>[MOD-3, 4 Jul 2022]</p>						
D12	<p>Flood information including flood reports, models and geographic information system outputs must be provided to the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD and the SES in order to assist in preparing relevant documents and to reflect changes in flood behaviour as a result of Stage 1 of the CSSI. The Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD and the SES must be notified in writing that the information is available no later than one (1) month following the completion of construction.</p> <p>Information requested by the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD or the SES must be provided no later than six (6) months following the completion of construction or within another timeframe agreed with the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD and the SES. The project flood models and data must be uploaded to the NSW Flood Data Portal and access must be provided to the Relevant Council(s), DPE EES, SES and SOPA (in respect of Sydney Olympic Park) no later than one (1) month following the completion of construction.</p> <p>[MOD-2, 3 Jun 2022]</p>	To be audited in February 2024.	To be audited in February 2024.	Not subject to audit at this time.	Site inspection 26/02/24, 01/03/24	The Project is still in construction.	Not Triggered
Heritage							
D13	<p>Non-Aboriginal Heritage</p> <p>The Proponent must not destroy, modify or otherwise physically affect any Heritage item not identified in documents referred to in Condition A1 of this schedule. Unexpected heritage finds identified by Stage 1 of the CSSI must be managed in accordance with the Unexpected Finds Protocol outlined in Conditions D31 to D33 of this schedule. Consideration of avoidance and redesign to protect state significant unexpected finds must be addressed where this condition applies.</p> <p>Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020)</p> <p>[MOD-3, 4 Jul 2022]</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Heritage Management Plan, GLC, 19/12/23</p> <p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), 19/12/23</p> <p>Unexpected Finds Report, 19/09/23 (Water Treatment Plant discharge Line, sandstone bricks)</p> <p>Unexpected Finds Report, 13/12/23 (Parramatta Box excavation find of animal bones and other artefacts that were not of heritage significance)</p>	<p>There have been two unexpected heritage finds during the audit period. The first related to uncovering of heritage bricks during construction of the Water Treatment Plant discharge line. The bricks were removed and retained by GML for future use (and will be reported in the final Heritage Reports). The second related to animal bones and non-heritage significant artefacts. The finds were able to be discarded and the works proceeded. The evidence demonstrates that the Unexpected Heritage Finds and Human Remains Procedure from the Heritage Management Plan and D33 was implemented.</p>	Compliant
D14	<p>Before installing protective site boundary hoarding or equipment used for vibration and noise monitoring at any Heritage item identified in the documents listed in Condition A1 of this schedule, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. The installation must also consider and avoid impacts to potential historical archaeology and seek advice from the Excavation Director approved under Condition D27 below.</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Heritage Management Plan, GLC, 19/12/23</p> <p>Construction Monitoring Report No. 2, GLC, 15/11/23</p> <p>SiteHive module (online) vibration data 01/09/23 – 19/02/24</p> <p>Environmental Review Assessment from SM, 22/12/2023</p> <p>Approval from DPHI 8/1/2024</p>	<p>At the previous audit, the Auditor noted that the Heritage Management Plan was observed to include details of the advice the heritage specialist is to provide with regards to methods and location for installation of site boundary hoarding or monitoring equipment on Heritage items and, methods and location for installation of site boundary hoarding or monitoring equipment on Heritage items. The auditors observed locations of vibration monitors during site inspections at Westmead, Parramatta, and Clyde at heritage buildings.</p>	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					<p>Correspondence 14/12/2023 re. working adjacent to Roxy Theatre (removal of the hoarding)</p> <p>Velocity EHS system, inspection 20/2/24</p> <p>Site Induction (no date)</p>	<p>Advice on appending vibration loggers to heritage items was received prior to the current audit period. No issues observed.</p> <p>The WTP site inductions include heritage items and requirements.</p> <p>Works occurred during the audit period within the heritage curtilage of the Roxy Theatre, which required removal of protective hoarding. Correspondence 14/12/2023 re. working adjacent to Roxy Theatre (removal of the hoarding) showing the works and measures, confirmation the Heritage Advisor was satisfied with this and an Environmental Control Plan. This demonstrates adequate steps were put in place prior to undertaking the works.</p> <p>Inspection records in Velocity EHS were sighted for 20/2/24 including review of heritage items. No issues noted.</p> <p>Vibration monitoring sighted for the audit period indicates that no exceedances were attributable to construction works. Exceedances recorded were investigated and determined to be non-WTP or non-construction works (e.g.: handling of geophone etc).</p>	
D15	Before commencement of any excavation at the Parramatta metro station construction site, a detailed investigation must be undertaken to precisely locate the Parramatta Convict Drain. All options available to retain the Parramatta Convict Drain in situ must be considered. If retention of any part of the Parramatta Convict Drain located in situ is not feasible, the Proponent must satisfactorily demonstrate to the Planning Secretary why its removal is appropriate. If it is not feasible to retain the Parramatta Convict Drain in situ, archival recording must be undertaken on the affected section of the item in accordance with Heritage Council of NSW guidelines.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Heritage Management Plan, GLC, 19/12/23</p> <p>Request for Approval to Remove Heritage Convict Town Drain, Approved 24 May 2023</p> <p>Letter DPPI to Sydney Metro, 24/05/23 (approval to remove heritage convict drain)</p> <p>Letter DPOHI to Sydney Metro 08/09/22 (approval to remove timber capping)</p> <p>Letter DPPI to Sydney Metro, 25/10/22 (removal of concrete structures)</p> <p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23</p> <p>Parramatta Heritage Interpretation Plan (DRAFT)</p> <p>Parramatta Convict Drain Archival Report, GML, July 23</p> <p>Conservation Report (interim), ICS, October 23 (conservation report for Drain timbers)</p>	<p>It was noted in the previous audit that GLC advised that an archaeological assessment was undertaken prior to enabling works.</p> <p>The Department provided approval on 24/05/23 to remove the drain on the basis that salvage, archival recording and inclusion in the interpretation strategy was completed. The archiving has been completed and the draft Interpretation Plan shows the use of the drain in heritage interpretation.</p> <p>The Drain Timbers have been placed in storage for conservation. Archaeological Works under Phase 1 at Parramatta were largely completed prior to the audit period. The Conservation Report is under review.</p> <p>The Parramatta Convict Drain has not been impacted and it remains in situ and any works with the potential to impact on the Drain were completed prior to the current audit period.</p> <p>Phase 2 Archaeological Works have commenced, with reporting pending.</p>	Compliant
D16	During construction, the Proponent must implement protective measures to prevent adverse impacts on the heritage significance of the Victorian Regency terraced shops at 41-45 George Street, Parramatta and Kia Ora Georgian House at 64 Macquarie Street, Parramatta. Before installing such measures, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. Protection measures must also consider and avoid potential impacts to significant historical archaeology and seek the advice from the Excavation Director approved under Condition D27 below	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Heritage Management Plan, GLC, 19/12/23</p> <p>Heritage No-Go Zones Parramatta, Rev01 (Plans and project photo series showing protection of heritage items)</p> <p>Environmental Review Assessment from SM, 22/12/2023</p> <p>Correspondence 14/12/2023 re. working adjacent to Roxy Theatre (removal of the hoarding) showing the works and measures, confirmation</p>	<p>According to the auditees, the controls have been in place for the past 2 years, consistent with the Heritage No-Go Zone maps and figures.</p> <p>Sighted photos showing protection of the shops and house (combination of temporary fencing, signage, hoarding and vibration).</p> <p>The controls were unchanged for the current audit period, and the auditees have not identified any instances of physical works having been undertaken in the heritage no-go zones.</p>	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					they were happy with this, which included and Environmental Control Plan (attachment).		
D17	<p>The Roxy Theatre, White Bay Power Station, the former State Abattoirs and the former RTA Depot facade fronting Unwin Street must not be destroyed, modified or otherwise adversely affected, except as identified in the documents listed in Condition A1 of this schedule.</p> <p>Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020)</p> <p>[MOD-3, 4 Jul 2022]</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Heritage Management Plan, GLC, 19/12/23</p> <p>Heritage No-Go Zones Parramatta, Rev01 (Plans and project photo series showing protection of heritage items)</p> <p>Site inspection 01/03/24</p> <p>Environmental Review, Roxy Theatre temporary hoarding removal), 08/01/24</p> <p>Construction Monitoring Report No. 2, GLC, 15/11/23</p> <p>SiteHive module (online) vibration data 01/09/23 – 19/02/24</p> <p>Synergy Inspection register (online), including check records that heritage controls are in place).</p>	<p>The controls were unchanged for the current audit period, and the auditees have not identified any instances of physical works having been undertaken in the heritage no-go zones. The controls of the RTA depot were sighted during the audit site inspection.</p> <p>Some temporary works were required on the Roxy Theatre, involving the removal of hoarding on the Roxy Theatre. The works were completed and did not require any impacting works on the Theatre itself.</p> <p>Vibration monitoring sighted for the audit period indicates that no exceedances were attributable to construction works. Exceedances recorded were investigated and determined to be non-WTP or non-construction works (e.g.: handling of geophone etc). Inspection records indicate that the heritage no-go zones have not been impacted during the audit period.</p>	Compliant
D18	<p>Where Heritage items, or items assessed to be of local heritage significance in the documents listed in Condition A1 of this schedule, are proposed to be fully or partially destroyed, heritage salvage must occur in consultation with a suitably qualified heritage specialist. The Proponent must develop a significant fabric and moveable heritage salvage register. The register must identify significant items to be salvaged. Salvage must occur for items that are assessed as having heritage significance and where significance is retained or the potential for re-use, reinstatement or re-sale has been identified. The salvage from any State listed items must be undertaken in consultation with Heritage NSW.</p> <p>[MOD-3, 4 Jul 2022]</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Heritage Management Plan, GLC, 19/12/23</p> <p>Request for Approval to Remove Heritage Convict Town Drain, Approved 24 May 2023</p> <p>Letter DPHI to Sydney Metro, 24/05/23 (approval to remove heritage convict drain)</p> <p>Letter DPOHI to Sydney Metro 08/09/22 (approval to remove timber capping)</p> <p>Letter DPHI to Sydney Metro, 25/10/22 (removal of concrete structures)</p> <p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23</p> <p>Parramatta Heritage Interpretation Plan (DRAFT)</p> <p>Parramatta Convict Drain Archival Report, GML, July 23</p> <p>Conservation Report (interim), ICS, October 23 (conservation report for Drain timbers)</p> <p>Email City of Parramatta, 1/11/23 (Council statement that it does not have a suitable use for the bridge)</p>	According to the auditees there have been no items subject to salvage during the audit period, beyond those listed in D15 and D18.1.	Not Triggered
D18.1	<p>The Proponent must investigate opportunities to relocate the Rosehill Railway Station Footbridge to an alternate location in the City of Parramatta LGA in consultation with City of Parramatta Council before the dismantled footbridge can be removed from the Clyde Stabling and Maintenance Facility Site. The Railway Footbridge Heritage Conservation Strategy 2016 (GAO Heritage Group, 2016) and any other relevant guideline or plan must be considered when assessing alternate locations. The Rosehill Railway Station Footbridge must be stored in accordance with relevant Heritage NSW guidelines and, where a suitable location is found, must be reinstated no later than 12 months following the completion of construction, unless otherwise agreed with the Planning Secretary.</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Sydney Metro West - Western Tunnelling– Package Clyde Dive Site -Significant Heritage Fabric Salvage Report, Issued 11 July 2022</p> <p>Heritage Management Plan, GLC, 19/12/23</p> <p>Email City of Parramatta, 1/11/23 (Council statement that it does not have a suitable use for the bridge)</p>	At the previous audit, the Auditor noted that the Heritage Management Plan was observed to include opportunities to relocate Rosehill Railway station footbridge to an alternative location has been investigated. Section 7.6.2.1 of the Heritage Management Plan states that a Heritage Salvage Methodology report has been prepared by GLC for the relocation of the Rosehill Railway Station Footbridge in accordance with D18.1 identifies the significant fabric to be retained, as well as the methodology for salvage of the fabric, developed in collaboration with GLC engineering team members. GLC, in consultation with Sydney Metro and the	Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	If an alternate location cannot be agreed to between the Proponent and Relevant Council, evidence of consultation, including consideration of alternative sites, must be submitted to the Planning Secretary for information before the dismantled Rosehill Railway Station Footbridge is removed from the Clyde Stabling and Maintenance Facility Site. [MOD-2, 3 Jun 2022]					City of Parramatta Council, will investigate opportunities to relocate the footbridge. Pursuant to the commitment from the Heritage Management Plan, consultation was completed and Council confirmed that it does not want the bridge. Sydney Metro is considering how it could use the bridge on the Project but this is not a requirement and it is unlikely that it will occur. The bridge continues to be held at the Clyde site until such time as a decision is made and a report presented to the Department.	
D18.2	Where an alternative location for the Rosehill Railway Station Footbridge is agreed to, a Heritage Asset Action Plan, including an updated statement of significance, in accordance with Statement of Best Practice for Heritage Asset Action Plans (Heritage Council of NSW 2021), must be prepared to reflect its new setting within 12 months of relocation and at no cost to council. The Proponent is responsible for maintenance of the Rosehill Railway Station Footbridge until ownership is transferred to Council. Note: This condition does not prevent the Proponent from providing funding or similar to Council for the preparation of the required documents and does not prevent Council from preparing them. [MOD-2, 3 Jun 2022]	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D19	Aboriginal Heritage All reasonable steps must be taken not to harm, modify or otherwise impact Aboriginal objects except as authorised by this approval.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Heritage Management Plan, GLC, 19/12/23 Parramatta Station Construction Site - Archaeological Research Design & Excavation Methodology, Issued 3 November 2021 Interview with auditees 04/03/24 Unexpected Finds Report, 19/09/23 (Water Treatment Plant discharge Line, sandstone bricks) Unexpected Finds Report, 13/12/23 (Parramatta Box excavation find of animal bones and other artefacts that were not of heritage significance)	Processes have been established to protect, investigate and report on potential Aboriginal Heritage finds within the Heritage Management Plan and ARDEM. Earthworks were well advanced for the audit period with SOPA, Westmead, Clyde MSF and Dive, and Silverwater being well established and ground disturbance being well past the point of risk of encountering unexpected Aboriginal Heritage. Parramatta Station phased site establishment continue under supervision of Heritage Advisors and Excavation Directors. Refer D20 - D27 regarding progress on management of Aboriginal Heritage. According to the auditees, there have been no unexpected Aboriginal Heritage finds during the audit period. Two finds (associated with bricks, and bones with other items) were managed in accordance with the unexpected heritage finds procedure.	Not Triggered
D20	The Registered Aboriginal Parties (RAPs) must be kept informed about Stage 1 of the CSSI. The RAPs must continue to be provided with the opportunity to be consulted about the Aboriginal cultural heritage management requirements of Stage 1 of the CSSI.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Heritage Management Plan, GLC, 19/12/23 RAP Fieldwork Participation and Consultation register, Umwelt, current to 08/02/24 Sydney Metro RAP Quarterly Update issued 27/02/24	GLC have consulted with three RAP representatives during the audit period in relation to site attendance during tree clearing works at Clyde and testing on item 21-0167D. Further, the Project issues a direct newsletter to the RAPs each quarter to provide an update on Project progress.	Compliant
D21	Aboriginal archaeological test excavation must be undertaken at those areas identified in Table 25 of the revised Aboriginal Cultural Heritage Assessment Report (ACHAR) prepared by Artefact Heritage and dated November 2020.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Heritage Management Plan, GLC, 19/12/23 Interview with auditees 04/03/24 Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23	As noted in the previous audit by the Auditor, Section 1.2 of the Heritage Management Plan was observed to include Aboriginal Archaeological Test Excavation Methodology prepared by Sydney Metro, which is outlined in the AHR (GML, 2021). The Aboriginal Archaeological Salvage Excavation Methodology will be revised by Sydney Metro following analysis of the test excavation results. Section 7.5.1 of the plan states, "The archaeological investigations will be	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
						<p>undertaken in accordance with the AHR 2021, which outlines the Aboriginal test excavation methodology and provides a standard Salvage methodology which will be updated following the results of the testing phase. The full methodology can be found in Section 5.2 of the AHR 2021."</p> <p>Phase 1 archaeological test excavations were completed in August 2023 and then Phase 2 excavations commenced in February 2024. A draft Archaeological Excavation Report has been prepared presenting the findings from the first round (Phase 1) excavations. The Report is still under review by WTP.</p> <p>A final Report, incorporating all archaeological reports for the Project is being commissioned by Sydney Metro. GML (the Heritage Advisors) have commenced this work, but it is in its infancy.</p>	
D22	An Aboriginal Archaeological Test Excavation Methodology(s) must be prepared and appropriately integrated with the revised Archaeological Research Design and Excavation Methodology. The Aboriginal Archaeological Salvage Excavation Methodology(s) must be prepared after analysis of the test excavation results.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Heritage Management Plan, GLC, 19/12/23</p> <p>Interview with auditees 04/03/24</p> <p>Parramatta Station Construction Site - Archaeological Research Design & Excavation Methodology, Issued 3 November 2021</p>	<p>As noted by the Auditor in the previous audit, Section 1.8 of the Parramatta Station Construction Site - Archaeological Research Design & Excavation Methodology was observed to include a compliance checklist and a summary of the Aboriginal archaeological potential is provided in Section 3. Research questions for Aboriginal archaeology are included in Section 5. The integrated approach to archaeological excavation methods for both historical and Aboriginal archaeological investigations are also included in Section 6.</p> <p>There have been no changes observed for the current audit period.</p>	Compliant
D23	At the completion of Aboriginal cultural heritage test and salvage excavations, an Aboriginal Cultural Heritage Excavation Report(s), prepared by a suitably qualified expert, must be prepared in accordance with the Guide to Investigation, assessing and reporting on Aboriginal cultural heritage in NSW, OEH 2011 and the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales, DECCW 2010. The Aboriginal Cultural Heritage Excavation Report(s) must document the results of the archaeological test excavations and any subsequent salvage excavations. The RAPs must be given a minimum of 28 days to consider the report and provide comments before the report is finalised. The final report must be provided to Heritage NSW within 24 months of the completion of the Aboriginal archaeological excavations (both test and salvage).	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23</p>	<p>Phase 1 archaeological test excavations were completed in August 2023 and then Phase 2 excavations commenced in February 2024. A draft Archaeological Excavation Report has been prepared presenting the findings from the first round (Phase 1) excavations. The Report is still under review by WTP.</p> <p>A final Report, incorporating all archaeological reports for the Project is being commissioned by Sydney Metro. GML (the Heritage Advisors) have commenced this work, but it is in its infancy.</p>	Compliant
D24	Where previously unidentified Aboriginal objects are discovered, all work must immediately stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before construction recommences. The measures to consider and manage this process must be specified in the Heritage CEMP Sub-plan required by Condition C5 of this schedule and, where relevant, include registration in the Aboriginal Heritage Information Management System (AHIMS).	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Heritage Management Plan, GLC, 19/12/23</p> <p>Parramatta Station Construction Site - Archaeological Research Design & Excavation Methodology, Issued 3 November 2021</p> <p>Interview with auditees 04/03/24</p> <p>Unexpected Finds Report, 19/09/23 (Water Treatment Plant discharge Line, sandstone bricks)</p> <p>Unexpected Finds Report, 13/12/23 (Parramatta Box excavation find of animal bones and other artefacts that were not of heritage significance)</p>	<p>As noted by the Auditor in the previous audit, a process has been defined in the ARDEM (03/11/21) and ACHAR (submitted with the EIS in 2020). Assessment of the Parramatta Station Construction Site - Archaeological Research Design & Excavation Methodology observed that the report has been prepared to guide archaeological investigations and management for the Parramatta station construction site. It includes a detailed site history outlining the development of each allotment, comparative analysis, a revised historical archaeological potential and significance assessment, and a site-specific archaeological research design and methodology.</p> <p>Earthworks were well advanced for the audit period with SOPA, Westmead, Clyde MSF and Dive, and Silverwater being well established and ground disturbance being well</p>	Not Triggered

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
						past the point of risk of encountering unexpected Aboriginal Heritage. Parramatta Station phased site establishment continue under supervision of Heritage Advisors and Excavation Directors. Refer D20 - D27 regarding progress on management of Aboriginal Heritage. According to the auditees, there have been no unexpected Aboriginal Heritage finds during the audit period. Two finds (associated with bricks, and bones with other items) were managed in accordance with the unexpected heritage finds procedure.	
D25	<p>Excavation and Archeology</p> <p>Before the commencement of any excavation at Parramatta and The Bays metro station construction sites, a revised Archaeological Research Design and Excavation Methodology(s) must be prepared in accordance with Heritage Council of NSW guidelines and with reference to the detailed design of Stage 1 construction of the CSSI to guide archaeological excavation. The revised Archaeological Research Design and Excavation Methodology(s) must be prepared by the Excavation Director (approved under Condition D27 below) and must include:</p> <ul style="list-style-type: none"> a) site specific research for the Parramatta and The Bays metro station construction sites which is conducted by a professional historian to clearly articulate the historical development of the allotments to assist with the reassessment of potential and significance; b) comparative analysis from archaeological investigations in Parramatta (including theses, publications and grey literature reports); c) preparation of research questions based on the additional site-specific research required by this condition, and relevant research agendas from previously excavated early historical occupation in Parramatta including recovered artefact assemblages; and d) a reconsideration of archaeological methods to manage the sites based on this additional assessment. <p>The revised Archaeological Research Design and Excavation Methodology(s) must apply to both Parramatta and The Bays metro station construction sites and be prepared in consultation with Heritage NSW and Place Management NSW (in respect of The Bays) and submitted to the Planning Secretary for approval.</p> <p>The revised Archaeological Research Design and Excavation Methodology(s) must be implemented throughout the archaeological excavation programs.</p> <p>Note: Nothing in these conditions prevents the Archaeological Research Design and Excavation Methodology to be separate procedures.</p> <p style="text-align: right;">[MOD-1, 28 Jul 2021]</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Heritage Management Plan, GLC, 19/12/23</p> <p>Parramatta Station Construction Site - Archaeological Research Design & Excavation Methodology, Issued 3 November 2021</p> <p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23</p> <p>Email, GML to GLC, 23/02/24 (email notice of archaeological clearance of Parramatta station north east crane pad)</p>	<p>Processes have been established to protect, investigate and report on potential Aboriginal Heritage finds within the Heritage Management Plan and ARDEM.</p> <p>As noted by the Auditor in the previous audit, Section 5.2.1.3 of the Heritage Management Plan states that, "the ARDEM 2021 subsequently prepared provided a more detailed assessment of non-Aboriginal archaeological potential and identified areas ranging from low to high potential to contain historical archaeological remains. Figure 4 shows the mapping of archaeological potential for the construction site, including the approximate location of the Convict Drain which will not be impacted by the Project. Archaeological investigations, including testing and salvage excavations is required prior to the commencement of construction impacts on the site. This has commenced as part of the Early Works package by Sydney Metro, and will be continued as part of the Project. The location of the Convict Drain has been confirmed as part of the Early Works archaeological investigations."</p> <p>Section 1.8 Compliance checklist states, "The revised ARD for the Parramatta Station construction site has been prepared prior to excavation at the site. This report has been prepared by experienced historical archaeologists and Excavation Directors approved under Condition D27—Abi Cryerhall and Sophie Jennings.</p> <p>- Section 7.5.1.1 Excavation Director states "All Aboriginal archaeological investigations will be undertaken in accordance with the methodology contained in the AHR 2021, which was prepared by the nominated Aboriginal archaeological Excavation Director. The nominated Aboriginal archaeological Excavation Director for the Project is Dr Tim Owen."</p> <p>- Attachment 7 ARDEM 2021 states "GML Heritage Pty Ltd, Sydney Metro West Parramatta Station Construction Site Archaeological Research Design and Excavation Methodology, report prepared for Sydney Metro November 2021."</p> <p>Phase 1 archaeological test excavations were completed in August 2023 and then Phase 2 excavations commenced in February 2024, with clearance of the north east crane pad being issued by the Heritage Advisor on 23/02/24 (formal clearance certificate/s / reports not sighted). A draft Archaeological Excavation Report has been prepared</p>	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
						presenting the findings from the first round (Phase 1) excavations. The Report is still under review by WTP. A final Report, incorporating all archaeological reports for the Project is being commissioned by Sydney Metro. GML (the Heritage Advisors) have commenced this work, but it is in its infancy.	
D26	The revised Archaeological Research Design and Excavation Methodology(s) must include provision for early physical investigation of areas of impact identified as likely to contain State significant archaeology or subterranean Heritage items in the research design to inform excavation in these areas. This must include the Parramatta and The Bays metro station sites, including Parramatta Convict Drain, Parramatta Sand Body, White Bay Power Station (inlet) Canal and Beattie Street Stormwater Channel. [MOD-4, 22 Dec 2022]	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Heritage Management Plan, GLC, 19/12/23 Parramatta Station Construction Site - Archaeological Research Design & Excavation Methodology, Issued 3 November 2021	The revised Archaeological Research Design and Excavation Methodology is included in the Heritage Management Plan, in Section 7.5.2 and Section 6.3.2 of the ARDEM (appended to the Heritage Management Plan).	Compliant
D27	Before commencement of archaeological excavation, the Proponent must nominate a suitably qualified Excavation Director, who complies with Heritage Council of NSW's Criteria for Assessment of Excavation Director (September 2019), to oversee and advise on matters associated with historical archaeology for the approval of the Planning Secretary, in consultation with Heritage NSW. The Excavation Director must be present to oversee excavation, advise on archaeological issues, advise on the duration and extent of oversight required during archaeological excavations consistent with the approved Archaeological Research Design and Excavation Methodology(s) required under Condition D25 of this schedule. Aboriginal archaeological excavations must be conducted by a suitably qualified person in accordance with the requirements of the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010). More than one Excavation Director may be engaged for Stage 1 of the CSSI to exercise the functions required under the conditions of this approval.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Heritage Management Plan, GLC, 19/12/23 Appointment of Expert Letters, 17/05/21 and 25/02/22 (covering Abi Cryerhall, Tim Owen, Ian Stuart, Sophie Jennings and Amanda Dusting) Unexpected Finds Report, 19/09/23 (Water Treatment Plant discharge Line, sandstone bricks) Unexpected Finds Report, 13/12/23 (Parramatta Box excavation find of animal bones and other artefacts that were not of heritage significance) Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23 Email, GML to GLC, 23/02/24 (email notice of archaeological clearance of Parramatta station north east crane pad)	The Department approved the nominated Excavation Directors prior to the current audit period. Issue of the Unexpected Finds Reports, Parramatta Station Archaeological Excavation Phase 1 Report and issue of clearance of heritage items at the Parramatta Station north east crane pad demonstrates that the nominated representatives remain engaged.	Compliant
D28	Following completion of archaeological excavation programs, a Final Excavation Report and an Aboriginal Cultural Heritage Excavation Report must be prepared that includes further detailed and site-specific historical research undertaken to enhance the final reporting, and results of archaeological excavations. The report must include details of any significant artefacts recovered (salvaged), where they are located and details of their ongoing conservation. The Final Excavation Report must document significant results and artefacts which may be re-used in future stages of the CSSI. The Final Excavation Report must be prepared in accordance with guidelines and standards required by Heritage Council of NSW.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D29	The Final Excavation Report and Aboriginal Cultural Heritage Excavation Report must be submitted to the Planning Secretary, Heritage NSW and the Relevant Council for information no later than 24 months after the completion of the archaeological excavation.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D30	In the event the CSSI salvages state significant historical archaeology associated with early convict occupation at the Parramatta metro station construction site for which retention and future conservation is not possible: a) the key findings of the archaeological investigations must be documented which explain their significance within the context of Parramatta and NSW no	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	<p>later than two (2) years after the completion of the archaeological excavations; and</p> <p>b) provide for the curation, display and public access of artefacts, site records and final reports.</p> <p>Note: In reference to (b) above, this may involve partnerships with museums, local heritage centres and/or universities</p>						
D31	<p>Unexpected Finds</p> <p>An Unexpected Heritage Finds and Human Remains Procedure must be prepared to manage unexpected heritage finds (heritage items and values) in accordance with any guidelines and standards prepared by the Heritage Council of NSW or Heritage NSW.</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Heritage Management Plan, GLC, 19/12/23</p> <p>Interview with auditees 04/03/24</p> <p>Unexpected Finds Report, 19/09/23 (Water Treatment Plant discharge Line, sandstone bricks)</p> <p>Unexpected Finds Report, 13/12/23 (Parramatta Box excavation find of animal bones and other artefacts that were not of heritage significance)</p>	<p>The unexpected finds procedure was prepared well prior to the current audit period and is in Attachment 2 of the approved Heritage Management Plan. The procedure addresses the requirements from this condition.</p> <p>Earthworks were well advanced for the audit period with SOPA, Westmead, Clyde MSF and Dive, and Silverwater being well established and ground disturbance being well past the point of risk of encountering unexpected Aboriginal Heritage. Parramatta Station phased site establishment continue under supervision of Heritage Advisors and Excavation Directors. Refer D20 - D27 regarding progress on management of Aboriginal Heritage.</p> <p>According to the auditees, there have been no unexpected Aboriginal heritage finds during the audit period. Two finds (associated with bricks, and bones with other items) were managed in accordance with the unexpected heritage finds procedure.</p>	Compliant
D32	<p>The Unexpected Heritage Finds and Human Remains Procedure must be prepared by a suitably qualified and experienced heritage specialist in consultation with the Heritage Council of NSW (with respect to non-Aboriginal cultural heritage) and in relation to Aboriginal cultural heritage, in accordance with the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010) and submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction.</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Heritage Management Plan, GLC, 19/12/23</p> <p>Interview with auditees 04/03/24</p> <p>Unexpected Finds Report, 19/09/23 (Water Treatment Plant discharge Line, sandstone bricks)</p> <p>Unexpected Finds Report, 13/12/23 (Parramatta Box excavation find of animal bones and other artefacts that were not of heritage significance)</p>	<p>The unexpected finds procedure was prepared well prior to the current audit period and is in Attachment 2 of the approved Heritage Management Plan. The procedure addresses the requirements from this condition.</p> <p>Earthworks were well advanced for the audit period with SOPA, Westmead, Clyde MSF and Dive, and Silverwater being well established and ground disturbance being well past the point of risk of encountering unexpected Aboriginal Heritage. Parramatta phased site establishment continue under supervision of Heritage Advisors and Excavation Directors. Refer D20 - D27 regarding progress on management of Aboriginal Heritage.</p> <p>According to the auditees, there have been no unexpected Aboriginal heritage finds during the audit period. Two finds (associated with bricks, and bones with other items) were managed in accordance with the unexpected heritage finds procedure.</p>	Compliant
D33	<p>The Unexpected Heritage Finds and Human Remains Procedure, as submitted to the Planning Secretary, must be implemented for the duration of construction.</p> <p>Note: Human remains that are found unexpectedly during the carrying out of work may be under the jurisdiction of the NSW State Coroner and must be reported to the NSW Police immediately. Management of human remains in NSW is subject to requirements set out in the Public Health Act 2010 (NSW) and Public Health Regulation 2012 (NSW). Nothing in these conditions prevents separate procedures for the Unexpected Heritage Finds and Human Remains Procedure.</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Heritage Management Plan, GLC, 19/12/23</p> <p>Interview with auditees 04/03/24</p> <p>Unexpected Finds Report, 19/09/23 (Water Treatment Plant discharge Line, sandstone bricks)</p> <p>Unexpected Finds Report, 13/12/23 (Parramatta Box excavation find of animal bones and other artefacts that were not of heritage significance)</p>	<p>The unexpected finds procedure was prepared well prior to the current audit period and is in Attachment 2 of the approved Heritage Management Plan. The procedure addresses the requirements from this condition.</p> <p>Earthworks were well advanced for the audit period with SOPA, Westmead, Clyde MSF and Dive, and Silverwater being well established and ground disturbance being well past the point of risk of encountering unexpected Aboriginal Heritage. Parramatta phased site establishment continue</p>	Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
						<p>under supervision of Heritage Advisors and Excavation Directors. Refer D20 - D27 regarding progress on management of Aboriginal Heritage.</p> <p>According to the auditees, there have been no unexpected Aboriginal heritage finds during the audit period. Two finds (associated with bricks, and bones with other items) were managed in accordance with the unexpected heritage finds procedure.</p>	
Noise and Vibration							
D34	<p>Land Use Survey</p> <p>A detailed land use survey must be undertaken to confirm sensitive receivers (including critical working areas such as operating theatres and precision laboratories) potentially exposed to construction noise and vibration and construction ground-borne noise. The survey may be undertaken on a progressive basis but must be undertaken in any one area before the commencement of work which generates construction noise, vibration or ground-borne noise in that area. The results of the survey must be included in the Noise and Vibration CEMP Subplan required under Condition C5 of this schedule.</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D35	<p>Construction Hours</p> <p>Work must only be undertaken during the following hours:</p> <ul style="list-style-type: none"> a) 7:00am to 6:00pm Mondays to Fridays, inclusive; b) 8:00am to 6:00pm Saturdays; and c) at no time on Sundays or public holidays. 	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>Complaints register current to 27/02/24</p> <p>ER Monthly Reports, HBI, Sep 23 – Jan 24</p> <p>AA Monthly Reports, Acoustic Studio, Sep 23 – Jan 24</p> <p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>CTP Five Dock Site induction, 26/02/24 (includes hours, community, parking, vehicle management, water discharges and concrete washout, incidents haul routes, respite).</p> <p>CTP Project Induction, (includes sustainability goals, CEMP and EPL, work hours, groundborne noise, airborne noise, erosion and sediment control, dust management, vibration, spills, incidents, heritage and community).</p> <p>ITwoCx OOHW permit module (online)</p> <p>CTP Work Pack, Burwood North Nozzle Lining, 19/09/23 (includes environmental controls including hours permitted for the works and whether OOHW approval required).</p> <p>CTP OOHW Permit register 2024</p> <p>Bays Traffic and Cumulative Impact Meeting, Sep 23 – Feb 24</p> <p>Non-compliance Report, 05/12/23 (Westmead Asset Relocations working beyond approved hours on 05/12/23)</p>	<p>Approved hours are in the CNVMP and in the Project training material. The hours align with D35 and EPL 21610 L5.1. The Project operates ITwoCx online module to manage OOHW. This has digitised the OOHW permit process and includes hold points. Justifications / requirements align with the EPL. It includes the OOHW assessment and the required mitigation measures for each.</p> <p>The OOHW register identifies the schedule of all OOHW across the audit period, the status of the OOHW approval, the degree of impact and the respite periods. The auditees are not aware of any circumstances of unplanned OOHW during the audit period. 36 x complaints were received during the audit period regarding OOHW on CTP. All complaints, except one, appear to have been related to planned and approved OOHW, or were not related to the Project.</p> <p>CTP Observation: On 22/11/23 a complaint was received about a generator being left on at North Strathfield until 11:15pm at night. The complaint was classed by the auditees as 'avoidable' (i.e.: the issue was outside planning approval, or commitment that has been given to the community or stakeholders). According to the complaint register, there does not appear to have been any work conducted during the shift (i.e.: the generator was left on following a day shift) and there is no prediction on the likely noise impact experienced by the complainant. Refer also to finding 10038_Feb24_9 regarding a Water Treatment Plant pump being left on after hours at the Burwood North site.</p> <p>WUR Non-compliance: On 05/12/23 due to delayed delivery of backfill materials, traffic controllers for Westmead Utility Relocations did not complete pack up until fifteen minutes after 6pm and these works did not have a corresponding OOHW approval. The non-compliance was notified to the Department in</p>	Non-Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					<p>DPHI Post approval portal lodgement, 12/12/23 (notification of Westmead Asset Relocations working beyond approved hours on 05/12/23)</p> <p>Email Sydney Metro to Quickway, 14/12/23 (DPHI acceptance of notification of Westmead Asset Relocations working beyond approved hours on 05/12/23)</p>	<p>accordance with A45. The Department noted the breach and elected to not take any further action. There have been no other breaches identified by the auditees.</p> <p>The ER and AA have raised several observations around noise and vibration management in the Monthly Reports between September 2023 and January 2024, but have not identified any non-compliances in relation to hours or noise and vibration controls.</p>	
D36	<p>Highly Noise Intensive Work</p> <p>Except as permitted by an EPL, highly noise intensive work that results in an exceedance of the applicable NML at the same receiver must only be undertaken:</p> <ul style="list-style-type: none"> a) between the hours of 8:00 am to 6:00 pm Monday to Friday; b) between the hours of 8:00 am to 1:00 pm Saturday; and c) if continuously, then not exceeding three (3) hours, with a minimum cessation of work of not less than one (1) hour. <p>For the purposes of this condition, 'continuously' includes any period during which there is less than one (1) hour between ceasing and recommencing any of the work.</p>	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>Complaints register current to 27/02/24</p> <p>ER Monthly Reports, HBI, Sep 23 – Jan 24</p> <p>AA Monthly Reports, Acoustic Studio, Sep 23 – Jan 24</p> <p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>EPL 21610</p> <p>CTP Five Dock Site induction, 26/02/24 (includes hours, community, parking, vehicle management, water discharges and concrete washout, incidents haul routes, respite).</p> <p>CTP Project Induction, (includes sustainability goals, CEMP and EPL, work hours, groundborne noise, airborne noise, erosion and sediment control, dust management, vibration, spills, incidents, heritage and community).</p>	<p>Approved hours (included highly noise intensive works) are in the CNVMP and in the Project training material. The hours from D36 are consistent with that imposed in the EPL 21610 (condition L5.2).</p> <p>The Project operates ITwoCx online module to manage OOHW (including highly noise intensive works outside approved respite). This has digitised the OOHW permit process and includes hold points. Justifications / requirements align with the EPL. It includes the OOHW assessment and the required mitigation measures for each.</p> <p>The auditees are not aware of any circumstances of highly noise intensive works exceeding the hours specified by D36 or EPL 21610 L5.2.</p> <p>According to the complaints register, there does not appear to be any complaints indicating a breach of respite.</p>	Compliant
D37	<p>Variation to Work Hours</p> <p>Notwithstanding Conditions D35 and D36 of this schedule work may be undertaken outside the hours specified in the following circumstances:</p> <ul style="list-style-type: none"> a) Safety and Emergencies, including: <ul style="list-style-type: none"> i. for the delivery of materials required by the NSW Police Force or other authority for safety reasons; or ii. where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm. iii. On becoming aware of the need for emergency work in accordance with (a)(ii) above, the AA, the ER, the Planning Secretary and the EPA must be notified of the reasons for such work. The Proponent must use best endeavours to notify as soon as practicable all noise and/or vibration affected sensitive land user(s) of the likely impact and duration of those work. b) Low noise impact work, including: <ul style="list-style-type: none"> i. construction that causes Laeq(15 minute) noise levels: ii. no more than 5 dB(A) above the rating background level at any residence in accordance with the ICNG, and 	To be audited in February 2024.	Not subject to audit at this time.	<p>To be audited.</p> <p>** Should reductions in the audit scope be required (so the in-person audit activities are conducted and carried out within the allocated five days), the Department this condition be de-prioritised</p>	<p>Complaints register current to 27/02/24</p> <p>ER Monthly Reports, HBI, Sep 23 – Jan 24</p> <p>AA Monthly Reports, Acoustic Studio, Sep 23 – Jan 24</p> <p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>EPL 21610</p> <p>CTP Five Dock Site induction, 26/02/24 (includes hours, community, parking, vehicle management, water discharges and concrete washout, incidents haul routes, respite).</p> <p>CTP Project Induction, (includes sustainability goals, CEMP and EPL, work hours, groundborne noise, airborne noise, erosion and sediment control, dust management, vibration, spills, incidents, heritage and community).</p> <p>ITwoCx OOHW permit module (online)</p> <p>CTP OOHW Permit register 2024</p> <p>Noise and Vibration Management Sub-Plan, Quickway, 17/10/23</p>	<p>For CTP, the approved hours are in the CNVMP and in the Project training material. The hours align with D35 and EPL 21610 L5.1. The Project operates ITwoCx online module to manage OOHW. This has digitised the OOHW permit process and includes hold points. Justifications / requirements align with the EPL. It includes the OOHW assessment and the required mitigation measures for each. The OOHW register identifies the schedule of all OOHW across the audit period, the status of the OOHW approval, the degree of impact and the respite periods. The auditees are not aware of any circumstances of unplanned OOHW during the audit period. 36 x complaints were received during the audit period regarding OOHW on CTP. All complaints, except one, appear to have been related to planned and approved OOHW, or were not related to the Project. Refer D35.</p> <p>For WUR, the approved hours are in the CNVMP and in the Project training material. The works have been assessed in a DNVIS. At the time of the audit interviews, WUR had completed 50 shifts of work, including 27 x OOHW. Each of the OOHW appear to have been assessed, approved, notified and monitored. 2 x complaints were received during the audit period relating to WUR OOHW and for each the notification of the works appears to have been completed. Inspections and monitoring has occurred. The results indicate</p>	Non-Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	<ul style="list-style-type: none"> iii. no more than the 'Noise affected' NMLs specified in Table 3 of the ICNG at other sensitive land user(s); and iv. construction that causes LAFmax(15 minute) noise levels no more than 15 dB(A) above the rating background level at any residence; or v. construction that causes: <ul style="list-style-type: none"> 1. continuous or impulsive vibration values, measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC, 2006), or 2. intermittent vibration values measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC, 2006). <p>c) By Approval, including:</p> <ul style="list-style-type: none"> i. where different construction hours are permitted or required under an EPL in force in respect of the CSSI; or ii. works which are not subject to an EPL that are approved under an Out-of-Hours Work Protocol as required by Condition D38 of this schedule; or iii. negotiated agreements with directly affected residents and sensitive land user(s). <p>d) By Prescribed Activity, including:</p> <ul style="list-style-type: none"> i. tunnelling (excluding cut and cover tunnelling and surface works) are permitted 24 hours a day, seven days a week; or ii. concrete batching at the Clyde construction site is permitted 24 hours a day, seven days a week; or iii. delivery of material that is required to be delivered outside of standard construction hours in Condition D35 of this schedule to directly support tunnelling activities, except between the hours 10:00 pm and 7:00 am to / from the Five Dock and Westmead construction sites and to / from Burwood North construction site using any roads / streets other than directly from Parramatta Road; or iv. haulage of spoil except between the hours of 10:00 pm and 7:00 am to / from the Five Dock and Westmead construction sites and to / from Burwood North construction site using any roads / streets other than directly from Parramatta Road; or v. work within an acoustic shed where there is no exceedance of noise levels under low noise impact work circumstances identified in (b) above, unless otherwise agreed by the Planning Secretary. <p>Note: Tunnelling does not include station box excavation</p>				<p>Detailed Noise and Vibration Impact Statement, Westmead Utilities Realignment, 31/08/23</p> <p>Westmead Utilities Realignment Alternative Accommodation and Respite Offer Letter, October 2023</p> <p>Westmead Utilities Realignment Respite Offers Spreadsheet, 2023-2024</p> <p>Westmead Utilities Realignment Consultation Manager extract showing consultation with 123-129 Hawkesbury Road (evidence showing notifications of OOHW)</p> <p>Westmead Utility Realignment Works Noise Monitoring Reports 26/10/23 – 22/02/24</p> <p>Non-compliance Report, 06/11/23 and DPPI post approval portal lodgement 09/11/23 (non-compliance with D37(d)(iii) and D43)</p>	<p>that appropriate controls have been put in place and that noise levels are consistent with those predicted in the OOHW assessments.</p> <p>The ER and AA have raised several observations around noise and vibration management in the Monthly Reports between September 2023 and January 2024, but have not identified any non-compliances in relation to hours or noise and vibration controls.</p> <p>WTP Non-compliance: WTP recorded a non-compliance with D37 and D43 regarding the late arrival of a crane without the relevant OOHW approval being approved. WTP was not aware of the plant arriving. The gatekeeper let the crane in and no complaints were received. The Project team was toolboxed and there has not been a recurrence</p>	

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	[MOD-3, 4 Jul 2022]						
D38	<p>Out-of-hours Work Protocol – Work Not Subject to an EPL</p> <p>An Out-of-Hours Work Protocol must be prepared to identify a process for the consideration, management and approval of work which are outside the hours defined in Conditions D35 and D36 of this schedule. The Protocol must be approved by the Planning Secretary before commencement of the out-of-hours work. The Protocol must be prepared in consultation with the ER, AA and EPA. The Protocol must provide:</p> <ol style="list-style-type: none"> a) identification of low and high-risk activities and an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where: <ol style="list-style-type: none"> i. the ER and AA review all proposed out-of-hours activities and confirm their risk levels; ii. low risk activities can be approved by the ER in consultation with the AA; and iii. high risk activities that are approved by the Planning Secretary; iv. a process for the consideration of out-of-hours work against the relevant NML and vibration criteria; b) a process for selecting and implementing mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of Condition D50 of this schedule. The measures must take into account the predicted noise levels and the likely frequency and duration of the out-of-hours works that sensitive land user(s) would be exposed to, including the number of noise awakening events; c) procedures to facilitate the coordination of out-of-hours work including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided; and d) notification arrangements for affected receivers for all approved out-of-hours works and notification to the Planning Secretary of approved low risk out-of-hours works. e) This condition does not apply if the requirements of Condition D37(b) of this schedule are met. <p>Note: Out-of-hours work is any work that occurs outside the construction hours identified in Condition D35 and D36 of this schedule.</p>	Not subject to audit at this time.	Not subject to audit at this time.	To be audited in February 2024. ** Should reductions in the audit scope be required (so the in-person audit activities are conducted and carried out within the allocated five days), the Department this condition be de-prioritised	<p>Noise and Vibration Management Sub-Plan, Quickway, 17/10/23</p> <p>Detailed Noise and Vibration Impact Statement, Westmead Utilities Realignment, 31/08/23</p> <p>Westmead Utilities Realignment Alternative Accommodation and Respite Offer Letter, October 2023</p> <p>Westmead Utilities Realignment Respite Offers Spreadsheet, 2023-2024</p> <p>Westmead Utilities Realignment Consultation Manager extract showing consultation with 123-129 Hawkesbury Road (evidence showing notifications of OOHW)</p> <p>Westmead Utility Realignment Works Noise Monitoring Reports 26/10/23 – 22/02/24</p>	For WUR, the approved hours are in the CNVMP and in the Project training material. The works have been assessed in a DNVIS. At the time of the audit interviews, WUR had completed 50 shifts of work, including 27 x OOHW. Each of the OOHW appear to have been assessed, approved, notified and monitored. 2 x complaints were received during the audit period relating to WUR OOHW and for each the notification of the works appears to have been completed. Inspections and monitoring has occurred. The results indicate that appropriate controls have been put in place and that noise levels are consistent with those predicted in the OOHW assessments.	Compliant
D39	<p>Construction Noise Management Levels and Vibration Criteria</p> <p>All reasonable and feasible mitigation measures must be implemented with the aim of achieving the following construction noise management levels and vibration criteria:</p> <ol style="list-style-type: none"> a) construction 'Noise affected' noise management levels established using the Interim Construction Noise Guideline (DECC, 2009); b) vibration criteria established using the Assessing vibration: a technical guideline (DEC, 2006) (for human exposure); c) Australian Standard AS 2187.2 – 2006 "Explosives – Storage and Use – Use of Explosives" (for human exposure); 	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>The Bays DNVIS, Hutchison Weller, 20/06/23</p> <p>Five Dock DNVIS, Hutchison Weller, 20/11/23</p> <p>North Strathfield DNVIS, Hutchison Weller, 04/10/23</p> <p>North Strathfield DNVIS addendum, Hutchison Weller, 23/08/23</p> <p>Burwood North DNVIS, Hutchison Weller, 15/08/23</p>	<p>The noise and vibration controls are specified in the Noise and Vibration Management Plan and each DNVIS. The primary controls appear to be use of acoustic sheds at selected sites, adherence to construction hours and use of localised source and path controls (e.g.: hoarding, noise blankets, low noise generators, non-tonal beepers etc) and engagement with community. Refer to D35 – D37 regarding management of hours of work.</p> <p>The ER and AA have raised several observations around noise and vibration management in the Monthly Reports between September 2023 and January 2024, but have not identified any non-compliances in relation to hours or noise and vibration controls.</p>	Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	<p>d) BS 7385 Part 2-1993 "Evaluation and measurement for vibration in buildings Part 2" as they are "applicable to Australian conditions"; and (</p> <p>e) the vibration limits set out in the German Standard DIN 4150-3: Structural Vibration- effects of vibration on structures (for structural damage for structurally unsound heritage items).</p> <p>Any work identified as exceeding the noise management levels and / or vibration criteria must be managed in accordance with the Noise and Vibration CEMP Sub-plan.</p> <p>Note: The ICNG identifies 'particularly annoying' activities that require the addition of 5 dB(A) to the predicted level before comparing to the construction Noise Management Level.</p>				<p>SOP DNVIS, Hutchison Weller, 14/08/23</p> <p>Tunnelling DNVIS, Hutchison Weller, 09/09/23</p> <p>Noise monitoring register, current 21/02/24 (airborne and groundborne)</p> <p>Groundborne Noise Monitoring Report 7 East Street, 08/02/24 and 12/02/24</p> <p>Vibration Monitoring Register, 31/01/24</p> <p>AFJV photo 24/01/24 (noise matting on generator at Five Dock)</p> <p>AFJV photo 08/02/24 (noise mats at North Strathfield)</p> <p>Bi-Annual Construction Monitoring Report July – Dec 2023, AFJV, 07/03/24, plus AA endorsement 08/03/24 and Letter DPHI to Sydney Metro 26/02/24 (DPHI approval of extension of time to submit Bi-Annual Construction Monitoring Report)</p> <p>ER Monthly Reports, HBI, Sep 23 – Jan 24</p> <p>AA Monthly Reports, Acoustic Studio, Sep 23 – Jan 24</p> <p>Complaints register current to 27/02/24</p> <p>Letter DPHI to AFJV, 11/12/23 (penalty notice for Burwood North DNVIS (acoustic panel) not implemented; Five Dock DNVIS (West acoustic shed) not implemented; Five Dock West spoil truck reversing; plus caution of Five Dock West spoil truck marshalling; Five Dock CTMP not published.</p>	<p>Monitoring results indicate that the airborne noise has been within the predicted levels or that exceedances of predicted levels are from non-Project noise sources (e.g.: traffic etc). Vibration monitoring results determined all vibration to meet the applicable criteria, or spikes were not related to construction (e.g.: associated with instrument checks/battery changes).</p> <p>The complaints register recorded 38 x complaints regarding noise and vibration from CTP activities – none of which indicates a breach of D39.</p> <p>The Bi-Annual Construction Monitoring Report July – Dec 2023 identifies that elevated airborne noise levels were identified during the operation of ventilation fans at Five Dock East. A complaint was recorded in the complaints register in relation to the issue (received 08/09/23). According to the auditees additional attenuation was fitted where possible and the complainant was issued with noise cancelling headphones. There have not been any further complaints recorded in the complaint register in relation to the matter.</p>	
D40	<p>All reasonable and feasible mitigation measures must be applied when the following residential ground-borne noise levels are exceeded:</p> <p>a) evening (6:00 pm to 10:00 pm) — internal Laeq(15 minute): 40 dB(A); and</p> <p>b) night (10:00 pm to 7:00 am) — internal Laeq(15 minute): 35 dB(A).</p> <p>The mitigation measures must be outlined in the Noise and Vibration CEMP Sub-plan, including in any Out-of-Hours Work Protocol, required by Condition D38 of this schedule.</p>	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>EPL 21610</p> <p>Tunnelling DNVIS, Hutchison Weller, 09/09/23</p> <p>Bi-Annual Construction Monitoring Report July – Dec 2023, AFJV, 07/03/24, plus AA endorsement 08/03/24 and Letter DPHI to Sydney Metro 26/02/24 (DPHI approval of extension of time to submit Bi-Annual Construction Monitoring Report)</p>	<p>Note that the OOHW Protocol required under D38 does not apply to CTP as all works are conducted under the EPL 21610.</p> <p>Tunnelling is the primary activity giving rise to groundborne noise. Groundborne noise criteria is presented in Section 4.2 of the Tunnelling DNVIS, and has been assessed in Section 5, with mitigation measures specified in Section 6. The criteria and mitigation measures have also been captured in the approved Noise and Vibration Management Plan (Sections 6 and 9).</p> <p>15 x complaints were recorded in the complaints register regarding noise/vibration from tunnelling activities. Each response indicates that the levels were as predicted.</p> <p>The Bi-Annual Construction Monitoring Report July – Dec 2023 indicates that groundborne noise has been monitored during the audit period on 15 occasions, consistent with the commitment from the Tunnelling DNVIS and Noise and Vibration Management Plan. The results are described as being above the NML and predicted levels on four occasions, but compliant with the Approval and Noise and Vibration</p>	Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
						Management Plan as additional notifications were provided prior to the impact occurring.	
D41	Noise generating work in the vicinity of potentially-affected community, religious, educational institutions and noise and vibration-sensitive businesses and critical working areas (such as theatres, laboratories and operating theatres) resulting in noise levels above the NMLs must not be timetabled within sensitive periods, unless other reasonable arrangements with the affected institutions are made at no cost to the affected institution.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>The Bays DNVIS, Hutchison Weller, 20/06/23</p> <p>Five Dock DNVIS, Hutchison Weller, 20/11/23</p> <p>North Strathfield DNVIS, Hutchison Weller, 04/10/23</p> <p>North Strathfield DNVIS addendum, Hutchison Weller, 23/08/23</p> <p>Burwood North DNVIS, Hutchison Weller, 15/08/23</p> <p>SOP DNVIS, Hutchison Weller, 14/08/23</p> <p>Tunnelling DNVIS, Hutchison Weller, 09/09/23</p> <p>ER Monthly Reports, HBI, Sep 23 – Jan 24</p> <p>AA Monthly Reports, Acoustic Studio, Sep 23 – Jan 24</p> <p>Complaints register current to 27/02/24</p>	<p>As noted by the Auditor in the previous audit, Section 9.5 of the Noise and Vibration Monitoring Plan states that "In accordance with Condition of Approval D41, noise generating work in the vicinity of potentially affected community, religious, educational institutions and noise and vibration-sensitive businesses and critical working areas (such as theatres, laboratories and operating theatres) resulting in noise levels above the NMLs will be timetabled so as to avoid sensitive periods, unless other reasonable arrangements have been made with the affected institutions."</p> <p>Sensitive Land Uses are identified in the Land Use Survey in each DNVIS and consultation with affected stakeholders is also included. The auditees have not identified any specific changes to scheduling required for the potentially affected stakeholders once the controls have been implemented.</p> <p>The complaints register and AA and ER Monthly Reports do not indicate issues associated with hours of work and sensitive land uses during the audit period.</p>	Compliant
D42	<p>Construction Noise and Vibration Mitigation and Management</p> <p>Industry best practice construction methods must be implemented where reasonably practicable to ensure that noise levels are minimised around sensitive land user(s). Practices must include, but are not limited to:</p> <ul style="list-style-type: none"> a) use of regularly serviced low sound power equipment; b) temporary noise barriers (including the arrangement of plant and equipment) around noisy equipment and activities such as rock hammering and concrete cutting; and c) use of alternative construction and demolition techniques. 	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>The Bays DNVIS, Hutchison Weller, 20/06/23</p> <p>Five Dock DNVIS, Hutchison Weller, 20/11/23</p> <p>North Strathfield DNVIS, Hutchison Weller, 04/10/23</p> <p>North Strathfield DNVIS addendum, Hutchison Weller, 23/08/23</p> <p>Burwood North DNVIS, Hutchison Weller, 15/08/23</p> <p>SOP DNVIS, Hutchison Weller, 14/08/23</p> <p>Tunnelling DNVIS, Hutchison Weller, 09/09/23</p> <p>Noise monitoring register, current 21/02/24 (airborne and groundborne)</p> <p>Groundborne Noise Monitoring Report 7 East Street, 08/02/24 and 12/02/24</p> <p>Vibration Monitoring Register, 31/01/24</p> <p>AFJV photo 24/01/24 (noise matting on generator at Five Dock)</p> <p>AFJV photo 08/02/24 (noise mats at North Strathfield)</p> <p>Bi-Annual Construction Monitoring Report July – Dec 2023, AFJV, 07/03/24, plus AA endorsement 08/03/24 and Letter DPHI to Sydney Metro</p>	<p>The noise and vibration controls are specified in the Noise and Vibration Management Plan and each DNVIS. The primary controls appear to be use of acoustic sheds at selected sites, adherence to construction hours and use of localised source and path controls (e.g.: hoarding, noise blankets, low noise generators, non-tonal beepers etc) and engagement with community. Refer to D35 – D37 regarding management of hours of work.</p> <p>The ER and AA have raised several observations around noise and vibration management in the Monthly Reports between September 2023 and January 2024, but have not identified any non-compliances in relation to hours or noise and vibration controls.</p> <p>Monitoring results indicate that the airborne noise has been within the predicted levels or that exceedances of predicted levels are from non-Project noise sources (e.g.: traffic etc). Vibration monitoring results determined all vibration to meet the applicable criteria, or spikes were not related to construction (e.g.: associated with instrument checks/battery changes).</p> <p>The complaints register recorded 38 x complaints regarding noise and vibration from CTP activities – none of which indicates a breach of D39.</p> <p>Refer to D39 and D43 for observations in relation to implementation of controls / assessment of noise.</p>	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					<p>26/02/24 (DPHI approval of extension of time to submit Bi-Annual Construction Monitoring Report)</p> <p>ER Monthly Reports, HBI, Sep 23 – Jan 24</p> <p>AA Monthly Reports, Acoustic Studio, Sep 23 – Jan 24</p> <p>Complaints register current to 27/02/24</p> <p>Letter DPHI to AFJV, 11/12/23 (penalty notice for Burwood North DNVIS (acoustic panel) not implemented; Five Dock DNVIS (West acoustic shed) not implemented; Five Dock West spoil truck reversing; plus caution of Five Dock West spoil truck marshalling; Five Dock CTMP not published.</p>		
D43	Detailed Noise and Vibration Impact Statements (DNVIS) must be prepared for any work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in Conditions D39 and D40 of this schedule at any residence outside construction hours identified in Condition D35 of this schedule, or where receivers will be highly noise affected. The DNVIS must include specific mitigation measures identified through consultation with affected sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the AA and ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy(ies) of the DNVIS.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>The Bays DNVIS, Hutchison Weller, 20/06/23 and AA endorsement, 10/07/23</p> <p>Five Dock DNVIS, Hutchison Weller, 20/11/23 and AA endorsement 22/11/23</p> <p>North Strathfield DNVIS, Hutchison Weller, 04/10/23 and AA endorsement 17/10/23</p> <p>North Strathfield DNVIS addendum, Hutchison Weller, 23/08/23 and AA endorsement 27/08/23</p> <p>Burwood North DNVIS, Hutchison Weller, 15/08/23 and AA endorsement 22/11/23 and updated DNVIS (endorsement pending), 07/03/24</p> <p>SOP DNVIS, Hutchison Weller, 14/08/23 and AA endorsement 02/11/23</p> <p>Tunnelling DNVIS, Hutchison Weller, 09/09/23 and AA endorsement 11/10/23</p> <p>DNVIS noise monitoring verification report 07/11/23 (verification of Bays DNVIS predictions)</p> <p>ER, AA, AFJV enviro approvals meeting 13/11/23 (confirmation of first four monthly review of Bays DNVIS)</p> <p>Email, Metro to AFJV, 10/11/23 (Metro acceptance of first four monthly review of Bays DNVIS).</p> <p>OOHW Permit and DNVIS, GNR water main adjustments, 24/10/23 (covering works from July – December 23)</p> <p>ITwoCx OOHW permit module (online)</p> <p>AFJV photo 24/01/24 (noise matting on generator at Five Dock)</p> <p>AFJV photo 08/02/24 (noise mats at North Strathfield)</p> <p>Bi-Annual Construction Monitoring Report July – Dec 2023, AFJV, 07/03/24, plus AA endorsement</p>	<p>DNVISs have been prepared for each site / works that may exceed the NMLs, vibration criteria and / or ground-borne noise levels. The DNVISs identify the criteria, work scenarios, potential impacts, controls and have been prepared in consideration of the measures raised through consultation with affected sensitive land user(s). The DNVISs have been reviewed and endorsed by the AA prior to implementation and prior to the relevant impacts being realised.</p> <p>Note that the DNVISs and Noise and Vibration Management Plan include statements whereby utilities and smaller OOHW that trigger these requirements that would be managed through the OOHW process (i.e.: not addressed in the primary DNVISs).</p> <p>The evidence sighted (monitoring reports, inspection reports, ER and AA Monthly Reports, OOHW permits) indicate that the DNVISs have been implemented during the audit period.</p> <p>On 11/12/23 the Department issued penalty infringement notices for the failure to implement the Five Dock DNVIS (acoustic shed not implemented at Five Dock West) and Burwood North DNVIS (acoustic panel not implemented). In both instances, the deficiencies were identified prior to the current audit period, and the relevant DNVISs were updated (within the current audit period) to reflect the current controls in place. The updated DNVISs were endorsed by the Project AA, and (whilst penalties have been imposed) the Department has not required the shed or panel to be installed.</p> <p>CTP Observation: The Burwood North site arrangement is different to that specified in the CEMP (preliminary Environmental Control Maps), in that the CEMP shows the Water Treatment Plant situated on the south of the site, against the Parramatta Road boundary, whereas the plant has been installed on the north boundary against 1 Loftus Street and 4-8 Burton Street residences.</p> <p>According to AFJV's response to a request for information (RFI2_61), the current Burwood North DNVIS modelled the Water Treatment Plant on the southern side of the site, not based on its actual arrangement. There is no commentary in the current DNVIS regarding the</p>	Non-Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					<p>08/03/24 and Letter DPHI to Sydney Metro 26/02/24 (DPHI approval of extension of time to submit Bi-Annual Construction Monitoring Report)</p> <p>ER Monthly Reports, HBI, Sep 23 – Jan 24</p> <p>AA Monthly Reports, Acoustic Studio, Sep 23 – Jan 24</p> <p>Complaints register current to 27/02/24</p> <p>Letter DPHI to AFJV, 11/12/23 (penalty notice for Burwood North DNVIS (acoustic panel) not implemented; Five Dock DNVIS (West acoustic shed) not implemented; Five Dock West spoil truck reversing; plus caution of Five Dock West spoil truck marshalling; Five Dock CTMP not published).</p> <p>Non-compliance Report, 06/11/23 and DPHI post approval portal lodgement 09/11/23 (non-compliance with D37(d)(iii) and D43)</p>	<p>predicted noise impact from operation of the Water Treatment Plant. AFJV further stated that the Water Treatment Plant was not listed in the current approved DNVIS because it was only periodically operational during standard construction hours and did not meet the criteria for 'highly noise affected' which would trigger the need for inclusion in DNVIS per D43.</p> <p>An updated DNVIS has been prepared and issued to the AA for review on 8/03/24. The updated DNVIS includes an assessment of the Water Treatment Plant on the north of the site, and to allow 24/7 operation of the Water Treatment Plant once the Burwood site becomes a TBM support site. The revised DNVIS indicates that predicted noise levels for the Water Treatment Plant would exceed the applicable night time NML and therefore reasonable and feasible mitigation measures will need to be implemented prior to night time operations of the Water Treatment Plant.</p> <p>A complaint has been received on 27/02/24 regarding the noise emanating from the Water Treatment Plant during out of hours. AFJV states that this was a result of a pump being left on accidentally rather than the Plant being operated on out of hours.</p> <p>WTP Non-compliance: WTP recorded a non-compliance with D37 and D43 regarding the late arrival of a crane without the relevant OOHW approval being approved. WTP was not aware of the plant arriving. The gatekeeper let the crane in and no complaints were received. The Project team was toolboxed and there has not been a recurrence</p>	
D44	DNVIS must be prepared for each construction site before construction noise and vibration impacts commence and include specific mitigation measures identified through consultation with affected sensitive land users.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>The Bays DNVIS, Hutchison Weller, 20/06/23 and AA endorsement, 10/07/23</p> <p>Five Dock DNVIS, Hutchison Weller, 20/11/23 and AA endorsement 22/11/23</p> <p>North Strathfield DNVIS, Hutchison Weller, 04/10/23 and AA endorsement 17/10/23</p> <p>North Strathfield DNVIS addendum, Hutchison Weller, 23/08/23 and AA endorsement 27/08/23</p> <p>Burwood North DNVIS, Hutchison Weller, 15/08/23 and AA endorsement 22/11/23</p> <p>SOP DNVIS, Hutchison Weller, 14/08/23 and AA endorsement 02/11/23</p> <p>Tunnelling DNVIS, Hutchison Weller, 09/09/23 and AA endorsement 11/10/23</p>	<p>DNVISs have been prepared for each site / works that may exceed the NMLs, vibration criteria and / or ground-borne noise levels. The DNVISs identify the criteria, work scenarios, potential impacts, controls and have been prepared in consideration of the measures raised through consultation with affected sensitive land user(s). The DNVISs have been reviewed and endorsed by the AA prior to implementation and prior to the relevant impacts being realised.</p>	Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
D45	Owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before works that generate vibration commences in the vicinity of those properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers are to be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. These properties must be identified and considered in the Noise and Vibration CEMP Sub-plan.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>The Bays DNVIS, Hutchison Weller, 20/06/23 and AA endorsement, 10/07/23</p> <p>Five Dock DNVIS, Hutchison Weller, 20/11/23 and AA endorsement 22/11/23</p> <p>North Strathfield DNVIS, Hutchison Weller, 04/10/23 and AA endorsement 17/10/23</p> <p>North Strathfield DNVIS addendum, Hutchison Weller, 23/08/23 and AA endorsement 27/08/23</p> <p>Burwood North DNVIS, Hutchison Weller, 15/08/23 and AA endorsement 22/11/23</p> <p>SOP DNVIS, Hutchison Weller, 14/08/23 and AA endorsement 02/11/23</p> <p>Tunnelling DNVIS, Hutchison Weller, 09/09/23 and AA endorsement 11/10/23</p> <p>Bi-Annual Construction Monitoring Report July – Dec 2023, AFJV, 07/03/24, plus AA endorsement 08/03/24 and Letter DPHI to Sydney Metro 26/02/24 (DPHI approval of extension of time to submit Bi-Annual Construction Monitoring Report)</p> <p>Five Dock Vibration Monitoring Report, Dec 23, Jan 24, 01-31/12/23, (includes heritage structures)</p> <p>ER Monthly Reports, HBI, Sep 23 – Jan 24</p> <p>AA Monthly Reports, Acoustic Studio, Sep 23 – Jan 24</p> <p>Complaints register current to 27/02/24</p>	<p>As noted by the Auditor in the previous audit, Section 9.2.2 of the Noise and Vibration Monitoring Plan states "CoA D45 requires owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before the works that generate vibration commences in the vicinity of the properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers are to be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. The properties at risk of exceeding the screening criteria will be identified in the DNVIS.</p> <p>Each DNVIS has completed an assessment for properties at risk of cosmetic damage. The DNVIS identify cosmetic damage risk associated with demolition and excavation works at Burwood North and Five Dock. The relevant works commenced and occurred prior to the current audit period (therefore notifications were provided prior to the current audit period and were not reviewed).</p> <p>Monitoring results for the audit period indicate that vibration levels were acceptable in all cases. Exceedances were attributed to non-construction (e.g.: related instrument check/battery changes). 13 x complaints were recorded about vibration, none of which indicated that cosmetic damage levels were breached.</p>	Compliant
D46	<p>Construction Vibration Mitigation – Heritage</p> <p>Vibration testing must be conducted during vibration generating activities that have the potential to impact on Heritage items to identify minimum working distances to prevent cosmetic damage. In the event that the vibration testing and attended monitoring shows that the preferred values for vibration are likely to be exceeded, the Proponent must review the construction methodology and, if necessary, implement additional mitigation measures. Such measures must include, but not be limited to, review or modification of excavation techniques.</p>	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>Vibration Monitoring Register, 31/01/24</p> <p>White Bay Power Station Monitoring Report Dec 21 – 24/09/23</p> <p>Bi-Annual Construction Monitoring Report July – Dec 2023, AFJV, 07/03/24, plus AA endorsement 08/03/24 and Letter DPHI to Sydney Metro 26/02/24 (DPHI approval of extension of time to submit Bi-Annual Construction Monitoring Report)</p> <p>Five Dock Vibration Monitoring Report, Dec 23, Jan 24, 01-31/12/23, (includes heritage structures)</p>	<p>Works were conducted within the heritage curtilage or safe working distance of the White Bay Power Station and St Albans Church during the audit period. The vibration monitoring conducted for both receivers indicates that results were acceptable or were a result of non AFJV construction activities (i.e.: works from another party such as FDC on the White Bay Power Station, or associated with instrument checks/battery changes).</p>	Compliant
D47	The Proponent must seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement and noise monitoring at Heritage items.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>Vibration Monitoring Register, 31/01/24</p>	<p>Works were conducted within the heritage curtilage or safe working distance of the White Bay Power Station and St Albans Church during the audit period. The evidence sighted indicates that the advice of a heritage specialist was obtained</p>	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					<p>White Bay Power Station Monitoring Report Dec 21 – 24/09/23</p> <p>Memo Artefact to AFJV, 20/11/22 (heritage advice on placement of vibration loggers at White Bay Power Station) plus email from Artefact to AFJV 15/12/22 (use of adhesive)</p> <p>Letter Comber consultants to AFJV, 23/02/22 (heritage advice on placement of logger at St Albans Church)</p>	for the installation of vibration monitoring equipment on these heritage items prior to the impact and monitoring commencing. The vibration geophones are attached using non-intrusive methods.	
D48	Before conducting at-property treatment at any Heritage item identified in the documents listed in Condition A1 of this schedule, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>The Bays DNVIS, Hutchison Weller, 20/06/23 and AA endorsement, 10/07/23</p> <p>Five Dock DNVIS, Hutchison Weller, 20/11/23 and AA endorsement 22/11/23</p> <p>North Strathfield DNVIS, Hutchison Weller, 04/10/23 and AA endorsement 17/10/23</p> <p>North Strathfield DNVIS addendum, Hutchison Weller, 23/08/23 and AA endorsement 27/08/23</p> <p>Burwood North DNVIS, Hutchison Weller, 15/08/23 and AA endorsement 22/11/23</p> <p>SOP DNVIS, Hutchison Weller, 14/08/23 and AA endorsement 02/11/23</p> <p>Tunnelling DNVIS, Hutchison Weller, 09/09/23 and AA endorsement 11/10/23</p> <p>Vibration Monitoring Register, 31/01/24</p> <p>White Bay Power Station Monitoring Report Dec 21 – 24/09/23</p> <p>Memo Artefact to AFJV, 20/11/22 (heritage advice on placement of vibration loggers at White Bay Power Station) plus email from Artefact to AFJV 15/12/22 (use of adhesive)</p> <p>Letter Comber consultants to AFJV, 23/02/22 (heritage advice on placement of logger at St Albans Church)</p>	The Auditor is not aware of the need of completing any at-property treatment on any heritage items during the audit period. Works were conducted within the heritage curtilage or safe working distance of the White Bay Power Station and St Albans Church during the audit period. Monitoring results indicate impacts were satisfactory or not related to AFJV works.	Not Triggered
D49	If a Heritage item is found to be structurally unsound (following inspection) a more conservative cosmetic damage criterion of 2.5 mm/s peak component particle velocity (from DIN 4150) must be applied.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>The Bays DNVIS, Hutchison Weller, 20/06/23 and AA endorsement, 10/07/23</p> <p>Five Dock DNVIS, Hutchison Weller, 20/11/23 and AA endorsement 22/11/23</p> <p>Vibration Monitoring Register, 31/01/24</p> <p>White Bay Power Station Monitoring Report Dec 21 – 24/09/23</p>	<p>Works were conducted within the heritage curtilage or safe working distance of the White Bay Power Station and St Albans Church during the audit period. St Albans Church was assessed and is not considered to be structurally unsound (as noted in the Five Dock DNVIS Section 6.3.2). Portions of the White Bay Power Station may be considered structurally unsound (as per Section 6.3.2 of The Bays DNVIS).</p> <p>The vibration monitoring conducted for both receivers indicates that results were acceptable or were a result of non AFJV construction activities (i.e.: works from another party such as FDC on the White Bay Power Station, or associated with instrument checks/battery changes).</p>	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					<p>Memo Artefact to AFJV, 20/11/22 (heritage advice on placement of vibration loggers at White Bay Power Station) plus email from Artefact to AFJV 15/12/22 (use of adhesive)</p> <p>Letter Comber consultants to AFJV, 23/02/22 (heritage advice on placement of logger at St Albans Church)</p>		
D50	<p>Utility Coordination and Respite</p> <p>All work undertaken for the delivery of Stage 1 of the CSSI, including those undertaken by third parties (such as utility relocations), must be coordinated to ensure respite periods are provided. The Proponent must:</p> <ol style="list-style-type: none"> reschedule any work to provide respite to impacted noise sensitive receivers so that the respite is achieved in accordance with Condition D51 of this schedule; or consider the provision of alternative respite or mitigation to impacted noise sensitive receivers; and provide documentary evidence to the AA in support of any decision made by the Proponent in relation to respite or mitigation. <p>The consideration of respite must also include all other approved Critical SSI, SSI and SSD projects which may cause cumulative and / or consecutive impacts at receivers affected by the delivery of Stage 1 of the CSSI.</p>	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Noise and Vibration Management Plan, AFJV, 13/07/22</p> <p>Bays Traffic and Cumulative Impact Meeting, Sep 23 – Feb 24</p> <p>CTP OOHW Permit register 2024</p> <p>ITwoCx OOHW permit module (online)</p> <p>AA Monthly Reports, Acoustic Studio, Sep 23 – Jan 24</p>	<p>The primary risk area for CTP is at The Bays and (to a lesser extent) SOP. Controls appear to be in place to manage coordination and respite.</p> <p>A coordination forum is held on a monthly basis for The Bays (The Bays Traffic and Cumulative Impact Meeting). The group members include Sydney Metro, AFJV, JCG (from ETP), TfNSW, Rozelle Interchange, Western Harbour Tunnel, CBRE and Port Authority. Upcoming works are discussed including a standing agenda item on potential cumulative impacts and actions to prevent or minimise those impacts. Construction programs, OOHW programs and traffic closures and arrangements are discussed.</p> <p>The Project operates ITwoCx online module to manage OOHW. This has digitised the OOHW permit process and includes hold points. Justifications / requirements align with the EPL. It includes the OOHW assessment and the required mitigation measures for each. Coordination to ensure respite is achieved is included in the module.</p> <p>The OOHW register identifies the schedule of all OOHW across the audit period, the status of the OOHW approval, the degree of impact and the respite periods. The auditees are not aware of any circumstances of unplanned OOHW during the audit period. 36 x complaints were received during the audit period regarding OOHW on CTP. All complaints, except one, appear to have been related to planned and approved OOHW, or were not related to the Project.</p> <p>None of the complaints indicate that there have been instances whereby the respite could not be / have not been achieved. The AA has not identified any breaches of this requirement in the Monthly Reports.</p>	Compliant
D51	<p>Out-of-Hours Works – Community Consultation and Respite</p> <p>In order to undertake out-of-hours work outside the work hours specified under Condition D35 of this schedule, appropriate respite periods for the out-of-hours work must be identified in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with:</p> <ol style="list-style-type: none"> a progressive schedule for periods no less than three (3) months, of likely out-of-hours work; a description of the potential work, location and duration of the out-of-hours work; the noise characteristics and likely noise levels of the work; and likely mitigation and management measures which aim to achieve the relevant NMLs under Condition D39 (including the circumstances of when respite or 	To be audited in February 2024.	To be audited in February 2024.	Not subject to audit at this time.	<p>Out of Hours Lookahead Notifications, Oct-Dec 23, Jan-March 2024</p> <p>D51 Outcomes of Community Consultation Report – October - December 2023 (Q4), Sydney Metro 30/01/24</p> <p>Email Sydney Metro to ER and AA, 09/02/24 (submission of D51 Outcomes of Community Consultation Report – October - December 2023 (Q4) to ER and AA)</p> <p>DPHI post approval portal lodgement, 09/02/24 (submission of D51 Outcomes of Community Consultation Report – October - December 2023 (Q4) to DPHI)</p>	<p>Construction look aheads are issued to the community on at least a quarterly basis. The look aheads include a quarterly schedule, a summary of the activity, the likely noise impact (low-high) and the mitigation measures. The look aheads invite feedback.</p> <p>The outcomes of community consultation are consolidated across each phase and reported by Sydney Metro in a Community Consultation Report, which is issued to the ER, AA, DPHI and the EPA. The Report appears to be consistent with this condition, and the processes described in the approved OOHW Protocol. The Auditor is not aware of the ER, AA, EPA or Department raising any issue with the form or content of the D51 Report.</p>	Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	<p>relocation offers will be available and details about how the affected community can access these offers).</p> <p>The outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hour work must be provided to the AA, EPA and the Planning Secretary.</p> <p>Note: Respite periods can be any combination of days or hours where out-of-hours work would not be more than 5 dB(A) above the RBL at any residence.</p>				Email Sydney Metro to EPA, 09/02/24 (submission of D51 Outcomes of Community Consultation Report – October - December 2023 (Q4) to EPA).		
D52	<p>Traffic Noise Mitigation at Westmead</p> <p>Sensitive land uses located along local roads used to divert traffic from the closure of Alexandra Avenue in Westmead that will be affected by additional road traffic noise from the diverted traffic in excess of the criteria identified in the NSW Road Noise Policy (the RNP criteria) during construction of Stage 1 of the CSSI (the Affected Properties) are eligible to receive at-property noise mitigation treatments.</p> <p>Owners of Affected Properties must be advised of the range of noise mitigation options that can be installed at or in their property and given a choice as to which of these they agree to have installed. A copy of all noise mitigation guidelines and procedures that will be used to determine at-property treatment at each Affected Property must be provided to the property owner.</p> <p>At property mitigation measures and packages must be determined based on the measured exceedance levels above the RNP criteria. Road traffic noise levels must be measured before and after the altered traffic flow detour.</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D53	<p>Blasting</p> <p>Blasting associated with Stage 1 of the CSSI must only be undertaken during the following hours:</p> <ul style="list-style-type: none"> a) 9:00am to 5:00pm, Monday to Friday, inclusive; b) 9:00am to 1:00pm on Saturday; and c) at no time on Sunday or public holidays; or d) as authorised through an EPL. <p>This condition does not apply in the event of a direction from the NSW Police Force or other relevant authority for safety or emergency reasons to avoid loss of life, property loss and / or to prevent environmental harm.</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D54	<p>Blasting Management Strategy</p> <p>A Blast Management Strategy must be prepared and must include:</p> <ul style="list-style-type: none"> a) sequencing and review of trial blasting to inform blasting; b) regularity of blasting; c) intensity of blasting; d) periods of relief; and e) blasting program. 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
D55	The Blast Management Strategy must be endorsed by a suitably qualified and experienced person.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D56	The Blast Management Strategy must be prepared in accordance with relevant guidelines in order to ensure that all blasting and associated activities are carried out so as not to generate unacceptable noise and vibration impacts or pose a significant risk to sensitive land user(s).	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D57	The Blast Management Strategy must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of blasting. The Blast Management Strategy as submitted to the Planning Secretary, must be implemented for all blasting activities.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
Socio-Economic, Land Use and Property							
D58	Stage 1 of the CSSI must be designed and constructed with the objective of minimising impacts to, and interference with, third party property and infrastructure, and that such infrastructure and property is protected during construction.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>AFJV utilities Impact Assessment Report (no date)</p> <p>Ausgrid Asset Meeting Minutes, 16/10/23</p> <p>Email Zinfra (Jemena) to AFJV, 06/03/24 (sharing of as-builts for Burwood gas main)</p> <p>Sydney Water Weekly Meeting Minutes, 01/11/23</p> <p>CTP Monthly construction interface meeting with CoCBC, Sep 23 – Feb 24</p> <p>Email CoCBC to AFJV, 08/11/22 (North Strathfield Local Area Works Design- close out of CoCB Council comments - RELATING TO CROSSFALL COMMENTS)</p> <p>Contract Notice, Sydney Metro to AFJV, 21/09/23 (descoping of Package 02E Roads Interface (Loftus and Burton Street Local Area Works to the benefit of CoCBC)</p> <p>Contract Notice, Sydney Metro to AFJV, 11/10/23 (descoping of North Strathfield Civil Works for Queen, Wellbank, Pomeroy and George Streets)</p> <p>Burwood North Driveways Detailed Design Report, AFJV, 04/08/23</p> <p>CoCBC Interface Agreement, Sch 2 (no date)</p>	<p>According to AFJV, meetings are held with Sydney Water fortnightly, with Ausgrid as required, with Jemena fortnightly (although this engagement has been completed due to the relevant works having been completed). Consultation with Telstra occurs via emails. Consultation with CoCBC occurs monthly in addition to the design reviews on Local Area Works (conducted prior to the current audit period).</p> <p>Some Local Area Works have been descoped from the Project, demonstrating that the Project design has sought to avoid impacting CoCBC property.</p> <p>The Burwood North Driveway Design Report was sighted, this document shows how Council, TfNSW and Austroad design requirements have been considered; consistent with the requirements from the relevant Interface Agreement. The associated Requirements Verification Traceability Matrix sets out how each relevant design requirement has or has not been met. Non-compliances are included but these are ultimately determined to the be adequate (or not) by the Independent Certifier and Sydney Metro.</p> <p>Evidence to demonstrate that consultation was completed was sighted, but was not comprehensive. Therefore the Auditor cannot verify whether the third party asset owners are satisfied with potential impacts / interference in all cases.</p>	Compliant
D59	The utilities and services (hereafter "services") potentially affected by construction must be identified to determine requirements for diversion, protection and / or support. Alterations to services must be determined by negotiation between the Proponent and the service providers. Disruption to services resulting from construction must be avoided, wherever possible, and advised to customers where it is not possible.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D60	Condition Survey A suitably qualified and experienced person must undertake condition surveys of all buildings, structures, utilities and the like identified in the documents listed in Condition A1 of this schedule as being at risk of damage before commencement of any work that could impact on the subject surface / subsurface structure. The results of the surveys must be documented in	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	a Preconstruction Condition Survey Report for each item surveyed. Copies of Pre-construction Condition Survey Reports must be provided to the relevant owners of the items surveyed in the vicinity of the proposed work, and no later than one (1) month before the commencement of the work that could impact on the subject surface / subsurface structure.						
D61	Condition surveys of all items for which condition surveys were undertaken in accordance with Condition D60 of this schedule must be undertaken by a suitably qualified and experienced person after completion of the work identified in Condition D60 of this schedule. The results of the surveys must be documented in a Post-construction Condition Survey Report for each item surveyed. Copies of Post-construction Condition Survey Reports must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure unless otherwise agreed by the Planning Secretary.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D62	The Proponent, where liable, must rectify any property damage caused directly or indirectly (for example from vibration or from groundwater change) by the work at no cost to the owner. Alternatively, the Proponent may pay compensation for the property damage as agreed with the property owner. Rectification or compensation must be undertaken within 12 months of completion of the work identified in Condition D60 of this schedule unless another timeframe is agreed with the owner of the affected surface or sub-surface structure or recommended by the IPIAP.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D63	Appropriate equipment to monitor areas in proximity of construction sites and the tunnel route during construction must be installed with particular reference to at risk buildings, structures and utilities identified in the condition surveys required by Condition D60 of this schedule and / or geotechnical analysis as required. If monitoring during construction indicates exceedance of predicted impacts identified in the documents in Condition A1 or determined through geotechnical analysis, then all construction affecting settlement must cease immediately if it is safe to do so and must not resume until fully rectified or a revised method of construction is established that will ensure protection of affected buildings. [MOD-3, 4 Jul 2022]	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D64	An Independent Property Impact Assessment Panel (IPIAP) must be established. The Planning Secretary must be informed of the members of the IPIAP and the IPIAP must comprise geotechnical and engineering experts independent of the design and construction team. The IPIAP will be responsible for independently verifying condition surveys undertaken under Conditions D60 and D61 of this schedule, the resolution of property damage disputes and the establishment of ongoing settlement monitoring requirements.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D65	Either the affected property owner or the Proponent may refer unresolved disputes arising from potential and/or actual property impacts to the IPIAP for resolution. All costs incurred in the establishing and implementing of the panel must be borne by the Proponent regardless of which party makes a referral to the IPIAP. The findings and recommendations of the IPIAP are final and binding on the Proponent.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D66	Settlement monitoring must be extended if directed so by the IPIAP following its review of the monitoring data from the period not less than six (6) months after settlement has stabilised, consistent with Condition D63 of this schedule. The results of the monitoring must be made available to the Planning Secretary upon request. [MOD-3, 4 Jul 2022]	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
D67	<p>Community Benefit Plan(s)</p> <p>A Community Benefit Plan(s) must be prepared, by a suitably qualified and experienced person, to guide the delivery of measures identified in the documents listed in Condition A1 of this schedule relating to social impacts and the development of community benefit initiatives. The Community Benefit Plan(s) must aim to:</p> <ul style="list-style-type: none"> a) make a positive contribution to the potentially affected community; b) respond to community priorities and needs; c) create positive community or environmental outcomes; and d) prioritise consideration of achieving outcomes for enhancing community character, community culture and the local surroundings. <p>Nothing in this condition prevents the preparation of individual Community Benefit Plans for each station precinct.</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D68	<p>The Community Benefit Plan(s) must be submitted to the Planning Secretary for information before construction. The Community Benefit Plan(s) must be implemented for the duration of construction.</p>	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>Community Benefits Plan, Sydney Metro, 21/12/22</p> <p>DPIE lodgement confirmation of the Community Benefit Plan (Dated 08 November 2021)</p> <p>DPIE post approval form (Dated 08 November 2022)</p> <p>DPIE approval letter for the Community benefit Plan (Approved 12 November 2021)</p> <p>Sustainability Update to Key Stakeholders, 24/01/24</p> <p>CTP Community Benefits Register, SMWSTCTP-AFJ-1NL-CG-REG-000001</p>	<p>According to the Auditor in the previous audit, the Community Benefits Plan (CBP) was prepared and submitted to the Department prior to the current audit period. The CBP addressed the requirements from D67.</p> <p>3 x CBP actions have been completed during the audit period, with 10 x legacy initiatives achieved and another 5 x approved for implementation. The CBP tracker indicates that each initiative will be delivered before completion of the project. The approval process includes an assessment against the CBP and the impact areas from the EIS.</p>	Compliant
D69	<p>Potential impacts on the operation of festivals or events at Parramatta, Sydney Olympic Park or Five Dock must be limited as reasonably practicable.</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D70	<p>Small Business Owners Engagement Plans</p> <p>Small Business Owners Engagement Plan(s) must be implemented in accordance with the Overarching Community Communication Strategy to minimise impact on small businesses adjacent to major construction sites during construction of Stage 1 of the CSSI. These plans must be prepared and submitted to the Planning Secretary for information before construction at the relevant construction site.</p>	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>Small Business Owners Engagement Plan, AFJV, April 2023</p> <p>Consultation Manager (online module), plus extracts showing targeted consultation with Advanced Buildings at North Strathfield and Revelry Cycles at Burwood North.</p> <p>AFJV Catering invoice Appetite, 02/02/24 and Queens Café, 22/11/23</p>	<p>According to the auditees, there have been no specific communication / engagement initiatives for the last 6 months as the sites are now established with tunnelling ongoing. All business owners engagement is now business as usual (involving regular updates). That being said, evidence showing targeted engagement with two businesses at North Strathfield and Burwood North was sighted, as was evidence of implementation of the ShopLocal initiative.</p> <p>To note: Section 2.5 of the SBOEP states that Evaluation of the performance and effectiveness of the Small Business Owners Engagement Plan will be undertaken every six months or as required in accordance with the measures outlined in the CCS and OCCS. Refer to B1 regarding the absence of a review / evaluation of the SBOEP for the audit period.</p>	Compliant
Soils and Contamination							

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
D71	<p>Contaminated Sites</p> <p>Before commencement of any construction that would result in the disturbance of moderate to high risk contaminated sites as identified in the documents identified in Condition A1 of this schedule, Detailed Site Investigations (for contamination) must be conducted to determine the full nature and extent of the contamination. The Detailed Site Investigation Report(s) and the subsequent report(s), must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Detailed Site Investigations must be undertaken in accordance with guidelines made or approved under section 105 of Contaminated Land Management Act 1997 (NSW). The Detailed Site Investigation for Sydney Olympic Park metro construction site must be prepared in consultation with SOPA.</p> <p>Note: Nothing in this condition prevents the Proponent from preparing individual Detailed Site Investigation Reports (for contamination) for separate sites.</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Westmead to The Bays and Sydney CBD Environmental Impact Statement Concept and Stage 1 Technical Paper 8 Contamination</p> <p>Contaminated Land Document Requirements Register, December 23 (SM Reporting tab)</p>	<p>As noted by the Auditor in the previous audit, Epic Environmental Pty Ltd (Epic) has been engaged by Gamuda Laing O'Rourke (GLC) consortium to manage the contaminated land management strategy for the Sydney Metro West Western Tunnelling Package. As part of the strategy, Epic have undertaken detailed site investigation (DSI) and have overseen remediation and validation works at Westmead station, Parramatta station, and the Clyde MSF.</p> <p>According to the contaminated land tracker presented to the ER and Sydney Metro, all DSIs (13 x DSIs in all) were completed prior to the current audit period and prior to commencement of ground disturbance at the subject sites, with 4 x final DSI reports pending).</p>	Compliant
D72	<p>Should remediation be required to make land suitable for the final intended land use, a Remedial Action Plan must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CenvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Remedial Action Plan must be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997 (NSW) and must include measures to remediate the contamination at the site to ensure the site will be suitable for the proposed use when the Remedial Action Plan is implemented. The Remedial Action Plan for Sydney Olympic Park metro construction site must be prepared in consultation with SOPA.</p> <p>Note: Nothing in this condition prevents the Proponent from preparing individual Remedial Action Plans for separate sites.</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Westmead Petrol Station UPSS RAP, 19/12/22</p> <p>Westmead Station - UPSS Validation Report, Revision B (13/01/23) and revised on the 19/1/2024 (Rev.C)</p> <p>Sitewide offsite disposal RAP, Epic, 05/11/22 and Section B SAS, Geosyntec, 25/11/22</p> <p>Clyde Zone 1 – RAP, Epic, 15/03/23 and Section B SAS, Geosyntec 19/05/23</p> <p>Clyde Zone 5 - RAP, Epic, 22/02/24 (under review by Contaminated Sites Auditor)</p> <p>Clyde Zone 6 – RAP, Epic, 14/02/24 (under review by Contaminated Sites Auditor)</p> <p>Contaminated Land Document Requirements Register, December 23 (SM Reporting tab)</p>	<p>RAPs are not required for Parramatta or SOP.</p> <p>RAPs have been prepared for sitewide offsite disposal, Westmead UPSS removal, Clyde Zone 1, Clyde Zone 5 and Clyde Zone 6.</p> <p>The UPSS RAP was reviewed, endorsed and works completed prior to the current audit period, with the review of the validation report pending.</p> <p>The sitewide offsite disposal and Clyde Zone 1 RAPs have been approved by the Contaminated Sites Auditor via issue of the Section B Site Audit Statements. The Clyde Zone 5 and Zone 6 RAPs are still under review by the Contaminated Sites Auditor.</p>	Compliant
D73	<p>Before commencing remediation, a Section B Site Audit Statement(s) must be prepared by an NSW EPA-accredited Site Auditor that certifies that the Remedial Action Plan(s) is/are appropriate and that the site can be made suitable for the proposed use. The Remedial Action Plan(s) must be implemented and any changes to the Remedial Action Plan(s) must be approved in writing by the NSW EPA-accredited Site Auditor.</p> <p>Note: Nothing in this condition prevents the Proponent from engaging an NSW EPA-accredited Site Auditor to prepare individual Site Audit Statements for Remedial Action Plans for separate sites.</p>	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Westmead Petrol Station UPSS RAP, 19/12/22</p> <p>Westmead Station - UPSS Validation Report, Revision B (13/01/23) and revised on the 19/1/2024 (Rev.C)</p> <p>Sitewide offsite disposal RAP, Epic, 05/11/22 and Section B SAS, Geosyntec, 25/11/22</p> <p>Clyde Zone 1 – RAP, Epic, 15/03/23 and Section B SAS, Geosyntec 19/05/23</p> <p>Clyde Zone 5 - RAP, Epic, 22/02/24 (under review by Contaminated Sites Auditor)</p> <p>Clyde Zone 6 – RAP, Epic, 14/02/24 (under review by Contaminated Sites Auditor)</p> <p>Contaminated Land Document Requirements Register, December 23 (SM Reporting tab)</p>	<p>RAPs are not required for Parramatta or SOP.</p> <p>RAPs have been prepared for sitewide offsite disposal, Westmead UPSS removal, Clyde Zone 1, Clyde Zone 5 and Clyde Zone 6.</p> <p>The UPSS RAP was reviewed, endorsed and works completed prior to the current audit period, with the review of the validation report pending.</p> <p>The sitewide offsite disposal and Clyde Zone 1 RAPs have been approved by the Contaminated Sites Auditor via issue of the Section B Site Audit Statements. The Clyde Zone 5 and Zone 6 RAPs are still under review by the Contaminated Sites Auditor.</p>	Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
D74	Validation Report(s) must be prepared in accordance with Consultants Reporting on Contaminated Land: Contaminated Land Guidelines (EPA, 2020) and relevant guidelines made or approved under section 105 of the Contaminated Land Management Act 1997 (NSW). Note: Nothing in this condition prevents the Proponent from preparing individual Validation Reports for separate sites.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Westmead Petrol Station UPSS RAP, 19/12/22 Westmead Station - UPSS Validation Report, Revision B (13/01/23) and revised on the 19/1/2024 (Rev.C) Interview with WTP, 01/03/23 Contaminated Land Document Requirements Register, December 23 (SM Reporting tab)	There have been no validation reports completed / endorsed under D74 during the audit period.	Not Triggered
D75	A Section A1 or Section A2 Site Audit Statement (accompanied by an Environmental Management Plan) and its accompanying Site Audit Report, which state that the contaminated land disturbed by the work has been made suitable for the intended land use, must be submitted to the Planning Secretary, SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) after remediation and before the commencement of operation of the CSSI. Note: Nothing in this condition prevents the Proponent from obtaining Section A Site Audit Statements for individual parcels of remediated land.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Interview with WTP, 01/03/23 Contaminated Land Document Requirements Register, December 23 (SM Reporting tab)	Remediation works are ongoing or have yet to commence. No Section A Site Audit Statements or Site Audit Reports have been prepared thus far.	Not Triggered
D76	A copy of Detailed Site Investigation Report(s), Remedial Action Plan(s), Validation Report(s), Site Audit Report(s) and Site Audit Statement(s) must be submitted to the Planning Secretary, SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) for information.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Interview with WTP, 01/03/23	WTP will undertake this task once, upon completion of all remediation works on site and issue of the Site Audit Statements and Site Audit Reports and prior to operations as per D75. Remediation works are ongoing.	Not Triggered
D77	An Unexpected Contaminated Land and Asbestos Finds Procedure must be prepared before the commencement of construction and must be followed should unexpected contaminated land or asbestos (or suspected contaminated land or asbestos) be excavated or otherwise discovered during construction.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Soil and Water Management Plan, GLC, 14/12/23 Acid Sulphate Soil Work Method Statement, Revision C (Issued on 17 May 2023) Interview with auditees 26/02/24 and 01/03/24	The Soil and Water Management Plan (SWMP) has been prepared and is being implemented for the Phase F works, with an Unexpected Contaminated Land and Asbestos Finds Procedure as Attachment 4. The Procedure has been subject to review by the ER (as part of review and endorsement of the SWMP) and deemed to be adequate. The Auditor agrees. According to the auditees, there were no unexpected contamination or asbestos finds during the audit period.	Compliant
D78	The Unexpected Contaminated Land and Asbestos Finds Procedure must be implemented throughout construction.	To be audited in February 2024.	To be audited in February 2024.	Not subject to audit at this time.	Soil and Water Management Plan, AFJV, 10/11/23 Soil and Water Management Plan, GLC, 14/12/23 Interview with auditees 26/02/24 and 01/03/24	The Soil and Water Management Plan (SWMP) has been prepared and is being implemented for the Phase B works, with an Unexpected Contaminated Land and Asbestos Finds Procedure as Appendix E. The Procedure has been subject to review by the ER (as part of review and endorsement of the SWMP) and deemed to be adequate. The Auditor agrees. According to the auditees, there were no unexpected contamination or asbestos finds during the audit period. The Soil and Water Management Plan (SWMP) has been prepared and is being implemented for the Phase F works, with an Unexpected Contaminated Land and Asbestos Finds Procedure as Attachment 4. The Procedure has been subject to review by the ER (as part of review and endorsement of the SWMP) and deemed to be adequate. The Auditor agrees. According to the auditees, there were no unexpected contamination or asbestos finds during the audit period.	Not Triggered
Sustainability							

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
D79	<p>A Water Reuse Strategy must be prepared, which sets out options for the reuse of collected stormwater and groundwater during Stage 1 of the CSSI. The Water Reuse Strategy must include, but not be limited to:</p> <ul style="list-style-type: none"> a) evaluation of reuse options; b) details of the preferred reuse option(s), including volumes of water to be reused, proposed reuse locations and/or activities, proposed treatment (if required), and any additional licences or approvals that may be required; c) measures to avoid misuse of recycled water as potable water; d) consideration of the public health risks from water recycling; and e) time frame for the implementation of the preferred reuse option(s). <p>The Water Reuse Strategy must be prepared based on best practice and advice sought from relevant agencies, as required. The Strategy must be applied during construction.</p> <p>Justification must be provided to the Planning Secretary if it is concluded that no reuse options prevail.</p> <p>A copy of the Water Reuse Strategy must be made publicly available.</p> <p>Nothing in this condition prevents the Proponent from preparing separate Water Reuse Strategies for the construction phases of Stage 1 of the CSSI.</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
Traffic and Transport							
D80	Access to all utilities and properties must be maintained during works, unless otherwise agreed with the relevant utility owner, landowner or occupier.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>Interview with auditees 26-27/02/24</p> <p>CTP Overarching Construction Traffic Management Plan, AFJV, 12/10/23</p> <p>CTP CTMP The Bays, AFJV, 13/02/24</p> <p>CTP CTMP SOP, AFJV, 21/10/22</p> <p>CTP CTMP Burwood North, AFJV, 05/02/24</p> <p>CTP CTMP Five Dock, AFJV, 12/02/24</p> <p>CTP CTMP North Strathfield, AFJV, 24/07/23</p> <p>AFJV dashcam files (various)</p> <p>AFJV Weekly Supervisors Report Sep 23 – Feb 24</p> <p>AFJV Lucidity Online Traffic Audit Module Sep 23 – Feb 24</p> <p>Complaints register current to 27/02/24</p>	<p>Access arrangements are established through the CTMPs (including primary Traffic Guidance Schemes (TGS)). AFJV completes a weekly inspection of each site to verify the traffic controls and arrangements in place align with the TGS and are free from hazards. Videos the traffic arrangements via dashcam are also used in support of each TGS. More detailed assessments are also conducted by the Traffic Manager and Traffic Coordinators and the frequencies align with the commitments from the CTMPs. Safety Observations are raised in circumstances whereby improvements can be made, the observations are then tracked through Lucidity to completion. At the time of the audit interviews there were no actions outstanding as each was rectified at the time of the observation being made.</p> <p>According to the complaints register, there were 5 x complaints regarding the blocking of access during the audit period, 3 x of which were classed as avoidable. Refer to D90 regarding non-compliances with obstructing driveways/access.</p>	Compliant
D81	Any property access physically affected by the CSSI must be reinstated to at least an equivalent standard, unless otherwise agreed by the landowner or occupier. Property access must be reinstated within one (1) month of the work that physically affected the access is completed or in any other timeframe agreed with the landowner or occupier.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>Property Damage Claim Register, AFJV, Rev 4</p> <p>Property Works Register, AFJV, Rev 18.</p> <p>Complaints register current to 27/02/24</p>	<p>According to the CTP auditees, third party property damage is managed via the Property Damage Claim Process (where owner advises works have allegedly caused damage) or as 'Property Works' (where AFJV know they need to impact a driveway and seek permission/close out).</p> <p>Property Damage Claims are managed via the Property Damage Claim Register. According to the register there are 4 x claims currently open. According to the complaints register</p>	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
						<p>there were 4 complaints received regarding property damage, including one under investigation. 3 x complaints were discounted / rejected on the basis that there was insufficient evidence linking the works to the damage. The Auditor is not aware of the Department raising concern in relation to these complaints.</p> <p>Property Works are managed under a Property Works Register issued to Sydney Metro on a monthly basis. 1 x property works item is considered open for the audit period.</p>	
D82	Construction vehicles (including light vehicles) must not use Robert Street, Rozelle to access The Bays metro station construction site, unless required in the event of an emergency or in association with the delivery of the Rozelle power supply from the Rozelle sub-transmission substation to The Bays metro station construction site.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D83	The locations of all Heavy Vehicles used for spoil haulage must be monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.	To be audited in February 2024 ** Should reductions in the audit scope be required (so the in-person audit activities are conducted and carried out within the allocated five days), the Department suggests that this condition be de-prioritised.	Not subject to audit at this time.	Not subject to audit at this time.	<p>Non-compliance Report, 02/11/23 and post approval portal lodgement 03/11/23 (truck using unapproved haul route and parking over driveway as non-compliance with D90).</p> <p>Non-compliance Report, 15/11/23 and post approval portal lodgement 15/11/23 (truck using unapproved haul route as non-compliance with D90).</p> <p>Linked Site module screen shot (27/02/24)</p> <p>Interview with WTP auditees 04/03/24</p> <p>Email GLC to Eastern Plant Hire, 11/03/24 (standing down of trucks that do not have real-time GPS functioning)</p> <p>Attcall Toolbox Talk, 20/02/24 (communication of mandatory use of Navman GPS)</p> <p>Earther Group Toolbox Talk, 15/02/24 (communication of mandatory use of Navman GPS)</p> <p>Mulgoa Group Toolbox Talk, 20/02/24 (communication of mandatory use of Navman GPS)</p> <p>Email GLC to haulage companies, 16/02/24 (notice of issues with use of Navman GPS, driver behaviour and marshalling on residential streets)</p> <p>Email GLC to EPH Group, 19/09/23 (notice of trucks without functional Navman GPS).</p> <p>Email GLC to Lantrack, 19/09/23 (notice of trucks without functional Navman GPS).</p> <p>Letters, GLC to Lantrack, Attcall, Bakers Group, EPH, Earther Group, LNG, SRC, 15/02/24</p>	<p>CTP Observation: Two non-compliances were identified and reported by the auditees in relation to trucks not using approved haul routes in accordance with D90, but both of these events were identified by a community member or TfNSW CJP (and not via real time monitoring). The Auditor observes that failure by the auditee to identify these off route events raises questions as to whether the real-time monitoring of all Heavy Vehicles used for spoil haulage is functioning or being managed correctly, is monitored in real time in all cases, the haul route boundaries are accurate or the team administering the system are aware of the requirements of D90.</p> <p>WTP Observation: During the audit interviews, GLC stated that there have been instances whereby they have become aware of haulage vehicles not having functioning real-time tracking in place. GLC have undertaken the following actions:</p> <ul style="list-style-type: none"> • Issued commercial letters to remind haulage contractors of their obligations and that the commercial implications with misuse or poor maintenance/installation • Stood down vehicles whose Teletrac Navman Systems are not turned on or functional (thus avoiding having spoil haulage occurring without real-time tracking) • Toolboxed each company on the requirements around the Teletrac Navman System, the commercial implications of mismanagement and where further support can be provided. 	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
D84	The primary egress routes for spoil haulage trucks at Sydney Olympic Park metro station construction site must be determined in consultation with SOPA.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D85	Construction Traffic Management Plans (CTMPs) must be prepared in accordance with the Construction Traffic Management Framework. A copy of the CTMPs must be submitted to the Planning Secretary for information before the commencement of any construction in the area identified and managed within the relevant CTMP.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Overarching Construction Traffic Management Plan, AFJV, 12/10/23</p> <p>CTP CTMP The Bays, AFJV, 13/02/24</p> <p>CTP CTMP SOP, AFJV, 21/10/22</p> <p>CTP CTMP Burwood North, AFJV, 05/02/24</p> <p>CTP CTMP Five Dock, AFJV, 12/02/24</p> <p>CTP CTMP North Strathfield, AFJV, 24/07/23</p> <p>Non-compliance Report, 17/10/23 and post approval portal lodgement 18/10/23 (truck movements exceeding the CTMP numbers).</p>	<p>CTMPs were all prepared and submitted to the Department prior to the current audit period.</p> <p>CTP Non-compliance: On 6, 10, 12, 27/05/23 (prior to current audit period) truck movements at Five Dock West exceeded the CTMP numbers during peak hours in the approved CTMP. The maximum number of truck movements per hour was 28 trucks during a single hour period during peak hours. On 30/06/23 (prior to current audit period) the truck movements at Five Dock East exceeded the CTMP numbers during morning peak hours in the approved CTMP for the eastern site with 22 heavy vehicle movements recorded during one peak hour. AFJV became aware of the Non-Compliance whilst reviewing data on the 10/10/23 (up to 6 months after the first event) and reported it on 18/10/23.</p>	Non-Compliant
D86	Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 of this schedule must be approved by the Planning Secretary and be included in the CTMPs.	To be audited in February 2024 ** Should reductions in the audit scope be required (so the in-person audit activities are conducted and carried out within the allocated five days), the Department suggests that this condition be de-prioritised.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Overarching Construction Traffic Management Plan, AFJV, 12/10/23</p> <p>CTP CTMP The Bays, AFJV, 13/02/24</p> <p>CTP CTMP SOP, AFJV, 21/10/22</p> <p>CTP CTMP Burwood North, AFJV, 05/02/24</p> <p>CTP CTMP Five Dock, AFJV, 12/02/24</p> <p>CTP CTMP North Strathfield, AFJV, 24/07/23</p> <p>Burton Street HVLR, AFJV, 15/09/22</p> <p>Sydney Olympic Park HVLR, AFJV, 22/12/21 and DPHI approval 14/01/22</p>	All proposed local road haulage routes were established and approved prior to the current audit period, and incorporated into the relevant CTMPs. Refer D90 regarding unapproved use of local roads.	Compliant
D87	All requests to the Planning Secretary for approval to use local roads under Condition D86 above must include the following: <ul style="list-style-type: none"> a) a swept path analysis; b) demonstration that the use of local roads by Heavy Vehicles for the CSSI will not compromise the safety of pedestrians and cyclists of the safety of two-way traffic flow on two-way roadways; c) details as to the date of completion of the road dilapidation surveys for the subject local roads; and d) measures that will be implemented to avoid where practicable the use of local roads past schools, aged care facilities and child care facilities during their peak operation times; and 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	e) written advice from an appropriately qualified professional on the suitability of the proposed Heavy Vehicle route which takes into consideration items (a) to(d) of this condition. [MOD-2, 3 Jun 2022]						
D88	Road Dilapidation Before any local road is used by a Heavy Vehicle for the purposes of construction of Stage 1 of the CSSI, a Road Dilapidation Report must be prepared for the road. A copy of the Road Dilapidation Report must be provided to the Relevant Road Authority(s) within three (3) weeks of completion of the survey and at no later than one (1) month before the road being used by Heavy Vehicles associated with the construction of Stage 1 of the CSSI.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D89	If damage to roads occurs as a result of the construction of Stage 1 of the CSSI, the Proponent must either (at the Relevant Road Authority's discretion): (a) compensate the Relevant Road Authority for the damage so caused; or (b) rectify the damage to restore the road to at least the condition it was in pre-work as identified in the Road Dilapidation Report.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D90	Construction Parking and Access Management Vehicles associated with the project workforce (including light vehicles and Heavy Vehicles) must be managed to: a) minimise parking on public roads; b) minimise idling and queueing on state and regional roads; c) not carry out marshalling of construction vehicles near sensitive land user(s); d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	Letters, DPHI to Bakers Group, Holcim, Load and Go, Load Master, Moits, Mulgoa (CTP Haulage), 13/10/23 (DPHI warning letter over breach of D90 that occurred prior to the current audit period). Letter DPHI to AFJV, 11/12/23 (penalty notice for Burwood North DNVIS (acoustic panel) not implemented; Five Dock DNVIS (West acoustic shed) not implemented; Five Dock West spoil truck reversing; plus caution of Five Dock West spoil truck marshalling; Five Dock CTMP not published) (all issues relate to events from earlier audit periods)) Non-compliance Report, 19/09/23 and post approval portal lodgement 19/09/23 (truck parking over driveway as non-compliance with D90). Non-compliance Report, 17/10/23 and post approval portal lodgement 18/10/23 (truck movements exceeding the CTMP numbers). Non-compliance Report, 02/11/23 and post approval portal lodgement 03/11/23 (truck using unapproved haul route and parking over driveway as non-compliance with D90). Non-compliance Report, 15/11/23 and post approval portal lodgement 15/11/23 (truck using unapproved haul route as non-compliance with D90). Non-compliance Report 23/10/23 and DPHI post approval portal lodgement, 08/11/23 (stabling of trucks in residential area Lansdowne Street as non-compliance with D90)	CTP Non-compliance: CTP identified three events whereby heavy vehicles used unapproved haulage routes. These were reported in accordance with A45. <ul style="list-style-type: none"> Non-compliance Report, 19/09/23 and post approval portal lodgement 19/09/23 (It was reported to the project that a truck had stopped in front of a residential driveway for approximately 15min on Second Ave after being loaded at the Five Dock East site. This was identified by a resident. Note that the truck was stopped on an approved haul route in the CTMP). Non-compliance Report, 02/11/23 and post approval portal lodgement 03/11/23 (On 27/10/23, a complaint was received by the AFJV place manager from a resident who stated a concrete agitator truck was parked on Lansdowne Street in front of their driveway. Lansdowne Street is not part of the approved haul route in the Burwood North CTMP). Non-compliance Report, 15/11/23 and post approval portal lodgement 15/11/23 (On 09/11/23 TfNSW CJP identified and emailed AFJV regarding a truck turning right out of the northern most driveway at the North Strathfield site, this movement was performed under traffic control. The truck then turned into Waratah Street, which is not part of the approved site haul routes). Refer to D93 regarding non-compliances associated with parking over driveways. WTP Non-compliance: WTP recorded a non-compliance against D90 regarding stabling of trucks on Lansdowne	Non-Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
						Street in residential area. The drivers were retained and VMPs updated and issue has not recurred.	
D91	<p>A Construction Parking and Access Strategy must be prepared to identify and mitigate impacts resulting from on- and off-street parking changes during construction. The Construction Parking and Access Strategy must include, but not necessarily be limited to:</p> <ul style="list-style-type: none"> a) achieving the requirements of Condition D90 above; b) confirmation and timing of the removal of on- and off-street parking associated with construction of Stage 1 of the CSSI; c) parking surveys of all parking spaces to be removed or occupied by the project workforce to determine current demand during peak, off-peak, school drop off and pickup, weekend periods and during special events; d) consultation with affected stakeholders signalize existing on- and off-street parking stock which will be impacted as a result of construction; e) assessment of the impacts to on- and off-street parking stock taking into consideration, occupation by the project workforce, outcomes of consultation with affected stakeholders and considering the impacts of special events; f) identification of reasonable and practicable mitigation measures to manage impacts to stakeholders as a result of on- and off-street parking changes including, but not necessarily limited to, staged removal and replacement of parking, provision of alternative parking arrangements, managed staff parking arrangements and working with relevant council(s) to introduce parking restrictions adjacent to work sites and compounds or appropriate residential parking schemes; g) where residential parking schemes already exist, off-road parking facilities must be provided for the project workforce; h) mechanisms for monitoring, over appropriate intervals (not less than 6 months), to determine the effectiveness of implemented mitigation measures; i) details of shuttle bus service(s) to transport the project workforce to construction sites from public transport hubs and off-site car parking facilities (where these are provided) and between construction sites; j) provision of contingency measures should the results of mitigation or monitoring indicate implemented measures are ineffective; and k) provision of reporting of monitoring results to the Planning Secretary and Relevant Council(s) at six (6) monthly intervals. 	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>Letter DPHI to Sydney Metro, 16/11/23 (receipt of CPAS Performance Report for H1 2023)</p> <p>CTP CPAS, Revision 06, 15/12/23</p> <p>Letter DPHI to CTP, 22/12/23 (letter of approval of revision 06 of the CTP CPAS, and issue of directions under A5)</p> <p>CTP DPHI CPAS Presentation, 24/01/24</p> <p>Non-compliance Report, 07/11/23 and post approval portal lodgement 21/11/23 (three CPAS Monitoring Reports were not sent to the City of Canada Bay Council as non-compliance with D91(k)).</p> <p>Auditees response to 10038_RFI2_48 (update on status on DPHI directions on CPAS).</p> <p>CTP CPAS Performance Report H2 2023, AFJV, Revision 00</p> <p>Email AFJV to AFJV, 08/01/24 (CPAS parking monitoring results, including monthly summaries)</p> <p>Teambinder, AFJV to Councils, 12/02/24 (submission of CPAS Performance report from H2 2023 to Councils).</p>	<p>CTP Non-compliance: Non-compliance Report, 07/11/23 and post approval portal lodgement 21/11/23 (Following the submission of the third CPAS Monitoring Report to the Department in late October 2023, Sydney Metro checked over previous records of submissions of the CPAS Monitoring Reports to the stakeholders identified in D91(k). During this check, Sydney Metro discovered that, these reports were not sent to the City of Canada Bay Council).</p> <p>Refer D92 with respect to implementation during the audit period.</p>	Non-Compliant
D92	<p>The Construction Parking and Access Strategy must be submitted to the Planning Secretary for approval at least one (1) month before the commencement of any construction that reduces the availability of existing parking. The approved Construction Parking and Access Strategy must be implemented before impacting on on-street parking and incorporated into the CTMPs.</p>	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>Letter DPHI to Sydney Metro, 16/11/23 (receipt of CPAS Performance Report for H1 2023)</p> <p>CTP CPAS, Revision 06, 15/12/23</p> <p>Letter DPHI to CTP, 22/12/23 (letter of approval of revision 06 of the CTP CPAS, and issue of directions under A5)</p> <p>CTP DPHI CPAS Presentation, 24/01/24</p> <p>Non-compliance Report, 07/11/23 and post approval portal lodgement 21/11/23 (three CPAS Monitoring Reports were not sent to the City of</p>	<p>The CPAS was prepared and approved by the Department prior to the current audit period.</p> <p>CTP Observation: The Auditor is of the view that the CPAS is largely being implemented. However, the Auditor requested evidence to show implementation of Section 4.9.1 of the CPAS, specifically:</p> <ul style="list-style-type: none"> • Evidence showing that AFJV are attending sites during shift changes to identify entering/exiting staff and their origin. 	Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					<p>Canada Bay Council as non-compliance with D91(k).</p> <p>Auditees response to 10038_RFI2_48 (update on status on DPHI directions on CPAS).</p> <p>CTP CPAS Performance Report H2 2023, AFJV, Revision 00</p> <p>Email AFJV to AFJV, 08/01/24 (CPAS parking monitoring results, including monthly summaries)</p> <p>Teambinder, AFJV to Councils, 12/02/24 (submission of CPAS Performance report from H2 2023 to Councils).</p> <p>Letter DPHI to Sydney Metro, 28/03/24 (approval of CPAS Rev 8.0 and revocation of A5 Direction on CPAS implementation of shuttle bus)</p>	<ul style="list-style-type: none"> Evidence showing that monthly inspections are occurring to identify parking outside the designated parking areas. <p>In response, AFJV stated that 'inspections have been visual only as part of the supervisors ad hoc activities day to day. These inspections are not formally recorded and therefore evidence cannot be produced. Monthly inspections are compiled and reported in the 6 monthly performance report submitted to DPE. Further evidence of the inspections are provided in the form of dashcam videos.'</p> <p>Evidence of monthly inspections of parking was provided, but no evidence of AFJV completing identification of entering/exiting staff and their origin was available.</p> <p>Further, the CPAS Performance Report (H2 2023), Revision 00 and an email sent by AFJV on 08/01/24 states that non-compliances were identified (in relation to 29 x instances of workers parking on local roads which is in contravention with Section 4.8 of the CPAS). That being said, the Performance Report also identifies compliant parking and use of public transport in 98% of cases during the reporting period.</p>	
D93	During construction, all reasonably practicable measures must be implemented to maintain pedestrian, cyclist and vehicular access to, and parking in the vicinity of, businesses and affected properties. Disruptions are to be avoided, and where avoidance is not possible, minimised. Where disruption cannot be minimised, alternative pedestrian, cyclist and vehicular access, and parking arrangements must be developed in consultation with affected businesses and implemented before the disruption. Adequate signage and directions to businesses must be provided before, and for the duration of, any disruption.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>Non-compliance Report, 27/11/23 and post approval portal lodgement 27/11/23 (vehicle parking over driveway as non-compliance with CTMP/D93).</p> <p>Non-compliance Report, 13/02/24 and post approval portal lodgement 14/02/24 (vehicle parking over driveway as non-compliance with CTMP/D93).</p>	<p>CTP Non-compliance: CTP identified two contraventions with D93 during the audit period, for vehicles parking over non-Project driveways. Both were reported in accordance with A45.</p> <ul style="list-style-type: none"> Non-compliance Report, 27/11/23 and post approval portal lodgement 27/11/23 (On 20/11/23, a worker parked on Burwood Road and partially obstructed the driveway of 22 Burwood Road for approximately 1 hour). Non-compliance Report, 13/02/24 and post approval portal lodgement 14/02/24 (On the 05/02/24, the Burwood Place Manager received a complaint that there was a truck in front of the drive at 1 Loftus Street Concord. The truck was moved upon identification by the AFJV Burwood Place Manager). 	Non-Compliant
D94	Road Safety A Traffic and Transport Liaison Group(s) must be established in accordance with the Construction Traffic Management Framework to inform the development of CTMPs.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D95	Supplementary analysis and modelling as required by TfNSW and / or the Traffic and Transport Liaison Group(s) must be undertaken to demonstrate that construction and operational traffic can be managed to minimise disruption to traffic network operations including changes to and the management of pedestrian, bicycle and public transport	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status	
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)				
	networks, public transport services, and pedestrian and cyclist movements. Revised traffic management measures must be incorporated into the CTMPs.							
D96	<p>The permanent road works at Clyde / Rosehill must be designed, constructed and operated with the objective of integrating with existing and proposed road and related transport networks and minimising adverse changes to the safety, efficiency and, accessibility of the networks, and avoid deterioration in peak period levels of service in relation to permanent and operational changes. Design and assessment of related traffic, parking, pedestrian and cycle accessibility impacts and changes shall be undertaken:</p> <ul style="list-style-type: none"> a) in consultation with, and to the reasonable requirements of the relevant Traffic and Transport Liaison Group; b) in consideration of existing and future demand, connectivity (in relation to permanent changes), performance and safety requirements; c) to minimise and manage local area traffic impacts; d) to ensure access is maintained to property and infrastructure; and e) to meet relevant design, engineering and safety guidelines, including Austroads, Australian Standards, and TfNSW requirements. <p>Copies of civil, structural and traffic signal design plans shall be submitted to the Relevant Road Authority for consultation during design development and before completion of construction of Stage 1 of the CSSI.</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.				
D96.1	<p>The permanent realignment of Unwin Street and Kay Street must be designed with the objective of not precluding a potential future connection between the M4 Motorway and the CamelliaRosehill Precinct, unless otherwise agreed by the Planning Secretary. The Proponent must provide the Department, in a timely manner, detailed design, engineering and other related documentation to inform its masterplanning of the precinct.</p> <p>The current road reserve of Unwin Street between Kay Street and the TfNSW Granville Depot driveway (including the A'becketts Creek bridge) must be retained, unless otherwise agreed by the Planning Secretary.</p> <p>Note: At the time of approval, the relevant team at the Department is the Metro Central team, within the Planning & Land Use Strategy Division</p> <p>Note: The intent of this condition is to retain a section of the current road reserve of Unwin Street for future use as a connection between the M4 Motorway and Unwin Street. The M4 connection referenced in this condition does not form part of this Approval and this condition does not require the Proponent to deliver said connection.</p> <p>[MOD-2, 3 Jun 2022]</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.				
D97	<p>Permanent road works, including vehicular access, signalized intersection works, and works relating to pedestrians, cyclists, and public transport users must be subject to safety audits demonstrating consistency with relevant design, engineering and safety standards and guidelines. Safety audits must be prepared in consultation with the relevant Traffic and Transport Liaison Group before the completion and use of the subject infrastructure and must be made available to the Planning Secretary upon request.</p>	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>CTP Overarching Construction Traffic Management Plan, AFJV, 12/10/23</p> <p>CTP CTMP The Bays, AFJV, 13/02/24</p> <p>CTP CTMP SOP, AFJV, 21/10/22</p> <p>CTP CTMP Burwood North, AFJV, 05/02/24</p> <p>CTP CTMP Five Dock, AFJV, 12/02/24</p> <p>CTP CTMP North Strathfield, AFJV, 24/07/23</p> <p>Site inspection 26/02/24</p>	<p>It was understood that no permanent road works have commenced during the audit period.</p> <p>Road safety audits for construction are included in the CTMPs.</p>	Not Triggered	

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
D98	<p>Pedestrian and Cyclist Access</p> <p>Safe pedestrian and cyclist access must be maintained around construction sites during construction. In circumstances where pedestrian and cyclist access is restricted or removed due to construction activities, a proximate alternate route which complies with the relevant standards, must be provided and signposted before the restriction or removal of the impacted access.</p>	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>Interview with auditees 26-27/02/24</p> <p>CTP Overarching Construction Traffic Management Plan, AFJV, 12/10/23</p> <p>CTP CTMP The Bays, AFJV, 13/02/24</p> <p>CTP CTMP SOP, AFJV, 21/10/22</p> <p>CTP CTMP Burwood North, AFJV, 05/02/24</p> <p>CTP CTMP Five Dock, AFJV, 12/02/24</p> <p>CTP CTMP North Strathfield, AFJV, 24/07/23</p> <p>AFJV dashcam files (various)</p> <p>AFJV Weekly Supervisors Report Sep 23 – Feb 24</p> <p>AFJV Lucidity Online Traffic Audit Module Sep 23 – Feb 24</p> <p>Complaints register current to 27/02/24</p>	<p>Access arrangements are established through the CTMPs (including primary Traffic Guidance Schemes (TGS)). This includes maintaining pedestrian and cyclist access.</p> <p>AFJV completes a weekly inspection of each site to verify the traffic controls and arrangements in place align with the TGS and are free from hazards. Videos the traffic arrangements via dashcam are also used in support of each TGS. More detailed assessments are also conducted by the Traffic Manager and Traffic Coordinators and the frequencies align with the commitments from the CTMPs. Safety Observations are raised in circumstances whereby improvements can be made, the observations are then tracked through Lucidity to completion. At the time of the audit interviews there were no actions outstanding as each was rectified at the time of the observation being made.</p> <p>According to the complaints register, there were 5 x complaints regarding the blocking of access during he audit period, 3 x of which were classed as avoidable. Refer to D90 regarding non-compliances with obstructing driveways/access. None of these appear to relate to blocking of pedestrians / cyclists.</p>	Compliant
D98.1	<p>Temporary pedestrian access across the project must be provided as near as practicable to the existing Rosehill Railway Station Footbridge. The access must provide a reasonably direct route between the intersection of James Ruse Drive and Prospect Street and Gate 3 of Rosehill Gardens Racecourse. The access must be safe and open to all users (including the general public).</p> <p>The temporary pedestrian access must be designed in consultation with Australian Turf Club, the relevant landowner and/or Relevant Road Authority, and be implemented before removal of the Rosehill Railway Station Footbridge.</p> <p>Note: Any temporary pedestrian access in the vicinity of the former Rosehill Station which is intended to be made permanent must be designed in consultation with Australian Turf Club and must consider relevant masterplans and strategic planning documents.</p> <p>[MOD-2, 3 Jun 2022]</p>	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D99	<p>Spoil Movement</p> <p>Opportunities to maximise spoil material removal by non-road methods must be investigated and implemented where reasonably practicable to minimise movements by road.</p>	<p>To be audited in February 2024.</p> <p>** Should reductions in the audit scope be required (so the in-person audit activities are conducted and carried out within the allocated five days), the Department suggests that this condition be de-prioritised.</p>	Not subject to audit at this time.	Not subject to audit at this time.	<p>Sydney Metro West Strategic Spoil Management Options Assessment, Revision 1.0 (Issued 21 September 2018)</p> <p>Coastal beneficial reuse of Sydney Metro West excavated material Feasibility study for Sydney Metro West (Stage 1) (Issued 18 January 2021)</p> <p>Memorandum— Update on Coastal Beneficial Reuse Option (Issued 14 September 2021)</p> <p>Sydney Metro West reuse of TBM Material for Beach Nourishment Option approval request from Project Directo</p>	<p>As noted by the Auditor in the previous audit, the file note for Non-Road Options for Spoil Removal and other supporting documents included acknowledgement, confirmation and approval email correspondences with key stakeholders associated with the investigation of opportunities to maximise spoil material removal by non-road methods as required per condition D99. However, it was advised that these were not found to be feasible and that efforts will continue to explore opportunities during the delivery of the works, using AFJVs specialist spoil management Consultant. There has been no change since that time.</p>	Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
D100	<p>Emergency Vehicle Access</p> <p>The Proponent must maintain emergency vehicle access, in consultation with TfNSW, emergency services and NSW Health, to Westmead Hospital at all times throughout Stage 1 of the CSSI. Measures must be outlined in the Construction Parking and Access Strategy required under Condition D91 above.</p>	<p>To be audited in February 2024.</p> <p>** Should reductions in the audit scope be required (so the in-person audit activities are conducted and carried out within the allocated five days), the Department suggests that this condition be de-prioritised.</p>	Not subject to audit at this time.	Not subject to audit at this time.	<p>Site inspection 26/02/24</p> <p>Interview with auditees 26/02/24</p> <p>Complaints register current to 26/02/24</p>	CTP does not interface with Westmead Hospital. There were no complaints recorded during the audit period in relation to this requirement.	Not Triggered
Utilities Management							
D101	<p>Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item, to determine requirements for access to, diversion protection, and / or support. The relevant owner(s) and / or provider(s) of services must be consulted to make suitable arrangements for access to diversion, protection, and / or support of the affected infrastructure as required. The Proponent must ensure that disruption to any service is minimised and be responsible for advising local residents and businesses affected before any planned disruption of service.</p>	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>AFJV utilities Impact Assessment Report (no date)</p> <p>Ausgrid Asset Meeting Minutes, 16/10/23</p> <p>Email Zinfra (Jemena) to AFJV, 06/03/24 (sharing of as-builts for Burwood gas main)</p> <p>Sydney Water Weekly Meeting Minutes, 01/11/23</p> <p>Complaints register current to 27/02/24</p> <p>Weekly Utilities Meetings with Comms, Environment and Traffic, AFJV, 27/11/23, 18/12/23, 04/12/23, 22/01/24, 26/02/24 and 04/03/24</p>	<p>According to AFJV, meetings are held with Sydney Water fortnightly, with Ausgrid as required, with Jemena fortnightly (although this engagement has been completed due to the relevant works having been completed). Consultation with Telstra occurs via emails. Meetings are held with the Utilities, traffic, Environment and Community team members to discuss and schedule OOHW including utility works. .</p> <p>The complaints register has not identified any complaints in relation to service disruptions for CTP during the audit period.</p>	Compliant
D102	<p>Utility Coordination Manager</p> <p>A Utility Coordination Manager must be appointed for the duration of work associated with Stage 1 of the CSSI. The role of the Utility Coordination Manager must include, but not be limited to:</p> <ol style="list-style-type: none"> the management and coordination of all utility work associated with the delivery of Stage 1 of the CSSI, to ensure respite is provided to the community; providing advice to the Sydney Metro Place Manager regarding upcoming utility work, including the scope of the work and the responsibility for the work; and investigating complaints received from the Community Complaints Mediator or the Project communication team relating to utility work and providing a response as required. 	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>Weekly Utilities Meetings with Comms, Environment and Traffic, AFJV, 27/11/23, 18/12/23, 04/12/23, 22/01/24, 26/02/24 and 04/03/24</p> <p>ITwoCx OOHW permit module (online)</p> <p>CTP OOHW Permit register 2024</p> <p>Bays Traffic and Cumulative Impact Meeting, Sep 23 – Feb 24</p>	<p>At the previous audit, the Auditor noted that Evidence observed during the audit showed that both Sydney Metro and the AFJV have appointed Utilities Coordination Managers.</p> <p>Meetings are held with the Utilities, traffic, Environment and Community team members to discuss and schedule OOHW including utility works. The Utilities Coordination Manager is part of this group and carries out the functions as identified in D102.</p> <p>The Project operates ITwoCx online module to manage OOHW. This has digitised the OOHW permit process and includes hold points. Justifications / requirements align with the EPL. It includes the OOHW assessment and the required mitigation measures for each.</p> <p>The OOHW register identifies the schedule of all OOHW across the audit period (including utilities work), the status of the OOHW approval, the degree of impact and the respite periods. The auditees are not aware of any circumstances of</p>	Compliant

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
						unplanned OOHW during the audit period. 36 x complaints were received during the audit period regarding OOHW on CTP. All complaints, except one, appear to have been related to planned and approved OOHW, or were not related to the Project.	
Urban Design and Visual Amenity							
D103	Ancillary Facilities Wayfinding information must be incorporated on temporary hoardings to guide pedestrians around ancillary facilities and enhance their understanding and experience of the locality and space.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D104	Nothing in this approval permits advertising on any element of Stage 1 of the CSSI.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D105	Temporary Activations The Proponent must undertake temporary placemaking initiatives for the benefit of the community, such as commercial "pop up" spaces, information booths, art installations, around the perimeter or in the vicinity of construction sites at Parramatta and Five Dock with the objective of temporarily enhancing visual amenity, providing gathering places in the local area and creating temporary active frontages to construction sites during Stage 1 of the CSSI.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	Five Dock Station Precinct Temporary Activation Strategy 2022-2024 (Issued March 2023) Five Dock Station Precinct Temporary Place Making Initiatives - during construction, Sydney Metro, October 2023. Initiatives Register, Sydney Metro, 12/03/24 Deed of agreement and Email CoCBC to Sydney Metro, 22/11/23 (agreement for temporary activations)	Parramatta is not relevant to CTP. According to the evidence sighted the temporary activation strategy was implemented during the audit period. On 22/11/23 a Deed of agreement was initiated with CoCBC for the temporary activations during construction, with execution pending. Events during the audit period included Five Dock Food Tours on August – November 2023, Beats and Eats at Fred Kelly Place on 22/02/24 (attendance = 200).	Compliant
D106	Five Dock Metro Station Eastern Construction Site The acoustic shed at the Five Dock metro station eastern construction site must be designed and constructed in a manner that minimises visual amenity, solar access and overshadowing impacts to the residential apartments at 110 Great North Road, Five Dock facing the acoustic shed. The potential visual amenity, solar access and overshadowing impacts of the acoustic shed on the affected residential apartments must be assessed in a Visual Amenity, Solar Access and Overshadowing Report prepared by the Proponent.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D107	The Visual Amenity, Solar Access and Overshadowing Report must include: a) visual amenity impact assessments from the relevant residential apartments to the acoustic shed at the Five Dock metro station eastern construction site; b) solar access assessments of the relevant residential apartments, with consideration for the relevant development controls in the City of Canada Bay Development Control Plan (Version 4, 21 October 2020) and the Apartment Design Guide; and c) a consultation plan to detail how potential impacts and mitigation measures will be discussed and negotiated with potentially affected property owners. The Visual Amenity, Solar Access and Overshadowing Report must be provided to the Planning Secretary for approval within (1) month prior to the installation of the acoustic shed at the Five Dock metro station eastern construction site.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
D108	Where the acoustic shed causes a moderate (or greater) adverse visual amenity impact and / or unreasonable overshadowing and solar access impacts to any of the subject residential apartments, the Proponent must consult with the relevant affected property owners and occupiers to identify appropriate mitigation measures and an agreed implementation program. A copy of agreed implementation programs must be provided to the Planning Secretary for information.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D109	Lighting and Security Stage 1 of the CSSI must be constructed with the objective of minimising light spill to surrounding properties including from headlights of construction vehicles. All lighting associated with the construction of Stage 1 of the CSSI must be consistent with the requirements of Australian Standard 4282-1997 Control of the obtrusive effects of outdoor lighting and relevant Australian Standards in the series AS/NZ 1158 – Lighting for Roads and Public Spaces. Additionally, mitigation measures must be provided to manage any residual night lighting impacts to protect properties adjoining or adjacent to the CSSI, in consultation with affected landowners.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D110	Visual Amenity Stage 1 of the CSSI must be constructed in a manner that minimises visual impacts of construction sites including, providing temporary landscaping and vegetative screening, minimising light spill, minimising impacts to identified significant view lines in respect of The Bays metro station construction site and incorporating architectural treatment and finishes within key elements of temporary structures that reflect the context within which the construction sites are located, wherever practicable.	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	Visual Amenity Management Plan, AFJV, 25/09/23 and ER endorsement, 20/11/23 Five Dock Visual Amenity, Solar Access and Overshadowing Report, Jacobs TYPSA, 01/03/22 Site inspection 26/02/24 Complaints register current to 27/02/24	As noted by the Auditor in the previous audit, Section 7.2 of the Visual Amenity Plan states that, "In accordance with CoA D110, the colour chosen for the acoustic, spoil and or segment sheds at all CTP construction sites is in accordance with the colours shown in the photomontages for all sites in the Project EIS (Technical Paper 5). The colour chosen for the CTP construction site acoustic sheds, spoil or segment shed/s is a Colourbond colour called Mangrove (refer to Appendix B). The choice of this colour is a key architectural treatment for the various sheds and has been chosen as it is sympathetic to its surroundings." The Visual Amenity Management Plan underwent an update during the current audit period to address changes to the site layout of the Five Dock western construction site (specifically the change from having an acoustic shed and one-way in and out vehicle movements to no acoustic shed and reverse parking / use of turntable. The updated Plan was endorsed by the ER on 20/11/23 The Five Dock Visual Amenity, Solar Access and Overshadowing Report has not been updated. The Five Dock sites appeared consistent with the Visual Amenity Plan. There was one complaint recorded during the audit period relating to visual amenity (light spill from Burwood North received 21/02/24). The light was subsequently switched off and there has been no further complaints.	Compliant
Waste							
D111	Waste generated during construction and operation must be dealt with in accordance with the following priorities: a) waste generation must be avoided and where avoidance is not reasonably practicable, waste generation must be reduced;	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Spoil Management Plan, GLC, 07/11/22 Waste Management Plan, GLC, 05/12/23 WTP Tunnel Spoil Resource Recovery Exemption and Order 2022	The evidence sighted demonstrates that waste generation is being avoided where possible through material reuse on site and offsite. Reuse of spoil from PLR (although limited) also demonstrates reuse. This is evidenced through spoil management processes on site, S143 disposal processes off site, and implementation of the RAPs (for on site containment). Spoil and waste that is disposed of offsite	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status		
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)					
	<p>b) where avoiding or reducing waste is not possible, waste must be re-used, recycled, or recovered; and</p> <p>c) where re-using, recycling or recovering waste is not possible, waste must be treated or disposed of.</p>				<p>WTP Parramatta Station Box Spoil Resource Recovery Exemption and Order, 2024.</p> <p>WTP Interview with Josh Beetes (Clyde MSF Project Engineer) 01/03/24</p> <p>WTP training 18/10/.23 (spoil management training)</p> <p>Zone 3 Material Management Plan, Epic</p> <p>Clyde Zone 1 – RAP, Epic, 15/03/23 and Section B SAS, Geosyntec 19/05/23</p> <p>WTP Trimble Propeller online module (site material tracker), current to 29/02/24</p> <p>Stockpile waste tracking register, current to 01/03/24</p> <p>Material placement model (various files)</p> <p>Sample request form, WTP to Epic for Clyde Dive, CD33.</p> <p>WTP review of waste classification report, Clyde Dive, Zone 3</p> <p>WTP Tunnel Spoil Resource Recovery Exemption and Order 2022</p> <p>WTP Parramatta Station Box Spoil Resource Recovery Exemption and Order, 2024.</p> <p>WTP Annual Sustainability Report 2022-23, GLC, DRAFT.</p> <p>WTP Clyde MSF West Material Import Register, no date</p> <p>Material Classification Report, 8 Colquhoun Street, Rosehill NSW, ADE Environmental, 08/09/22</p> <p>https://www.epa.nsw.gov.au/your-environment/waste/classifying-waste/virgin-excavated-natural-material Sitewide offsite disposal RAP, Epic, 05/11/22 and Section B SAS, Geosyntec, 25/11/22</p> <p>WTP TBM Shale Tracker Sep 2023 – Feb 2024</p> <p>WTP Waste Tracker Sep 2023 – Feb 2024.</p> <p>Section 143 Certificates for Moorebank Precinct West Stage 3 07/07/23, MET recycling 15/09/23, Nepean Business Park (no date), Bingo Eastern Creek 12/09/23, Bessemer Street Blacktown 29/08/23, Roberts Road Greenacre 02/08/23, Cornwallis Road 08/11/23, Aussie Skips 12/09/23, AWJ Civil Kemps Creek 01/10/21, Cleanaway Kemps Creek 07/11/22, Toxfree St Marys 04/07/23, ECORR Wetherill Park 07/03/24, Fife Kemps Creek 26/02/24, M7M12 Integration 14/10/23, M12 CPB 21/12/23, Penrith Lakes Dev</p>			<p>appears to have been directed to facilities lawfully permitted to received it. Performance on waste generation and recovery is included in the Sustainability Report and forms part of the Project's IS rating.</p>	

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					Corp 10/11/23, Western Earth Moving Mamre Road 13/06/23, Cleanaway St Marys 22/11/23 Interview WTP Logistics Manager, Martin O'Shea and James Luo WTP Spoil Consultant., 04/03/24 Teletrac Navman System (online)		
D112	The importation of waste and the storage, treatment, processing, reprocessing or disposal of such waste must comply with the conditions of the current EPL for Stage 1 of the CSSI, or be done in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, as the case may be.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Spoil Management Plan, GLC, 07/11/22 Waste Management Plan, GLC, 05/12/23 WTP Tunnel Spoil Resource Recovery Exemption and Order 2022 WTP Parramatta Station Box Spoil Resource Recovery Exemption and Order, 2024. WTP Clyde MSF West Material Import Register, no date Material Classification Report, 8 Colquhoun Street, Rosehill NSW, ADE Environmental, 08/09/22 https://www.epa.nsw.gov.au/your-environment/waste/classifying-waste/virgin-excavated-natural-material	Both the Spoil Management Plan and Waste Management include guidance on material import requirements. According to the auditees, no fill material was imported to Clyde Dive, MSF East or Rosehill, Parramatta Westmead or SOP. Fill was imported to Clyde MSF West during the audit period. During the audit period the dominant source of imported waste was that generated by the project through tunnelling and box excavation or site won material, then quarried sand, then ENM from PLR. Tunnel spoil was accompanied by the required exemptions and orders. PLR ENM was supported by a material classification report, acceptance letter and s143 certificate. Quarried sand is a product (not waste) and thus does not require a certificate, exemption or S143. Evidence sighted demonstrates that imported material complies with the requirements of this condition. <i>Note: The Auditor has reviewed the material import register/s and material classification records prepared by others and presented by the auditee/client. The Auditor has not conducted any testing, analysis or visual inspection of the material to independently verify its classification, nor does the Auditor guarantee that the imported material is that same volume, classification or type as that described in the sighted material classification records</i>	Compliant
D113	Waste must only be exported to a site licensed by the EPA for the storage, treatment, processing, reprocessing or disposal of the subject waste, or in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, or to any other place that can lawfully accept such waste.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	Spoil Management Plan, GLC, 07/11/22 Waste Management Plan, GLC, 05/12/23 Sitewide offsite disposal RAP, Epic, 05/11/22 and Section B SAS, Geosyntec, 25/11/22 WTP TBM Shale Tracker Sep 2023 – Feb 2024 WTP Waste Tracker Sep 2023 – Feb 2024. Section 143 Certificates for Moorebank Precinct West Stage 3 07/07/23, MET recycling 15/09/23, Nepean Business Park (no date), Bingo Eastern Creek 12/09/23, Bessemer Street Blacktown 29/08/23, Roberts Road Greenacre 02/08/23, Cornwallis Road 08/11/23, Aussie Skips 12/09/23, AWJ Civil Kemps Creek 01/10/21, Cleanaway Kemps Creek 07/11/22, Toxfree St Marys 04/07/23, ECORR Wetherill Park 07/03/24, Fife Kemps Creek 26/02/24, M7M12 Integration 14/10/23, M12 CPB 21/12/23, Penrith Lakes Dev Corp 10/11/23, Western Earth Moving Mamre Road 13/06/23, Cleanaway St Marys 22/11/23	As noted by the Auditor in the previous audit, Section 6.6.3 of the Waste Management Plan states "Facilities used for the receiving of waste will be appropriately licensed to accept the classified waste type. The Environmental Manager will review the licence of the receiving facility before any waste is transported. Refer to Attachment 2 for potential facilities and locations" Section 143 statements are obtained from each receiving facility prior to commencement of disposal of spoil at that location so as to verify that the site/s are lawfully permitted to receive the waste. The waste registers identify Date, Material Type, Net tonnes disposed at TIP (tonnes), Truck Registration, Docket #, WTP Source Site, Waste Classification Document Ref, Destination Name and associated EPL / or DA number, Transporter, Disposal Reconciliation person, Disposal site type ("Landfill or not). The spoil trucks are monitored in real-time (noting the observations with D83). The evidence indicates that waste has only been directed to a facility lawfully permitted to receive it.	Non-Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
					<p>Interview WTP Logistics Manager, Martin O'Shea and James Luo WTP Spoil Consultant., 04/03/24</p> <p>Teletrac Navman System (online)</p> <p>Sitewide offsite disposal RAP, Epic, 05/11/22 and Section B SAS, Geosyntec, 25/11/22</p> <p>Non-compliance Report 17/11/23 and DPHI post approval lodgement, 24/11/23 (wrongful disposal of waste as non-compliance with D113, D114)</p>	<p>WTP Non-compliance: WTP recorded non-compliances with D113 and D114 regarding the wrongful disposal of waste (PASS was disposed of as RSW Special Waste and the receiving facility (Kemps Creek) was not licenced to receive PASS. Further investigation determined that the material was not PASS and was able to be retained at the landfill. A review was conducted on the spoil approvals process, training session with the spoil team and signage improved.</p> <p><i>Note: The Auditor has reviewed the material export register/s and material classification records prepared by others and presented by the auditee/client. The Auditor has not conducted any testing, analysis or visual inspection of the material to independently verify its classification, nor does the Auditor guarantee that the exported material is that same volume, classification or type as that described in the sighted material classification records</i></p>	
D114	All waste must be classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal docketts retained for audit purposes.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Spoil Management Plan, GLC, 07/11/22</p> <p>Waste Management Plan, GLC, 05/12/23</p> <p>Sitewide offsite disposal RAP, Epic, 05/11/22 and Section B SAS, Geosyntec, 25/11/22</p> <p>WTP TBM Shale Tracker Sep 2023 – Feb 2024</p> <p>WTP Waste Tracker Sep 2023 – Feb 2024.</p> <p>Section 143 Certificates for Moorebank Precinct West Stage 3 07/07/23, MET recycling 15/09/23, Nepean Business Park (no date), Bingo Eastern Creek 12/09/23, Bessemer Street Blacktown 29/08/23, Roberts Road Greenacre 02/08/23, Cornwallis Road 08/11/23, Aussie Skips 12/09/23, AWJ Civil Kemps Creek 01/10/21, Cleanaway Kemps Creek 07/11/22, Toxfree St Marys 04/07/23, ECORR Wetherill Park 07/03/24, Fife Kemps Creek 26/02/24, M7M12 Integration 14/10/23, M12 CPB 21/12/23, Penrith Lakes Dev Corp 10/11/23, Western Earth Moving Mamre Road 13/06/23, Cleanaway St Marys 22/11/23</p> <p>Non-compliance Report 17/11/23 and DPHI post approval lodgement, 24/11/23 (wrongful disposal of waste as non-compliance with D113, D114)</p>	<p>Wastes have either classified (as noted via the Waste Classification Report numbers in the waste registers), or are pre-classified under the Waste Classification Guidelines. The waste registers identify Date, Material Type, Net tonnes disposed at TIP (tonnes), Truck Registration, Docket #, WTP Source Site, Waste Classification Document Ref, Destination Name and associated EPL / or DA number, Transporter, Disposal Reconciliation person, Disposal site type ("Landfill or not).</p> <p>The spoil trucks are monitored in real-time (noting the observations with D83).</p> <p>The evidence indicates that waste has only been directed to a facility lawfully permitted to receive it.</p> <p>WTP Non-compliance: WTP recorded non-compliances with D113 and D114 regarding the wrongful disposal of waste (PASS was disposed of as RSW Special Waste and the receiving facility (Kemps Creek) was not licenced to receive PASS. Further investigation determined that the material was not PASS and was able to be retained at the landfill. A review was conducted on the spoil approvals process, training session with the spoil team and signage improved.</p> <p><i>Note: The Auditor has reviewed the material export register/s and material classification records prepared by others and presented by the auditee/client. The Auditor has not conducted any testing, analysis or visual inspection of the material to independently verify its classification, nor does the Auditor guarantee that the exported material is that same volume, classification or type as that described in the sighted material classification records</i></p>	Non-Compliant
Water							

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
D115	Work on waterfront land must be carried out in accordance with controlled activity guidelines.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Design Report, Clyde Maintenance Stabling Facility Permanent Drainage, GLC, 09/02/24</p> <p>Design Report, MSF Water Conveyance Structures, Flood Storage Area and Flood Relief Culvert - Part C, GLC, 21/12/23</p> <p>Erosion and Sediment Control Plan, Duck Creek Tie-in Stage 1a - Site Clearance, GLC, 08/02/24 and 26/02/24</p> <p>Activity Management Plan, Earthwork for New Low/High Flow Channel, GLC, 27/07/23</p> <p>Duck Creek Permit to Clear Weeds and pre and post clearing inspection, GLC, 14/02/24</p> <p>Environmental Control Map, MSF West, 29/02/23</p> <p>Site inspection 01/03/24</p>	WTP are undertaking construction of water conveyance structures and realignment of realignment of Duck Creek and A'Becketts Creek. The evidence provided demonstrates that the works were designed planned and executed in a manner compliant with the controlled activity guidelines.	Compliant
D116	<p>Stormwater</p> <p>Before undertaking any works and during maintenance or construction activities, erosion and sediment controls must be implemented and maintained to prevent water pollution consistent with LandCom's Managing Urban Stormwater series (The Blue Book).</p>	<p>To be audited in February 2024.</p> <p>**Should reductions in the audit scope be required (so the in-person audit activities are conducted and carried out within the allocated five days), the Department suggests this condition be de-prioritised</p>	<p>To be audited in February 2024.</p> <p>**Should reductions in the audit scope be required (so the in-person audit activities are conducted and carried out within the allocated five days), the Department suggests this condition be de-prioritised</p>	Not subject to audit at this time.	<p>Site inspection 26/02/24 and 01/03/24</p> <p>ER Monthly Reports Sep 23 – Jan 24, HBI</p> <p>Soil and Water Management Plan, AFJV, 10/11/23 and ER endorsement 19/02/24</p> <p>SAI 360 extract</p> <p>Email CoCBC to WolfPeak, 23/02/24 (consultation on audit scope).</p> <p>Erosion and Sediment Control Plan, Burwood North, Rev10.</p> <p>Bi-Annual Construction Monitoring Report July – Dec 2023, AFJV, 07/03/24, plus AA endorsement 08/03/24 and Letter DPHI to Sydney Metro 26/02/24 (DPHI approval of extension of time to submit Bi-Annual Construction Monitoring Report)</p> <p>Soil and Water Management Plan, GLC, 14/12/23</p> <p>Erosion and Sediment Control Plan, Duck Creek Tie-in Stage 1a - Site Clearance, GLC, 08/02/24 and 26/02/24</p>	<p>CTP Observation: Overall, the ER Monthly Reports for the audit period, along with the implementation of the Soil and Water Management Plan and 6 – Monthly Monitoring Reports, plus the status of the construction sites (largely sealed / hardstand) indicates that erosion and sediment controls have been implemented during the audit period.</p> <p>During the Audit site inspection (26/02/24) it was observed at Five Dock West that surface water controls on the northern boundary required upgrading as the current arrangement allowed for surface water to overtop the controls and flow on to the St Albans Church property.</p> <p>CoCBC raised concerns over construction water entering St Luke's Canal as part of consultation on the scope of this Independent Audit, specifically that Council made a report to EPA in February 2023 (outside of the audit period) to investigate the concrete wash up that entered the St Luke's Canal which had impacted Council's harvesting and water treatment plant. Council suspects it was from Sydney Metro based on where the water flow as coming from. It is understood that the EPA attended the site and AFJV provided them with information to demonstrate that the concrete wash water was not associated with their site. The ER identified issues associated with the adequacy of erosion and sediment controls at Burwood North on 8 x occasions between September 2023 and February 2024. Evidence indicates that AFJV rectified the deficiencies in a timely manner, and the ER has not identified any potential for offsite impacts.</p> <p>WTP Observation: The Auditor notes that the ER has raised findings in relation to erosion and sediment controls at the Clyde and Rosehill sites in October 23, November 23, December 23, January 24 and February 24.</p>	Compliant

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
						During the Audit site inspection at the Clyde MSF West site it was observed that sediment was being tracked on to Unwin Street. Street sweepers were on rotation and stormwater pits were protected, and GLC are in the process of extending the sealed section of the internal haul road to reduce the potential for material tracking. Additional effort is required at these sites to ensure that compliance with the Blue Book is maintained.	
D117	<p>Water Quality</p> <p>Stage 1 of the CSSI must be designed and constructed so as to maintain the NSW Water Quality Objectives (NSW WQO) where they are being achieved as at the date of this approval, and contribute towards achievement of the NSW WQO over time where they are not being achieved as at the date of this approval, unless an EPL in force in respect of the CSSI contains different requirements in relation to the NSW WQO, in which case those requirements must be complied with.</p>	To be audited in February 2024.	Not subject to audit at this time.	Not subject to audit at this time.	<p>Soil and Water Management Plan, AFJV, 10/11/23 and ER endorsement 19/02/24</p> <p>EPL 21610</p> <p>SAI 360 extract</p> <p>Email CoCBC to WolfPeak, 23/02/24 (consultation on audit scope).</p> <p>Erosion and Sediment Control Plan, Burwood North, Rev10.</p> <p>Bi-Annual Construction Monitoring Report July – Dec 2023, AFJV, 07/03/24, plus AA endorsement 08/03/24 and Letter DPPI to Sydney Metro 26/02/24 (DPPI approval of extension of time to submit Bi-Annual Construction Monitoring Report)</p> <p>Sydney Metro incident register current to 08/02/24</p> <p>CTP Incident Reports (x3 for out of specification discharges from Bays Water Treatment Plant), 21/09/23 (Oil spill at SOP), 10/10/23 (discharge of untreated water to pit at SOP), 26/10/23 (hydraulic hose failure at The Bays), 01/11/23 (leak of ferric at Burwood North Water Treatment Plant), 17/01/24 (spill of water from tank at North Strathfield)</p> <p>EPL Monitoring Reports September 2023 – January 2024, AFJV</p> <p>Email DPPI to Sydney Metro (cc WolfPeak), 19/03/24 (clarification on Department intent in regulating EPL compliance of D117)</p>	<p>The evidence sighted indicates that the CTP Soil and Water Management Plan has been implemented during the audit period and that WQO are either being achieved or are contributing towards achievement.</p> <p>Sites are now predominantly sealed with surface and groundwater (for the most part) collected and treated by EPL regulated Water Treatment Plants prior to discharge to environment or use on site. As noted in A43 there were a number of discharges from The Bays Water Treatment Plant in September and October 2023 that were outside of the specification of the EPL 21610, which was determined to relate to instrument error (instead of actual discharges). The Department confirmed on 19/03/24 that it does not intend to regulate EPL compliance as indicated in D117.</p>	Compliant
D118	<p>Unless an EPL is in force in respect to Stage 1 of the CSSI and that licence specifies alternative criteria, discharges from wastewater treatment plants to surface waters must not exceed:</p> <ul style="list-style-type: none"> a) the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2018 (ANZG (2018)) default guideline values for toxicants at the 95 per cent species protection level; b) for physical and chemical stressors, the guideline values set out in Tables 3.3.2 and 3.3.3 of the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2000 (ANZECC/ARMCANZ); and c) for bioaccumulative and persistent toxicants, the ANZG (2018) guidelines values at a minimum of 99 per cent species protection level. 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	Where the ANZG (2018) does not provide a default guideline value for a particular pollutant, the approaches set out in the ANZG (2018) for deriving guideline values, using interim guideline values and/or using other lines of evidence such as international scientific literature or water quality guidelines from other countries, must be used.						
D119	If construction stage stormwater discharges are proposed, a Water Pollution Impact Assessment will be required to inform licensing consistent with section 45 of the POEO Act. Any such assessment must be prepared in consultation with the EPA and be consistent with the National Water Quality Guidelines, with a level of detail commensurate with the potential water pollution risk.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D120	Drainage feature crossings (permanent and temporary watercourse crossings and stream diversions) and drainage swales and depressions must be carried out in accordance with relevant guidelines and designed by a suitably qualified and experienced person.	Not subject to audit at this time.	To be audited in February 2024.	Not subject to audit at this time.	<p>Soil and Water Management Plan, GLC, 14/12/23</p> <p>Design Report, Clyde Maintenance Stabling Facility Permanent Drainage, GLC, 09/02/24</p> <p>Design Report, MSF Water Conveyance Structures, Flood Storage Area and Flood Relief Culvert - Part C, GLC, 21/12/23</p> <p>Erosion and Sediment Control Plan, Duck Creek Tie-in Stage 1a - Site Clearance, GLC, 08/02/24 and 26/02/24</p> <p>Activity Management Plan, Earthwork for New Low/High Flow Channel, GLC, 27/07/23</p> <p>Duck Creek Permit to Clear Weeds and pre and post clearing inspection, GLC, 14/02/24</p> <p>Environmental Control Map, MSF West, 29/02/23</p> <p>Site inspection 01/03/24</p>	<p>As noted by the Auditor in the previous audit, Section 7.6.2 of the Soil and Water Management Plan Construction of Instream Structures states that Construction of instream structures will occur at A'Becketts and Duck Creeks at Clyde MSF. These works are considered sensitive as work will occur in live flow lines and there is a high potential for sediment impacts.</p> <p>The Design Reports sighted for the permanent drainage and water conveyance structures were prepared by suitably qualified persons and were subject to review and acceptance by Sydney Metro and contractor subject matter experts. The Reports include reference and compliance checks with the applicable design standard and criteria.</p> <p>The construction phase documentation demonstrates that the temporary works are being conducted in consideration of the Blue Book and WQOs.</p>	Compliant
D121	Groundwater Make good provisions for groundwater users must be provided in the event of a material decline in water supply levels, quality or quantity from registered existing bores associated with groundwater changes from construction.	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			
D122	The Proponent must submit a revised Groundwater Modelling Report in association with Stage 1 of the CSSI to the Planning Secretary for information before bulk excavation at the relevant construction location. The Groundwater Modelling Report must include: <ul style="list-style-type: none"> a) for each construction site where excavation will be undertaken, cumulative (additive) impacts from nearby developments, parallel transport projects and nearby excavation associated with the CSSI; b) predicted incidental groundwater take (dewatering) including cumulative project effects; c) potential impacts for all latter stages of the CSSI or detail and demonstrate why these later stages of the CSSI will not have lasting impacts to the groundwater system, ongoing groundwater incidental take and groundwater level drawdown effects; d) actions required after Stage 1 to minimise the risk of inflows (including in the event latter stages of the CSSI are delayed or do not progress) and a strategy for accounting for any water taken beyond the life of the operation of the CSSI; 	Not subject to audit at this time.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)	Phase H (WUR)			
	e) saltwater intrusion modelling analysis, from estuarine and saline groundwater in shale, into The Bays metro station site and other relevant metro station sties; and f) a schematic of the conceptual hydrogeological model. [MOD-4, 22 Dec 2022]						

Legend	
	Condition / requirement within this audit scope and subject to assessment.



Stage 2 (CSSI 19238057)

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
SCHEDULE 2: CONDITIONS OF APPROVAL							
PART A: ADMINISTRATIVE CONDITIONS							
General							
A1	<p>The Proponent must carry out the CSSI in accordance with the conditions of this approval and generally in accordance with the:</p> <ul style="list-style-type: none"> a) Sydney Metro West – Major civil construction between The Bays and Sydney CBD Environmental Impact Statement dated 25 October 2021; b) Sydney Metro West – Major civil construction between The Bays and Sydney CBD Submissions Report dated April 2022; c) Sydney Metro West – Archaeological Research Design and Excavation Methodology – Pyrmont Station dated April 2022; d) Sydney Metro West – Aboriginal and Historical Archaeological Research Design– Hunter Street Station (Sydney CBD) dated May 2022.; e) Sydney Metro West – Major civil construction between The Bays and Sydney CBD – Modification Request (Mod 1) dated 1 February 2023; [MOD 1, 19 Apr 2023] f) Sydney Metro West – Stage 2 – Modification 1: Response to Submissions (correspondence) undated; and [MOD 1, 19 Apr 2023] g) Correspondence dated 15 August 2023 requesting modification to Conditions A9, D12, D15, D16 and D17 of SSI 19238057 [MOD 2, 9 Oct 2023] 	Full Compliance	Full Compliance	Not subject to audit at this time.			
A2	The CSSI must only be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in Condition A1 unless otherwise specified in, or required under, this approval.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A3	<p>In the event of an inconsistency between:</p> <ul style="list-style-type: none"> a) the conditions of this approval and any document listed in Condition A1, the conditions of this approval will prevail to the extent of the inconsistency; and b) any document listed in Condition A1, the most recent document will prevail to the extent of the inconsistency. <p><i>Note: For the purpose of this condition, there is an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document</i></p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
A4	In the event that there are differing interpretations of the conditions of this approval, including in relation to a condition of this approval, the Planning Secretary's interpretation is final.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A5	<p>The Proponent must comply with all written requirements or directions of the Planning Secretary, including in relation to:</p> <ul style="list-style-type: none"> a) the environmental performance of the CSSI; b) any document or correspondence in relation to the CSSI; c) any notification given to the Planning Secretary under the conditions of this approval; d) any audit of the CSSI; e) the conditions of this approval and compliance with the conditions of this approval (including anything required to be done under this approval); f) the carrying out of any additional monitoring or mitigation measures; and g) in respect of ongoing monitoring and management obligations, compliance with an updated or revised version of a guideline, protocol, Australian Standard or policy required to be complied with under the conditions of this approval. 	Full Compliance	Full Compliance	Not subject to audit at this time.			
A6	<p>Where the conditions of this approval require a document or monitoring program to be prepared, or a review to be undertaken, in consultation with identified parties, evidence of the consultation undertaken must be submitted to the Planning Secretary with the document. The evidence must include:</p> <ul style="list-style-type: none"> a) documentation of the engagement with the party identified in the condition of approval that has occurred before submitting the document for approval; 	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	b) a log of the dates of engagement or attempted engagement with the identified party and a summary of the issues raised by them; c) documentation of the follow-up with the identified party(s) where feedback has not been provided to confirm that the party(s) has none or has failed to provide feedback after repeated requests; d) outline of the issues raised by the identified party(s) and how they have been addressed; and e) a description of the outstanding issues raised by the identified party(s) and the reasons why they have not been addressed. Note: *Consultation with the community and businesses will be undertaken in accordance with the Overarching Community Communication Strategy required under Condition B1.						
A7	This approval lapses five (5) years after the date on which it is granted, unless work has physically commenced on or before that date.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A8	References in the conditions of this approval to any guideline, protocol, Australian Standard or policy are to such guidelines, protocols, standards or policies in the form they are in as at the date of this approval, unless otherwise approved by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A9	<p>Notwithstanding the requirement of any condition of this approval, the Proponent may adopt an existing Project Process endorsed by the ER or approved by the Planning Secretary to satisfy a condition of this approval.</p> <p>The Proponent must notify the Planning Secretary of the adoption of a Project Process and state which condition under the aforementioned approvals the Project Process is adopted from.</p> <p>If a Project Process prepared to meet the requirements of CSSI Approvals SSI 10038 or SSI 7400 is required to be updated to satisfy a corresponding condition of this approval, the Project Process must be submitted at least one month before construction to which the Project Process relates:</p> <p>(a) for endorsement by the ER or AA, where the relevant condition for which the project process is updated requires it to be endorsed by the ER or AA; or</p> <p>(b) for the Planning Secretary's approval where the requirements of the relevant condition for which the project is updated requires it to be approved by the Planning Secretary.</p> <p>The ER or AA endorsement or Planning Secretary's approval (where required) must be obtained before construction or investigations relating to the Project Process can commence.</p> <p>Note: For the purposes of this condition, the term 'Project Process' is taken to mean any strategy, plan, procedure, panel, system, protocol, archaeological research design and program required to be completed to satisfy a condition of this approval or for SSI 10038 or SSI 7400.</p> <p>[MOD 2, 9 Oct 2023]</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
A10	<p>Notwithstanding Condition A9, where the following have been approved by the Planning Secretary for the purpose of SSI 10038 and SSI 7400, further approval is not required for the CSSI where the same individual/company/document is nominated:</p> <p>a) Acoustics Advisor; b) Excavation Director c) Community Complaints Mediator; d) Community Communication Strategy; e) Out-of-Hours Work Protocol; or f) Independent Property Impact Assessment Panel</p> <p>The Proponent must notify the Planning Secretary of any such appointment of an individual/company or application of a document consistent with the requirements of the corresponding condition in SSI 10038 and SSI 7400.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
Timing and Approvals							
A11	Any document that must be submitted or action taken within a timeframe specified in or under the conditions of this approval may be submitted or undertaken within a later timeframe agreed with the	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.


Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	Planning Secretary. This condition does not apply to the written notification required in respect of an incident under Condition A45 .						
A12	Any document or similar that must be prepared under the conditions of this approval, may be prepared, and where applicable submitted, for multiple phases of the CSSI, if agreed by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A13	Without limitation, all strategies, plans, programs, reviews, audits, report recommendations, protocols and the like required by the terms of this approval must be implemented by the Proponent and in accordance with all requirements issued by the Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Phasing							
A14	The CSSI may be constructed in phases. Where phased construction is proposed, a Phasing Report must be prepared and submitted to the Planning Secretary for information. The Phasing Report must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction of the first of the proposed phases of construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A15	<p>The Phasing Report must:</p> <ul style="list-style-type: none"> a) set out how construction of the whole of the CSSI will be phased, including details of work and other activities to be carried out in each phase and the general timing of when construction of each phase will commence and finish; b) specify the relevant conditions that apply to each phase and how compliance with conditions will be achieved across and between each of the phases of the CSSI; c) set out mechanisms for managing any cumulative impacts arising from the proposed phasing; and d) for the purposes of informing Conditions C2, C7 and C18, include an assessment of the predicted level of environmental risk and potential level of community concern posed by the construction activities required to construct each phase of the CSSI. <p>With respect to (d) above, the risk assessment must use an appropriate process consistent with AS/NZS ISO 31000: 2018; Risk Management - Principles and Guidelines and must be endorsed by the ER.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
A16	The CSSI must be phased in accordance with the Phasing Report, as submitted to the Planning Secretary for information.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A17	Where phasing is proposed, the conditions of this approval that apply or are relevant to the work or activities to be carried out in a specific phase must be complied with at the relevant time for that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A18	Where changes are proposed to the phasing of construction, a revised Phasing Report must be prepared and submitted to the Planning Secretary for information before the commencement of changes to the phasing of construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A19	<p>With the approval of the Planning Secretary, the Proponent may submit any strategies, plans or programs required by this approval on a progressive basis within each phase of the CSSI.</p> <p><i>Notes:</i></p> <ol style="list-style-type: none"> 1. While any strategy, plan or program may be submitted on a progressive basis, the Proponent will need to ensure that the existing activities on site are covered by suitable strategies, plans or programs at all times; and 2. If the submission of any strategy, plan or program is to be submitted on a progressive basis, then the relevant strategy, plan or program must clearly describe the activities to which the strategy, plan or program applies, the relationship of this activity to any future activities within the phase, and the trigger for updating the strategy, plan or program. 	Full Compliance	Full Compliance	Not subject to audit at this time.			
Ancillary Facilities							
A20	<p>Ancillary facilities that are not identified by description and location in the documents listed in Condition A1 can only be established and used in each case if:</p> <ul style="list-style-type: none"> a) they are located within or immediately adjacent to the Construction Boundary; and b) they are not located next to sensitive land user(s) (including where an access road is between the facility and the receiver), unless the landowner and occupier have given written acceptance to the carrying out of the relevant facility in the proposed location; and 	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	<p>c) they have no impacts on Heritage items (including areas of archaeological sensitivity), threatened species, populations or ecological communities beyond the impacts approved under the conditions of this approval; and</p> <p>d) the establishment and use of the facility can be carried out and managed within the outcomes set out in the conditions of this approval, including in relation to environmental, social and economic impacts.</p> <p>Note: This condition does not apply to any ancillary facilities or work that are exempt or complying development, established before the commencement of construction under this approval or minor ancillary facilities established under Condition A23.</p>						
Site Establishment Work							
Site Establishment Management Plan							
A21	<p>Before establishment of any ancillary facility (excluding exempt or complying development, minor ancillary facilities determined by the ER to have minimal environmental impact and those established under Condition A23, and those considered in an approved CEMP), the Proponent must prepare a Site Establishment Management Plan which outlines the environmental management practices and procedures to be implemented for the establishment of the ancillary facilities. The Site Establishment Management Plan must be prepared in consultation with the Relevant Council(s) and relevant government agencies. The Site Establishment Management Plan must include:</p> <p>a) a description of activities to be undertaken during establishment of the ancillary facility (including scheduling and duration of work to be undertaken at the site);</p> <p>b) figures illustrating the proposed operational site layout and the location of the closest sensitive land user(s);</p> <p>c) a program for ongoing analysis of the key environmental risks arising from the site establishment activities described in subsection (a) of this condition, including an initial risk assessment undertaken before the commencement of site establishment work;</p> <p>d) details of how the site establishment activities described in subsection (a) of this condition will be carried out to:</p> <ol style="list-style-type: none"> i. meet the performance outcomes stated in the documents listed in Condition A1, and ii. manage the risks identified in the risk analysis undertaken in subsection (c) of this condition; and <p>e) a program for monitoring the performance outcomes, including a program for construction noise monitoring, where appropriate or required.</p> <p>Nothing in this condition prevents the Proponent from preparing individual Site Establishment Management Plans for each ancillary facility. Site Establishment Management Plans must be submitted to the Planning Secretary for approval one (1) month before the establishment of any ancillary facilities.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
Use of Ancillary Facilities							
A22	<p>The use of an ancillary facility for construction must not commence until the CEMP required by Condition C1, relevant CEMP Sub-plans required by Condition C5 and relevant Construction Monitoring Programs required by Condition C14 have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable).</p> <p>Note: This condition does not apply to Condition A23 or where the use of an ancillary facility is Low Impact Work or for Low Impact Work.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
Minor Ancillary Facilities							
A23	<p>Lunch sheds, office sheds, portable toilet facilities, and the like, can be established and used where they have been assessed in the documents listed in Condition A1 or satisfy the following criteria:</p> <p>a) are located within or adjacent to the Construction Boundary; and</p> <p>b) have been assessed by the ER to have:</p> <ol style="list-style-type: none"> i. minimal amenity impacts to surrounding residences and businesses, after consideration of matters such as compliance with the ICNG, traffic and access impacts, dust and odour impacts, and visual (including light spill) impacts, and ii. minimal environmental impact with respect to waste management and flooding, and iii. no impacts on biodiversity, soil and water, and Heritage items beyond those already approved under other conditions of this approval. 	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
Boundary Screenings							
A24	Boundary screening must be erected around ancillary facilities that are adjacent to sensitive land user(s) for the duration that the ancillary facility is in use unless otherwise agreed with relevant affected residents, business operators or landowners.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A25	Boundary screening required under Condition A24 must minimise visual impacts on adjacent sensitive land user(s).	Full Compliance	Full Compliance	Not subject to audit at this time.			
Independent Appointments							
A26	All Independent Appointments required by the conditions of this approval must hold current membership of a relevant professional body, unless otherwise agreed by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A27	The Planning Secretary may at any time commission an audit of how an Independent Appointment has exercised their functions. The Proponent must: <ul style="list-style-type: none"> a) facilitate and assist the Planning Secretary in any such audit; and b) make it a term of their engagement of an Independent Appointment that the Independent Appointment facilitate and assist the Planning Secretary in any such audit 	Full Compliance	Full Compliance	Not subject to audit at this time.			
A28	Upon completion of an audit under Condition A27 above, the Planning Secretary may withdraw its approval of an Independent Appointment should they consider the Independent Appointment has not exercised their functions in accordance with this approval. <i>Note: Conditions A27 and A28 apply to all Independent Appointments including the ER, AA and Independent Auditor.</i>	Full Compliance	Full Compliance	Not subject to audit at this time.			
Environment Representative							
A29	Work must not commence until an Environmental Representative (ER) has been nominated by the Proponent and approved by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A30	The proposed ER must be a suitably qualified and experienced person(s) who was not involved in the preparation of the documents listed in Condition A1, is independent from the design and construction personnel for the CSSI and those involved in the delivery of it and engaged for the duration of work and for no less than six (6) months following completion of construction of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A31	The Proponent may engage more than one ER for the CSSI, in which case the functions to be exercised by an ER under the conditions of this approval may be carried out by any ER that is approved by the Planning Secretary for the purposes of the CSSI. The ER must meet the requirements of the Department's Environmental Representative Protocol (DPE, 2018). The appointment of the ER must have regard to the Department's guideline Seeking approval from the Department for the appointment of independent experts (DPIE, 2020).	Full Compliance	Full Compliance	Not subject to audit at this time.			
A32	For the duration of the work or as agreed with the Planning Secretary, the approved ER must: <ul style="list-style-type: none"> a) receive and respond to communication from the Planning Secretary in relation to the environmental performance of the CSSI; b) consider and inform the Planning Secretary on matters specified in the conditions of this approval; c) consider and recommend to the Proponent improvements that may be made to work practices to avoid or minimise adverse impact to the environment and to the community; d) review documents identified in Conditions A14, A21, C1, C5 and C14 and any other documents that are identified by the Planning Secretary, to ensure they are consistent with requirements in or under this approval and if so: <ul style="list-style-type: none"> i. must make a written statement to this effect before submission of such documents to the Planning Secretary (if those documents are required to be approved by the Planning Secretary); or ii. must make a written statement to this effect before the implementation of such documents (if those documents are only required to be submitted to the Planning Secretary / Department for information or are not required to be submitted to the Planning Secretary / Department); 	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	<p>iii. provide a written statement to the Planning Secretary advising the documents have been endorsed.</p> <p>e) for documents that are required to be submitted to the Planning Secretary / Department for information under (d)(ii) above, the documents must be submitted as soon as practicable to the Planning Secretary / Department after endorsement by the ER, unless otherwise agreed by the Planning Secretary;</p> <p>f) regularly monitor the implementation of the documents listed in Conditions A14, A21, C1, C5 and C14 to ensure implementation is being carried out in accordance with the document and the conditions of this approval;</p> <p>g) as may be requested by the Planning Secretary, help plan or attend audits of the development commissioned by the Department including scoping audits, programming audits, briefings and site visits, but not independent environmental audits required under Condition A41;</p> <p>h) as may be requested by the Planning Secretary, assist in the resolution of community complaints received directly by the Department;</p> <p>i) consider or assess the impacts of minor ancillary facilities comprising lunch sheds, office sheds and portable toilet facilities as required by Condition A23; and</p> <p>j) consider any minor amendments to be made to the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs without increasing impacts to nearby sensitive receivers, and are consistent with the conditions of this approval and the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, approve the amendment. This does not include any modifications to the conditions of this approval;</p> <p>k) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, an Environmental Representative Monthly Report providing the information set out in the Environmental Representative Protocol under the heading "Environmental Representative Monthly Reports". The Environmental Representative Monthly Report must be submitted within seven (7) days following the end of each month for the duration of the ER's engagement for the CSSI, or as otherwise agreed by the Planning Secretary; and</p> <p>l) assess the impacts of activities as required by the Low Impact Work definition.</p> <p>With respect to (d) above, the ER is not required to endorse the specialist content in documents requiring specialist review and / or endorsement.</p>						
A33	<p>The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions specified in Condition A32 (including preparation of the ER monthly report), as well as:</p> <p>a) the Complaints Register (to be provided on a weekly basis or as requested); and</p> <p>b) a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work).</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
Acoustics Advisor							
A34	Work must not commence until an AA has been nominated by the Proponent and approved by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A35	A suitably qualified and experienced Acoustics Advisor(s) (AA) in noise and vibration management, who is independent of the design and construction personnel, must be nominated by the Proponent and engaged for the duration of work (as required by Condition A34) and for no less than six (6) months following completion of construction of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A36	The Proponent may nominate additional suitably qualified and experienced persons, for the Planning Secretary's approval, to assist the lead AA.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A37	<p>The approved AA must:</p> <p>a) receive and respond to communication from the Planning Secretary in relation to the performance of the CSSI in relation to noise and vibration;</p> <p>b) consider and inform the Planning Secretary on matters specified in the conditions of this approval relating to noise and vibration;</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	<ul style="list-style-type: none"> c) consider and recommend, to the Proponent, improvements that may be made to avoid or minimise adverse noise and vibration impacts; d) review all proposed night-time works (with the exception of low risk activities) to determine if sleep disturbance would occur and recommend measures to avoid sleep disturbance or appropriate additional alternative mitigation measures; e) review all noise and vibration documents required to be prepared under the conditions of this approval and, should they be consistent with the conditions of this approval, endorse them before submission to the Planning Secretary (if required to be submitted to the Planning Secretary) or before implementation (if not required to be submitted to the Planning Secretary); f) regularly monitor the implementation of all noise and vibration documents required to be prepared under the conditions of this approval to ensure implementation is in accordance with what is stated in the document and the conditions of this approval; g) review the Proponent's notification of incidents in accordance with Condition A45; h) in conjunction with the ER (where required), the AA must: <ul style="list-style-type: none"> i. as may be requested by the Planning Secretary or Community Complaints Mediator (required by Condition B8), help plan, attend or undertake audits of noise and vibration management of the CSSI including briefings, and site visits, ii. in the event that conflict arises between the Proponent and the community in relation to the noise and vibration performance of the CSSI, follow the procedure in the Overarching Community Communication Strategy referenced in Condition B1 to attempt to resolve the conflict, and if it cannot be resolved, notify the Planning Secretary, iii. if requested by the ER, consider relevant minor amendments made to the Site Establishment Management Plan, CEMP, relevant sub-plans and noise and vibration monitoring programs that require updating or are of an administrative nature, and are consistent with the conditions of this approval and the management plans and monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, endorse the amendment, (this does not include any modifications to the conditions of this approval), iv. if requested by the ER, review the noise impacts of minor ancillary facilities, and v. prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, a Monthly Noise and Vibration Report detailing the AA's actions and decisions on matters for which the AA was responsible in the preceding month. The Monthly Noise and Vibration Report must be submitted within seven (7) days following the end of each month for the duration of the AA's engagement for the CSSI, or as otherwise agreed by the Planning Secretary. 						
A38	<p>The Proponent must cooperate with the AA by:</p> <ul style="list-style-type: none"> a) providing access to noise and vibration monitoring activities as they take place; b) providing access to the Complaints Register if requested; c) providing for review of noise and vibration documents required to be prepared under the conditions of this approval; and d) considering any recommendations to improve practices and demonstrating, to the satisfaction of the AA, why any recommendation is not adopted. 	Full Compliance	Full Compliance	Not subject to audit at this time.			
Notification of Commencement							
A39	The Department must be notified in writing of the date of commencement of construction before the commencement of construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A40	If construction of the CSSI is to be phased, the Department must be notified in writing before the commencement of each phase, of the date of the commencement of that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Independent Environmental Audit							
A41	<p>Independent Audits of the CSSI must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (DPIE, 2020).</p> <p>Notwithstanding the above, the Proponent may prepare an audit program to outline the scope and timing of each independent audit that will be undertaken during construction. If prepared, the audit program must be developed in consultation with, and approved by, the Planning Secretary before commencement of the first audit and implemented throughout construction.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	
	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
A42	Proposed independent auditors must be approved by the Planning Secretary before the commencement of an Independent Audit.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A43	The Planning Secretary may require the initial and subsequent Independent Audits to be undertaken at different times to those specified in the Independent Audit Post Approval Requirements (DPIE, 2020), upon giving at least four (4) weeks' notice (or timing as stipulated by the Planning Secretary) to the Proponent of the date upon which the audit must be commenced.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A44	Independent Audit Reports and the Proponent's response to audit findings must be submitted to the Planning Secretary within two (2) months of undertaking the independent audit site inspection as outlined in the Independent Audit Post Approval Requirements (DPIE, 2020), unless otherwise agreed by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Incident and Non-Compliance Notification and Reporting							
A45	<p>The Planning Secretary must be notified via phone or in writing via the Major Projects website immediately after the Proponent becomes aware of an incident. Any notification via phone must be followed up by a notification in writing via the Major Projects website within 24 hours of the initial phone call.</p> <p>The written notification must identify the CSSI (including the application number and the name of the CSSI if it has one) and set out the location and general nature of the incident.</p> <p>Subsequent notification must be given and reports submitted in accordance with the requirements set out in Appendix A.</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with Auditees 28-29/02/2024</p> <p>SAI360 system - Incident Register current to 29/02/2024 (Live system)</p> <p>Incident EVT-001728:</p> <ul style="list-style-type: none"> - Incident Report INC-0099384 from John Holland 25/9/23 - Letter from SM to DPHI, dated 25/9/23 environmental incident notification - Email SM to DPHI, 26/9/23 notification of incident (underground storage tank) - Post Approval Form, 26/9/23 - Letter from SM to DPHI, dated 21/11/23 environmental incident notification update <p>Incident EVT-001802:</p> <ul style="list-style-type: none"> - Incident Report 4/01/2024, John Holland Incident No. INC-0100790 - Email from SM to DPHI 5/1/2024 notification of incident (Hunter St, Grouting works) - Post Approval Form 05/01/2024 - Email 11/01/2024 from JCGJV to SM with incident report (property damage) details - Post Approval Form 12/01/2024 - Post Approval Form 13/01/2024 	<p>The SAI360 system shows there have been 5 incidents on ETP on the audit period, all related to spills and leaks. All incidents have been closed out (Ref. No.: EVT-0001637, EVT-0001683, EVT-0001728, EVT-0001789 and EVT-0001802).</p> <p>The Proponent have not identified any incidents requiring notification to the DPHI as defined by the Approval, for the audit period. However, Sydney Metro decided to notify DPHI of two incidents as follows:</p> <p>EVT-001728 (25/9/23) During the removal of concrete footings at the Pymont East Site an unexpected underground storage tank (UST) was identified. The tank was damaged resulting in a small oil leak; the tank was removed and emptied out. The incident was investigated, and an update was provided to the DPHI. The incident was classified as 'Class 5' and closed 8/1/2024. This incident was later reclassified and withdrawn from the system.</p> <p>EVT-0001802 (4/1/24) During grounding works at Hunter Street East an unidentified private sewage resulted blocked. Incident was classified as 'Class 3' (Property damage) and investigation completed. This incident is under Government Information Private (GIP). Information for this incident is confidential as it involves a resident. The incident was closed 8/1/2024.</p>	Compliant
A46	The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with Auditees 28-29/02/2024</p> <p>SAI360 non-compliance register current to 29/02/2024 (Live system)</p> <p>Non-compliance EVT-0001672</p> <ul style="list-style-type: none"> - JCG Incident INC-0098240, 11/7/2023, Signoff - SM Environmental Incident and Non-Compliance Notification Report, 12/7/23 - Post Approval Form, 14/7/2023 	<p>Two non-compliances were raised during the audit period:</p> <p>EVT-0001672, 11/7/2023. A small mini tanker (fuelling truck) parked in Paternoster Row outside the Pymont West site. Paternoster Row is not an approved heavy vehicle route in the Pymont West. Supervisor stopped the refuelling activity and truck was removed from the area. Corrective Actions included putting a traffic controller on the corner of Pymont Bridge Road and Paternoster Row to prevent project related trucks entering Paternoster Row. Notification to DPHI was made on the</p>	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					<ul style="list-style-type: none"> - Sydney Metro Inspection Report (INS-0001953) SAI360 dated 18/7/2023 Non-compliance EVT-0001848 <ul style="list-style-type: none"> - SM Environmental Incident Non-Compliance Notification Report, 20/2/24 - Submission email from SM to DPPI, 23/2/24 - Post Approval Form 23/2/24 ER Monthly Reports for: <ul style="list-style-type: none"> - Jul-Nov 2023 and Jan 2024 Stage 1 – Construction Parking & Access Strategy (CPAS) Monitoring Report for Pymont & Hunter Street Worksites (April to September 2023), 28/11/2023 Post Approval Form 14/12/2023 re. CPAS Monitoring Report (Apr-Sep 2023) JCGJV - Heavy Vehicle Driver Induction for ETP	14/7/2023 and non-compliance was closed 31/7/2023. EVT-0001848, 20/2/2024. Subcontractor heavy vehicles were not using the nominated haulage routes. This was identified in the CPAS Monitoring Report (April-Sep 2023) section 3.2.5 and 4.0, which indicates that in 9 occasions project heavy vehicles were identified using routes outside of the approved haulage routes and in 3 occasions vehicles were parked on non-authorized local roads. Sydney Metro made a submission to DPPI on the 23/2/24 against condition D73. DPPI acknowledge email was received on the 25/2/24. Actions to address the non-compliance included a toolbox talk to the workforce on reinforced nominated haulage routes and parking restrictions. It was noted that the dates when this non-compliance happened were from 16/6/23 to 17/11/23. Non-compliance was closed by JCGJV on the 28/02/2024. Metro Observation: A non-compliance was identified by Sydney Metro against D73 for trucks not using the nominated haulage routes between April and September 2023. This non-compliance was not notified until Sydney Metro Environment Team had reviewed the CPAS monitoring report (~3-6 months after the events occurred). The Auditor understands that the Project operates real-time tracking of heavy vehicles, and the system alerts the Project team of events whereby trucks do not adhere to nominated haul routes. Therefore, the Auditor is of the view that the Project team ought to have been aware of a breach at the time it occurred and thus should have reported this at that time.	
A47	A non-compliance notification must identify the CSSI (including the application number for it), set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be undertaken to address the non-compliance. Note: A non-compliance which has been notified as an incident does not need to also be notified as a non-compliance.	Full Compliance	Full Compliance	To be audited in February 2024	Interview with Auditees 28-29/02/2024 SAI360 non-compliance register current to 29/02/2024 (Live system)	EVT-0001672 dated 11/7/2023 and the Sydney Metro Environmental Incident and Non-Compliance Notification Report dated 12/7/23 included: SSI-19238057, condition D73, description of non-compliance, immediate actions, and closure actions.	Compliant
Identification of Project							
A48	The CSSI name, application number, telephone number, postal address and email address required under Condition B3 must be available on site boundary fencing / hoarding at each ancillary facility before the commencement of construction. This information must also be provided on the website required under Condition B11.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A49	The locations of all heavy vehicles used for spoil haulage must be monitored in real time and the records of monitoring be made available to the Planning Secretary and the EPA upon request for a period of no less than one year following the completion of construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
PART B: COMMUNITY INFORMATION AND REPORTING							

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
Community Information, Consultation and Involvement							
B1	<p>Community Notification</p> <p>The Overarching Community Communication Strategy as provided in the documents listed in Condition A1 must be implemented for the duration of the work.</p> <p>Should the Overarching Community Communication Strategy be updated, a copy must be provided to the Planning Secretary for information.</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with Auditees 28-29/02/2024</p> <p>Sydney Metro Overarching Community Communication Strategy (OCCS) for SMWS2 – dated 14/12/2023 Rev. 4.0</p> <p>Post Approval Lodgement, 25/1/24</p> <p>Letter from DPHI to SM, dated 9/2/24, acknowledge of final OCCS</p> <p>DPE Email 14/02/2024 for OCCS, requesting track changes of the OCCS</p> <p>Eastern Tunnelling Package, Community Communications Strategy, JCG, 10/11/23 (JCG website)</p> <p>Consultation Manager (CM) online database</p> <p>Complaints Register current to 28/02/2024</p> <p>JCG's ETP Monthly Reports extract from Jul 2023 to Feb 2024</p> <p>Pymont Cumulative Impact Management Meeting Minutes, 28/2/2024</p> <p>Bays Project Traffic and Cumulative Impact Coordination Meeting Minutes, 26/2/2024</p> <p>Rozelle-The Bays Project Communication Group meeting invite for 8/3/2024</p> <p>Sydney CBD: Sydney Metro Communications Interface Coordination Group meeting invite 12/12/2023</p> <p>https://caportal.com.au/tfnsw/sydmetrowest</p> <p>https://caportal.com.au/tfnsw/sydmetrowest/map</p> <p>https://www.sydneymetro.info/media/document/39296</p>	<p>Sighted Sydney Metro Overarching Community Communication Strategy (OCCS) for SMWS2 – dated 14/12/23 Rev.4. The Strategy was revised to update the complaints conduct process and people with disabilities and changing the acronym of DPE for DPHI.</p> <p>DPE Post Approval Form 25/01/2024 for SMW Stage 2 OCCS (Rev.4). The ETP CCS did not have any major changes during the audit period.</p> <p>There is also a subordinate Community Communications Strategy that has been prepared by JCG. This document has been prepared in a manner consistent with that specified by Section 2 of the OCCS.</p> <p>The CCS is regularly monitored and reviewed to meet the requirements listed in OCCS Table 6. Within the Audit period, the last update that occurred to the CCS was approved on 10/11/2023. This review involved an initial meeting between the Sydney Metro Communication Manager and JCG Community & Stakeholder Engagement Director to assess the performance and identify any areas that required review or update.</p> <p>Evidence of implementation of the OCCS was sighted as follows:</p> <ul style="list-style-type: none"> - JCG's Monthly Report provides a summary of the stakeholder engagement performance (section 9.0) along with key actions and meetings that have occurred for the month. This report is reviewed by the Sydney Metro Project Team. Relevant extracts from the period July 2023 to February 2024 were provided. - Regular communication with key stakeholders has been undertaken, to identify their needs. Consultation has been carried out, and that is reflected on the number of enquires been less than when the project started. Additionally, the low level of complaints demonstrate that the mitigation measures implemented has been successful. Mitigation measures are reviewed as part of the CCS review. - Sighted photo showing that small groups have been taken to the ground e.g. Radisson Hotel, Mervac, etc on Hunter Street site. A new hoarding has been installed at Radisson side with historic images. Sighted photo and email from Radisson thanking the comms team about it. Sighted screen shot from the Consultation Manager (CM) database showing evidence of updating stakeholder's details for Hunter Street 	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
						<p>West (Hotel Manager) on the 7/3/2024 to evidence that engagement with stakeholders has continued.</p> <ul style="list-style-type: none"> - In Pymont there has been some information session with strata committees. - Sydney Metro West Tunnel Tool – on the project website, showing information and interactive map. - For ETP there have been 2 surveys been undertaken. Additionally, in May 2024 there will be a community event and a survey will be sent after that. - Sydney Metro and JCG monitor closely other projects near the ETP sites to manage the cumulative impacts. This is covered in the CCS review. Examples with documented evidence are Pymont where all the projects in the area are discussed regularly. At Hunter Street, there are regular Communications Interface Coordination Group with Martin Place. At The Bays, there are several forums including a Fortnightly (now monthly) Communication Team Catch Up. No minutes are not taken at these meetings, but screenshots of meeting invites were sighted. 	
Complaints Management System							
B2	A Complaints Management System must be prepared and implemented before the commencement of any work and maintained for the duration of construction and for a minimum for 12 months following completion of construction of the CSSI.	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with Auditees 28-29/02/2024</p> <p>Consultation Manager (CM) online database</p> <p>Complaints Register current to 28/02/2024</p> <p>SMW Daily Complaint Report – 27/2/2024</p> <p>Complaints example ID No. 5312, 15/1/24 closed</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/how-to-make-a-complaint</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p>	<p>Every complaint is logged into Consultation Manager platform (shared between contractor and Metro). It is shared daily to allow immediate reporting with third parties, as necessary. The complaint is distilled by comms team reflective of the purpose and audience of the complaints register. Majority of complaints are received through emails.</p> <p>The Auditor is of the view that the complaints management system is being implemented. The Department requested additional information on the 11/9/23 in relation to the Complaints Register for August 2023. SM responded to DPHI 18/9/23.</p> <p>To the auditor's knowledge the EPA has not provided any comment on the register. Project website includes phone number and email address.</p> <p>Community Information line (1800 612 173) signage (including bar code) sighted at Hunter Street sites.</p>	Compliant
B3	<p>The following information must be available to facilitate community enquiries and manage complaints before the commencement of work and for 12 months following the completion of construction:</p> <ol style="list-style-type: none"> a 24- hour telephone number for the registration of complaints and enquiries about the CSSI; a postal address to which written complaints and enquires may be sent; an email address to which electronic complaints and enquiries may be transmitted; and 	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with Auditees 28-29/02/2024</p> <p>Community Information line (1800 612 173)</p> <p>Sydney Metro Overarching Community Communication Strategy (OCCS) for SMWS2 – dated 14/12/2023 Rev. 4.0</p>	<p>The Project works notifications includes contact details as required by this condition. Works updates are directly dropped to community.</p>	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	d) a mediation system for complaints unable to be resolved. This information must be accessible to all in the community regardless of age, ethnicity, disability or literacy level.				<p>Eastern Tunnelling Package, Community Communications Strategy, JCG, 10/11/23 (JCG website)</p> <p>Consultation Manager (CM) online database</p> <p>Complaints Register current to 28/02/2024</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/how-to-make-a-complaint</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p> <p>https://www.sydneymetro.info/documents</p> <p>https://caportal.com.au/tfnsw/sydmetrowest</p> <p>https://www.sydneymetro.info/website-accessibility</p> <p>https://www.sydneymetro.info/media/document/39296</p> <p>https://www.sydneymetro.info/sites/default/files/2022-12/Hunter_Street_metro_station_over_station_development_proposal.pdf</p> <p>https://www.sydneymetro.info/sites/default/files/2022-09/The_Bays_to_Sydney_CBD_station_excavation_and_tunnelling_planning_approval_newsletter.pdf</p> <p>https://www.sydneymetro.info/sites/default/files/2023-01/Sydney_Metro_West_Project_update_Pyrmont_and_Sydney_CBD.pdf</p>	<p>Complaint mediation system is described in the OCCS and each of the Community Communications Strategies.</p> <p>The auditees advise that if a complaint cannot be resolved, and the ER (or the Director of Communications) recommends mediation, this is escalated. The auditees are not aware of any complaints requiring escalation during the audit period.</p> <p>Signage on the Project construction sites includes the 1800 number and a QR code for community engagement. Refer A48 regarding deficiency in the Project signage.</p>	
B4	<p>A Complaints Register must be maintained recording information on all complaints received about the CSSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The Complaints Register must record the:</p> <ul style="list-style-type: none"> a) number of complaints received; b) date and time of the complaint; c) number of people in the household affected in relation to a complaint, if relevant; d) method by which the complaint was made; e) any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect; f) issue of the complaint; g) means by which the complaint was addressed and whether resolution was reached, with or without mediation; and h) if no action was taken, the reason(s) why no action was taken. 	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with Auditees 28-29/02/2024</p> <p>SMW Monthly Complaints Report from June 2023 to January 2024</p> <p>SMW Weekly Complaints Report for January 2024 (1-7 Jan, 8-14 Jan, 15-21 Jan, 22-28 Jan, 29 Jan – 4 Feb)</p> <p>Complaints Privacy Collection Notice</p> <p>Construction Complaints Management System, 20/10/2023 Issue 3</p> <p>Consultation Manager (CM) online database</p> <p>Complaints Register current to 28/02/2024</p>	<p>Sighted Consultation Manager and the SMW Monthly Complaints Report from June 2023 to January 2024. Also, presented the SMW Weekly Complaints Reports for January 2024.</p> <p>Sighted Complaints Privacy Collection Notice and the Construction Complaints Management System, issue 3, 20/10/2023 in the project website.</p> <p>Complaints register is current to 28/02/2024. The information required by the condition is captured within the system and registers. Register includes the number of people affected, type, nature, topic, status, etc. Two complaints still open (one just raised 27/2/24), the other unresolved complaint (33 union street, Pyrmont) is being managed by legal team.</p> <p>The Complaints Management System and Register define whether a complaint is:</p> <ul style="list-style-type: none"> • 'unavoidable', that is a complaint 'in opposition to the Project or government policy, or complaints about issues that are within Project planning approvals.' or • 'avoidable' that is a complaint 'about issues outside planning approval, or a 	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
						<p>commitment that has been given to the community or stakeholders".</p> <p>According to the Complaints Management System, complaints classed as unavoidable must be supported by a justification.</p> <p>Consultation Manager (CM) database shows 153 complaints since the start of the project and SMW Complaints Register indicates a total 88 complaints for the audit period; 81 complaints were classed as 'unavoidable'.</p>	
B5	<p>Complainants must be advised of the following information before, or as soon as practicable after, providing personal information:</p> <ul style="list-style-type: none"> a) the Complaints Register may be forwarded to government agencies, including the Department (Department of Planning and Environment, 4 Parramatta Square, 12 Darcy Street, Parramatta NSW 2150), to allow them to undertake their regulatory duties; b) by providing personal information, the complainant authorises the Proponent to provide that information to government agencies; c) the supply of personal information by the complainant is voluntary; and d) the complainant has the right to contact government agencies to access personal information held about them and to correct or amend that information (Collection Statement). <p>The Collection Statement must be included on the Proponent or development website to make prospective complainants aware of their rights under the Privacy and Personal Information Protection Act 1998 (NSW). For any complaints made in person, the complainant must be made aware of the Collection Statement.</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with Auditees 28-29/02/2024</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p> <p>Email auto reply for community email (example only)</p> <p>Community Information line (1800 612 173)</p> <p>Complaints Register current to 28/02/2024</p> <p>SMW Monthly Complaints Report, Feb 2024</p>	<p>The collection statement is available on the Sydney Metro website. The voicemail introduction to the complaints line and the email immediate response identifies that personal information will be recorded and managed in accordance with the Privacy and Personal Information Protection Act and directs the complainant to the Collection Notice on the website for further information.</p> <p>The collection notice provides the relevant details in accordance with this condition.</p> <p>An automatic email response is sent to the complainant and when the complaint is made by phone, this is directly addressed on the call.</p>	Compliant
B6	<p>The Complaints Register must be provided to the Planning Secretary upon request, within the timeframe stated in the request.</p> <p><i>Note: Complainants must be advised that the Complaints Register may be forwarded to Government agencies to allow them to undertake their regulatory duties.</i></p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with Auditees 28-29/02/2024</p> <p>SMW Monthly Complaints Report – from June 2023 to January 2024</p> <p>SMW Weekly Complaints Report for January 2024 (1-7 Jan, 8-14 Jan, 15-21 Jan, 22-28 Jan, 29 Jan – 4 Feb)</p> <p>Post Approval Form 26/2/2024 complaints 19-25 Feb 2024.</p> <p>Post Approval Document receipts for SM West Weekly Complaints Report from 05/09/2023 to 06/02/2024.</p>	<p>Sydney Metro West (for both Stage 1 & 2) Complaints Register is issued to the DPHI on a weekly basis; sighted records for January 2024.</p> <p>It was noted that the DPHI requested additional information on the 11/9/23 in relation to the Complaints Register for August 2023. SM responded to DPHI 18/9/23.</p> <p>Refer B5 regarding notification about use of personal information.</p>	Compliant
B7	<p>A Community Complaints Mediator that is independent of the design and construction personnel must be engaged by the Proponent, upon the referral of the complaint by the ER in accordance with the Overarching Community Communication Strategy.</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with Auditees 28-29/02/2024</p> <p>SM appointment letter for ER, AA, CCM and IPIAP dated 5/12/22</p> <p>DPE approval letter for Appointment of Experts (ER, AA, IPIAP and CCM) 21/12/22</p> <p>Complaints Register current to 28/02/2024</p>	<p>Appointment letter for ER, AA and CCM and IPIAP dated 5/12/2022. Letter was submitted to DPE on the 28/11/2022 and approved on the 21/12/2022.</p> <p>Nominated CCM: Steve Lancken from Negocio Resolutions.</p> <p>The auditees are not aware of any complaints having been referred to the Mediator by the ER.</p>	Compliant
B8	<p>The role of the Community Complaints Mediator is to provide independent mediation services for any reasonable and unresolved complaint referred by the ER where a member of the public is not satisfied by the Proponent's response. Where a Community Complaints Mediator is required, a mediator accredited under the National Mediator Accreditation System (NMAS), administered by the Mediator Standards Board must be appointed.</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Sydney Metro Overarching Community Communication Strategy (OCCS) for SMWS2 – dated 14/12/2023 Rev. 4.0</p> <p>Eastern Tunnelling Package, Community Communications Strategy, JCG, 10/11/23 (JCG website)</p>	<p>The Mediator Standards Board website (https://msb.org.au/mediators) confirms that Mr. Stephen Lancken is accredited under the NMAS (ref. 130-3710) by the Resolution Institute.</p>	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					Interview with Auditees 28-29/02/2024 Complaints Register current to 28/02/2024	A very high-level description of the CCMs role is included in the OCCS and CCS. The auditees are not aware of any complaints having been referred to the Mediator by the ER.	
B9	Community Complaints Mediation will: <ul style="list-style-type: none"> a) review any unresolved disputes, referred by the ER in accordance with the Overarching Community Communication Strategy; b) make recommendations to the Proponent to satisfactorily address complaints, resolve disputes or mitigate against the occurrence of future complaints or disputes. 	Full Compliance	Full Compliance	To be audited in February 2024	Sydney Metro Overarching Community Communication Strategy (OCCS) for SMWS2 – dated 14/12/2023 Rev. 4.0 Eastern Tunnelling Package, Community Communications Strategy, JCG, 10/11/23 (JCG website) Interview with Auditees 28-29/02/2024 Complaints Register current to 28/02/2024	The auditees are not aware of any complaints having been referred to the Mediator by the ER.	Not Triggered
B10	Community Complaints Mediation will not be enacted before the Complaints Management System required by Condition B2 has been executed for a complaint and will not consider issues such as property acquisition, where other dispute processes are provided for in this approval or clear government policy and resolution processes are available, or matters which are not within the scope of this CSSI.	Full Compliance	Full Compliance	To be audited in February 2024	Sydney Metro Overarching Community Communication Strategy (OCCS) for SMWS2 – dated 14/12/2023 Rev. 4.0 Eastern Tunnelling Package, Community Communications Strategy, JCG, 10/11/23 (JCG website) Interview with Auditees 28-29/02/2024 Complaints Register current to 28/02/2024	The auditees are not aware of any complaints having been referred to the Mediator by the ER.	Not Triggered
Provision of Electronic Information							
B11	<p>A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all phases of construction of the CSSI. Up-to-date information (excluding confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including:</p> <ul style="list-style-type: none"> a) information on the current implementation status of the CSSI; b) a copy of the documents listed in Condition A1, and any documentation relating to any modifications made to the CSSI or the conditions of this approval; c) a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its conditions), and copies of any approval granted by the Minister to a modification of the conditions of this approval, or links to the referenced documents where available; d) a copy of each statutory approval, licence or permit required and obtained in relation to the CSSI, or where the issuing agency maintains a website of approvals, licences or permits, a link to that website; e) a current copy of each document required under the conditions of this approval, which must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation, as the case may be; and f) a copy of the audit reports required under this approval. <p>Where the information / document relates to a particular work or is required to be implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation.</p> <p>All information required in this condition is to be provided on the website or webpage, and easy to navigate.</p> <p><i>Note: The intention of this condition is to increase transparency and for information/documents required as part of the approval to be provided proactively and publicly in an easily accessible manner. Where information is excepted by this condition, it is intended that these documents are provided in their redacted form.</i></p>	Full Compliance	Full Compliance	To be audited in February 2024	Interview with Auditees 28-29/02/2024 ETP Project Website Document Library Consistency Assessment, dated 22/12/2023 for Hunter Street Additional Hoarding HVL Road Report for Use of Local Roads – 6Pyrmont East 17/8/23 DNVIS – Tunnelling 25/8/23 ETP – D39 Consultation Report 13/2/24 Monitoring programs, DNVIS are managed by the Contractor and it's on the website. https://www.sydneymetro.info/west/project-overview https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/station/bays-station https://www.sydneymetro.info/station/pyrmont-station https://www.sydneymetro.info/station/hunter-street-station https://www.sydneymetro.info/west/environment-planning https://jcgiv.com.au/documents/ https://jcgiv.com.au/contact-us/ https://www.sydneymetro.info/documents https://caportal.com.au/tfsw/sydneywest https://www.sydneymetro.info/media/document/39296	The information required under the Approval appears to all have been published on the Sydney Metro website or the websites of its contractors. The Auditor notes that the use of third-party (JCGJV - Contractor) websites was agreed to by the Department in 2017 (as part of another Sydney Metro project) and has been applied consistently since. The Auditor is not aware of any direction from the Department stating otherwise. The Auditor is satisfied that the Project website (including the JCG website) contains the information required by this condition. The Auditor requested evidence be provided to demonstrate that documents were uploaded within the timeframe specified by B11(e). It is observed that JCG clearly identified the document revision/approval dates and the upload dates, but this was not directly provided by Sydney Metro. The Auditor has not identified circumstances where B11(e) timeframes have not been met and observes that construction is at a phase where documents primarily (but not always) relate to ongoing works. Documents published in the SM West project site: <ul style="list-style-type: none"> - Consistency Assessments are published by SM under their internal 	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status		
		Phase G1	Phase G2						
					https://www.sydneymetro.info/sites/default/files/2022-12/Hunter_Street_metro_station_over_station_development_proposal.pdf https://www.sydneymetro.info/sites/default/files/2022-09/The_Bays_to_Sydney_CBD_station_excavation_and_tunnelling_planning_approval_newsletter.pdf https://www.sydneymetro.info/sites/default/files/2023-01/Sydney_Metro_West_Project_update_Pymont_and_Sydney_CBD.pdf	<p>policy. Sighted 22/12/2023 for Hunter Street Additional Hoarding.</p> <p>Documents published in the JCGV project site:</p> <ul style="list-style-type: none"> - HVL Road Report for Use of Local Roads – 6Pymont East 17/8/23 upload on the 17/8/23 - DNVIS – Tunnelling 25/8/23 uploaded 28/8/23. - ETP – D39 Consultation Report 13/2/24, uploaded 14/2/24 - Monitoring programs, DNVIS are managed by the Contractor and it's on the website. 			
PART C: CONSTRUCTION ENVIRONMENTAL MANAGEMENT									
Construction Environmental Management Plan									
C1	Construction Environmental Management Plans (CEMPs) and CEMP Sub-plans must be prepared in accordance with the Construction Environmental Management Framework (CEMF) included in the documents listed in Condition A1 to detail how the performance outcomes, commitments and mitigation measures specified in the documents listed in Condition A1 will be implemented and achieved during construction.	Full Compliance	Full Compliance	Not subject to audit at this time.					
C2	With the exception of any CEMPs expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMPs must be submitted to the Planning Secretary for approval.	Full Compliance	Full Compliance	Not subject to audit at this time.					
C3	The CEMP(s) not requiring the Planning Secretary's approval must be submitted to the ER for endorsement no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase. That CEMP must obtain the endorsement of the ER as being consistent with the conditions of this approval and all undertakings made in the documents listed in Condition A1.	Full Compliance	Full Compliance	Not subject to audit at this time.					
C4	Any CEMP to be approved by the Planning Secretary must be endorsed by the ER and then submitted to the Planning Secretary for approval no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.					
C5	Of the CEMP Sub-plans required under Condition C1, the following CEMP Sub-plans must be prepared in consultation with the relevant government agencies identified for each CEMP Sub-plan. Details of issues raised by a government agency during consultation must be included in the relevant CEMP Sub-plan, including copies of all correspondence from those government agencies as required by Condition A6. Where a government agency (ies) request(s) is not included, the Proponent must provide the Planning Secretary / ER (whichever is applicable) justification as to why:	Full Compliance, except for (b), (c) and (d).	Full Compliance	Not subject to audit at this time.					
								Required CEMP Sub-plan	Relevant government agencies to be consulted for each CEMP Sub-plan
	a)							Noise and vibration	Place Management NSW (in respect of The Bays) and Relevant Council(s)
	b)							Flora and fauna	DPE Environment and Heritage Group, DPI Fisheries, and Relevant Council(s)
	c)							Heritage (Non-Aboriginal and Aboriginal)	Heritage NSW, Place Management NSW (in respect of The Bays) and Relevant Council(s)
	d)							Spoil	Relevant Council(s)
e)	Soil and water	DPE Water and Relevant Council(s)							

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
C6	The CEMP Sub-plans must state how: <ol style="list-style-type: none"> the environmental performance outcomes identified in the documents listed in Condition A1 will be achieved; the mitigation measures identified in the documents listed in Condition A1 will be implemented; the relevant conditions of this approval will be complied with; and issues requiring management during construction (including cumulative impacts), as identified through ongoing environmental risk analysis, will be managed through SMART principles. 	Full Compliance	Full Compliance	Not subject to audit at this time.			
C7	With the exception of any CEMP Sub-plans expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMP Sub-plans must be submitted to the Planning Secretary for approval.	Full Compliance	Full Compliance	Not subject to audit at this time.			
C8	The CEMP Sub-plans not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all relevant undertakings made in the documents listed in Condition A1. Any of these CEMP Sub-plans must be submitted to the ER with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.			
C9	Any of the CEMP Sub-plans to be approved by the Planning Secretary must be submitted to the Planning Secretary with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.			
C10	Construction must not commence until the CEMP and all CEMP Sub-plans have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable), unless otherwise agreed by the Planning Secretary. The CEMP and CEMP Sub-plans, as approved by the Planning Secretary or endorsed by the ER (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction. Where construction of the CSSI is phased, construction of a phase must not commence until the CEMP and CEMP Sub-plans for that phase have been approved by the Planning Secretary or endorsed by the ER upon nomination by the Planning Secretary (whichever is applicable).	Full Compliance	Full Compliance	Implementation of Noise and Vibration CEMP Sub-plan and Heritage CEMP Sub-plan to be audited in February 2024.	<p>Letter from SM to DPE, dated 9/3/2023 notifying the commencement of Construction of Phase G1 of the Sydney Metro West Stage 2 project on 17 March 2023.</p> <p>Letter from SM to DPE, dated 20/3/2023 notifying the commencement of Construction of Phase G2 of the Sydney Metro West Stage 2 project on 24 March 2023.</p> <p>Site inspection 28/02/2024</p> <p>Project induction 02/06/23</p> <p>Training Record from Renzo Tonin dated 2/8/23</p> <p>SAI360 system Inspection records from June 2023 to February 2024</p> <p>Inspection INS-0002270, 20/2/2024 ETP ER Inspection #41</p> <p>Environment Actions in SAI360 from June 2023 to February 2024</p> <p>Site Hive online module (noise and vibration monitoring results)</p> <p>Soteria online data set (attended noise monitoring results)</p> <p>Noise monitoring results for 16/2/24</p> <p>Toolbox meeting Record 20/7/23 – Pyrmont West</p> <p>Attendance record Demolition contractor (Mann and Yaga)</p> <p>Activity Method Statements:</p> <ul style="list-style-type: none"> ETP Gantry Crane Erection and Decommissioning, JCG, 27/2/24 Rev.0 (not finalise yet) ETP Hunter Street West Demolition, JCG, 18/08/23, Rev.1 and Rev.2 	<p>Sydney Metro confirmed that actual commencement dates were as follows:</p> <p>Phase G1 - commenced 17/03/23.</p> <p>Phase G2 - commenced 24/03/23.</p> <p>These commencement dates were after the relevant endorsements and approvals being obtained.</p> <p>Physical controls were observed to be in place during the site inspection. This included acoustic sheds, noise and vibration monitors, traffic management and hoarding for Pyrmont East and West sites. The controls appeared to be consistent with that described in the CEMP and Sub-plans.</p> <p>The two incidents notified to the DPHI on the audit period did not trigger the review of the CEMP or sub-plans. Plans are required to be reviewed annually.</p> <p>Inspections on the site are being conducted almost daily, through Soteria. The inspections include aspects from the CEMP and sub-plans. Actions are assigned to the responsible person/s and are escalated if not resolved within the target timeframe.</p> <p>Inspections records were also sighted in SAI360. For ETP during the audit period a total of 32 inspections were conducted.</p>	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					<p>ER Monthly Reports for:</p> <ul style="list-style-type: none"> - January 2024, 7/02/2024 - December 2023, 12/01/2024 - November 2023, 7/12/2023 - October 2023, 7/11/2023 - September 2023, 7/10/2023 - August 2023, 7/9/2023 - July 2023, 7/8/2023 <p>AA Monthly Reports and DPE portal submission records for:</p> <ul style="list-style-type: none"> - January 2024, submitted 7/02/2024 - December 2023, 12/01/2024 - November 2023, submitted 7/12/2023 - October 2023, submitted 7/11/2023 - September 2023, submitted 7/10/2023 - August 2023, submitted 7/9/2023 - July 2023, submitted 7/8/2023 <p>Site Environmental Plans:</p> <ul style="list-style-type: none"> - The Bays, 27/02/2024 - Pymont West, 21/02/2024 - Pymont East, 21/02/2024 - Hunter Street East, 03/10/2023 - Hunter Street West, 26/09/2023 <p>Minor Ancillary Application for Pymont East, Union Street, Rev. C, dated 19/01/2024</p> <p>Minor Ancillary Application for The Bays, Vehicle parking and truck marshalling Rev. E, dated 18/09/2023</p> <p>Heritage Management Sub-plan (HMP), JCG/AMBS, 22/03/23</p> <p>Salvage methodology by TTW, 11/8/2023 for Gilbert's Distillery (including investigation)</p> <p>Salvage Report for Gilby's Motif Rev. B, 21/9/23</p> <p>Heritage Salvage Register, current to 29/2/2024 – two items have been registered (29/5/23 plaques Pymont West and 31/8/23 Gilbey's logo at Pymont West)</p>	<p>CEMP and Sub-plan requirements relevant for a specific task are being incorporated into the working documents (Activity Method Statements). Workers are required to sign on to the Activity Method Statement prior to commencing the work.</p> <p>The AA and ER have conducted surveillance to monitor the implementation of the noise and vibration documentation and the CEMP and Sub-plans.</p> <p>The ER made some observations in relation to noise from the diesel engine on the Tower Crane at Pymont East, particularly after a complaint was received for this plant in January 2024. Monitoring was undertaken of the tower crane in late January 2024 that was attended by the AA. JCG has investigated and implemented mitigation and the noise consultant (Renzo Tonnin) updated the Pymont DNVIS on the 15/3/2024.</p> <p>During the audit period two Minor Ancillary Facility (MAF) approvals were made:</p> <p>A Minor Ancillary Application for Pymont East, Union Street, Rev. C, dated 19/01/2024 was provided to outline an assessment by AFJV to assist the ER determine whether requirements of Condition A23 had been met. An updated CPASs (reviewed and approved by DPHI) and a CTMP (reviewed and approved by CJP) were developed by JCG to ensure the facility had appropriate approvals in place and were provided to the ER as part of the assessment under Condition A23. MAF was approved by ER on the 29/01/2024.</p> <p>A Minor Ancillary Facility (MAF) Application for The Bays, Vehicle parking and truck marshalling Rev. E, dated 18/09/2023. MAF was approved and signed off by the ER on the 17/11/2023.</p> <p>Heritage Management Sub-plan has been implemented during the demolition works at Hunter Street. Items identified for salvage are set out in Section 8.3 of the HMP.</p> <p>Requirements including protective measures from the HMP have been implement during demolition of the awning adjacent the Skinnners Family Hotel. It was noted that the salvage methodology from TTW (heritage specialist) was reviewed and deemed to be adequate for the proposed works. Heritage Salvage Register has been maintained and two items have been registered in the audit period.</p>	

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
C11	<p>In addition to the relevant requirements of the CEMF, the Flora and fauna CEMP Sub-plan must address procedures to avoid, minimise, mitigate and manage impacts on native fauna prior to clearing of vegetation and demolition of potential microbat roost structures. The Flora and fauna CEMP Sub-plan must include, but not be limited to:</p> <ul style="list-style-type: none"> a) pre-clearance surveys for vegetation and potential microbat roost structures that require demolition; b) Unexpected Microbat Find Procedure that: <ul style="list-style-type: none"> i. includes protocols in the event microbats are identified; ii. notification to the NSW Environment and Heritage Group in the event microbats and/or roosting sites are identified; and iii. details for undertaking and mitigating vegetation clearance. 	Not Applicable	Full Compliance	Not subject to audit at this time.			
C12	<p>In addition to the relevant requirements of the CEMF, the Heritage CEMP Sub-plan must include, but not be limited to:</p> <ul style="list-style-type: none"> a) be prepared in consultation with a suitably qualified and experienced heritage expert; b) identify exclusion zones, archival recording requirements, baseline and periodic monitoring protocols (including before and during construction); c) identify and assess the heritage significance of items identified as retaining 'potential heritage significance' in the documents listed in Condition A1 and which will be impacted by the CSSI; d) in association with Condition D44, set out the final site inspections to be conducted for the Post-Construction Condition Report within three (3) months of completion of construction for the following heritage sites unless otherwise agreed by the Planning Secretary: <ul style="list-style-type: none"> i. Former Skinners Family Hotel (SHR 00584); ii. NSW Club House Building (SHR 00145); iii. Former Bank – Delfin House (SLEP I1903); and iv. Richard Johnson Square (SLEP I1673). e) set out means of rectification of damage by the CSSI to Heritage items (d)(i) to (d)(iv) above within six (6) months of the completion of construction at the construction site identified in the relevant Heritage CEMP Sub-plan. This rectification work must be in consultation with a suitably qualified and experienced heritage consultant to ensure the use of appropriate materials, appropriate conservation practices and in accordance with existing heritage management documents (for example, conservation management plans or strategies) to protect and conserve the heritage significance of the items. <p>The Heritage CEMP Sub-plan must include Aboriginal cultural heritage management and mitigation measures (that may include conservation, archaeological salvage excavation and community collection) based on the Aboriginal Cultural Heritage Excavation Report and continuing Aboriginal community consultation.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
C13	<p>In addition to the relevant requirements of the CEMF, the Soil and Water CEMP Sub-plan must include, but not be limited to:</p> <ul style="list-style-type: none"> a) details of construction activities and their locations which have the potential to expose areas known to contain, or potentially contain, contaminated soils and / or materials; b) measures for the handling, treatment and management of hazardous and contaminated soils and materials including measures to manage and / or minimise worker and public health and safety with regards to exposure to contamination; and c) a description of how the effectiveness of the actions and measures for managing contamination impacts would be monitored during the proposed works, clearly indicating how often this monitoring would be undertaken, the locations where monitoring would take place, and how the results of the monitoring would be recorded and reported. <p>The contamination component of the Soil and Water CEMP Sub-plan must be prepared, (or reviewed and approved), by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme.</p>	Full Compliance	Full Compliance with respect to the White Bay Power Station and former State Abattoirs	Not subject to audit at this time.			
Construction Monitoring Programs							
C14	<p>The following Construction Monitoring Programs must be prepared in consultation with the relevant government agencies identified for each to compare actual performance of construction of the CCSI against the performance predicted in the documents listed in Condition A1 or in the CEMP:</p>	Not Applicable	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹		Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status												
			Phase G1	Phase G2																
		<table border="1"> <thead> <tr> <th></th> <th>Required Construction Monitoring Programs</th> <th>Relevant government agencies to be consulted for Monitoring Program</th> </tr> </thead> <tbody> <tr> <td>a)</td> <td>Noise and vibration</td> <td>EPA, Place Management NSW (in respect of The Bay Council(s))</td> </tr> <tr> <td>b)</td> <td>Surface water quality</td> <td>DPE Water, Relevant Council(s) and Sydney Water (if assets are impacted)</td> </tr> <tr> <td>c)</td> <td>Groundwater</td> <td>DPE Water</td> </tr> </tbody> </table>		Required Construction Monitoring Programs	Relevant government agencies to be consulted for Monitoring Program	a)	Noise and vibration	EPA, Place Management NSW (in respect of The Bay Council(s))	b)	Surface water quality	DPE Water, Relevant Council(s) and Sydney Water (if assets are impacted)	c)	Groundwater	DPE Water						
	Required Construction Monitoring Programs	Relevant government agencies to be consulted for Monitoring Program																		
a)	Noise and vibration	EPA, Place Management NSW (in respect of The Bay Council(s))																		
b)	Surface water quality	DPE Water, Relevant Council(s) and Sydney Water (if assets are impacted)																		
c)	Groundwater	DPE Water																		
C15	Each Construction Monitoring Program must provide: <ul style="list-style-type: none"> a) details of baseline data available including the period of baseline monitoring; b) details of baseline data to be obtained and when; c) details of all monitoring of the project to be undertaken; d) the parameters of the project to be monitored; e) the frequency of monitoring to be undertaken; f) the location of monitoring; g) the reporting of monitoring results and analysis results against relevant criteria; h) details of the methods that will be used to analyse the monitoring data; i) procedures to identify and implement additional mitigation measures where the results of the monitoring indicated unacceptable project impacts; j) a consideration of SMART principles; and k) any consultation to be undertaken in relation to the monitoring programs; and l) any specific requirements as required by Conditions C16 and C17. 		Not Applicable	Full Compliance	Not subject to audit at this time.															
C16	The Noise and Vibration Construction Monitoring Program must include: <ul style="list-style-type: none"> a) noise and vibration monitoring determined in consultation with the AA to confirm the best-achievable construction noise and vibration levels with consideration of all reasonable and feasible mitigation and management measures that will be implemented; b) for the purposes of (a), noise monitoring must be undertaken during the day, evening and night-time periods and within the first month of work as well as throughout the construction period and cover the range of activities being undertaken at the sites; and c) a process to undertake real time noise and vibration monitoring. The results of the monitoring must be readily available to the construction team, the Proponent, ER and AA. The Planning Secretary and EPA must be provided with access to the results on request. d) noise monitoring methods must be developed and carried out to meet the requirements of the EPA's Approved Methods for the Measurement and Analysis of Environmental Noise (EPA, 2022). 		Not Applicable	Full Compliance	Not subject to audit at this time.															
C17	Groundwater Construction Monitoring Program must include: <ul style="list-style-type: none"> a) groundwater monitoring networks at each construction excavation site; b) detail of the location of all monitoring bores with nested sites to monitor both shallow and deep groundwater levels and quality; c) define the location of saltwater interception monitoring where sentinel groundwater monitoring bores will be installed between the saline sources of the estuary or river and that of the stations or shafts; d) results from existing monitoring bores; e) monitoring and gauging of groundwater inflow to the excavations, appropriate trigger action response plan for all predicted groundwater impacts upon each noted neighbouring groundwater system component for each excavation construction site; f) trigger levels for groundwater quality, salinity and groundwater drawdown in monitoring bores and / or other groundwater users; g) daily measurement of the amount of water discharged from the water treatment plants; h) water quality testing of the water discharged from treatment plants; i) management and mitigation measures and criteria; j) groundwater inflow to the excavations to enable a full accounting of the groundwater take from the Sydney Basin Central Groundwater Source; and k) reporting of groundwater gauging at excavations, groundwater monitoring, groundwater trigger events and action responses; and l) methods for providing the data collected to Sydney Water where discharges are directed to their assets. 		Not Applicable	Full Compliance	Not subject to audit at this time.															

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
C18	With the exception of any Construction Monitoring Programs expressly nominated by the Planning Secretary to be endorsed by the ER, all Construction Monitoring Programs must be submitted to the Planning Secretary for approval	Not Applicable	Full Compliance	Not subject to audit at this time.			
C19	The Construction Monitoring Programs not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all undertakings made in the documents listed in Condition A1. Any of these Construction Monitoring Programs must be submitted to the ER for endorsement at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not Applicable	Full Compliance	Not subject to audit at this time.			
C20	Any of the Construction Monitoring Programs which require Planning Secretary approval must be endorsed by the ER and then submitted to the Planning Secretary for approval at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not Applicable	Full Compliance	Not subject to audit at this time.			
C21	Unless otherwise agreed with the Planning Secretary, construction must not commence until the Planning Secretary has approved, or the ER has endorsed (whichever is applicable), all of the required Construction Monitoring Programs and all relevant baseline data for the specific construction activity has been collected.	Not Applicable	Full Compliance	Not subject to audit at this time.			
C22	The Construction Monitoring Programs, as approved by the Planning Secretary or the ER has endorsed (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction and for any longer period set out in the monitoring program or specified by the Planning Secretary or the ER (whichever is applicable), whichever is the greater.	Not Applicable	Full Compliance	Implementation of Noise and Vibration Monitoring Program to be audited in February 2024.	<p>Site Hive online module (noise and vibration monitoring results)</p> <p>Soteria online data set (attended noise monitoring results)</p> <p>Noise monitoring results for 16/2/24</p> <p>ETP JCG Noise Monitoring Field Form, 24/1/24 time 11.05am Tower Crane – 50 Murray Street, Level 9.</p> <p>SM ETP Ground-borne noise and vibration Validation monitoring report – Tunnelling from Renzo Tonin & Associates, 2/8/23</p> <p>AA Monthly Reports and DPE portal submission records for:</p> <ul style="list-style-type: none"> - January 2024, submitted 7/02/2024 - December 2023, 12/01/2024 - November 2023, submitted 7/12/2023 - October 2023, submitted 7/11/2023 - September 2023, submitted 7/10/2023 - August 2023, submitted 7/9/2023 - July 2023, submitted 7/8/2023 	<p>No monitoring programs reports to be provided yet (as the Project has not reached the initial dates for reporting requirements). The frequency requirement for the noise monitoring report is annual and is therefore not yet triggered. The report is due in April 2024.</p> <p>Data is being recorded in three online modules (SiteHive, Soteria, ESDat). Monitoring results are produced every 6 months for water and 12 monthly for noise and vibration. All data for noise and vibration is from SiteHive.</p> <p>Sighted Soteria system including the source of the noise, specific location, out of hours, date and data.</p> <p>Realtime noise and vibration monitoring is occurring from commencement of construction at each site where real-time monitoring is required under the monitoring programs.</p> <p>In response to the grounding incident additional noise monitoring was conducted. AA reviews the monitoring reports and has attended the monitoring assessments.</p> <p>A significant amount of noise complaints was received during November 2023, 28 from Pymont, noting that 25 of which were related to one residential property and 1 from Hunter Street. During December 2023 only 1 complaint was received for ETP reported in the weekly complaint summary reports by Sydney Metro. This indicates that there was a significant reduction in the number of complaints compared to previous months.</p>	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
						<p>Attended noise is being conducted to verify construction noise against the predicted noise levels, consistent with the monitoring program. There have been no exceedances above that predicted to date.</p> <p>Attended vibration for 127 Pymont Street on the 23/11/23 (vibration monitor), results presented are below the threshold.</p>	
C23	<p>The results of the Construction Monitoring Programs must be submitted to the Planning Secretary, ER, AA (where relevant) and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program.</p> <p><i>Notes:</i></p> <ol style="list-style-type: none"> Where a relevant CEMP Sub-plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-plan. Data must be provided in an acceptable format for relevant regulatory agencies. With regards to monitoring data to be provided to DPE Water, the format of the dataset must be both in a tabulated and electronic quality-controlled data (.csv, Excel) ready to use format. 	Not Applicable	Full Compliance	Not subject to audit at this time.			
PART D: KEY ISSUE CONDITIONS							
Air Quality							
D1	All reasonably practicable measures must be implemented to minimise the emission of dust and other air pollutants during construction.	Full Compliance	Full Compliance	To be audited in February 2024	<p>Site Inspection 28/02/2024</p> <p>ER Monthly Reports for:</p> <ul style="list-style-type: none"> January 2024, 7/02/2024 December 2023, 12/01/2024 November 2023, 7/12/2023 October 2023, 7/11/2023 September 2023, 7/10/2023 August 2023, 7/9/2023 July 2023, 7/8/2023 <p>Toolbox talk on managing dust, soil, and water, 31/05/23</p> <p>Toolbox meeting Record 20/7/23 – Pymont West</p> <p>Attendance record, Demolition contractor (Mann and Yaga).</p> <p>AA Monthly Reports and DPE portal submission records for:</p> <ul style="list-style-type: none"> January 2024, submitted 7/02/2024 December 2023, 12/01/2024 November 2023, submitted 7/12/2023 October 2023, submitted 7/11/2023 September 2023, submitted 7/10/2023 August 2023, submitted 7/9/2023 July 2023, submitted 7/8/2023 	<p>Air quality risks at this stage of construction is negligible. Controls were observed on site including soft strip internal to Pymont buildings, acoustic shed and wheel wash at Hunter Street, stabilisation of ground surface at the Bays.</p> <p>Training has been provided to the workforce on management of dust, soil, and water. Works generating dust at this stage is minimal.</p> <p>During September 2023, 15 complaints were reported indicating that 12 of these complaints related to air quality at the Pymont sites (mainly the Pymont West site). Most complaints related to dust, with one complaint related to fumes from a drill rig located on a local road. The increase in dust complaints correlates with the bulk of the demolition works at Pymont West being conducted in September, and ongoing hard demolition at Pymont East. These demolition activities have been completed down to slab level at Pymont East, and to near slab level in Pymont West. Dust mitigation measures were observed to be in place during ER Inspections. Some innovative dust mitigation measures were implemented during the demolition works; dust suppression was attached to the hammers (A Gerni – high pressure washer was attached to the source of the dust). Additionally, workers were instructed not to be closed to the plant/equipment to avoid any health issues.</p>	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
						<p>The ER indicated that dust management was observed as being effective through water sprays and cannons both on demolition plant as well as equipment on the ground on the inspections carried out during October 2023.</p> <p>Complaints were reduced to two complaints for air quality during October 2023 from the Pymont site.</p> <p>Water carts are active on all site to keep them, scrubbers, acoustic sheds,</p> <p>Spoil shed in the Bays, weekly emails about the weather conditions e.g. high wind.</p>	
Flooding							
D2	<p>Unless otherwise agreed by the Planning Secretary, the CSSI must be designed and constructed to not worsen flooding characteristics within and in the vicinity of the CSSI. Not worsen existing flooding characteristics means the following:</p> <ol style="list-style-type: none"> a maximum increase in inundation time of one hour during any flood event up to and including a one (1) per cent Annual Exceedance Probability (AEP) flood event; a maximum increase of 10 mm in inundation at properties where floor levels are currently exceeded during any flood event up to and including a one (1) per cent AEP flood event; a maximum increase of 50 mm in inundation of land at properties where floor levels would not be exceeded during any flood event up to and including a one (1) per cent AEP flood event; and no inundation of floor levels which are currently not inundated during any flood event up to and including a one (1) per cent AEP flood event. <p>Measures identified in the documents listed in Condition A1 of this schedule to not worsen flooding characteristics or measures that achieve the same outcome must be incorporated into the detailed design. The incorporation of these measures must be reviewed and endorsed by a suitably qualified and experienced person in consultation with directly affected landowners, DPE Water, DPI Fisheries, Environment and Heritage Group, NSW State Emergency Service (SES) and relevant Councils.</p> <p>Where flooding characteristics exceed the levels identified in (a), (b), (c), (d) above, the Proponent must undertake the following:</p> <ol style="list-style-type: none"> consult with property owners for properties adversely flood affected as a result of the CSSI and mitigate where necessary; and consult with the NSW State Emergency Service (SES) and relevant Councils regarding the management of any residual flood risk beyond the 1 per cent AEP flood event and up to the probable maximum flood. 	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with auditees 28-29/02/2024</p> <p>The Bays Flood Assessment – Retaining Systems, from Jacobs TYPSPA Joint Venture, 9/5/2023 Rev. F</p> <p>Hydrology and Flooding Assessment, design pack, 01/06/23</p> <p>Power Point presentation on Flooding for SMW</p> <p>Meeting Minutes session 1, 22/11/23 attendance of SM, Mott Mac, JCGVJ, DPE, DPI Fishers, Inner West Council, NSW ESS</p> <p>Meeting Minutes session 2, 23/11/23 – attendance of Port authority</p> <p>Meeting Minutes session 3, 23/11/23 – attendance of Placemaking NSW</p> <p>Hydrology Report – The Bays 21/2/24 Design 10_04</p> <p>DPHI briefing on the 8/2/24</p> <p>Letter Sydney Metro to DPHI, 04/02/24 (requesting DPHI acceptance of flooding model)</p> <p>Letter DPHI to Sydney Metro, 16/02/24 (acceptance of flooding model)</p> <p>ETP ER Inspection Report No.41 for 20/2/24 – email 23/2/24 re. flood mitigation at the Bays with approximately timeline.</p>	<p>An initial hydrology and flooding assessment report was conducted on the 1/6/2023 for The Bays.</p> <p>Consultation process has been undertaken with relevant key stakeholders (DPE, DPI Fisheries, Inner West Council, NSW ESS, Port Authority, Placemaking NSW) in three sessions. Sighted power point presentation and meeting minutes 22/11/2023.</p> <p>Hydrology Report for The Bays dated 21/2/24 Design 10_04 indicates that putting the mitigation measures in place the project will meet the requirements. The design report shows in section 6.2.6 that flood impacts are compliant.</p> <p>The flood assessment completed for ETP at The Bays, was submitted to DPHI on the 4/2/2024 and accepted on the 16/02/24.</p> <p>No works altering flood flows had occurred at the time of the audit.</p>	Compliant
D3	<p>Flood information including flood reports, project flood models, data and geographic information system outputs, must be provided to the relevant Council, Environment and Heritage Group and the SES. The Council, Environment and Heritage Group and the SES must be notified in writing that the information is available no later than one (1) month following the completion of construction.</p> <p>Information requested by the relevant Council, Environment and Heritage Group or the SES must be provided no later than six (6) months following the completion of construction or within another timeframe agreed with the relevant Council, Environment and Heritage Group and the SES.</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with Auditees 28-29/02/2024</p> <p>Site Inspection 28/02/2024</p>	<p>Construction commenced in Feb 2023 and works will be carried out until Sep 2025, therefore this is not triggered yet. The Project is still in construction.</p>	Not Triggered
Heritage							
D4	<p>Non-Aboriginal Heritage</p> <p>The Proponent must not destroy, modify or otherwise affect any Heritage item not identified in documents referred to in Condition A1. Unexpected heritage finds identified by the CSSI must be managed in accordance with the Sydney Metro Unexpected Heritage Finds Procedure (Sydney Metro 2022) and the Exhumation Management Procedure (Sydney Metro 2022) outlined in the documents list under Condition A1. Consideration of avoidance and redesign to protect state significant unexpected finds must be addressed where this condition applies.</p> <p>Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020).</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with auditees 28-29/02/2024</p> <p>Unexpected finds report, 22/05/2024 (removal of commemorative plaques at Pymont west).</p> <p>Unexpected finds report, 30/01/2024 (bulk excavation – ceramic and glass bottles, butchered bone and ceramic plate fragments. At Pymont East).</p>	<p>There has been an unexpected find on the Project during the audit period. Some artefacts (ceramic and glass bottles) were found Pymont East. The unexpected finds protocol was implemented, works stopped immediately, the aboriginal cultural heritage consultant was contacted, and a report was completed on the 30/1/2024.</p> <p>Actions included the removal of the reminder artefacts and monitored by an archaeologist.</p>	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
						The unexpected finds report for the plaques found at Pyrmont West was completed on the 22/5/2024. It was noted that the Margaret Telfer Building is not individually heritage listed nor it is identified as an item of heritage significance by the University of Sydney. No unexpected finds ion Hunter Street or The Bays.	
D5	Before installing acoustic treatment at any heritage item identified in the documents listed in Condition A1, the advice of a suitably qualified and experienced built heritage expert must be obtained to guide installation to minimise impact to the heritage significance of the item or fabric.	Full Compliance	Full Compliance	To be audited in February 2024	Site Inspection 28/02/2024 Interview with auditees 28-29/02/2024	Structural demolition works had yet to commence at Pyrmont and Hunter Street (which, according to the HMP, are proximal to known heritage items). No acoustic treatment has been installed on any heritage items during the audit period.	Not Triggered
D6	Before commencement of excavation at the Hunter Street metro station construction site, investigations must be carried out to confirm and record the location, depth, integrity, extent and condition of the Tank Stream (SHR item no. 00636) and Bennelong Stormwater Channel No. 29A (Sydney Water s170 item no. 4570854). Survey investigations must be supervised by a suitably qualified heritage consultant in consultation with Sydney Water. The results of the investigations must be incorporated in the relevant final Heritage Report.	Full Compliance	Full Compliance	To be audited in February 2024	Site Inspection 28/02/2024 Interview with auditees 28-29/02/2024 Tank Stream Cross Section, RPS, 03/12/21 Tank Stream Sections, CRM, Dec 2021 Dilapidation Inspection Reports, Bennelong Stormwater and Tanks Stream, RPS/SAS TTIJV, December 2021 and September 2021 Preliminary Advice: Sydney Metro West Construction Sites Hunter Street: Archaeology, CRM, 20/12/21 (prelim assessment on Tank Stream and Bennelong Stormwater) Design Memorandum from PSM (Designer Consultant), 21/7/2023 re. Sydney Metro West ETP – Sydney Water Tank Stream Asset – Specialist Engineering Letter from SW to JCG with approval for building over/adjacent to Sydney Water Asset (Tank Stream), 11/8/2023	The Auditor understands that this condition is referring to activities at Hunter Street West. Excavation at Hunter Street East is not yet proximal to the Tank Stream and Bennelong Stormwater Channel. Notwithstanding these investigations were carried out. A dilapidation survey was conducted on the Bennelong Stormwater. The dilapidation survey was prepared in conjunction with the written advice from a heritage specialist. Sydney Metro and JCG advise that the final Heritage Report will be prepared prior to completion of construction. A Design Memorandum from PSM (Design Consultant) indicates that given the predicted negligible consequence category, long term structural integrity of the assets is unlikely to be compromised, subject to further analysis. Sydney Water comments are included in Appendix A of the Memorandum. Sydney Water has issued an approval for building over/adjacent to Sydney Water Asset on the 11/8/2023, therefore the previous observation has been resolved.	Compliant
D7	During construction, the Proponent must implement protective measures to prevent adverse impacts to the heritage significance of the former Skinners Family Hotel. Before installing such measures, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. Protection measures must also consider and avoid potential impacts to significant historical archaeology and seek the advice from the Excavation Director approved under Condition D16 below.	Full Compliance	Full Compliance	To be audited in February 2024	Site Inspection 28/02/2024 Structural Demolition Methodology - Hunter St West - Hunter St Awning Demolition – Heritage Review, AMBS, 22/05/23 Structural Demolition Work Methodology Certificate, Hunter Street West awning demolition, EAAE, 10/05/23	The demolition work methodology identifies the protective measures to be employed during demolition of the awning adjacent the Skinners Family Hotel. The methodology was reviewed by the heritage specialist and deemed to be adequate for the proposed works. Demolition proximal to the Skinners Family Hotel had not commenced at the time of the audit inspection and interviews.	Not Triggered
D8	The Former Skinners Family Hotel, Tank Stream, Bennelong Stormwater Channel No. 29A, NSW Club house Building, Delfin House, Richard Johnson Square, Railway Cutting (Pyrmont), and St James Railway Station must not be destroyed, modified or otherwise affected, except as identified in the documents listed in Condition A1. <i>Note: Affected in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020).</i>	Full Compliance	Full Compliance	To be audited in February 2024	Site Inspection 28/02/2024 Tank Stream Cross Section, RPS, 03/12/21 Tank Stream Sections, CRM, Dec 2021	Investigations have been conducted and specialist advice from CRM received. During the audit period there was heritage incident at Hunter Street West which happened on the 9/2/2024 (EVT-0001837). A section of the brick wall was removed during	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					Dilapidation Inspection Reports, Bennelong Stormwater and Tanks Stream, RPS/SAS TTIJV, December 2021 and September 2021 Email, Sydney Water to JCG, 16/01/23 (response to dilapidation reports) Preliminary Advice: Sydney Metro West Construction Sites Hunter Street: Archaeology, CRM, 20/12/21 (prelim assessment on Tank Stream and Bennelong Stormwater)	investigation works. Following removal of the bricks it was determined that the works had penetrated the Skinners Family Hotel which is a State Heritage listed item. The incident was classified as Class 5, which does not require notification to the DPHI. Investigation is under way and incident remains open until TTW - Heritage Architect, inspects the damage and provide advice on the level of impact and repair methodology.	
D9	Where Heritage items, or items assessed to be of local heritage significance in the documents listed in Condition A1, are proposed to be fully or partially destroyed, heritage salvage must occur in consultation with a suitably qualified heritage specialist. The Proponent must develop a significant fabric and moveable heritage salvage register. The register must identify significant items to be salvaged. Salvage must occur for items that are assessed as having heritage significance and the potential for re-use or reinstatement has been identified. The salvage from any State-listed items must be undertaken in consultation with Heritage NSW.	Not Applicable	Full Compliance	To be audited in February 2024	Interview with auditees 28-29/02/2024 Heritage Management Sub-plan (HMP), JCG/AMBS, 22/03/23 AMBS Assessment, dated 5/7/2023, Ref. No. 23111 M12 – one item of potential salvage on Gilbert's distillery. Salvage methodology by TTW, 11/8/2023 for Gilbert's Distillery (including investigation) Salvage Report for Gilby's Motif Rev. B, 21/9/23 Heritage Salvage Register, current to 29/2/2024 – two items have been registered (29/5/23 plaques Pymont West and 31/8/23 Gilbert's logo at Pymont West)	Items identified for salvage are set out in Section 8.3 of the HMP. This is currently confined to the Gilbert's Distillery but may be expanded subject to what is identified during further archaeological investigations. Works impacting on the identified items was completed in September 2023. Works carried out in accordance with the Salvage methodology. A final report was prepared on the 21/09/2023 by TTW. Salvage item was received in September 2023 and was stored at the Yennora facility. Heritage Salvage Register, current to 29/2/2024 has now two items registered (29/5/23 plaques Pymont West and 31/8/23 Gilbert's logo at Pymont West).	Compliant
D10	Aboriginal Heritage All reasonable steps must be taken not to harm, modify or otherwise impact Aboriginal objects except as authorised by this approval.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D11	The Registered Aboriginal Parties (RAPs) must be kept informed about the CSSI. The RAPs must continue to be provided with the opportunity to be consulted about the Aboriginal cultural heritage management requirements of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D12	Excavation must not commence in areas where archaeological excavation is required until the archaeological works outlined in the Archaeological Research Design/s referred to in Condition A1 have been completed or where work outlined in an approved Archaeological Research Design as adopted in accordance with Condition A9 has been completed. [MOD 2, 9 Oct 2023]	Full Compliance	Full Compliance	Not subject to audit at this time.			
D13	At the completion of Aboriginal cultural heritage test and salvage excavations, an Aboriginal Cultural Heritage Excavation Report(s), prepared by a suitably qualified expert, must be prepared in accordance with the Guide to Investigation, assessing and reporting on Aboriginal cultural heritage in NSW, OEH 2011 and the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales, DECCW 2010. The Aboriginal Cultural Heritage Excavation Report(s) must document the results of the archaeological test excavations and any subsequent salvage excavations. The RAPs must be given a minimum of 28 days to consider the report and provide comments before the report is finalised. The final report must be provided to Heritage NSW within 24 months of the completion of the Aboriginal archaeological excavations (both test and salvage).	Full Compliance	Full Compliance	To be audited in February 2024	Site Inspection 28/02/2024 Heritage Management Sub-plan (HMP), JCG/AMBS, 22/03/23	The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pymont. Demolition of the overlying structures is required prior to archaeological excavations being able to commence. These works are to commence in July 2024.	Not Triggered
D14	Where previously unidentified Aboriginal objects are discovered, all work must immediately stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before construction recommences. The measures to consider and manage this process must be specified in the Heritage CEMP Sub-plan required by Condition C5 and, where relevant, include registration in the Aboriginal Heritage Information Management System (AHIMS).	Full Compliance	Full Compliance	To be audited in February 2024	Interview with Auditees 28-29/02/2024 Site Inspection 28/02/2024 Heritage Management Sub-plan (HMP), JCG/AMBS, 22/03/23	The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pymont. Demolition of the overlying structures is required prior to archaeological excavations being able to commence. These works are to commence in July 2024. The auditees are not	Not Triggered

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
						aware of any Aboriginal objects being identified during the audit period.	
D15	Excavation and Archeology Archaeological mitigation measures recommended in the Archaeological Research Design/s referred to in Condition A1 or for work outlined in an approved Archaeological Research Design as adopted in accordance with Condition A9 must be carried out in accordance with Heritage NSW guidelines, and where appropriate, supervised by a suitably qualified Excavation Director approved under Condition D16. The Archaeological Research Design/s must be implemented throughout the entire archaeological excavation programs. [MOD 2, 9 Oct 2023]	Full Compliance, only in relation to the Bays Metro Station Construction Site and the White Bay Power Station (inlet) Canal and Beattie Street Stormwater Channel.	Full Compliance	To be audited in February 2024	Letter Sydney Metro to DPE, 15/02/23 (nomination of excavation directors) Letter DPE to Metro, 01/03/23 (approval of excavation directors) AMBS Pyrmont Station West Construction Site Preliminary Testing Report, 25/10/23. WMS from AMBS The Bays to Sydney CBD, 10/7/23 re. excavation at the Bays WMS from ABMS, The Bays to Sydney CBD, 26/7/23 re. conduit connection works	Lian Ramage and Mike Hincks have been nominated as the Excavation Directors on the Project. On 01/03/2023 the Department approved the appointment. Ground disturbance in archaeological sensitive areas was completed on the 16/10/2023. Test excavations were undertaken on the 16/10/2023 by Excavation Director. For the Bays, there have been a series of WMS excavation methodologies provided by the consultant AMBS (about 8 of them). ABMS are on site to monitor that the WMS are carried out as per the methodology. Pyrmont archaeological excavation has been completed in Pyrmont West and monitoring completed as per the monitoring documentation.	Compliant
D16	Before ground disturbance in areas subject to archaeological excavation, the Proponent must nominate a suitably qualified Excavation Director, who complies with Heritage Council of NSW's Criteria for Assessment of Excavation Director (September 2019), to oversee and advise on matters associated with historical archaeology for the approval of the Planning Secretary, in consultation with Heritage NSW. The Excavation Director must be present to oversee excavation, advise on archaeological issues, advise on the duration and extent of oversight required during archaeological excavations consistent with the approved Archaeological Research Designs under Condition A1 or for work outlined in an approved Archaeological Research Design as adopted in accordance with Condition A9. Aboriginal archaeological excavations must be conducted by a suitably qualified person in accordance with the requirements of the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010). More than one Excavation Director may be engaged for the CSSI to exercise the functions required under the conditions of this approval. [MOD 2, 9 Oct 2023]	Full Compliance, only in relation to the Bays Metro Station Construction Site and the White Bay Power Station (inlet) Canal and Beattie Street Stormwater Channel.	Full Compliance	To be audited in February 2024	Letter Sydney Metro to DPE, 15/02/23 Letter DPHI to Metro, 01/03/23	Lian Ramage and Mike Hincks have been nominated as the Excavation Directors on the Project. On 01/03/2023 the Department approved the appointment.	Compliant
D17	Following completion of all work described in the documents listed in Condition A1 or for work outlined in an approved Archaeological Research Design as adopted in accordance with Condition A9 in relation to heritage items, a Heritage Report including the details of any archival recording, further historical research either undertaken or to be carried out and archaeological excavations (with artefact analysis and identification of a final repository for finds), must be prepared in accordance with any guidelines and standards required by the Heritage Council of NSW and Heritage NSW. [MOD 2, 9 Oct 2023]	Not Applicable	Full Compliance	To be audited in February 2024	Site Inspection 28/02/2024 Heritage Management Sub-plan (HMP), JCG/AMBS, 22/03/23	The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pyrmont. Demolition of the overlying structures is required prior to archaeological excavations being able to commence. Works to be completed in July 2024.	Not Triggered
D18	The Heritage Report must be submitted to the Planning Secretary, the Heritage Council of NSW and Heritage NSW for information no later than 24 months after the completion of the work referred to in Condition D17.	Not Applicable	Full Compliance	To be audited in February 2024	Site Inspection 28/02/2024 Heritage Management Sub-plan (HMP), JCG/AMBS, 22/03/23	The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pyrmont. Demolition of the overlying structures is required prior to archaeological excavations being able to commence. Works to be completed in July 2024.	Not Triggered
D19	Unexpected Finds The Sydney Metro Unexpected Heritage Finds Procedure (Sydney Metro 2022) and the Exhumation Management Procedure (Sydney Metro 2022), as submitted to the Planning Secretary, must be implemented for the duration of construction. <i>Note: Human remains that are found unexpectedly during the carrying out of work may be under the jurisdiction of the NSW State Coroner and must be reported to the NSW Police immediately. Management of human remains in NSW is subject to requirements set out in the Public Health Act 2010 (NSW) and Public Health Regulation 2012 (NSW).</i>	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
Noise and Vibration							
D20	<p>Land Use Survey</p> <p>A detailed land use survey must be undertaken to confirm sensitive receivers (including critical working areas such as operating theatres and precision laboratories) potentially exposed to construction noise and vibration and construction ground-borne noise. The survey may be undertaken on a progressive basis but must be undertaken in any one area before the commencement of work which generates construction noise, vibration or ground-borne noise in that area. The results of the survey must be included in the Noise and Vibration CEMP Subplan required under Condition C5.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
D21	<p>Construction Hours</p> <p>Work must only be undertaken during the following hours:</p> <ul style="list-style-type: none"> a) 7:00am to 6:00pm Mondays to Fridays, inclusive; b) 8:00am to 6:00pm Saturdays; and c) at no time on Sundays or public holidays. 	Full Compliance	Full Compliance	To be audited in February 2024	<p>Construction Environmental Management Plan (CEMP), JCG, 23/03/23</p> <p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 22/03/23</p> <p>Project induction 02/06/23</p> <p>Complaints register current to 28/02/2024</p> <p>Activity Method Statements:</p> <ul style="list-style-type: none"> - ETP Gantry Crane Erection and Decommissioning, JCG, 27/2/24 Rev.0 (not finalise yet) - ETP Hunter Street West Demolition, JCG, 18/08/23, Rev.1 and Rev.2 <p>Training Record from Renzo Tonin dated 2/8/23</p>	<p>Construction hours are captured in Project documentation from the CEMP, down to work activity documents. The requirements have been communicated to the workforce.</p> <p>The complaints register indicates that any complaints associated with works outside of the hours of D21, were conducted in accordance with the OOHW protocol and the EPL and notified prior.</p> <p>Works are covered under the EPL. Presented EPL requirements, Out of Hours Work presentation was delivered to the project team on Pymont side.</p> <p>Sighted training on Noise and Vibrations and high noise work carried out from Renzo Tonin and completed by JCG team on the 2/8/23.</p>	Compliant
D22	<p>Highly Noise Intensive Work</p> <p>Except as permitted by an EPL, highly noise intensive work that results in an exceedance of the applicable NML at the same receiver must only be undertaken:</p> <ul style="list-style-type: none"> a) between the hours of 8:00 am to 6:00 pm Monday to Friday; b) between the hours of 8:00 am to 1:00 pm Saturday; and c) if continuously, then not exceeding three (3) hours, with a minimum cessation of work of not less than one (1) hour. <p>For the purposes of this condition, 'continuously' includes any period during which there is less than one (1) hour between ceasing and recommencing any of the work.</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Construction Environmental Management Plan (CEMP), JCG, 23/03/23</p> <p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 22/03/23</p> <p>Project induction 02/06/23</p> <p>Complaints register current to 28/02/2024</p> <p>Activity Method Statements:</p> <ul style="list-style-type: none"> - ETP Gantry Crane Erection and Decommissioning, JCG, 27/2/24 Rev.0 (not finalise yet) - ETP Hunter Street West Demolition, JCG, 18/08/23, Rev.1 and Rev.2 <p>Training Record from Renzo Tonin dated 2/8/23</p>	<p>Construction hours (including high noise impact hours) are captured in Project documentation from the CEMP, down to work activity documents.</p> <p>Training has been provided to the workforce on the permissible work hours and the need of respite and permit requirements around conducting works outside of approved hours. Sighted training on Noise and Vibrations and high noise work carried out from Renzo Tonin and completed by JCG team on the 2/8/23.</p> <p>Works are covered under the EPL. Some complaints were received during the audit period regarding noise as follows:</p> <ul style="list-style-type: none"> - During July, August and September 2023 complaints related to noise outside of standard hours were due to the demolition works (occasionally hammers were used which was noisy) at Hunter Street sites. The ER inspections indicated the use of pulverisers where feasible, which reduces noise impacts. There was a request to install noise and vibration monitoring equipment. - During October and December 2023 and January 2024 complaints at the Pymont 	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
						sites were received for out of hours noise, daytime noise, noise and vibration and a complaint related to noise from rock hammering activities near Paternoster Row. ER indicated that prompt responses to all complaints had occurred from JCGJV.	
D23	<p>Variation to Work Hours</p> <p>Notwithstanding Conditions D21 and D22 work may be undertaken outside the hours specified in the following circumstances:</p> <p>a) Safety and Emergencies, including:</p> <ol style="list-style-type: none"> for the delivery of materials required by the NSW Police Force or other authority for safety reasons; or where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm. <p>On becoming aware of the need for emergency work in accordance with (a)(ii) above, the AA, the ER, the Planning Secretary and the EPA must be notified of the reasons for such work. The Proponent must use best endeavours to notify as soon as practicable all noise and/or vibration affected sensitive land user(s) of the likely impact and duration of those work.</p> <p>b) Low noise impact work, including:</p> <ol style="list-style-type: none"> construction that causes LAeq(15 minute) noise levels: <ul style="list-style-type: none"> no more than 5 dB(A) above the rating background level at any residence in accordance with the ICNG, and no more than the 'Noise affected' NMLs specified in Table 3 of the ICNG at other sensitive land user(s); and construction that causes LAFmax(15 minute) noise levels no more than 15 dB(A) above the rating background level at any residence; or construction that causes: <ul style="list-style-type: none"> continuous or impulsive vibration values, measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC, 2006), or intermittent vibration values measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC, 2006). <p>c) By Approval, including:</p> <ol style="list-style-type: none"> where different construction hours are permitted or required under an EPL in force in respect of the CSSI; or works which are not subject to an EPL that are approved under an Out-of-Hours Work Protocol as required by Condition D24 of this schedule; or negotiated agreements with directly affected residents and sensitive land user(s). <p>d) By Prescribed Activity, including:</p> <ol style="list-style-type: none"> tunnelling (and associated activities of rockbolting, shotcreting and mucking out, but excluding cut and cover tunnelling and surface works) are permitted 24 hours a day, seven days a week; or delivery of material that is required to be delivered outside of standard construction hours in Condition D21 to directly support tunnelling activities, except between the hours 10:00 pm and 7:00 am to / from the Pymont construction site which could result in a sleep disturbance event for receivers in the proximity of Pymont Street, Edward Street, Union Street, Paternoster Row and Pymont Bridge Road; or haulage of spoil except between the hours of 10:00 pm and 7:00 am to / from the Pymont construction site; or work within an acoustic shed where there is no exceedance of noise levels under low noise impact work circumstances identified in (b) above, unless otherwise agreed by the Planning Secretary. <p><i>Note: Tunnelling does not include station box excavation and the requirements of Condition D26 apply.</i></p> <p>[MOD 1, 19 Apr 2023]</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Site Inspection 28/02/2024</p> <p>EPL 21784</p> <p>OOHW Tracker, current to 28/2/24</p> <p>EPA OOHW Approval Pymont East, 15/2/24 for RIX Demolition</p> <p>EPA OOHW Approval Pymont West, 17-18/2/24 for traffic controller use on the driveway at Daycare Centre</p> <p>EPA OOHW Approval Hunter Street, 14-16/2/24 for CCTV and pipelining trucks,</p> <p>EPA OOHW Approval Hunter Street and Pitt Street, 1/2/24 for visual inspection of Bennelong tunnel and installation of crack meter by drilling</p> <p>EPA OOHW Approval for The Bays, 19/10/23 for delivery of Crane Body</p> <p>EPA OOHW Approval for The Bays, 20/1/24 for Crane Pad concrete pour</p> <p>Complaints register current to 28/2/2024</p>	<p>DNVIS identifies Hunter Street decline works as low impacts (D23b)) which then moves into the prescribed activity under D23d) (tunnelling).</p> <p>EPL in place, which allows them to work under some hours.</p> <p>OOHW Tracker in place showing the work description, location, plant/equipment, days/months where it will be carried out. It was noted that the Tracker does not have a linkage between the reference number and the approval.</p> <p>OOHW have been conducted for RIX demob, traffic controller, CCTV and pipelining trucks, installation of crack meter, delivery of crane body, etc. Each of these events have been approved through an OOHW permit under the terms of EPL 21784 (D23c)).</p> <p>Complaints received for noise during July, August and September 2023 (noise outside of standard hours) were due to the demolition works at Hunter Street sites. It was indicated that those complaints associated with works outside of the hours of D21, were conducted in accordance with the OOHW protocol and the EPL and notified prior.</p> <p>Additionally, during October and December 2023 and January 2024 complaints at the Pymont sites were received for out of hours noise, which were undertaken in accordance with the OOHW protocol and the EPL.</p>	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
D24	<p>Out-of-hours Work Protocol – Work Not Subject to an EPL</p> <p>An Out-of-Hours Work Protocol must be prepared before the approval of out-of-hours-work under Condition D23(c)(ii). The Protocol must identify a process for the consideration, management and approval of work which are outside the hours defined in Conditions D21 and D22. The Protocol must be approved by the Planning Secretary before commencement of the out-of-hours work. The Protocol must be prepared in consultation with the ER and the AA. The Protocol must provide:</p> <ol style="list-style-type: none"> identification of low and high-risk activities and an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where: <ol style="list-style-type: none"> the ER and AA review all proposed out-of-hours activities and confirm their risk levels; low risk activities can be approved by the ER in consultation with the AA; and high risk activities that are approved by the Planning Secretary; a process for the consideration of out-of-hours work against the relevant NML and vibration criteria; a process for selecting and implementing mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of Condition D36. The measures must take into account the predicted noise levels and the likely frequency and duration of the out-of-hours works that sensitive land user(s) would be exposed to, including the number of noise awakening events; procedures to facilitate the coordination of out-of-hours work including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided; and notification arrangements for affected receivers for all approved out-of-hours works and notification to the Planning Secretary of approved low risk out-of-hours works. <p>This condition does not apply if the requirements of Condition D23(b) are met.</p> <p><i>Note: Out-of-hours work is any work that occurs outside the construction hours identified in Condition D21 and D22 of this schedule.</i></p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
D25	<p>Construction Noise Management Levels and Vibration Criteria</p> <p>All reasonable and feasible mitigation measures must be implemented with the aim of achieving the following construction noise management levels and vibration criteria:</p> <ol style="list-style-type: none"> construction 'Noise affected' noise management levels established using the Interim Construction Noise Guideline (DECC, 2009); vibration criteria established using the Assessing vibration: a technical guideline (DEC, 2006) (for human exposure); Australian Standard AS 2187.2 - 2006 "Explosives - Storage and Use - Use of Explosives" (for human exposure); BS 7385 Part 2-1993 "Evaluation and measurement for vibration in buildings Part 2" as they are "applicable to Australian conditions"; and the vibration limits set out in the German Standard DIN 4150-3: Structural Vibration- effects of vibration on structures (for structural damage for structurally unsound heritage items). <p>Any work identified as exceeding the noise management levels and / or vibration criteria must be managed in accordance with the Noise and Vibration CEMP Sub-plan.</p> <p><i>Note: The ICNG identifies 'particularly annoying' activities that require the addition of 5 dB(A) to the predicted level before comparing to the construction Noise Management Level.</i></p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 22/03/23</p> <p>Site Inspection 28/02/2024</p> <p>Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).</p> <p>Consultation Report – Hunter Street Demolition, ETP JCG, no date in report (7/11/23 sent to EPA)</p> <p>Consultation Report – Pyrmont Street Demolition, ETP JCG, no date in report (13/2/24 sent to EPA)</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> Pyrmont Station, Renzo Tonin, 13/04/23 (and teambinder correspondence 21/03/23) Pyrmont Station, Renzo Tonin, updated 31/10/2023 (Rev.3) Hunter Street Station, Renzo Tonin, 27/04/23 (and teambinder correspondence 18/04/23) Hunter Street Station, Renzo Tonin, updated 22/12/2023 (Rev.2) Tunnelling, Renzo Tonin, 25/08/2023 (Rev.1) The Bays, Renzo Tonin, 21/12/2023 (Rev. C) 	<p>Controls are identified in the NVMP and DNVISs and were implemented during the demolition works and works in Hunter Street east within the existing site.</p> <p>Review of plant and equipment and respite arrangements as per D39. For Hunter Street, key stakeholders e.g. all the Hotels were informed, and respite periods negotiate.</p> <p>The hoarding and the acoustic shed at Hunter Street East continue in place and noise blankets for West.</p> <p>Hoarding on Pyrmont East, respite arrangements.</p> <p>OOHW permits include specific mitigation measures. Noise blankets are being deployed during OOHW were identified as required in the OOHW permit.</p> <p>Noise and vibration monitoring is being conducted at the locations and on the works as set out in the Monitoring Program. Instances whereby the predicted works exceed the applicable criteria, measures have been implemented as per the CNVMP / DNVIS (letterbox drop, door knocking, verification monitoring, respite offers).</p>	Compliant
D26	<p>All reasonable and feasible mitigation measures must be applied when the following residential ground-borne noise levels are exceeded:</p> <ol style="list-style-type: none"> evening (6:00 pm to 10:00 pm) — internal LAeq(15 minute): 40 dB(A); and 	Full Compliance	Full Compliance	To be audited in February 2024	Site Inspection 28/02/2024	Ground borne at Hunter Street, however no residential receivers are identified in that area, only commercial and hotels are surrounding	Not Triggered

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	<p>b) night (10:00 pm to 7:00 am) — internal LAeq(15 minute): 35 dB(A). The mitigation measures must be outlined in the Noise and Vibration CEMP Sub-plan, including in any Out-of-Hours Work Protocol, required by Condition D24.</p>				<p>Site Hive online module (dust monitoring results, noise and vibration monitoring results)</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont Station, Renzo Tonin, 13/04/23 (and teambinder correspondence 21/03/23) - Pyrmont Station, Renzo Tonin, updated 31/10/2023 (Rev.3) - Hunter Street Station, Renzo Tonin, 27/04/23 (and teambinder correspondence 18/04/23) - Hunter Street Station, Renzo Tonin, updated 22/12/2023 (Rev.2) - Tunnelling, Renzo Tonin, 25/08/2023 (Rev.1) - The Bays, Renzo Tonin, 21/12/2023 (Rev. C) 	<p>this. No residential NMLs to look at during the audit period. Ground borne noise monitoring undertaken in basements of adjacent receivers to support the DNVIS predictions. Ground borne noise is mainly associated with underground excavation.</p> <p>For the next period Pyrmont Cabin will have some risks on this, the use of the road headers as a key mitigation measure once that work kick-in.</p>	
D27	<p>Noise generating work in the vicinity of potentially-affected community, religious, educational institutions and noise and vibration-sensitive businesses and critical working areas (such as theatres, laboratories and operating theatres) resulting in noise levels above the NMLs must not be timetabled within sensitive periods, unless other reasonable arrangements with the affected institutions are made at no cost to the affected institution.</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Site Inspection 28/02/2024</p> <p>Noise Monitoring record, Renzo, 22/03/23 (monitoring of acoustic studio during hammering activities)</p> <p>Site Hive online module (dust monitoring results, noise and vibration monitoring results)</p> <p>Soteria online data set (attended noise monitoring results)</p> <p>Consultation Report – Hunter Street Demolition, ETP JCG, no date in report (7/11/23 sent to EPA)</p> <p>Consultation Report – Pyrmont Street Demolition, ETP JCG, no date in report (13/2/24 sent to EPA)</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont Station, Renzo Tonin, 13/04/23 (and teambinder correspondence 21/03/23) - Pyrmont Station, Renzo Tonin, updated 31/10/2023 (Rev.3) - Hunter Street Station, Renzo Tonin, 27/04/23 (and teambinder correspondence 18/04/23) - Hunter Street Station, Renzo Tonin, updated 22/12/2023 (Rev.2) - Tunnelling, Renzo Tonin, 25/08/2023 (Rev.1) - The Bays, Renzo Tonin, 21/12/2023 (Rev. C) 	<p>The only sensitive land uses affected during the audit period are the acoustic studios in Pyrmont. There has been proactive communication with the Studios so when they are recording construction works stopped. Studios have been reallocated during the demolition works to avoid disruptions.</p> <p>Studios are sensitive to Airborne noise impacts. Sighted email correspondence with Acoustic Studio from 25/3/23 to 28/2/2024.</p> <p>Email from SM Comms to Acoustic Studios was sent on the 30/5/2023 indicating the works that will happen and the offer to reallocate if required.</p> <p>There was one complaint on 50 Murray Street, residential apartment on the top of the Hotel, re. mapping was done. Respite periods have been implemented during works. No new business or other facilities have appeared in the area.</p>	Compliant
D28	<p>Construction Noise and Vibration Mitigation and Management</p> <p>Industry best practice construction methods must be implemented where reasonably practicable to ensure that noise levels are minimised around sensitive land user(s). Practices must include, but are not limited to:</p> <ol style="list-style-type: none"> use of regularly serviced low sound power equipment; temporary noise barriers (including the arrangement of plant and equipment) around noisy equipment and activities such as rock hammering and concrete cutting; and use of alternative construction and demolition techniques. 	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with Auditees 29/02/2024</p> <p>Actions register, Soteria, current to 28/02/24</p> <p>Road Compactor Plant Pre-Acceptance Checklist from JCG, 9/2/24 Rego. No. 24843E.</p> <p>Site Hive system</p> <p>Complaints register current to 28/02/2024</p> <p>AA Monthly Reports and DPE portal submission records for:</p> <ul style="list-style-type: none"> - January 2024, submitted 7/02/2024 	<p>Hoarding and acoustic shed is in place and functioning at Hunter Street East as well as Consultation with sensitive receivers.</p> <p>Structural Demolition at Hunter Street (West and East) and Pyrmont (East and West) at the time of the audit inspection and interviews. The activity method statements for demolition include the need to use shearers/pulverizes and the like in place of hammering where possible.</p>	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					<ul style="list-style-type: none"> - December 2023, 12/01/2024 - November 2023, submitted 7/12/2023 - October 2023, submitted 7/11/2023 - September 2023, submitted 7/10/2023 - August 2023, submitted 7/9/2023 - July 2023, submitted 7/8/2023 Activity Method Statements: <ul style="list-style-type: none"> - ETP Gantry Crane Erection and Decommissioning, JCG, 27/2/24 Rev.0 (not finalise yet) - ETP Hunter Street West Demolition, JCG, 18/08/23, Rev.1 and Rev.2 	Work method statement includes requirements around use of non-tonal beacons. Sighted Road Compactor Plant Pre-Acceptance Checklist from JCG, 9/2/24 Rego. No. 24843E, which includes checking that equipment. Attendance Site Hive to monitor noise and vibration. Very minor complaints were received during the audit period regarding noise and vibration as follows: <ul style="list-style-type: none"> - During July, August and September 2023 complaints related to noise outside of standard hours were due to the demolition works (occasionally hammers were used which was noisy) at Hunter Street sites. The ER inspections indicated the use of pulverisers where feasible, which reduces noise impacts. There was a request to install noise and vibration monitoring equipment. - During October and December 2023 and January 2024 complaints at the Pymont sites were received for out of hours noise, daytime noise, noise and vibration and a complaint related to noise from rock hammering activities near Paternoster Row. ER indicated that prompt responses to all complaints had occurred from JCGJV. 	
D29	Detailed Noise and Vibration Impact Statements (DNVIS) must be prepared for work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in Conditions D25 and D26 at any residence outside construction hours identified in Condition D21, or where receivers will be highly noise affected. The DNVIS must include specific mitigation measures identified through consultation with affected sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the AA and ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy (ies) of the DNVIS.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D30	DNVIS must be prepared for each construction site before construction noise and vibration impacts commence and include specific mitigation measures identified through consultation with affected sensitive land users and updated as required if site conditions or activities change.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D31	Owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before works that generate vibration commences in the vicinity of those properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers are to be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. These properties must be identified and considered in the Noise and Vibration CEMP Sub-plan.	Full Compliance	Full Compliance	To be audited in February 2024	Interview with auditees 29/02/24 SiteHive system Detailed Noise and Vibration Impact Statement (DNVIS): <ul style="list-style-type: none"> - Pymont Station, Renzo Tonin, 13/04/23 (and teambinder correspondence 21/03/23) - Pymont Station, Renzo Tonin, updated 31/10/2023 (Rev.3) - Hunter Street Station, Renzo Tonin, 27/04/23 (and teambinder correspondence 18/04/23) 	At Pymont site it has been identified in the DNVIS that the two Acoustic Studios will be affected during demolition works and the work will exceed screening criteria for cosmetic damage. The Acoustic Studio in Pymont was reallocated; therefore, this is not triggered. Vibration approach for Pymont is included in the DNVIS, dated 31/10/23. For Hunter Street, the DNVIS dated 22/12/213 shows the vibration contours; for the shaft there will be saw cutting or smaller equipment to be use, as	Not Triggered

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					<ul style="list-style-type: none"> - Hunter Street Station, Renzo Tonin, updated 22/12/2023 (Rev.2) - Tunnelling, Renzo Tonin, 25/08/2023 (Rev.1) - The Bays, Renzo Tonin, 21/12/2023 (Rev. C) 	well as the frequency of the vibration. TTW Heritage will provide advice on this too. Work updates on upcoming works are happening regularly for Hunter Street.	
D32	<p>Construction Vibration Mitigation – Heritage</p> <p>Vibration testing must be conducted during vibration generating activities that have the potential to impact on Heritage items to identify minimum working distances to prevent cosmetic damage. In the event that the vibration testing and attended monitoring shows that the preferred values for vibration are likely to be exceeded, the Proponent must review the construction methodology and, if necessary, implement additional mitigation measures. Such measures must include, but not be limited to, review or modification of excavation techniques.</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 22/03/23</p> <p>Heritage Management Sub-plan (HMP), JCG/AMBS, 22/03/23</p> <p>Interview with Auditees 29/02/2024</p> <p>Site Inspection 28/02/2024</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont Station, Renzo Tonin, 13/04/23 (and teambinder correspondence 21/03/23) - Pyrmont Station, Renzo Tonin, updated 31/10/2023 (Rev.3) - Hunter Street Station, Renzo Tonin, 27/04/23 (and teambinder correspondence 18/04/23) - Hunter Street Station, Renzo Tonin, updated 22/12/2023 (Rev.2) - Tunnelling, Renzo Tonin, 25/08/2023 (Rev.1) - The Bays, Renzo Tonin, 21/12/2023 (Rev. C) 	At the time of the audit site inspection and interviews, the construction works carried out do not have potential to cause cosmetic damage on heritage item. The Hunter Street works are not adjacent to heritage items yet. Nevertheless, the relevant DNVIS (Hunter Street) note that several buildings (including 1 x heritage building - Skinner's Hotel) are within the safe working distances. Skinner's Hotel is planned to receive vibration monitoring during works with the potential to cause vibration impacts. Not working there yet. Vibration monitoring equipment installed, but no works carried out yet.	Not Triggered
D33	<p>The Proponent must seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement and noise monitoring at Heritage items.</p> <p><i>Note: The installation of noise and vibration equipment must not impact on the heritage value of the Heritage items.</i></p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 22/03/23</p> <p>Heritage Management Sub-plan (HMP), JCG/AMBS, 22/03/23</p> <p>Interview with Auditees 28-29/02/2024</p> <p>Site Inspection 28/02/2024</p> <p>Ambos Ecology + Heritage Memo dated 11/8/23 Ref. No. 23111 M16 re. survey monitoring targets for sensitive structures – Area 3B</p>	Consultation with the heritage specialist has occurred. Sighted records for: Ambos Ecology + Heritage Memo dated 11/8/23. Vibration Monitoring Equipment was installed near the Heritage Building at Hunter Street, but no works carried out yet.	Not Triggered
D34	<p>Before conducting at-property treatment at any Heritage item identified in the documents listed in Condition A1, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item.</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with Auditees 28-29/02/2024</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont Station, Renzo Tonin, 13/04/23 (and teambinder correspondence 21/03/23) - Pyrmont Station, Renzo Tonin, updated 31/10/2023 (Rev.3) - Hunter Street Station, Renzo Tonin, 27/04/23 (and teambinder correspondence 18/04/23) - Hunter Street Station, Renzo Tonin, updated 22/12/2023 (Rev.2) - Tunnelling, Renzo Tonin, 25/08/2023 (Rev.1) - The Bays, Renzo Tonin, 21/12/2023 (Rev. C) 	The auditees are not aware of any heritage properties requiring at-property treatment.	Not Triggered
D35	<p>If a Heritage item is found to be structurally unsound (following inspection) a more conservative cosmetic damage criterion of 2.5 mm/s peak component particle velocity (from DIN 4150) must be applied.</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 22/03/23</p>	Refer D32 and D33. Consultation with the heritage specialist is ongoing, sighted structural condition reports from TTW for 15-17	Not Triggered

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					<p>Heritage Management Sub-plan (HMP), JCG/AMBS, 22/03/23</p> <p>20230630_SMW Stg2_IA1_Request for Information_Rev1.0_SM Response</p> <p>TTW Structural Condition Report, 15-17 Hunter Street, 5/10/2023</p> <p>TTW Structural Condition Report, 16 O'Connell Street, 01/02/24</p> <p>TTW Structural Condition Report, 296 George Street, 09/05/23</p>	<p>Hunter Street, 16 O'Connell Street and 296 George Street.</p> <p>There is an ongoing monitoring program for the heritage buildings, sighted for 296 George Street from TTW.</p> <p>During the audit period it was noted that a heritage incident at Hunter Street West, happened on the 9/2/2024 (EVT-0001837). A section of the brick wall was removed during investigation works. Following removal of the bricks it was determined that the works had penetrated the Skinners Hotel which is a State Heritage listed item. This incident was classified as 'Class 5' therefore no notification to the DPHI is required. This issue remains open until TTW Heritage Architect inspects the damage, advice the level of impact and repair methodology.</p>	
D36	<p>Utility Coordination and Respite</p> <p>All work undertaken for the delivery of the CSSI, including those undertaken by third parties (such as utility relocations), must be coordinated to ensure respite periods are provided. The Proponent must:</p> <ol style="list-style-type: none"> reschedule any work to provide respite to impacted noise sensitive receivers so that the respite is achieved in accordance with Condition D37; or consider the provision of alternative respite or mitigation to impacted noise sensitive receivers; and provide documentary evidence to the AA in support of any decision made by the Proponent in relation to respite or mitigation. <p>The consideration of respite must also include all other approved Critical SSI, SSI and SSD projects which may cause cumulative and / or consecutive impacts at receivers affected by the delivery of the CSSI.</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>EPL 21784</p> <p>Evidence sighted under D21 – D24.</p> <p>OOHW Tracker, current to 28/2/2024</p>	<p>EPL 21784 sets requirements around the maximum number of nights affecting the same noise receiver that can be worked for certain activities each week and month.</p> <p>Refer to D21 – D24 regarding compliance with hours of work.</p> <p>There has been not that large work completed by third parties that affect the work.</p> <p>At the Bays there is interaction with WestConnex, but coordination has been included in the communication for OOHW. Sighted OOHW Tracker.</p> <p>No other SSI or SSD for Hunter and Pymont sites.</p>	Compliant
D37	<p>Out-of-Hours Works – Community Consultation and Respite</p> <p>In order to undertake out-of-hours work outside the work hours specified under Condition D21 of this schedule, appropriate respite periods for the out-of-hours work must be identified in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with:</p> <ol style="list-style-type: none"> a progressive schedule for periods no less than three (3) months, of likely out-of-hours work; a description of the potential work, location and duration of the out-of-hours work; the noise characteristics and likely noise levels of the work; and likely mitigation and management measures which aim to achieve the relevant NMLs under Condition D26 (including the circumstances of when respite or relocation offers will be available and details about how the affected community can access these offers). <p>The outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hour work must be provided to the AA, EPA and the Planning Secretary.</p> <p><i>Note: Respite periods can be any combination of days or hours where out-of-hours work would not be more than 5 dB(A) above the RBL at any residence.</i></p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Site Inspection 28/02/2024</p> <p>D37 Outcomes of Community Consultation Report October – December (Q4) 2023, Sydney Metro</p> <p>Submission of the Q4 report to AA, ER and EPA was made on the 8/2/24</p> <p>DPHI receipt email on the 8/2/24</p> <p>D37 Outcomes of Community Consultation Report March – September (Q2, Q3) 2023, Sydney Metro</p> <p>Submission of the Q2, Q3 report to AA, ER and EPA was made on the 15/11/23</p> <p>DPHI receipt email on the 15/11/2023</p> <p>Project construction updated on the website</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p>	<p>DNVIS includes an assessment and communication of noise impacts and respite, and the documents include the consultation undertaken and the outcomes. The DNVIS is provided and endorsed by the AA and has been issued to the Department and the EPA.</p> <p>Weekly, monthly and 6 monthly community updates provide regular updates to community on OOHW. These are issued to potentially affected stakeholders prior to the works commencing. Subsequent reporting on outcomes of consultation have yet to be issued to the identified recipients.</p> <p>Specific notifications with highly sensitive and highly affected receivers talks about this more (residents adjacent Pymont sites, including the studios and consultation with Merivale group). Consultation is ongoing, and outcomes sent to the ER, AA, EPA and Department.</p>	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					<ul style="list-style-type: none"> - Pyrmont Station, Renzo Tonin, 13/04/23 (and teambinder correspondence 21/03/23) - Pyrmont Station, Renzo Tonin, updated 31/10/2023 (Rev.3) - Hunter Street Station, Renzo Tonin, 27/04/23 (and teambinder correspondence 18/04/23) - Hunter Street Station, Renzo Tonin, updated 22/12/2023 (Rev.2) - Tunnelling, Renzo Tonin, 25/08/2023 (Rev.1) - The Bays, Renzo Tonin, 21/12/2023 (Rev. C) <p>Project notification of upcoming works (various)</p> <p>Pyrmont Street email sent 16/2/24 re. directed impacted resident, Monthly update for March 2024, distributed 27/2/24.</p> <p>Weekly update for Feb 2024</p> <p>Generic emails dated 18/12/2023 for work planned e.g. deliveries, etc.</p> <p>https://www.sydneymetro.info/media/document/39296</p> <p>https://www.sydneymetro.info/station/bays-station</p> <p>https://www.sydneymetro.info/station/pyrmont-station</p> <p>https://www.sydneymetro.info/station/hunter-street-station</p> <p>Sydney Metro West Project Update Feb 2024</p> <p>Feb 2024 Monthly Update for Hunter Street, Pyrmont and The Bays</p> <p>Start of Shaft excavation, Feb 2024 for Pyrmont East</p> <p>OOHW for The Bays, Jan 2024</p>	<p>SMS Builder (text messages) sighted notification on upcoming work planned for the weekend. There is a database on consultation manager.</p> <p>Weekly email updated and half yearly newsletter.</p> <p>Hunter Street - monthly update and weekly update sighted.</p> <p>Pyrmont Street - email sent 16/2/24 to the directed impacted resident, monthly update for March 2024, distributed 27/2/24. Weekly for Feb 2024.</p> <p>Generic emails 18/12/2023 for work planned e.g. deliveries, etc.</p> <p>QR codes – text messages.</p> <p>Sighted Sydney Metro website with the following updates:</p> <ul style="list-style-type: none"> - Sydney Metro West Project Update for Feb 2024 - Feb 2024 Monthly Updates for Hunter Street, Pyrmont and The Bays - Start of Shaft excavation, Feb 2024 for Pyrmont East - OOHW for The Bays, Jan 2024 	
D38	<p>Rock breaking and Respite</p> <p>The Proponent must identify all receivers at Pyrmont and Hunter Street Sydney CBD likely to experience internal noise levels greater than Leq(15 minute) 60 dB(A) inclusive of a 5 dB penalty, if rock breaking or any other highly noise intensive activity likely to result in regenerated (ground- borne) noise or a perceptible level of vibration is planned (including works associated with utility adjustments), between 7am and 8pm.</p> <p><i>Note: This condition does not override requirements for work hours as outlined in Conditions D21, D22 and D23 above.</i></p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Site Inspection 28/02/2024</p> <p>Consultation Report – Hunter Street Demolition, ETP JCG, no date in report (7/11/23 sent to EPA)</p> <p>Consultation Report – Pyrmont Street Demolition, ETP JCG, no date in report (13/2/24 sent to EPA)</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont Station, Renzo Tonin, 13/04/23 (and teambinder correspondence 21/03/23) - Pyrmont Station, Renzo Tonin, updated 31/10/2023 (Rev.3) - Hunter Street Station, Renzo Tonin, 27/04/23 (and teambinder correspondence 18/04/23) - Hunter Street Station, Renzo Tonin, updated 22/12/2023 (Rev.2) - Tunnelling, Renzo Tonin, 25/08/2023 (Rev.1) - The Bays, Renzo Tonin, 21/12/2023 (Rev. C) 	<p>The DNVISs identify the D38 exceedances and the need for consultation under D39.</p> <p>Consultation is ongoing and the following records were sighted:</p> <ul style="list-style-type: none"> - Presented consultation report on Hunter Street West for Demolition works, including the respite arrangement, and responses from stakeholders. - Presented consultation report on Pyrmont Street West. 	Not Triggered
D39	<p>The Proponent must consult with all receivers identified in accordance with Condition D38 with the objective of determining appropriate hours of respite so that construction noise (including ground-borne noise), does not exceed internal noise levels of:</p>	Full Compliance	Full Compliance	To be audited in February 2024	<p>Site Inspection 28/02/2024</p> <p>Consultation Report – Hunter Street Demolition, JCG</p>	<p>The DNVISs identify the D38 exceedances and the need for consultation under D39. Initial consultation has been captured in the DNVISs.</p>	Not Triggered

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	<p>a) Leq(15 minute) 60 dB(A) inclusive of a 5 dB penalty if rock breaking or any other highly noise intensive activity likely to result in ground-borne noise or a perceptible level of vibration is planned between 7am – 8pm for more than 50 percent of the time; and</p> <p>b) Leq(15 minute) 55 dB(A) inclusive of a 5 dB penalty if rock breaking or any other highly noise intensive activity likely to result in ground-borne noise or a perceptible level of vibration is planned between 7am – 8pm for more than 25 percent of the time,</p> <p>unless an agreement is reached with those receivers. This condition does not apply to noise associated with the cutting surface of a TBM as it passes under receivers.</p> <p><i>Note: This condition requires that noise levels be less than Leq(15 minute) 60 dB(A) for at least 6.5 hours between 7am and 8pm, of which at least 3.25 hours must be below Laeq(15 minute) 55 dB(A). Noise equal to or above Leq(15 minutes) 60 dB(A) is allowed for the remaining 6.5 hours between 7am and 8pm.</i></p>				<p>Real time Monitoring from SiteHive</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont Station, Renzo Tonin, 13/04/23 (and teambinder correspondence 21/03/23) - Pyrmont Station, Renzo Tonin, updated 31/10/2023 (Rev.3) - Hunter Street Station, Renzo Tonin, 27/04/23 (and teambinder correspondence 18/04/23) - Hunter Street Station, Renzo Tonin, updated 22/12/2023 (Rev.2) - Tunnelling, Renzo Tonin, 25/08/2023 (Rev.1) - The Bays, Renzo Tonin, 21/12/2023 (Rev. C) 	<p>Consultation is ongoing and the following records were sighted:</p> <ul style="list-style-type: none"> - Presented consultation report on Hunter Street West for Demolition works, including the respite arrangement, and responses from stakeholders. - Presented consultation report on Pyrmont Street West. <p>Real time Monitoring from SiteHive shows its below 55dB(A).</p>	
D40	<p>Notwithstanding Conditions D22 and D23, rock breaking and other particularly highly noise intensive activities for station shaft or cut and cover stations is not permitted outside of hours identified in Condition D21, except at Hunter Street Sydney CBD; or</p> <p>a) where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm; or</p> <p>b) where different construction hours are permitted or required under an EPL in force in respect of the construction; or</p> <p>c) where an EPL is not required or in force, approved through an Out of Hours Work Protocol developed in accordance with Condition D24; or</p> <p>d) construction that causes LAeq(15 min) noise levels:</p> <ol style="list-style-type: none"> no more than 5 dB(A) above the rating background level at any residence in accordance with the Interim Construction Noise Guideline (DECC, 2009); and no more than the noise management levels specified in Table 3 of the Interim Construction Noise Guideline (DECC, 2009) at other sensitive land uses; and continuous or impulsive vibration values, measures at the most affected residence are no more than those for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC, 2006); and intermittent vibration values measured at the most affected residence are no more than those for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC, 2006). 	Full Compliance	Full Compliance	To be audited in February 2024	<p>Refer to evidence and findings from D22 and D23.</p> <p>Site Inspection 28/02/2024</p> <p>ER Monthly Reports for:</p> <ul style="list-style-type: none"> - January 2024, 7/02/2024 - December 2023, 12/01/2024 - November 2023, 7/12/2023 - October 2023, 7/11/2023 - September 2023, 7/10/2023 - August 2023, 7/9/2023 - July 2023, 7/8/2023 <p>AA Monthly Reports and DPE portal submission records for:</p> <ul style="list-style-type: none"> - January 2024, submitted 7/02/2024 - December 2023, 12/01/2024 - November 2023, submitted 7/12/2023 - October 2023, submitted 7/11/2023 - September 2023, submitted 7/10/2023 - August 2023, submitted 7/9/2023 - July 2023, submitted 7/8/2023 <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont Station, Renzo Tonin, 13/04/23 (and teambinder correspondence 21/03/23) - Pyrmont Station, Renzo Tonin, updated 31/10/2023 (Rev.3) - Hunter Street Station, Renzo Tonin, 27/04/23 (and teambinder correspondence 18/04/23) - Hunter Street Station, Renzo Tonin, updated 22/12/2023 (Rev.2) - Tunnelling, Renzo Tonin, 25/08/2023 (Rev.1) 	<p>Refer to evidence and findings from D22 and D23.</p> <p>The DNVISs identify the D38 exceedances during rock breaking and the need for consultation under D39. Initial consultation has been captured in the DNVISs. Consultation is ongoing, sighted consultation reports for Hunte Street and Pyrmont Street.</p> <p>Training has been provided to the workforce on the permissible work hours and the need of respite and permit requirements around conducting works outside of approved hours.</p> <p>No culvert stations. Shaft excavation has not started.</p> <p>Sighted Site Hive online module (dust monitoring results, noise and vibration monitoring results) and Noise monitoring results for 16/2/24.</p> <p>Complaints received for noise during July, August and September 2023 (noise outside of standard hours) were due to the demolition works at Hunter Street sites. It was indicated that those complaints associated with works outside of the hours of D21, were conducted in accordance with the OOHW protocol and the EPL and notified prior.</p> <p>Additionally, during October and December 2023 and January 2024 complaints at the Pyrmont sites were received for out of hours noise, which were undertaken in accordance with the OOHW protocol and the EPL.</p>	Not Triggered

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					<ul style="list-style-type: none"> - The Bays, Renzo Tonin, 21/12/2023 (Rev. C) Toolbox meeting Record 20/7/23 – Pyrmont West Attendance record Demolition contractor (Mann and Yaga) Noise monitoring results for 16/2/24 Site Hive online module (dust monitoring results, noise and vibration monitoring results) Complaints register current to 28/02/2024 		
D41	Blasting No Blasting is permitted as part of this CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Land Use and Property							
D42	The CSSI must be designed and constructed with the objective of minimising impacts to, and interference with, third party property and infrastructure, and that such infrastructure and property is protected during construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D43	The utilities and services (hereafter "services") potentially affected by construction must be identified to determine requirements for diversion, protection and / or support. Alterations to services must be determined by negotiation between the Proponent and the service providers. Disruption to services resulting from construction must be avoided, wherever possible, and advised to customers where it is not possible.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D44	Condition Survey A suitably qualified and experienced person must undertake condition surveys of all buildings, structures, utilities and the like identified in the documents listed in Condition A1 of this schedule as being at risk of damage before commencement of any work that could impact on the subject surface / subsurface structure. The results of the surveys must be documented in a Preconstruction Condition Survey Report for each item surveyed. Copies of Pre-construction Condition Survey Reports must be provided to the relevant owners of the items surveyed in the vicinity of the proposed work, and no later than one (1) month before the commencement of the work that could impact on the subject surface / subsurface structure.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D45	Condition surveys of all items for which condition surveys were undertaken in accordance with Condition D44 must be undertaken by a suitably qualified and experienced person after completion of the work identified in Condition D44. The results of the surveys must be documented in a Post-construction Condition Survey Report for each item surveyed. Copies of Post-construction Condition Survey Reports must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure unless otherwise agreed by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D46	The Proponent, where liable, must rectify any property damage caused directly or indirectly (for example from vibration or from groundwater change) by the work at no cost to the owner. Alternatively, the Proponent may pay compensation for the property damage as agreed with the property owner. Rectification or compensation must be undertaken within 12 months of completion of the work identified in Condition D44 unless another timeframe is agreed with the owner of the affected surface or sub-surface structure or recommended by the IPIAP.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D47	Appropriate equipment to monitor areas in proximity of construction sites and the tunnel route during construction must be installed with particular reference to at risk buildings, structures and utilities identified in the condition surveys required by Condition D44 and / or geotechnical analysis as required. If monitoring during construction indicates exceedance of predicted impacts identified in the documents in Condition A1 or determined through geotechnical analysis, then all construction affecting settlement must cease immediately if it is safe to do so and must not resume until fully rectified or a revised method of construction is established that will ensure protection of affected buildings.	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
D48	An Independent Property Impact Assessment Panel (IPIAP) must be established. The Planning Secretary must be informed of the members of the IPIAP and the IPIAP must comprise geotechnical and engineering experts independent of the design and construction team. The IPIAP will be responsible for independently verifying condition surveys undertaken under Conditions D44 and D45, the resolution of property damage disputes and the establishment of ongoing settlement monitoring requirements.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D49	Either the affected property owner or the Proponent may refer unresolved disputes arising from potential and/or actual property impacts to the IPIAP for resolution. All costs incurred in the establishing and implementing of the panel must be borne by the Proponent regardless of which party makes a referral to the IPIAP. The findings and recommendations of the IPIAP are final and binding on the Proponent.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D50	Settlement monitoring must be extended if directed so by the IPIAP following its review of the monitoring data from the period not less than six (6) months after settlement has stabilised, consistent with Condition D47. The results of the monitoring must be made available to the Planning Secretary upon request.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D51	Social Adverse social impacts as a result of the CSSI must be minimised and managed, and social benefits enhanced in accordance with the Social Impact Assessment Guideline (Department of Planning, Industry and Environment, November 2021).	Full Compliance	Full Compliance	Not subject to audit at this time.			
D52	Community Communications Strategy(s) Community Communications Strategy(s) must be prepared in accordance with the Overarching Community Communication Strategy as provided in the documents listed in Condition A1 and must: <ul style="list-style-type: none"> a) identify affected communities, including vulnerable or marginalised groups; b) include specific and proportionate measures and mitigations to manage impacts identified in section 4.3 (as relevant) of the Social Impact Assessment Guideline (Department of Planning, Industry and Environment, November 2021) and enhance positive social outcomes; c) support the implementation of the Community Benefits Plan(s) as required under Condition D53; d) be informed by engagement with directly affected communities and stakeholders; and e) consider cumulative impacts at each site, as relevant. The Community Communication Strategy(s) must be submitted to the Planning Secretary for information before construction. The Community Communication Strategy(s) must be implemented for the duration of construction. The CCS(s) must be monitored and reviewed in accordance with the OCCS, including consideration of the appropriateness of mitigation measures and lessons learnt.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D53	Community Benefit Plan(s) A Community Benefit Plan(s) must be prepared, by a suitably qualified and experienced person, to guide the delivery of measures identified in the documents listed in Condition A1 of this schedule relating to social impacts and the development of community benefit initiatives. The Community Benefit Plan(s) must aim to: <ul style="list-style-type: none"> a) make a positive contribution to the potentially affected community; b) respond to community priorities and needs; c) create positive community or environmental outcomes; and d) prioritise consideration of achieving outcomes for enhancing community character, community culture and the local surroundings. The CBP(s) must include a community benefit initiative impact register to monitor, review, and report on the effectiveness of the plan(s). The CBP(s) must review and refine the social impacts, risks and mitigations in the documents listed in Condition A1, including impacts identified in section 4.3 (as relevant) of the Social Impact Assessment Guideline (Department of Planning, Industry and Environment, November 2021) Nothing in this condition prevents the preparation of individual Community Benefit Plan(s) for each station precinct.	Not Applicable	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	The Community Benefit Plan(s) must be submitted to the Planning Secretary for information, before construction. The Community Benefit Plan(s) must be implemented for the duration of construction. The community benefit initiative impact register must be provided to the Planning Secretary upon request.						
Business							
D54	<p>Small Business Owners Engagement Plan(s)</p> <p>Small Business Owners Engagement Plan(s) must be prepared and implemented in accordance with the Overarching Community Communication Strategy to minimise adverse impacts and secure benefits to businesses and traders on streets and underground pedestrian access affected by construction of the CSSI. The Plan(s) must include but not necessarily be limited to:</p> <ol style="list-style-type: none"> measures to address amenity, vehicular and pedestrian access (including wayfinding) and visibility of the business or service appropriate to its reliance on such, and other reasonable matters raised in consultation with affected businesses and traders; measures to address impacts from the permanent closure of DeMastre Place and temporary closure of the underground pedestrian walkway between Wynyard Station and Pitt Street; measures to assist small businesses adversely impacted by construction of the CSSI, such as small business education and mentoring, activation events, business engagement events, marketing and promotion. <p>The Plan(s) must be prepared and submitted to the Planning Secretary for information before construction at the relevant construction site.</p> <p>The Plan(s) must be monitored and reviewed in accordance with the Overarching Community Communication Strategy.</p>	Full Compliance	Full Compliance	To be audited in February 2024.	<p>Interview with Auditees 28-29/02/2024</p> <p>Eastern Tunnelling Package Small Business Owners Engagement Plan (SBOEP), JCG, 09/03/23</p> <p>DPE post approval portal lodgement, 17/03/23 (submission of the SBOEP)</p> <p>The SBOEP approval from Sydney Metro, 20/12/2023</p> <p>Support Overview presentation for 109 Pitt Street</p> <p>Small Business Support Case Study – 109 Pitt Street, Sep 2023</p> <p>Email 17/01/2024 from SM Community Place Manager to local business owner at 109 Pitt Street re. neon sign</p> <p>Weekly update for Hunter Street (Sydney CBD), 23/2/2024</p> <p>Monthly update – Hunter Street (Sydney CBD), 29/02/2024</p> <p>Website updates:</p> <ul style="list-style-type: none"> Sydney Metro West Project Update Feb 2024 Feb 2024 Monthly Update for Hunter Street, Pyrmont and The Bays Start of Shaft excavation, Feb 2024 for Pyrmont East OOHW for The bays, Jan 2024 	<p>The Small Business Owners Engagement Plan (SBOEP) was prepared and submitted to the DPHI prior to construction. The Auditor is not aware of the DPHI raising any concerns with the document. The Auditor is of the view that the document addresses the requirements of this condition. The SBOEP was updated which included a review against Table 6 in the OCCS and was updated where required. This updated version was approved by Sydney Metro on 20/12/2023.</p> <p>Support to 109 Pitt Street Sydney small business has been provided due to the direct impacts from the closure of Hunter Connection. 'Hello' Neon signs were installed there. Also, organised catering, collateral, and entertainment/gifts from local businesses.</p> <p>Sighted 109 Pitt Street Support Overview presentation and Small Business Support case Study, dated Sep 2023. Also, management of 'Hunter Connection off Pitt' social media campaign competition, including provided updates and collected coupons on weekly basis.</p> <p>Evidence of consultation with each of the businesses, and the measures implemented to date have been provided All consultation has been captured in the Consultation Manager (CM) database. Ongoing communications and one-on-one management with individual businesses and strata representatives across the project, to provide updates regarding work or to listen to their feedback.</p> <p>Monthly construction updates have been prepared in regard to upcoming works, sighted Feb 2024 monthly update for Hunter Street, Pyrmont and The Bays.</p> <p>To date measures have included: Installation of furniture (tables and chairs), installation of bespoke signage, creation of a website, professional photography of all businesses, customer counts were done, onboarding of engagement manager and creative agency to re-brand the arcade.</p> <p>Reward and recognition program is now fully implemented, this is digital. So far 75 vouchers have been given out to spend on the small business at 109 Pitt Street and an Instagram competition took place last year – July 2023.</p>	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
Soils and Contamination							
D55	Soils Prior to the commencement of any ground disturbance, erosion and sediment controls must be installed and maintained, in accordance with the publication Managing Urban Stormwater: Soils & Construction (4th edition, Landcom 2004) commonly referred to as the 'Blue Book'.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D56	Contamination A NSW EPA-accredited Site Auditor must be engaged throughout the duration of works to ensure that any work required in relation to contamination is appropriately managed.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D57	A Sampling and Analysis Quality Plan (SAQP) must be prepared for medium and high risk sites as identified in the documents referred to in Condition A1 to ensure that field investigations and analyses will be undertaken in a way that enables the collection and reporting of reliable data to meet project objectives, including (where applicable) the relevant site characterisation requirements of the detailed or targeted site investigations. The SAQP must: <ul style="list-style-type: none"> a) be prepared (or reviewed and approved) by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme; b) be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997; and c) be reviewed by a NSW EPA accredited Site Auditor. The Site Auditor must issue interim audit advice stating whether they consider the SAQP to be appropriate. The SAQP and the interim audit advice prepared by a Site Auditor must be submitted to the Planning Secretary for information. 	Full Compliance	Full Compliance	Not subject to audit at this time.			
D58	Detailed Site Investigations to confirm moderate and high risk contaminated sited identified in Preliminary Site Investigation in Condition A1 must be prepared, or reviewed and approved by a Contaminated Land Consultant certified under either the Environment Institute of Australia or New Zealand's "Certified Environmental Practitioner" (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia "Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Detailed Site Investigations must be undertaken before ground disturbance in areas identified in the documents under Condition A1 as moderate to high risk.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D59	A Detailed Site Investigation Report must be prepared and submitted to the Planning Secretary for information following the completion of Detailed Site Investigations required by Condition D58 and: <ul style="list-style-type: none"> a) be prepared (or reviewed and approved) by a Contaminated Land Consultant certified under either the Environment Institute of Australia or New Zealand's "Certified Environmental Practitioner" (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia "Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme; b) be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997 (NSW); and c) be reviewed by a NSW EPA-accredited Site Auditor. The Site Auditor must issue interim audit advice stating whether the DSI appropriately categorises risk and remediation requirements. The Detailed Site Investigation Report and interim audit advice must be submitted to the Planning Secretary for information. Nothing in this condition prevents the Proponent from preparing individual Site Contamination Reports for separate sites.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D60	If remediation is required to make land suitable for the intended land use, a Remedial Action Plan must: <ul style="list-style-type: none"> a) be prepared (or reviewed and approved) by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme; and 	Not Applicable	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	<ul style="list-style-type: none"> b) be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997; c) include measures to remediate the contamination at the site to ensure the site will be suitable for the proposed use and detail how the environmental and human health risks will be managed during the disturbance, remediation and/or removal of contaminated soil/sediment or groundwater; and d) be reviewed by a NSW EPA-accredited Site Auditor. The Site Auditor must issue a Section B Site Audit Statement or interim audit advice which certifies that the Remedial Action Plan is appropriate to remediate identified contamination. <p>Nothing in this condition prevents the preparation of individual Remedial Action Plans for separate sites.</p>						
D61	Before commencing remediation, a copy of the Remedial Action Plan and the Section B Site Audit Statement, or interim audit advice, must be submitted to the Planning Secretary for information.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D62	The Remedial Action Plan must be implemented and changes to the Remedial Action Plan must be approved in writing by the NSW EPA accredited Site Auditor. A copy of the revised Remedial Action Plan must be provided to the Planning Secretary for information. Nothing in this condition prevents the Proponent from engaging the Site Auditor to prepare Site Audit Statements for separate sites.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D63	A Section A1 or A2 Site Audit Statement (accompanied by an Environmental Management Plan) and its accompanying Site Audit Report, which state the contaminated land subject to the Remedial Action Plan has been made suitable for the intended land use, must be submitted to the Planning Secretary and Council after remediation and before the commencement of operation of the CSSI. Nothing in this condition prevents the Proponent from obtaining Section A Site Audit Statements for individual parcels of remediated land.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D64	Contaminated land must not be used for the purpose approved under the terms of this approval until a Section A1 or A2 Site Audit Statement is obtained which states that the land is suitable for that purpose and any conditions on the Section A Site Audit Statement have been complied with.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D65	<p>An Unexpected Finds Procedure for Contamination must be prepared before the commencement of construction and implemented throughout construction. The procedure must:</p> <ul style="list-style-type: none"> a) be followed should unexpected contamination or asbestos (or suspected contamination) be excavated or otherwise discovered; b) include details of who will be responsible for implementing the unexpected finds procedure and the roles and responsibilities of all parties involved; and c) be prepared, (or reviewed and approved), by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme 	Full Compliance	Full Compliance	To be audited in February 2024	<p>Interview with auditees 28-29/02/2024</p> <p>Unexpected finds protocol, JCG, 18/11/22</p>	<p>The unexpected finds protocol has been prepared and it addresses the requirements from the condition and was reviewed and endorsed by the contamination specialist.</p> <p>No new unexpected findings during this audit period.</p>	Not Triggered
Sustainability							
D66	<p>A Water Reuse Strategy must be prepared, which sets out options for the reuse of collected stormwater during the CSSI. The Water Reuse Strategy must include, but not be limited to:</p> <ul style="list-style-type: none"> a) evaluation of reuse options; b) details of the preferred reuse option(s), including volumes of water to be reused, proposed reuse locations and/or activities, proposed treatment (if required), and any additional licences or approvals that may be required; c) measures to avoid misuse of recycled water as potable water; d) consideration of the public health risks from water recycling; and e) time frame for the implementation of the preferred reuse option(s). <p>The Water Reuse Strategy must be prepared based on best practice and advice sought from relevant agencies, as required. The Strategy must be applied during construction.</p>	Not Applicable	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	Justification must be provided to the Planning Secretary if it is concluded that no reuse options prevail. A copy of the Water Reuse Strategy must be made publicly available. Nothing in this condition prevents the Proponent from preparing separate Water Reuse Strategies for the construction phases of the CSSI.						
Traffic and Transport							
D67	Access to all utilities and properties must be maintained during works, unless otherwise agreed with the relevant utility owner, landowner or occupier.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D68	Any property access physically affected by the CSSI must be reinstated to at least an equivalent standard, unless otherwise agreed by the landowner or occupier.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D69	During construction of the CSSI, all reasonably practicable measures must be implemented to maintain pedestrian, cyclist and vehicular access to, and parking in the vicinity of affected businesses / traders. Disruptions are to be avoided, and where avoidance is not possible, minimised. Where disruption cannot be minimised, alternative pedestrian and vehicular access, and parking arrangements must be developed in consultation with affected businesses / traders and relevant Councils and implemented prior to the disruption. Adequate signage and directions to businesses must be provided before, and for the duration of, any disruption	Full Compliance	Full Compliance	Not subject to audit at this time.	CTMP Hunter Street West Demolition Sub-Plan Footpath closure, Rev.0, 15/5/23. Approval received on the 23/5/23 from TfNSW Customer Journey Planning, Project & Service Changes Soteria system records inspection Complaints register current to 28/02/2024	Class B hoarding was installed at Hunter Street, in few occasions the footpath has been closed (unavoidable), but no longer term closures have been managed in the audit period. Inspections are carried out regularly to monitor the traffic and pedestrian access. The following complaints were received during the audit period: 23/11/23: A truck driver hit a car on Pitt Steet, no updates since then, this has been taken to police. 28/9/23: AM installation on Miller Street, traffic control vehicle to implement closure. 7/9/23: Pedestrian slipped and claimed lack of signage, not in relation to the construction works (inside the demo site). 9/8/23: Construction vehicle on Pathmas Road, parked across the driveway, this was due to construction of the power adjustment (overhead lines). The vehicle was a tool of trade to complete the work.	Compliant
D70	Construction vehicles (including light vehicles) must not use Robert Street, Rozelle to access The Bays metro station construction site, unless required in the event of an emergency situation.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D71	The locations of all heavy vehicles used for spoil haulage for the CSSI must be monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.	Full Compliance	Full Compliance	Not subject to audit at this time.	Telematics system - My virtual super system (online) Stage 1 – Construction Parking & Access Strategy – Monitoring Report (Apr-Sep 2023) Pyrmont & Hunter Street Worksites, 28/11/2023 Rev.0 SAI360 non-compliance register current to 29/02/2024 (Live system) Sydney Metro submission to DPHI, 23/2/2024 DPHI acknowledgment email, 25/2/2024	The Telematics system (online live system) monitors the Spoil trucks, speed, fatigue, route compliance, speeding offenses and sends alerts, as required. The system generates reports. SM Logistics team track that all Spoil trucks are going to the assigned destinations. There were some breaches to Geo Fence and those were alerted in the system. Trucks that are non-spoil are not monitored. The CPAS Monitoring Report (April-Sep 2023) section 3.2.5 and 4.0 indicates that on 9 occasions project heavy vehicles were	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
						identified using routes outside of the approved haulage routes. Refer to condition D77. Sydney Metro made a submission to DPHI on the 23/2/24 against conditions D73. DPHI acknowledge email was received on the 25/2/24. Actions to address the non-compliance included a toolbox talk to the workforce on reinforced nominated haulage routes and parking restrictions.	
D72	Construction Traffic Management Plans (CTMPs) must be prepared in accordance with the Construction Traffic Management Framework. A copy of the CTMPs must be submitted to the Planning Secretary for information before the commencement of any construction in the area identified and managed within the relevant CTMP.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D73	Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 must be approved by the Planning Secretary and be included in the CTMPs.	Full Compliance	Full Compliance	Not subject to audit at this time.	Stage 1 – Construction Parking & Access Strategy (CPAS) Monitoring Report for Pymont & Hunter Street Worksites (April to September 2023), 28/11/2023 Post Approval Form 14/12/2023 re. CPAS Monitoring Report (Apr-Sep 2023) Non-compliance EVT-0001848 - SM Environmental Incident Non-Compliance Notification Report, 20/2/24 - Submission email from SM to DPHI, 23/2/24 Non-compliance EVT-0001672 - JCG Incident INC-0098240, 11/7/2023, Signoff - Sydney Metro Inspection Report (INS-0001953) SAI360 dated 18/7/2023	Non-compliance: Upon Sydney Metro's review of the CPAS Monitoring Report (April-Sep 2023) section 3.2.5 and 4.0, it was identified that, on nine (9) occasions, Heavy Vehicles were identified using routes outside of the approved haulage routes and on 3 occasions vehicles were parked on non-authorised local roads. Non-compliance: A non-compliance was also raised by Sydney Metro on the 11/7/2023 regarding a small mini tanker parked in Paternoster Row outside the Pymont West site. Paternoster Row is not an approved heavy vehicle route.	Non-Compliant
D74	All requests to the Planning Secretary under Condition D73 must include the following: a) a swept path analysis; b) demonstration that the use of local roads by Heavy Vehicles for the CSSI will not compromise the safety of pedestrians and cyclists of the safety of two-way traffic flow on two-way roadways; c) details as to the date of completion of the road dilapidation surveys for the subject local roads; and d) measures that will be implemented to avoid where practicable the use of local roads past schools, aged care facilities and child care facilities during their peak operation times; and e) written advice from an appropriately qualified professional on the suitability of the proposed Heavy Vehicle route which takes into consideration items (a) to(d) of this condition.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D75	Road Dilapidation Before any local road is used by a Heavy Vehicle for the purposes of construction of the CSSI, a Road Dilapidation Report must be prepared for the road. A copy of the Road Dilapidation Report must be provided to the Relevant Council within three (3) weeks of completion of the survey and at no later than one (1) month before the road being used by Heavy Vehicles associated with the construction of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D76	If damage to roads occurs as a result of the construction of the CSSI, the Proponent must either (at the Council's discretion): a) compensate the Relevant Council for the damage so caused; or b) rectify the damage to restore the road to at least the condition it was in pre-work as identified in the Road Dilapidation Report.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D77	Construction Parking and Access Management All vehicles associated with the CSSI (including light vehicles and Heavy Vehicles) must be managed to:	Full Compliance	Full Compliance	To be audited in February 2024	Site Inspection 28/02/2024	Note that the Auditor is not a traffic and access subject matter expert.	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	<ul style="list-style-type: none"> a) minimise parking on public roads; b) minimise idling and queueing on state and regional roads; c) not carry out marshalling of construction vehicles near sensitive land user(s); d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs 				<p>Overarching Construction Traffic Management Plan, JCG, 10/03/23 (and DPE portal submission, 24/03/23)</p> <p>Construction Parking and Access Strategy, JCG, 23/03/23 (Stage 1); CPAS, JCG Stage 1 for Hunter and Pyrmont, 19/12/2023 Rev. 4</p> <p>Letter DPHI to Metro, 18/1/24 (approval of CPAS)</p> <p>Complaints register current to 29/02/2024</p> <p>JCGJV - Heavy Vehicle Driver Induction for ETP</p> <p>ER Monthly Reports for:</p> <ul style="list-style-type: none"> - January 2024, 7/02/2024 - December 2023, 12/01/2024 - November 2023, 7/12/2023 - October 2023, 7/11/2023 - September 2023, 7/10/2023 - August 2023, 7/9/2023 - July 2023, 7/8/2023 	<p>This requirement is addressed in the Construction Parking and Access Strategy (CPAS). The CPAS for Stage 1 Pyrmont and Hunter has gone through review by Sydney Metro, the ER, TfNSW, City of Sydney and issued to the Department. The Department approved the CPAS Rev. 3 on 23/03/23.</p> <p>CPAS was revised on the 19/12/2023 (Rev. 4), to include work zones in Hunter Street and compound areas at Pyrmont and Hunter Street sites. The Department approved the CPAS Rev. 4 on the 18/1/24.</p> <p>Commercial parking facilities in Pyrmont, undertaken a survey to understand the use of public transport and this is documented in the 6-monthly reports. Section 3.2.2 indicates there is a marshalling at The Bays. Carpooling, drop off their tool on site, verify project induction includes the traffic for not queuing on the roads, shuttle bus (will be use when TBM is in place).</p> <p>CPAS, JCG Stage 2 for The Bays, will be ready when TBM is ready.</p> <p>The heavy vehicle driver induction as per Section 6.7 of the Pyrmont and Hunter St CPAS (Rev.4) was sighted.</p> <p>The complaints register, current to 28/2/2024, identifies some complaints regarding parking: two (2) in July for Huter Street and one (1) in August for the Pyrmont site. The complaints do not indicate a breach of the requirements of this condition and the ER monthly reports notes that isolated impacts associated with the complaints have been transient.</p> <p>Refer D73 regarding trucks not using haul routes.</p>	Compliant
D78	<p>A Construction Parking and Access Strategy must be prepared to identify and mitigate impacts resulting from on- and off-street parking changes during construction. The Construction Parking and Access Strategy must include, but not necessarily be limited to:</p> <ul style="list-style-type: none"> a) achieving the requirements of Condition D77 above; b) confirmation and timing of the removal of on- and off-street parking associated with construction of Stage 1 of the CSSI; c) parking surveys of all parking spaces to be removed or occupied by the project workforce to determine current demand during peak, off-peak, school drop off and pickup, weekend periods and during special events; d) consultation with affected stakeholders utilising existing on- and off-street parking stock which will be impacted as a result of construction; e) assessment of the impacts to on- and off-street parking stock taking into consideration, occupation by the project workforce, outcomes of consultation with affected stakeholders and considering the impacts of special events; f) identification of reasonable and practicable mitigation measures to manage impacts to stakeholders as a result of on- and off-street parking changes including, but not necessarily limited to, staged removal and replacement of parking, provision of alternative parking arrangements, managed staff parking arrangements and working with relevant council(s) to 	Full Compliance	Full Compliance	To be audited in February 2024	<p>Site Inspection 28/02/2024</p> <p>Overarching Construction Traffic Management Plan, JCG, 10/03/23 (and DPE portal submission, 24/03/23)</p> <p>Construction Parking and Access Strategy, JCG, 23/03/23. Letter DPHI to Metro, 23/03/23 (approval of CPAS).</p> <p>CPAS, JCG Stage 1 for Hunter and Pyrmont, 19/12/2023 Rev. 4. Letter DPHI to Metro, 18/1/24 (approval of CPAS)</p> <p>Complaints register current to 28/2/24</p> <p>Stage 1 – Construction Parking & Access Strategy (CPAS) Monitoring Report for Pyrmont & Hunter Street Worksites (April to September 2023), 28/11/2023</p> <p>CPAS monitoring report submission to DPHI 14/12/2023 (D78 (i))</p>	<p>Note that the Auditor is not a traffic and access subject matter expert.</p> <p>This requirement is addressed in the CPAS. The CPAS has gone through review by Sydney Metro, the ER, TfNSW, City of Sydney and issued to the Department. The Department approved the CPAS on 23/03/23 (Rev. 3) and 18/1/24 (Rev.4).</p> <p>The complaints register, current to 28/2/2024, identifies some complaints regarding parking: two (2) in July for Huter Street and one (1) in August for the Pyrmont site. The complaints do not indicate a breach of the requirements of this condition and the ER monthly reports</p>	Non-Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	<p>introduce parking restrictions adjacent to work sites and compounds or appropriate residential parking schemes;</p> <p>g) mechanisms for monitoring, over appropriate intervals (not less than 6 months), to determine the effectiveness of implemented mitigation measures;</p> <p>h) details of shuttle bus service(s) to transport the project workforce to construction sites from public transport hubs and off-site car parking facilities (where these are provided) and between construction sites;</p> <p>i) provision of contingency measures should the results of mitigation or monitoring indicate implemented measures are ineffective; and</p> <p>j) provision of reporting of monitoring results to the Planning Secretary and Relevant Council(s) at six (6) monthly intervals.</p> <p>The Construction Parking and Access Strategy must be submitted to the Planning Secretary for approval at least one (1) month prior to the commencement of any construction that reduces the availability of existing parking. The approved Construction Parking and Access Strategy must be implemented before impacting on on-street parking and incorporated into the CTMPs.</p>				<p>TGS No. 96 for Pymont East (Pymont Bridge)</p> <p>CTMP Rev.4, 23/1/24 for Pymont East Site Establishment and Excavation</p> <p>ER Monthly Reports for:</p> <ul style="list-style-type: none"> - January 2024, 7/02/2024 - December 2023, 12/01/2024 - November 2023, 7/12/2023 - October 2023, 7/11/2023 - September 2023, 7/10/2023 - August 2023, 7/9/2023 - July 2023, 7/8/2023 	<p>notes that isolated impacts associated with the complaints have been transient.</p> <p>Presented, Stage 1 – Construction Parking & Access Strategy (CPAS) Monitoring Report for Pymont & Hunter Street Worksites (April to September 2023), dated 28/11/2023. This is the first report on the CPAS (six monthly). CPAS monitoring report was submitted to DPHI 14/12/2023.</p> <p>Non-compliance: According to the evidence sighted, the CPAS Monitoring Report (April-Sep 2023) was not been provided to City of Sydney Council as required by condition D78 (j). This was rectified after the audit interviews and issue of the draft Audit Report.</p> <p>Additionally, the auditee noted that the CPAS monitoring report has not been provided to TfNSW and CJP as there are no requirements to do so.</p> <p>Long term arrangements are included in the CTMP.</p> <p>Traffic Guidance Scheme (TGS) have been developed for each of the sites.</p> <p>CTMP Rev.4, 23/1/24 for Pymont East Site Establishment and Excavation includes in their appendices TGS, sighted examples for:</p> <p>TGS for operation of the gates.</p> <p>TGS Rev. D – for Occupation of the Union street compound.</p> <p>TGS are approval through the ROL process and JGP.</p>	
D79	<p>Road Safety</p> <p>A Traffic and Transport Liaison Group(s) must be established in accordance with the Construction Traffic Management Framework to inform the development of CTMPs.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.	<p>Construction Traffic Management Plan, Pymont East – Stage 2: Site Establishment & Excavation (Rev.4), 23/01/2024 from JCGJV.</p> <p>TTLG meeting minutes and agenda for 29/06/2023, 27/07/2023, 31/08/2023, 28/09/2023, 26/10/2023, 30/11/2023, 21/12/2023 25/01/2024 and 29/02/2024</p> <p>Monthly Presentations from TTLG for ETP for meeting:</p> <ul style="list-style-type: none"> - No.28 (29/06/2023), - No. 29 (27/07/2023), - No. 30 (31/08/2023), - No. 31 (28/09/2023), - No. 32 (26/10/2023), - No. 33 (30/11/2023), - No. 34 (21/12/2023), - No. 35 (25/01/2024) and - No. 36 (29/02/2024) <p>Sighted email sent to all interested parties with TTLG Meeting Minutes No. 34 for December 2023, 22/12/23</p>	<p>Traffic & Transport Liaison Group (TTLG) meetings are a larger forum that includes CoS and other interested parties. During the meeting information about traffic changes is provided and the meeting allows them to raise questions, every month.</p> <p>Presentations are undertaken by the TTLG for ETP once a month, sighted records for meetings from June 2023 to February 2024.</p> <p>Additionally, there are TCG fortnightly meetings and weekly meetings with CoS are carried out to arrange permits, changing on the approve routes, consult with all parties, a report is developed after meeting, and then gets reviewed by CoS and the team re-review the comments, and adjust it accordingly, prior approval from JCG.</p> <p>Ongoing consultation with CoS and TfNSW. Every CTMP gets communicated and reviewed by them, there is constant engagement.</p>	Compliant

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
D80	Supplementary analysis and modelling as required by TfNSW and / or the Traffic and Transport Liaison Group(s) must be undertaken to demonstrate that construction and operational traffic can be managed to minimise disruption to traffic network operations including changes to and the management of pedestrian, bicycle and public transport networks, public transport services, and pedestrian and cyclist movements. Revised traffic management measures must be incorporated into the CTMPs.	Full Compliance except in relation to operational traffic.	Full Compliance except in relation to operational traffic.	Not subject to audit at this time.			
D81	Permanent road works, including vehicular access, signalised intersection works, and works relating to pedestrians, cyclists, and public transport users must be subject to safety audits demonstrating consistency with relevant design, engineering and safety standards and guidelines. Safety audits must be prepared in consultation with the relevant Traffic and Transport Liaison Group before the completion and use of the subject infrastructure and must be made available to the Planning Secretary upon request.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D82	Pedestrian and Cyclist Access Safe pedestrian and cyclist access must be maintained and signposted around CSSI construction sites during construction, including during the operation of festivals and special events, in accordance with the CTMPs. Note: Pedestrian and cyclist access around construction sites must be as direct as reasonably practicable.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D83	Emergency Vehicle Access The Proponent must maintain emergency vehicle access, in consultation with TfNSW, relevant Councils and emergency services at all times throughout the CSSI. Measures must be outlined in the Construction Parking and Access Strategy required under Condition D78 above.	Not Applicable	Full Compliance	Not subject to audit at this time.			
Utilities Management							
D84	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item, to determine requirements for access to, diversion protection, and / or support. The relevant owner(s) and / or provider(s) of services must be consulted to make suitable arrangements for access to diversion, protection, and / or support of the affected infrastructure as required. The Proponent must ensure that disruption to any service is minimised and be responsible for advising local residents and businesses affected before any planned disruption of service.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D85	Utility Coordination Manager A Utility Coordination Manager must be appointed for the duration of work associated with the CSSI. The role of the Utility Coordination Manager must include, but not be limited to: a) the management and coordination of all utility work associated with the delivery of the CSSI, to ensure respite is provided to the community; b) providing advice to the Sydney Metro Place Manager regarding upcoming utility work, including the scope of the work and the responsibility for the work; and c) investigating complaints received from the Community Complaints Mediator or the Project communication team relating to utility work and providing a response as required.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Urban Design and Visual Amenity							
D86	Ancillary Facilities Wayfinding information must be incorporated on temporary hoardings to guide pedestrians around ancillary facilities and enhance their understanding and experience of the locality and space.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D87	Nothing in this approval permits advertising on any element of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D88	Lighting and Security The CSSI must be constructed with the objective of minimising light spill to surrounding properties including from headlights of construction vehicles. All lighting associated with the construction of the CSSI must be consistent with the requirements of Australian Standard 4282-1997 Control of the obtrusive effects of outdoor lighting and relevant Australian Standards in the series AS/NZ 1158 –	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	Lighting for Roads and Public Spaces. Additionally, mitigation measures must be provided to manage any residual night lighting impacts to protect properties adjoining or adjacent to the CSSI, in consultation with affected landowners.						
D89	Visual Amenity The CSSI must be constructed in a manner that minimises visual impacts of construction sites including, providing temporary landscaping and vegetative screening, minimising light spill, minimising impacts to identified significant view lines and incorporating architectural treatment and finishes within key elements of temporary structures that reflect the context within which the construction sites are located, wherever practicable.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Waste							
D90	Waste generated during construction and operation must be dealt with in accordance with the following priorities: a) waste generation must be avoided and where avoidance is not reasonably practicable, waste generation must be reduced; b) where avoiding or reducing waste is not possible, waste must be re-used, recycled, or recovered; and c) where re-using, recycling or recovering waste is not possible, waste must be treated or disposed of.	Full Compliance except for operation	Full Compliance except for operation	Not subject to audit at this time.			
D91	The importation of waste and the storage, treatment, processing, reprocessing or disposal of such waste must comply with the conditions of the current EPL for the CSSI, or be done in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, as the case may be.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D92	Waste must only be exported to a site licensed by the EPA for the storage, treatment, processing, reprocessing or disposal of the subject waste, or in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, or to any other place that can lawfully accept such waste.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D93	All waste must be classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Water							
D94	Work on waterfront land must be carried out in accordance with controlled activity guidelines.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D95	Water Quality The CSSI must be designed and constructed so as to maintain the NSW Water Quality Objectives (NSW WQO) where they are being achieved as at the date of this approval, and contribute towards achievement of the NSW WQO over time where they are not being achieved as at the date of this approval, unless an EPL in force in respect of the CSSI contains different requirements in relation to the NSW WQO, in which case those requirements must be complied with.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D96	Unless an EPL is in force in respect to the CSSI and that licence specifies alternative criteria, discharges from wastewater treatment plants to surface waters must not exceed: a) the <i>Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2018</i> (ANZG (2018)) default guideline values for toxicants at the 95 per cent species protection level; b) for physical and chemical stressors, the guideline values set out in Tables 3.3.2 and 3.3.3 of the <i>Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2000</i> (ANZECC/ARMCANZ); and c) for bioaccumulative and persistent toxicants, the ANZG (2018) guidelines values at a minimum of 99 per cent species protection level; and d) the draft Australian and New Zealand Guidelines default guideline values for iron (marine)	Not Applicable	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	Where the ANZG (2018) does not provide a default guideline value for a particular pollutant, the approaches set out in the ANZG (2018) for deriving guideline values, using interim guideline values and/or using other lines of evidence such as international scientific literature or water quality guidelines from other countries, must be used.						
D97	If alternative discharge criteria from the Water Treatment Plants are proposed via an EPL, a Water Pollution Impact Assessment will be required for the relevant pollutants to inform licensing consistent with section 45 of the POEO Act. Any such assessment must be prepared in consultation with the EPA and be consistent with the National Water Quality Guidelines, with a level of detail commensurate with the potential water pollution risk.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D98	If construction stage stormwater discharges are proposed, a Water Pollution Impact Assessment will be required to inform licensing consistent with section 45 of the POEO Act. Any such assessment must be prepared in consultation with the EPA and be consistent with the National Water Quality Guidelines, with a level of detail commensurate with the potential water pollution risk.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D99	Drainage feature crossings (permanent and temporary watercourse crossings and stream diversions) and drainage swales and depressions must be carried out in accordance with relevant guidelines and designed by a suitably qualified and experienced person.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D100	Groundwater Make good provisions for groundwater users must be provided in the event of a material decline in water supply levels, quality or quantity from registered existing bores associated with groundwater changes from construction dewatering by the CSSI in accordance with the NSW Aquifer Interference Policy (NSW DPIE, 2012).	Not Applicable	Full Compliance	Not subject to audit at this time.			
D101	The Proponent must submit a revised Groundwater Modelling Report in association with the CSSI to the Planning Secretary for information before bulk excavation at the relevant construction location. The Groundwater Modelling Report must include: <ul style="list-style-type: none"> a) for each construction site where excavation will be undertaken, cumulative (additive) impacts from nearby developments, parallel transport projects and nearby excavation associated with the CSSI; b) predicted incidental groundwater take (dewatering) including cumulative project effects; c) potential impacts for all latter stages of the CSSI or detail and demonstrate why these later stages of the CSSI will not have lasting impacts to the groundwater system, ongoing groundwater incidental take and groundwater level drawdown effects; d) actions required after Stage 1 to minimise the risk of inflows (including in the event latter stages of the CSSI are delayed or do not progress) and a strategy for accounting for any water taken beyond the life of the operation of the CSSI; e) saltwater intrusion modelling analysis, from estuarine and saline groundwater in shale, into The Bays metro station site and other relevant metro station sites; and f) a schematic of the conceptual hydrogeological model. 	Not Applicable	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

APPENDIX B – PLANNING SECRETARY AGREEMENT OF INDEPENDENT AUDITORS

Department of Planning and Environment

Our ref: SSI-10038-PA-511 & 518

Your ref: not supplied

Sydney Metro West
via Major Projects Portal

19 December 2023

Attention: Ben Armstrong, Director Environment, Sustainability & Planning

Subject: Sydney Metro West Stage 1 (SSI-10038) & Stage 2 (SSI-19238057) – independent audit program and auditors

Dear Ben,

I refer to submissions regarding the Sydney Metro West Stage 1 (SSI-10038, as modified) & Stage 2 (SSI-19238057, as modified):

- independent audit program (PA-511; lodged 22 November 2023)
- independent auditor nomination for the initial Stages 1 & 2 audit, being the fourth Stage 1 audit and the second Stage 2 audit (PA-518; lodged 5 December 2023).

Independent audit program

As you are aware, the Sydney Metro West Stage 1 independent audit program (WolfPeak, rev 4, 20 March 2023) is approved under condition A39.1 of the project approval until 30 June 2024 (SSI-10038-PA-362 & 397). Stage 2 condition A9 allows for adoption of Stage 1 project processes, subject to the relevant endorsement / approval.

Following the initial Stage 2 independent audit and the initial Stage 1 independent audit under the program (being the third Stage 1 independent audit), a combined Sydney Metro West Stages 1 & 2 independent audit program (WolfPeak, rev 5.1, 11 December 2023) was prepared in consultation with the Department of Planning and Environment (**NSW Planning**). Consistent with the approved program, the proposed combined program relevantly identifies that independent audits would:

- *be preceded by submission of a scoping statement each December and June (s6, Table 4)*
- *be carried out each February and August with the audit reports submitted each April and October (s6, Table 4)*
- *involve up to five days of audit effort (s7.2.1)*
- *focus on matters with material risk as informed by the environmental impact statements (Stage 1 chapter 28 & Stage 2 chapter 24), the construction and design works for the preceding six months, and consultation with NSW Planning and other parties (s7.2.2).*

Department of Planning and Environment

As nominee of the Planning Secretary, under Stage 1 condition A39.1 and Stage 2 condition A41, I approve the combined Sydney Metro West Stages 1 & 2 independent audit program (WolfPeak, rev 5.1, 11 December 2023) until 30 June 2024. As such this program must be implemented for the fourth Sydney Metro West independent audit. The approval of this program has been limited as clarifications to the Sydney Metro West phasing report (revision 1.6, September 2023) are pending.

I note that the program increases complexity regarding temporal scope which will need to be addressed in the audit scoping statement, audit activities, and audit report.

Independent auditors

NSW Planning has reviewed the information you have provided against the *Independent Audit Post Approval Requirements*. NSW Planning is satisfied that the nominees are certified with Exemplar Global as lead auditors in environmental management systems, are suitably experienced in critical state significant infrastructure projects and have supplied declarations of independence.

Due to the complexity of current Stages 1 & 2 activities, namely three active tunnelling phases and one active utilities phase, NSW Planning is approving three auditors. Consequently, I can advise that under condition A40 of SSI-10038 and condition A42 of SSI-19238057, the Planning Secretary has approved the following auditors:

- Mr Steve Fermio, WolfPeak, as lead auditor
- Ms Ana Maria Munoz, WolfPeak, as auditor
- Mr Derek Low, WolfPeak, as auditor.

Please ensure this correspondence is appended to the Independent Audit Report.

The Independent Audit must be prepared, undertaken and finalised in accordance with the *Independent Audit Post Approval Requirements* and the approved program identified above. Failure to meet these requirements will require revision and resubmission.

Please note that a further auditor nomination must be made and written approval from the Planning Secretary provided prior to undertaking any subsequent independent audits.

Should you wish to discuss the matter further, please contact Alex McGuirk, Senior Compliance Officer, or myself.

Department of Planning and Environment

Yours sincerely,

A handwritten signature in black ink, appearing to read "R. Sherry".

Rob Sherry

Team Leader Compliance – Government Projects

NSW Planning

As nominee of the Planning Secretary

APPENDIX C – ATTENDANCE RECORDS

Phase B (CTP)

CTP opening meeting record, 26/02/24	Name
	Ermin Tham
	Steve Fermio
	Sean Clarke
	Derek Low
	Kelvin Nmereole
	Jared Lipton
	Karthik Natarajan
	John Ieroklis
	Caroline St Clair
	Anne Andersen
	Sarah Grobler
	Matthew Marrinan
	Ryan Butler
Ana Maria Munoz Acosta	
Ry Neradovsky	
CTP closing meeting record, 03/03/24	Name
	Ermin Tham
	Kenny Soh
	Bec Pearson
	Michael Woolley
	John Ieroklis
	Cath Snelgrove
	Steve Fermio
	Jared Lipton
	Ana Maria Munoz Acosta
	Derek Low
	Matthew Marrinan
	Jenny Williams
	Ben Hodgson-armstrong
	David Packer
	Sarah Grobler
Sanjin Muhic	
David Lamb	
Anne Andersen	

Phase F (WTP)

WTP opening meeting attendance 01/03/24	Name
	Ermin Tham
	Sarah Lepre
	Andrew Hendy
	Steph Mifsud
	Hussain Nilar
	Derek Low
	Ana Maria Munoz Acosta
	Matthew Marrinan
WTP closing meeting attendance 04/04/24	Name
	Ermin Tham
	Derek Low
	Greg Byrnes
	Matthew Marrinan
	Hussain Nilar
	Andrew Hendy
	Ana Maria Munoz Acosta
	Tahli Moore
	Sarah Lepre
	Steph Mifsud
	Ben Hodgson-armstrong
	Jenny Williams

Phase G (ETP)

ETP opening meeting attendance 28/02/24	Name
	Ermin Tham
	Sally Reynolds
	Stuart Anstee
	Ari Stypel
	Sarah Kemp
	Mehran Faridi
	Jay Limwattana
	Emre Denk
	Derek Low
	Ana Maria Munoz Acosta
	Georgia Wright
	Andre Fleury
	Revel Bihnam
	Steve Shepherd
	Sean Clarke
Nelson Wallis	
Valerie Lebon	
ETP closing meeting attendance 05/04/24	Name
	Ermin Tham
	Ana Maria Munoz Acosta
	Jenny Williams
	Derek Low
	Michael Woolley
	Carla Poggioli
	Shome Sikdar
	Sally Reynolds
	Ari Stypel
	Matthew Marrinan
	Ben Hodgson-armstrong
	Nathan Bryant
	Sarah Kemp
	Nelson Wallis
	Cath Snelgrove
Scott Machar	
Stuart Anstee	

Phase H (WUR)

WUR opening and closing meeting attendance 29/02/24	Name
	Syed Shah
	Derek Low
	Bec Pearson
	Tom St Vincent Welch
	Daniel Mutkins
	Sarah Lepre
	Ken Dillon
	Matthew Marrinan
	Ermin Tham

APPENDIX D – CONSULTATION RECORDS

Derek Low

From: Alex McGuirk <[REDACTED]>
Sent: Friday, 19 January 2024 1:32 PM
To: Derek Low
Cc: Rob Sherry
Subject: RE: Sydney Metro West Stage 1 and Stage 2 - Risk Based Audit February 2024 - Department consultation

Hi Derek,

Thank you for consulting with the Department on the scope of the first combined Sydney Metro West stages 1 (SSI-10038) and 2 (SSI-19238057) independent audit. As set out in your email below, the audit must be conducted and carried out in accordance with the approved audit program (WolfPeak, rev 5.1, 11 Dec 2023; approved 19 Dec 2023, our ref. PA-511 & 518).

In relation to the audit scoping statement (WolfPeak, rev 1.0, 28 Dec 2023), the Department notes the following:

- the audit period is six months from September 2023 to February 2024, except for eastern tunnelling where the audit period is nine months from June 2023 to February 2024
- across Sydney Metro West, fifteen standing conditions are to be audited (being the three/four A incident and non-compliance conditions and eleven B community conditions)
- for each of the three tunnelling phases, approximately forty-five additional conditions are to be audited and only one site is to be inspected, the site being:
 - central tunnelling (phase B, The Bays to Olympic Park): Five Dock
 - western tunnelling (phase F, Olympic Park to Westmead): Clyde
 - eastern tunnelling (phase G, The Bays to Hunter Street): Pyrmont
- for the Westmead utilities phase, two additional conditions are to be audited (D37 & D38 work hours and out of hours work protocol) with no site inspection.

As discussed at our meeting yesterday afternoon, in relation to the proposed audit scope and additional consultation, the Department requests:

- consultation with all Councils (City of Sydney, Inner West, Canada Bay, Burwood, Strathfield, Parramatta, Cumberland)
- in reviewing the environmental performance of the development and the high-level assessment of management plans (per audit program s5), that the layout of the station construction sites is specifically reviewed and assessed including but not limited to:
 - consistency with construction environmental management plans
 - currency and adequacy of environmental control maps
 - minimisation or otherwise of impacts (for example, through positioning of worker and vehicle access points, laydown areas, stockpile areas, 24-7 plant such as lights, generators, compressors, tunnel ventilation systems, water treatment plants)
 - inclusion or otherwise of key mitigation measures (such as acoustic sheds and panels, wheel washes, internal roads)
- inclusion of the following conditions:
 - for central tunnelling: detailed noise and vibration impact statements (D43 & D44 so that the noise and vibration conditions are holistically audited); temporary activations (D105, so that community benefits and small business engagement are holistically audited); visual amenity (D110)
 - for western tunnelling: flooding conditions (D10 & D12, so that the flooding conditions are audited across Sydney Metro West) and drainage design (D120); Registered Aboriginal Parties

- feedback (D23 if triggered, so that RAP consultation is holistically audited); community consultation (D51, so that the community conditions are holistically audited)
- for eastern tunnelling: implementation of the heritage sub-plan (C10, so that the heritage conditions are holistically audited).

Should reductions in the audit scope be required (so the in-person audit activities are conducted and carried out within the allocated five days), the Department suggests the following conditions be de-prioritised:

- central tunnelling: air & stormwater (D1, D116), heavy vehicles & spoil movement (D83, D86 & D99), Westmead hospital access (D100)
- western tunnelling: stormwater (D116)
- Westmead utilities: work hours (D37 & D38).

Please don't hesitate to contact me should you wish to clarify further,

Alex McGuirk
Senior Compliance Officer

NSW Planning | Department of Planning Housing & Infrastructure
Locked Bag 5022 | PARRAMATTA NSW 2124
www.dpie.nsw.gov.au



From: Derek Low [REDACTED]
Sent: Thursday, 28 December 2023 11:35 PM
To: DPE PSVC Compliance Mailbox <compliance@planning.nsw.gov.au>; Alex McGuirk

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

Subject: Sydney Metro West Stage 1 and Stage 2 - Risk Based Audit February 2024 - Department consultation
Importance: High

Hello Alex and Rob.

As you know, Sydney Metro West Stage 1 (CSSI 10038) and Sydney Metro West Stage 2 (CSSI 19238057) are required to undertake Independent Audits in accordance with the approved Audit Program (WolfPeak, Rev 5.1, 11 December 2023). The next audit under the Audit Program is scheduled to commence in February 2024.

As per Section 7.2.3 of the Audit Program, WolfPeak is consulting with the Department on the scope of the audit and for confirmation as to whether other parties or agencies are to be consulted.

As you will see the required scope (as outlined in Section 5 of the Audit Program) already covers an assessment of each relevant condition along with an assessment of environmental performance, and the management of complaints, incidents and non-compliances and so forth. These matters are included in the audit scope. A Scoping Statement is attached, and it outlines the key parameters of the audit (including dates, auditees, target sites and the conditions proposed to be audited / not audited for each Stage / Phase of works).

In providing input to the scope, we kindly request Department review the attached Scoping Statement and confirm:

- if it any key requirements / issues it would like examined that are not already called up by the scope defined by Section 5 of the Audit Program and that specified by the attached Scoping Statement; or
- if it recommends that other parties or agencies are to be consulted. If so, we request that the Department identify those parties.

If the Department would like to meet to work through the proposed scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

Regards,

Derek Low | Principal
Executive Director - Infrastructure & Environmental Assurance



[Redacted signature area]

At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community. We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.

*This email is intended only for the addressee and may contain confidential information. If you receive this email in error, please delete it and any attachments and notify the sender immediately by reply email. WolfPeak takes all care to ensure that attachments are free from viruses or other defects. WolfPeak assume no liability for any loss, damage or other consequences, which may arise from opening or using an attachment. **Consider the environment. Please don't print this e-mail unless really necessary.***

Derek Low

From: Eric Wong <[REDACTED]>
Sent: Friday, 23 February 2024 10:56 AM
To: Derek Low
Cc: John Earls
Subject: RE: Sydney Metro West - Risk Based Audit - Period - 1/9/2023 to 28/2/2024 - Consultation

You don't often get email from eric.wong@canadabay.nsw.gov.au. [Learn why this is important](#)

Hi Derek,

Thank you for the opportunity to consult with us on Friday, 16 February 2024 through MS Teams for the first time regarding the scope of the Risk Base Audit for this period.

Council understands the period for this audit is in between 1 September 2023 to the 28 February 2024.

In the interest of ensuring the success of Sydney Metro West Project (inclusive of a protecting Council's assets and our ensuring communities of City of Canada Bay prospers), Council provides the following information tabulated below to assist with your audit.

Key Issues	Summarised background	Audit Request
Existing public road infrastructure	<p>Sydney Metro has undertaken roadworks surrounding the Sydney Metro West Station sites and other areas along the tunnelling alignments.</p> <p>To determine the current asset life of the road pavement, Council requested that a structural pavement assessment of the road pavement to be undertaken by Sydney Metro. AFJV only provided a visual dilapidation assessment of the road and this does not determine the asset life. Council understands Sydney Metro or TfNSW has undertaken works before AFJV and Council do not have record of the dilapidation record from Sydney Metro.</p> <p>The community and Council will be burdened with additional cost to restore the accelerated road pavement asset consumption caused by the truck movements. This means the structural condition of the road will be weakened earlier with greater pressure on Council to restore.</p>	Council request that the audit to query and investigate how is Sydney Metro is tracking all their road works (inclusive of road excavation) and whether there are records available.
Ground Water & Construction Waste	Council's Cintra Park South Watershed (near the Burwood North Station Site) relies on harvestable water in St Lukes Canal to irrigate Council's Parks and Sports fields. The source of the water upstream is a collection of sources, including ground water. Any contamination and baseflow	Council request that the audit include a scope on the quality of the liquid being discharged into the public stormwater drainage system at Burwood North site and the monitoring the Ground Water

	<p>reduction to St Luke's Canal will impact on Council's Asset.</p> <p>Council made a report to Environmental Protection Authority in February 2023 to investigate the concrete wash up that entered the St Luke's Canal which had impacted Council's harvesting and water treatment plant. Council suspects it was from Sydney Metro based on where the water flow as coming from.</p>	<p>level for this period and subsequent period.</p>
Stormwater Management	<p>Council notice some of the street stormwater pits and gutter have been blocked by increase debris build up (particularly on Queen Street south of Wellbank Street in North Strathfield).</p>	<p>Council request that audit to include a scope of their clearing routine on the road.</p>
Place Making	<p>Sydney Metro is only considering place making within their project site boundary and Council has requested better integration and synergy with adjacent infrastructure to create Place (particularly North Strathfield at this stage). The integration should extend towards existing shop fronts to enable better synergy.</p>	<p>Council requests the audit to include whether Sydney Metro has considered this or for the auditor to note for future audits.</p>
Tree	<p>Sydney Metro has removed trees. Sydney Metro have not reported the exact total number of trees removed in Canada Bay Local Government Area to Council to date. Council has Community Strategic Plan supported by City of Canada Bay Council Urban Tree Canopy Strategy to increase trees and canopy. This information is required to report to the community of City of Canada Bay.</p>	<p>Council request the audit scope to include whether the number of existing trees removed have been accurately captured to enable for Council to review.</p>
Parking	<p>There is a parking demand across the Local Government Area of City of Canada Bay. Council have not been receiving the parking monitoring reports.</p>	<p>Council request that the scope of audit to consider any complaints regarding parking, whether there are any monitor report produced and the parking survey is too small and it should be expanded to reflect the actual impact on the community.</p>
Design Verification	<p>A design verification matrix on City of Canada Bay Development Control Plan was not provided to Council for the enabling works design. Council had to bring up a number of items in the design that did not demonstrate compliance with City of Canada Bay Development Control Plan requirements through Teambinder.</p>	<p>Council request that the scope of the audit to look into how have Sydney Metro demonstrated compliance with City of Canada Bay Development Control Plan and where improvements can be made with the process.</p>

Council wishes to highlight the above is to assist with where the scope of the audit should focus for this period to ensure impacts are mitigated.

This is to acknowledge this will be an attachment to the independent audit report.

Council looks forward to assisting you in the future.

Should you have any questions, don't hesitate to contact me.

Kind regards,
Eric Wong
Sydney Metro Interface Engineer

Eric Wong | Sydney Metro Interface Engineer
City of Canada Bay

[REDACTED]

We acknowledge the Aboriginal and Torres Strait Islander peoples, in particular the Wangal people, as the first inhabitants and traditional custodians of the lands where we live, learn and work.



Any information transmitted in this message and its attachments is intended only for the person or entity to which it is addressed. The above email correspondence should be read in conjunction with our standard disclaimer/terms which can be found at <https://www.canadabay.nsw.gov.au/email-disclaimer>

From: Derek Low [REDACTED]

To: Eric Wong [REDACTED]

Subject: RE: (ECM:8122123) Sydney Metro West - Risk Based Audit February 2024 - Consultation

Sorry Eric,

....I request Council confirm whether it has any key issues it would like examined relating to compliance with the Approval, within the current audit period (i.e.: 1 September 2023 to the 28 February 2024).

Derek Low | Principal
Executive Director - Infrastructure & Environmental Assurance



[REDACTED]

[REDACTED]

[REDACTED]

At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community. We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.

This email is intended only for the addressee and may contain confidential information. If you receive this email in error, please delete it and any attachments and notify the sender immediately by reply email. WolfPeak takes all care to ensure that attachments are free from viruses or other defects. WolfPeak assume no liability for any loss, damage or other consequences, which may arise from opening or using an attachment. **Consider the environment. Please don't print this e-mail unless really necessary.**

From: Eric Wong [REDACTED]
Sent: Monday, January 29, 2024 10:26 AM
To: Derek Low [REDACTED]
Subject: RE: Sydney Metro West - Risk Based Audit February 2024 - Consultation

You don't often get email from eric.wong@canadabay.nsw.gov.au. [Learn why this is important](#)

Thanks Derek for reaching out,

Can you clarify this sentence for clarity?

DPHI have requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the during the audit period.

Should you have any questions, don't hesitate to contact me.

Kind regards,
Eric Wong
Sydney Metro Interface Engineer
[REDACTED]

Eric Wong | Sydney Metro Interface Engineer
City of Canada Bay

[REDACTED]

We acknowledge the Aboriginal and Torres Strait Islander peoples, in particular the Wangal people, as the first inhabitants and traditional custodians of the lands where we live, learn and work.



Any information transmitted in this message and its attachments is intended only for the person or entity to which it is addressed. The above email correspondence should be read in conjunction with our standard disclaimer/terms which can be found at <https://www.canadabay.nsw.gov.au/email-disclaimer>

From: Derek Low <[REDACTED]>
Sent: Thursday, January 25, 2024 3:06 PM
To: Eric Wong [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

Subject: Sydney Metro West - Risk Based Audit February 2024 - Consultation

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe. Please report all suspicious emails to helpdesk@canadabay.nsw.gov.au

Hello City of Canada Bay.

I am one of the approved Independent Auditors on Sydney Metro West Stage 1 (CSSI 10038) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late February 2024, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late April 2024.

The temporal period considered as part of this audit runs from 1 September 2023 to the 28 February 2024 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro, the Project's Independent Environmental Representative and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- reporting of incidents and non-compliances,
- community engagement,
- flooding,
- noise and vibration,
- parking and access,
- temporary activation,
- visual amenity,
- interaction and coordination with utilities and services.

DPHI have requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, **relating to compliance with the during the audit period.**

In providing input I kindly note that feedback be provided no later than **8 February 2024**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

Regards,

Derek Low | Principal

Executive Director - Infrastructure & Environmental Assurance



Derek Low

From: Ian Taylor [REDACTED]
Sent: Monday, 19 February 2024 4:38 PM
To: Derek Low
Subject: RE: Sydney Metro West - Risk Based Audit February 2024 - Consultation - Council reply
Importance: High

You don't often get email from itaylor@cityofparramatta.nsw.gov.au. [Learn why this is important](#)

Hello Derek

City of Parramatta Council (CoPC) welcomes the opportunity for consultation on the audit scope in respect to assessment of compliance with Approval conditions and implementation of plans/commitments relating to eight (8) areas as below.

By exception, CoPC have a main key matter to be examined, and relates to compliance with Approval condition C-B2, in SMW Concept and Stage 1, part b) pertaining to public space for recreational use of residual land to offset loss of the former Crown Land reserved for Recreation (previous Parramatta Speedway).

This is explained under separate headings in order as *Context*, *Current Status* and *Conclusion and request*.

Context. Sydney Metro acquired the Granville Showground site for Metro West's Clyde Stabling Yards and Maintenance Facility in 2021. The area included around 11ha of land that had been dedicated for public recreation and environmental conservation for almost 100 years.

Under the planning approval for Sydney Metro West Concept & Stage 1 (signed 11 March 2021), Condition C-B2 part b) requires that the project must consider public spaces for recreational use on residual land to offset the loss of the private recreational land (actually Crown Land – former Granville Showground site) or any alternate and commensurate opportunity. A copy of the full Condition C-B2 is provided below.

PLACE AND DESIGN

Place and Design

C-B1 To ensure that a high-quality urban design response is achieved, the CSSI must have regard to, and be generally consistent with, the place and design principles for each location outlined in the documents listed in **Condition C-A1** of this schedule, unless expressly specified in the conditions of this approval.

Clyde Stabling and Maintenance Facility Site

C-B2 For the relevant future stage application, the following must be considered at the Clyde Maintenance and Stabling Facility site:

- (a) publicly-accessible active transport corridors immediately around the site adjoining James Ruse Drive that connects to existing and future links and open spaces;
- (b) public spaces for recreational use on residual land to offset the loss of the private recreational land, or any alternate and commensurate opportunity that achieves the objective and provides value for money, developed in consultation with City of Parramatta Council;
- (c) renaturalisation of parts of Duck Creek and A'Becketts Creek and rehabilitation of the riparian corridor; and
- (d) integration with strategic planning for the precinct.

Current status.

Sydney Metro were initially considering the use of land to the north of their Clyde site for recreation purposes.

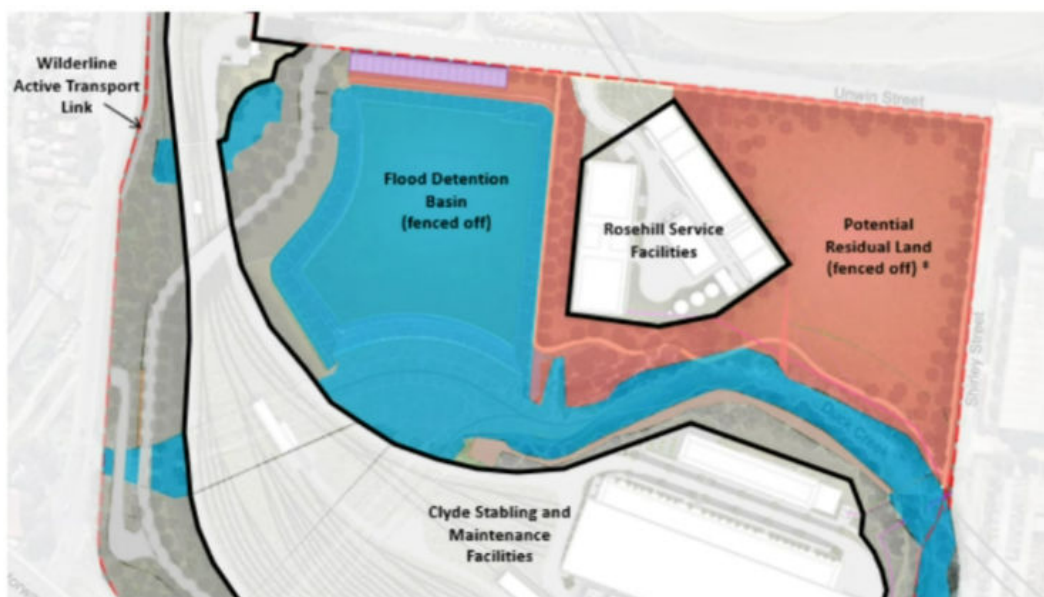
However, Metro have informed CoPC that the Flood Detention Basin (west side) is not suitable as public space (shown in blue shading on the diagram below) and the residual land (shown in red shading) is too contaminated to economically remediate for playing fields.

At the time of this discussion (late 2023) Metro offered no commensurate land opportunity. Council is itself investigating opportunities, and is discussing these with Sydney Metro, however, the onus for a commensurate recreational land opportunity is on the Project.

In effect, at the moment, Sydney Metro cannot comply with the condition.

In general terms, discussions with Sydney Metro to date have focussed on operational aspects, with little consideration for compliance with this condition. Whilst the prime purpose of the Project is a Metro, the condition still needs to be met.

Council is open to other opportunities to work with Sydney Metro to provide recreation land.



Conclusion and request

Council supports the Sydney Metro West project. There is some concern, however, at the satisfaction of condition C-B2(b).

Council's expectation is that Sydney Metro will engage closely with Council to fully satisfy the condition and recreation land provision.

We realise this matter is broader than the audit period, however is of importance to the community and Council as a key deliverable of the stabling yards site.

If you have any questions regarding the above please do not hesitate to contact me.

Regards

Ian Taylor

Rail Interface Manager

Infrastructure Planning & Design



City of Parramatta
Level 11, 126 Church Street, Parramatta NSW 2150
PO Box 32, Parramatta, NSW 2124
cityofparramatta.nsw.gov.au



I acknowledge the Traditional Owners of the land I work on, the Darug Peoples, and pay my respects to their Elders past and present.

This email (including any attachments) may contain confidential and/or legally privileged information and is intended only to be read or used by the addressee(s). If you have received this email in error, please notify the sender by return email, delete this email and destroy any copy. Any use, distribution, disclosure or copying of this email by a person who is not the intended recipient is not authorised.

From: Derek Low [REDACTED]

Sent: Thursday, January 25, 2024 3:18 PM

To: Ian Taylor [REDACTED]; Anthony Newland [REDACTED]

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

Subject: Sydney Metro West - Risk Based Audit February 2024 - Consultation

*****[EXTERNAL EMAIL] Stop and think before opening attachments, clicking on links or responding. *****

Hello City of Parramatta.

I am one of the approved Independent Auditors on Sydney Metro West Stage 1 (CSSI 10038) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late February 2024, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late April 2024.

The temporal period considered as part of this audit runs from 1 September 2023 to the 28 February 2024 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro, the Project's Independent Environmental Representative and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- reporting of incidents and non-compliances,
- community engagement,
- biodiversity,
- heritage,
- flooding,
- consultation and respite,
- soils and contamination,
- waste.

DPHI have requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the during the audit period.

In providing input I kindly note that feedback be provided no later than **8 February 2024**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

Regards,

Derek Low | Principal

Executive Director - Infrastructure & Environmental Assurance



At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community. We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.

*This email is intended only for the addressee and may contain confidential information. If you receive this email in error, please delete it and any attachments and notify the sender immediately by reply email. WolfPeak takes all care to ensure that attachments are free from viruses or other defects. WolfPeak assume no liability for any loss, damage or other consequences, which may arise from opening or using an attachment. **Consider the environment. Please don't print this e-mail unless really necessary.***

Disclaimer

The information contained in this communication from the sender is confidential. It is intended solely for use by the recipient and others authorized to receive it. If you are not the recipient, you are hereby notified that any disclosure, copying, distribution or taking action in relation of the contents of this information is strictly prohibited and may be unlawful.

This email has been scanned for viruses and malware, and may have been automatically archived by Mimecast, a leader in email security and cyber resilience. Mimecast integrates email defenses with brand protection, security awareness training, web security, compliance and other essential capabilities. Mimecast helps protect large and small organizations from malicious activity, human error and technology failure; and to lead the movement toward building a more resilient world. To find out more, visit our website.

Derek Low

From: Tom Bershtein [REDACTED] >
Sent: Tuesday, 6 February 2024 2:15 PM
To: Derek Low; Tegan Mitchell; Jesse McNicoll
Cc: Steve Fermio; Ana Maria Munoz Acosta
Subject: RE: Sydney Metro West Stage 2 - Risk Based Audit February 2024 - Consultation

Some people who received this message don't often get email from tbershtein@cityofsydney.nsw.gov.au. [Learn why this is important](#)

Hi Derek,

Thank you for meeting last week to discuss your upcoming audit for Sydney Metro West.

We've reviewed your document and have identified additional conditions that the City considers of high importance and should be included within your scope.

- 1) D69 – **Access** - Have all practicable measures been implemented to maintain pedestrian and cyclist access around affected sites?
- 2) D71 – **Real Time Monitoring for heavy vehicles** - The City is interested in whether this system is operating as advised, whether records have been created and to whom they have been provided.
- 3) D79 – **Traffic and Transport Liaison Group** – Metro is obligated to establish the TTLG in accordance with the Construction Traffic Management Framework to inform the development of CTMPs. According to the Framework (link below), the group is meant to allow local councils to inform the development of traffic management plans, rather than presenting them post-hoc. The audit should consider whether Metro's process is consistent with the condition.

<https://majorprojects.planningportal.nsw.gov.au/prweb/PRRestService/mp/01/getContent?AttachRef=SSI-22765520%2120220318T063609.111%20GMT>

Kind regards,

Tom Bershtein
Planning Coordinator - Transport Major Projects
City Access & Transport



[REDACTED]
cityofsydney.nsw.gov.au



The City of Sydney acknowledges the Gadigal of the Eora nation as the Traditional Custodians of our local area.

From: Derek Low <[REDACTED]>

Sent: Tuesday, January 30, 2024 3:44 PM

To: Tegan Mitchell <[REDACTED]> Tom Bershtein <[REDACTED]>

Cd [REDACTED]

Subject: RE: Sydney Metro West Stage 2 - Risk Based Audit February 2024 - Consultation

Caution: This email came from outside the organisation. Don't click links or open attachments unless you know the sender, and were expecting this email.

Hi Tegan. Thanks for your time just now. We look forward to receiving written feedback from the City of Sydney.

To assist with this,

Attached are the conditions of Approval. The conditions highlighted in orange are proposed to be audited. These have been selected, based on:

- perceived risk / significance of a condition or requirement (based on consultation with Sydney Metro, the Project's Independent Environmental Representative and DPPI) and
- the time available to audit:
 - o we have 5 days face to face across Sydney Metro West Stages 1 and 2. This means we have 1.5 days on the Eastern Tunnelling Package.
 - o we have about 4-5 weeks to have the Audit Report drafted and ready for client review. The final Report must be on DPPI's desk within 8 weeks of commencement of the audit.

If you have specific conditions or matters to be assessed, please do let us know so we can consider them in our assessment.

Any questions please let me know.

Thanks again,

Derek Low | Principal

Executive Director - Infrastructure & Environmental Assurance



[REDACTED]

At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community. We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.

*This email is intended only for the addressee and may contain confidential information. If you receive this email in error, please delete it and any attachments and notify the sender immediately by reply email. WolfPeak takes all care to ensure that attachments are free from viruses or other defects. WolfPeak assume no liability for any loss, damage or other consequences, which may arise from opening or using an attachment. **Consider the environment. Please don't print this e-mail unless really necessary.***

From: Derek Low

Sent: Thursday, January 25, 2024 2:43 PM

To: [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

Subject: Sydney Metro West Stage 2 - Risk Based Audit February 2024 - Consultation

Hello City of Sydney.

I am one of the approved Independent Auditors on Sydney Metro West Stage 2 (CSSI 19238057) (the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late February 2024, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late April 2024.

The temporal period considered as part of this audit runs from 9 June 2023 to 28 February 2024 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro, the Project's Independent Environmental Representative and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- reporting of incidents and non-compliances,
- community engagement,
- flooding,
- heritage,
- noise and vibration,
- small business engagement,
- parking and access.

DPHI have requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the during the audit period.

In providing input I kindly note that feedback be provided no later than **8 February 2024**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

Regards,

Derek Low | Principal

Executive Director - Infrastructure & Environmental Assurance





████████████████████
████████████████████
██

*At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, **we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community.** We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.*

*This email is intended only for the addressee and may contain confidential information. If you receive this email in error, please delete it and any attachments and notify the sender immediately by reply email. WolfPeak takes all care to ensure that attachments are free from viruses or other defects. WolfPeak assume no liability for any loss, damage or other consequences, which may arise from opening or using an attachment. **Consider the environment. Please don't print this e-mail unless really necessary.***

This email and any files transmitted with it are intended solely for the use of the addressee(s) and may contain information that is confidential or subject to legal privilege. If you receive this email and you are not the addressee (or responsible for delivery of the email to the addressee), please note that any copying, distribution or use of this email is prohibited and as such, please disregard the contents of the email, delete the email and notify the sender immediately.

Derek Low

From: Dylan Porter [REDACTED]
Sent: Monday, 4 March 2024 9:42 AM
To: Derek Low
Subject: RE: Sydney Metro West - Risk Based Audit February 2024 - Consultation

You don't often get email from dylan.porter@strathfield.nsw.gov.au. [Learn why this is important](#)

Hi Derek

Thank you for your email in this instance. Strathfield does not have a Metro Station under construction with the LGA, however, the items you have raised would cover the reasonable items of consideration for the Audit.

So no feedback to provided.

Regards



Dylan Porter | *Manager, Planning, Place & Development*

[REDACTED]
www.strathfield.nsw.gov.au

 [Follow Strathfield Council on Facebook](#)

 [Follow Strathfield Library on Facebook](#)

A promotional banner for "Free Outdoor Movies" at Strathfield Park. It features a clapperboard graphic on the left with the text "MOVIES IN THE PARK". The main text reads "FREE OUTDOOR MOVIES" in large white letters on a red background. Below that, it says "Strathfield Movies in The Park is back!" and "Pack a picnic, bring a blanket or chair and enjoy a great evening of free entertainment for all the family under the stars at Strathfield Park." It also includes the website "www.strathfieldevts.nsw.gov.au" and the Strathfield Council logo.

FREE OUTDOOR MOVIES

Strathfield Movies in The Park is back!
Pack a picnic, bring a blanket or chair and enjoy a great evening of free entertainment for all the family under the stars at Strathfield Park.
Visit www.strathfieldevts.nsw.gov.au for the full schedule.



From: Derek Low <[REDACTED]>
Sent: Tuesday, January 30, 2024 1:01 PM
To: Strathfield Municipal Council <council@strathfield.nsw.gov.au>; [REDACTED]

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
Subject: Sydney Metro West - Risk Based Audit February 2024 - Consultation

Hello Strathfield Council.

I am one of the approved Independent Auditors on Sydney Metro West Stage 1 (CSSI 10038) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late February 2024, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late April 2024.

The temporal period considered as part of this audit runs from 1 September 2023 to the 28 February 2024 (the audit period). The proposed scope has been developed in consideration of the Project’s environmental and compliance risks for the audit period, and in consultation with Sydney Metro, the Project’s Independent Environmental Representative and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- reporting of incidents and non-compliances,
- community engagement,
- flooding,
- noise and vibration,
- parking and access,
- temporary activation,
- visual amenity,
- interaction and coordination with utilities and services.

DPHI have requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the Approval during the audit period.

In providing input I kindly note that feedback be provided no later than **13 February 2024**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

Regards,

Derek Low | Principal

Executive Director - Infrastructure & Environmental Assurance



*At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we **acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community.** We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.*

This email is intended only for the addressee and may contain confidential information. If you receive this email in error, please delete it and any attachments and notify the sender immediately by reply email. WolfPeak takes all care to ensure that attachments are free from viruses or other defects. WolfPeak assume no liability for any loss, damage or other consequences, which may arise from opening or using an attachment. Consider the environment. Please don't print this e-mail unless really necessary.

Disclaimer: This transmission is intended for the addressee named and may contain confidential information. If you are not the intended recipient of the transmission, please delete the transmission and notify the sender. The contents of the transmission are the opinion of the individual sender, and are not necessarily endorsed by Strathfield Municipal Council.

Derek Low

From: Sarah Hussein <[REDACTED]>
Sent: Thursday, 8 February 2024 10:29 AM
To: Derek Low; D [REDACTED]
[REDACTED]
Subject: RE: Sydney Metro West - Risk Based Audit February 2024 - Consultation

You don't often get email from sarah.hussein@cumberland.nsw.gov.au. [Learn why this is important](#)

Hi Derek,

I can confirm there is no further items on the scope of the audit to be included at this time.

Regards,
Sarah



SARAH HUSSEIN
EXECUTIVE ASSISTANT TO DIRECTOR ENVIRONMENT AND PLANNING

16 Memorial Avenue, PO Box 42 Merrylands NSW 2160

[REDACTED]
[REDACTED]
[REDACTED]ov.au

From: Sarah Hussein
Sent: Monday, January 29, 2024 4:11 PM
To: 'Derek Low' [REDACTED] Daniel Cavallo <[REDACTED]> Jai Shankar

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

Subject: RE: Sydney Metro West - Risk Based Audit February 2024 - Consultation

Hi Derek,

Thank you for your email.

The team is looking into this and will advise by the due date.

Regards,
Sarah

From: Derek Low [REDACTED]

Sent: Thursday, 25 January 2024 3:15 PM

To: Daniel Cavallo [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED] >

Subject: [EXTERNAL] RE: Sydney Metro West - Risk Based Audit February 2024 - Consultation

CAUTION: This email originated from outside of the organisation. Do not click links or open attachments unless you recognise the sender and know the content is safe.

Hello Cumberland Council.

I am one of the approved Independent Auditors on Sydney Metro West Stage 1 (CSSI 10038) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late February 2024, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late April 2024.

The temporal period considered as part of this audit runs from 1 September 2023 to the 28 February 2024 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro, the Project's Independent Environmental Representative and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- reporting of incidents and non-compliances,
- community engagement,
- biodiversity,
- heritage,
- flooding,
- consultation and respite,
- soils and contamination,
- waste.

DPHI have requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the during the audit period.

In providing input I kindly note that feedback be provided no later than **8 February 2024**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

Regards,

Derek Low | Principal

Executive Director - Infrastructure & Environmental Assurance



████████████████████
████████████████████
██

*At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we **acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community.** We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.*

*This email is intended only for the addressee and may contain confidential information. If you receive this email in error, please delete it and any attachments and notify the sender immediately by reply email. WolfPeak takes all care to ensure that attachments are free from viruses or other defects. WolfPeak assume no liability for any loss, damage or other consequences, which may arise from opening or using an attachment. **Consider the environment. Please don't print this e-mail unless really necessary.***

Disclaimer

The information transmitted is intended only for the person or entity to which it is addressed and may contain confidential and/or privileged material. Any review, retransmission, dissemination or other use of, or taking of any action in reliance upon, this information by persons or entities other than the intended recipient is prohibited. If you received this unintentionally, please contact the sender and delete the material from all computers. Cumberland City Council does not warrant the material contained in this message is free from Computer virus or defect. Loss or damage incurred in use is not the responsibility of Cumberland City Council. Cumberland City Council respects your privacy and is committed to protecting it. To view our Privacy Policy please visit www.cumberland.nsw.gov.au

Derek Low

From: Derek Low
Sent: Thursday, 25 January 2024 3:09 PM
To: r [REDACTED]
[REDACTED]
[REDACTED]
Subject: Sydney Metro West - Risk Based Audit February 2024 - Consultation

Hello Burwood Council.

I am one of the approved Independent Auditors on Sydney Metro West Stage 1 (CSSI 10038) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late February 2024, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late April 2024.

The temporal period considered as part of this audit runs from 1 September 2023 to the 28 February 2024 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro, the Project's Independent Environmental Representative and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- reporting of incidents and non-compliances,
- community engagement,
- flooding,
- noise and vibration,
- parking and access,
- temporary activation,
- visual amenity,
- interaction and coordination with utilities and services.

DPHI have requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the during the audit period.

In providing input I kindly note that feedback be provided no later than **8 February 2024**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

Regards,

Derek Low | Principal
Executive Director - Infrastructure & Environmental Assurance



At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, **we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community.** We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.

*This email is intended only for the addressee and may contain confidential information. If you receive this email in error, please delete it and any attachments and notify the sender immediately by reply email. WolfPeak takes all care to ensure that attachments are free from viruses or other defects. WolfPeak assume no liability for any loss, damage or other consequences, which may arise from opening or using an attachment. **Consider the environment. Please don't print this e-mail unless really necessary.***

Derek Low

From: Burwood Council [REDACTED]
Sent: Thursday, 25 January 2024 3:09 PM
To: Derek Low
Subject: RE: Sydney Metro West - Risk Based Audit February 2024 - Consultation

Dear Sir/Madam:

Burwood Council has received your email. It will be forwarded to the appropriate Council officer for attention. Should you require further information please contact Customer Service on 9911 9911.

Please do not reply to this e-mail.

Derek Low

From: Derek Low
Sent: Thursday, 25 January 2024 3:00 PM
To: [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
Subject: Sydney Metro West - Risk Based Audit February 2024 - Consultation

Hello Inner West Council.

I am one of the approved Independent Auditors on Sydney Metro West stages 1 and 2 (CSSI 10038 and CSSI 19238057) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the two Project Approvals. The next audit is scheduled to commence in late February 2024, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late April 2024.

The temporal period considered as part of this audit runs from 1 September 2023 to the 28 February 2024 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro, the Project's Independent Environmental Representative and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- reporting of incidents and non-compliances,
- community engagement,
- flooding,
- noise and vibration,
- parking and access,
- heritage (Eastern Tunnelling Package only),
- small business engagement (Eastern Tunnelling Package only),
- Temporary activation (Central Tunnelling Package only)
- Visual amenity (Central Tunnelling Package only)
- Interaction and coordination with utilities and services (Central Tunnelling Package only).

DPHI have requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the during the audit period.

In providing input I kindly note that feedback be provided no later than **8 February 2024**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

Regards,

Derek Low

From: Inner West Council <[REDACTED]>
Sent: Thursday, 25 January 2024 3:00 PM
To: Derek Low
Subject: RE: Sydney Metro West - Risk Based Audit February 2024 - Consultation

Thank you for your email which will be sent to the relevant Council service team within the next two business days.

For urgent enquiries please contact our Customer Service Team on 02 9392 5000.

If your enquiry relates to a life-threatening emergency, please call Triple Zero (000) and request either police, fire, or ambulance.

To enable faster allocation of your email to the correct Council service area, please consider using our wide range of online services '[Get it Done Online](#)' in future. These include:

- Request for service
- Make a payment
- Lodge an application
- Report an issue.




Yours sincerely





Melanie Gurney

Senior Manager, Customer Experience and Service Transformation





[REDACTED] [ov.au](#)




APPENDIX E – PHOTOS



No.	Comment	Photograph
1	CTP Five Dock East Acoustic Shed	
2	CTP Five Dock East external laydown (not shown on any ECMs sighted as part of the audit).	
3	CTP Five Dock East Water Treatment Plant external to Acoustic Shed, and laydown, neither shown on CEMP ECM.	

No.	Comment	Photograph
4	CTP Five Dock West erosion and sediment controls on northern boundary.	
5	CTP Five Dock West vehicle turntable being installed following update to CTMP removing one-way in and out.	
6	CTP Five Dock West concrete agitator in ROL. Signage observed.	
7	ETP Pyrmont East site sheds, not shown in CEMP ECM, but approved via MAF approval and added to current ECM.	

No.	Comment	Photograph
8	ETP Pymont East site access arrangement.	
9	ETP Pymont East elevated platforms being installed prior to bulk excavation.	
10	ETP Pymont East excavation at location subject to cleared unexpected heritage find.	

No.	Comment	Photograph
11	ETP Pymont West excavation well underway.	
12	WTP Clyde MSF project markings on trucks	
13	WTP Clyde MSF wheel bath	
14	WTP Clyde MSF example of segregation of waste (ACM)	

No.	Comment	Photograph
15	WTP Clyde MSF example of segregation of tunnel spoil	
16	WTP Clyde MSF retaining wall with environmental no-go zone at rear of shot.	
17	WTP Clyde MSF Unwin Street with material tracking.	

No.	Comment	Photograph
18	WTP Clyde MSF Water Conveyance	
19	WTP Clyde MSF heritage RTA depot protected	



APPENDIX F – DECLARATIONS

Declaration of Independence - Auditor



Project Name:	Sydney Metro West Stage 1 and 2
Consent Number:	SSI 10038 & SSI 19238057
Description of Project:	<p>Stage 1 works of Sydney Metro West includes:</p> <ul style="list-style-type: none"> - new passenger rail infrastructure between Westmead and the central business district (CBD) of Sydney, including: <ul style="list-style-type: none"> o tunnels, stations (including surrounding areas) and associated rail facilities and o stabilising and maintenance facilities (including associated underground and overground connection to tunnels), and - modification of existing rail infrastructure (including stations and surrounding areas), and - ancillary development. <p>Stage 2 works of the Sydney Metro West includes: station excavation for new metro stations at Pyrmont and Hunter Street Sydney CBD; tunnel excavation including tunnel support activities between The Bays and Hunter Street Sydney CBD; and ancillary development</p>
Project Address:	Sydney Metropolitan Area
Proponent:	Sydney Metro
Title of Audit	Independent Audit Stage 1 and 2
Date:	25 April 2024

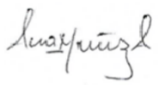
I declare that I have undertaken the Independent Audit and prepared the contents of the attached Independent Audit Report and to the best of my knowledge:

- i. the audit has been undertaken in accordance with relevant condition(s) of consent and the *Independent Audit Post Approval Requirements (Department 2020)*;
- ii. the findings of the audit are reported truthfully, accurately and completely;
- iii. I have exercised due diligence and professional judgement in conducting the audit;
- iv. I have acted professionally, objectively and in an unbiased manner;
- v. I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child;
- vi. I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
- vii. neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
- viii. I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

Refer page 2 of this document for declarations regarding WolfPeak's non-audit involvement in the Project. These were declared to the Department prior to the audit and approval of the audit team.

Notes:

- a) Under section 10.6 of the *Environmental Planning and Assessment Act 1979* a person must not include false or misleading information (or provide information for inclusion in) in a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, \$1 million and for an individual, \$250,000; and
- b) The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both)

Name of Proposed Auditor:	Ana Maria Munoz
Signature:	
Qualification:	Master Engineering Management, UTS, Sydney 2007 Exemplar Global Lead Environmental Auditor 2022 (No.115421)
Company:	WolfPeak Pty Ltd

Declarations of involvement

WolfPeak's auditors have not had any involvement in the Project. Regarding other WolfPeak employees, WolfPeak would like to declare the following involvement in the Project:

Sydney Metro West Stage 2 (Eastern Tunnelling Project (ETP)) SSI 19238057

One (1) WolfPeak staff member works as the ISC Independent Sustainability Professional (ISP) on the ETP. According to the IS Technical Manual, the ISP must be independent from a Project. This staff member does not form part of the audit team. This support is expected to continue for the life of the package.

Controls in place to manage potential conflict

The following controls are in place to manage potential conflicts during the Independent Audit.

- WolfPeak will not audit its own work.
- None of the nominated WolfPeak audit team have provided or will provide any other services to the Project.
- The WolfPeak employee working as ISP on the ETP is not on the WolfPeak audit team.
- The WolfPeak audit team has signed non-disclosure agreements with Sydney Metro.
- The following controls are in place to manage the potential for unintended sharing of information:
 - The WolfPeak audit team has not and does not have access to the contractor/s systems and drives unless this was arranged by the contractor during an Independent Audit in their role as an auditee and as a method of sharing files for the purposes of being subject to audit.
 - The WolfPeak Project (i.e.: Sydney Metro Independent Audit services) files / folders have been / will continue to be locked so only the WolfPeak audit team has access.
- WolfPeak employee working as ISP on the ETP is not the owner of any of the documents being produced.
- WolfPeak employee working as ISP on the ETP is not responsible for site works on which audit inspections will occur.

Declaration of Independence - Auditor



Project Name:	Sydney Metro West Stage 1 and 2
Consent Number:	SSI 10038 & SSI 19238057
Description of Project:	<p>Stage 1 works of Sydney Metro West includes:</p> <ul style="list-style-type: none"> - new passenger rail infrastructure between Westmead and the central business district (CBD) of Sydney, including: <ul style="list-style-type: none"> o tunnels, stations (including surrounding areas) and associated rail facilities and o stabilising and maintenance facilities (including associated underground and overground connection to tunnels), and - modification of existing rail infrastructure (including stations and surrounding areas), and - ancillary development. <p>Stage 2 works of the Sydney Metro West includes: station excavation for new metro stations at Pyrmont and Hunter Street Sydney CBD; tunnel excavation including tunnel support activities between The Bays and Hunter Street Sydney CBD; and ancillary development</p>
Project Address:	Sydney Metropolitan Area
Proponent:	Sydney Metro
Title of Audit	Independent Audit Stage 1 and 2
Date:	25 April 2024


I declare that I have undertaken the Independent Audit and prepared the contents of the attached Independent Audit Report and to the best of my knowledge:

- i. the audit has been undertaken in accordance with relevant condition(s) of consent and the *Independent Audit Post Approval Requirements (Department 2020)*;
- ii. the findings of the audit are reported truthfully, accurately and completely;
- iii. I have exercised due diligence and professional judgement in conducting the audit;
- iv. I have acted professionally, objectively and in an unbiased manner;
- v. I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child;
- vi. I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
- vii. neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
- viii. I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

Refer page 2 of this document for declarations regarding WolfPeak's non-audit involvement in the Project. These were declared to the Department prior to the audit and approval of the audit team.

Notes:

- a) Under section 10.6 of the *Environmental Planning and Assessment Act 1979* a person must not include false or misleading information (or provide information for inclusion in) in a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, \$1 million and for an individual, \$250,000; and
- b) The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both)

Name of Proposed Auditor:	Derek Low
Signature:	
Qualification:	Master of Environmental Engineering Management Exemplar Global Certified Lead Environmental Auditor (No. 114283)
Company:	WolfPeak Pty Ltd

Declarations of involvement

WolfPeak's auditors have not had any involvement in the Project. Regarding other WolfPeak employees, WolfPeak would like to declare the following involvement in the Project:

Sydney Metro West Stage 2 (Eastern Tunnelling Project (ETP)) SSI 19238057

One (1) WolfPeak staff member has commenced work as the ISC Independent Sustainability Professional (ISP) on the ETP. According to the IS Technical Manual, the ISP must be independent from a Project. This staff member does not form part of the audit team. This support is expected to continue for the life of the package.

Controls in place to manage potential conflict

The following controls are in place to manage potential conflicts during the Independent Audit.

- WolfPeak will not audit its own work.
- None of the nominated WolfPeak audit team have provided or will provide any other services to the Project.
- The WolfPeak employee working as ISP on the ETP is not on the WolfPeak audit team.
- The WolfPeak audit team has signed non-disclosure agreements with Sydney Metro.
- The following controls are in place to manage the potential for unintended sharing of information:
 - The WolfPeak audit team has not and does not have access to the contractor/s systems and drives unless this was arranged by the contractor during an Independent Audit in their role as an auditee and as a method of sharing files for the purposes of being subject to audit.
 - The WolfPeak Project (i.e.: Sydney Metro Independent Audit services) files / folders have been / will continue to be locked so only the WolfPeak audit team has access.
- WolfPeak employee working as ISP on the ETP is not the owner of any of the documents being produced.
- WolfPeak employee working as ISP on the ETP is not responsible for site works on which audit inspections will occur.

Declaration of Independence - Auditor



Project Name:	Sydney Metro West Stage 1 and 2
Consent Number:	SSI 10038 & SSI 19238057
Description of Project:	<p>Stage 1 works of Sydney Metro West includes:</p> <ul style="list-style-type: none"> - new passenger rail infrastructure between Westmead and the central business district (CBD) of Sydney, including: <ul style="list-style-type: none"> o tunnels, stations (including surrounding areas) and associated rail facilities and o stabilising and maintenance facilities (including associated underground and overground connection to tunnels), and - modification of existing rail infrastructure (including stations and surrounding areas), and - ancillary development. <p>Stage 2 works of the Sydney Metro West includes: station excavation for new metro stations at Pyrmont and Hunter Street Sydney CBD; tunnel excavation including tunnel support activities between The Bays and Hunter Street Sydney CBD; and ancillary development</p>
Project Address:	Sydney Metropolitan Area
Proponent:	Sydney Metro
Title of Audit	Independent Audit Stage 1 and 2
Date:	25 April 2024

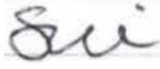
I declare that I have undertaken the Independent Audit and prepared the contents of the attached Independent Audit Report and to the best of my knowledge:

- i. the audit has been undertaken in accordance with relevant condition(s) of consent and the *Independent Audit Post Approval Requirements (Department 2020)*;
- ii. the findings of the audit are reported truthfully, accurately and completely;
- iii. I have exercised due diligence and professional judgement in conducting the audit;
- iv. I have acted professionally, objectively and in an unbiased manner;
- v. I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child;
- vi. I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
- vii. neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
- viii. I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

Refer page 2 of this document for declarations regarding WolfPeak's non-audit involvement in the Project. These were declared to the Department prior to the audit and approval of the audit team.

Notes:

- a) Under section 10.6 of the *Environmental Planning and Assessment Act 1979* a person must not include false or misleading information (or provide information for inclusion in) in a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, \$1 million and for an individual, \$250,000; and
- b) The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both)

Name of Proposed Auditor:	Steve Fermio
Signature:	
Qualification:	Bachelor of Science, ANU Honours in Geology, Monash University Exemplar Global Lead Environmental Auditor (No. 110498)
Company:	WolfPeak Pty Ltd

Declarations of involvement

WolfPeak's auditors have not had any involvement in the Project. Regarding other WolfPeak employees, WolfPeak would like to declare the following involvement in the Project:

Sydney Metro West Stage 2 (Eastern Tunnelling Project (ETP)) SSI 19238057

One (1) WolfPeak staff member has commenced work as the ISC Independent Sustainability Professional (ISP) on the ETP. According to the IS Technical Manual, the ISP must be independent from a Project. This staff member does not form part of the audit team. This support is expected to continue for the life of the package.

Controls in place to manage potential conflict

The following controls are in place to manage potential conflicts during the Independent Audit.

- WolfPeak will not audit its own work.
- None of the nominated WolfPeak audit team have provided or will provide any other services to the Project.
- The WolfPeak employee working as ISP on the ETP is not on the WolfPeak audit team.
- The WolfPeak audit team has signed non-disclosure agreements with Sydney Metro.
- The following controls are in place to manage the potential for unintended sharing of information:
 - The WolfPeak audit team has not and does not have access to the contractor/s systems and drives unless this was arranged by the contractor during an Independent Audit in their role as an auditee and as a method of sharing files for the purposes of being subject to audit.
 - The WolfPeak Project (i.e.: Sydney Metro Independent Audit services) files / folders have been / will continue to be locked so only the WolfPeak audit team has access.
- WolfPeak employee working as ISP on the ETP is not the owner of any of the documents being produced.
- WolfPeak employee working as ISP on the ETP is not responsible for site works on which audit inspections will occur.