



INDEPENDENT AUDIT NO. 2 – AUDIT REPORT

SYDNEY METRO WEST
SSI 10038

SEPTEMBER 2022

Authorisation

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CONTENTS

Executive Summary	1
1. Introduction	3
1.1 Project overview	3
1.2 The audit teams.....	7
1.3 The audit objectives.....	8
1.4 Audit scope.....	8
2. Audit Methodology	10
2.1 Audit process.....	10
2.2 Audit process detail	11
2.2.1 Audit initiation and scope development.....	11
2.2.2 Preparing audit activities.....	11
2.2.3 Site personnel involvement.....	11
2.2.4 Meetings.....	12
2.2.5 Site inspection	12
2.2.6 Document review	12
2.2.7 Generating audit findings	12
2.2.8 Compliance evaluation.....	13
2.2.9 Evaluation of post audit approval documentation	13
2.2.10 Completing the audit.....	13
3. Audit Findings	14
3.1 Approvals and documents audited, and evidence sighted	14
3.2 Non-compliance, Observations and Actions	14
3.3 Adequacy of Environmental Management Plans, sub-plans and post approval documents.....	39
3.4 Summary of notices from agencies.....	39
3.5 Other matters considered relevant by the Auditor or DPE.....	39
3.6 Consultation and other matters considered relevant by the DPE or auditor	39
3.7 Complaints	40
3.8 Incidents.....	41
3.9 Actual versus predicted impacts	41
Limitations.....	44
Appendix A – SSI 10038 Conditions of Approval	46

appendix B – Planning Secretary Agreement of Independent Auditors	217
Appendix C – Consultation Records	219
Appendix D – Photos	222
Appendix E – Attendance Sheet	262
Appendix F – Declarations	266

EXECUTIVE SUMMARY

Sydney Metro is responsible for delivery of the Sydney Metro West Project (the Project), which involves construction and operation of a new 24-kilometre metro line that will connect Greater Parramatta with the Sydney CBD. Confirmed stations include Westmead, Parramatta, Sydney Olympic Park, North Strathfield, Burwood North, Five Dock, The Bays and Sydney CBD. The planning approvals and environmental impact assessment for Sydney Metro West have been staged in recognition of the size of the project.

Approval for the Concept and Stage 1 works was granted in State Significant Infrastructure (SSI) 10038 by the Minister for Planning and Public Spaces on 11 March 2021. The objective of this Independent Audits (IA) is to satisfy the Conditions A39 – A42 of Schedule 3 of SSI 10038 in accordance with the Department of Planning and Environment (the Department) Independent Audit Guideline Post Approval Requirements (IAPAR, 2020). This is the second independent audit (IA2) conducted on this Project which only covered the works conducted under the following Phases as per the revised Phasing Report: *Sydney Metro West Stage 1 – Phasing Report, Revision 1.4, May 2022* from March to September 2022:

- Phase A - Power Enabling Works (Quickway)
- Phase B1 - Central Tunnelling Early Works (AFJV)
- Phase C1 – Parramatta, Westmead and Clyde Demolition Works (Delta)

The overall outcome of this IA2 demonstrated that compliance was proactively tracked by the key project personnel, with the following key strengths in their compliance and environmental management:

- The management plans were reviewed and updated accordingly with the endorsement of Environmental Representatives (ER);
- Regular inspections conducted by the ER and Acoustic Advisor to ensure implementation of mitigation measures and active communication with the contractors to ensuring actions raised during these inspections were addressed accordingly within the timeframe;
- The compliance records were well organized and available at the time of the site inspection and interview with key project personnel;
- Internal environmental audits were conducted by the contractors, covering the review of construction environmental management plans and subplans;
- Self-reporting of non-compliances and closing out issues with corrective and preventive actions coupled with training and toolbox talks to improve the environmental performance; and
- Mitigation measures i.e., heritage protection zone, tree protection, dust suppression, noise blankets, waste management, hoarding and screening with community site notice were implemented.

In summary:

- There were 222 conditions assessed.

- There was a total of eight (8) non-compliances [seven (7) were self-reported against A21, A45, D13, D38, D43, D45, D86, and one (1) non-compliant (B11) raised during this audit).
- Four (4) observations were identified in relation to the conditions A2 general housekeeping, A11 Phasing Report, A31 provision of documents to ER, and D116 erosion and sedimentation controls.

Detailed findings are presented in Section 3 and Table 4, along with actions proposed or undertaken by the Project team to address the findings.

The Auditor would like to thank the auditees representing Sydney Metro, Quickway, Acciona Ferrovial Joint Venture, Acoustic Advisor, Environmental Representative and Delta, for their high level of organisation, cooperation, openness and assistance during the Independent Audit.

1. INTRODUCTION

1.1 Project overview

Sydney Metro is responsible for delivery of the Sydney Metro West Project, which involves construction and operation of a new 24-kilometre metro line that would connect Greater Parramatta with the Sydney CBD. Confirmed stations include Westmead, Parramatta, Sydney Olympic Park, North Strathfield, Burwood North, Five Dock, The Bays and Sydney CBD. The planning approvals and environmental impact assessment for Sydney Metro West have been staged in recognition of the size of the project.

This includes the Sydney Metro West Concept and the following stages (as depicted in Figure 1, below):

- Stage 1 – All major civil construction works including station excavation and tunnelling between Westmead and The Bays
- Stage 2 – All major civil construction works including station excavation and tunnelling between The Bays to Sydney CBD
- Stage 3 – Tunnel fit-out, station building and operation of the line between Westmead to Sydney CBD.

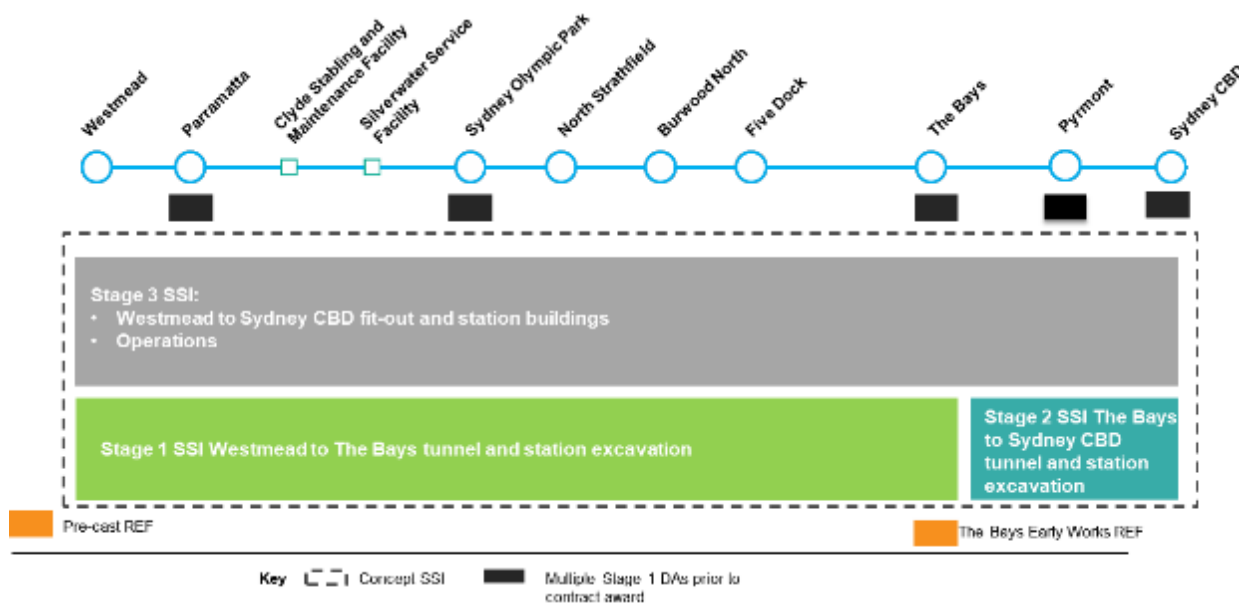


Figure 1: Sydney Metro West Planning Approval Strategy (source: Project Staging Report, rev 1.2)

Approval for the Concept and Stage 1 works (the Project) was granted in State Significant Infrastructure (SSI) 10038 by the Minister for Planning and Public Spaces on 11 March 2021, subject to a number of conditions. The Stage 1 works involve major civil construction work between Westmead and The Bays, including:

- Enabling works such as demolition, utility supply to construction sites, utility adjustments and modifications to the existing transport network;

- Tunnel excavation including tunnel support activities;
- Station excavation for new metro stations at Westmead, Parramatta, Sydney Olympic Park, North Strathfield, Burwood North, Five Dock and The Bays;
- Shaft excavation for services facility at Rosehill (within the Clyde stabling and maintenance facility construction site),
- Civil work for the stabling and maintenance facility at Clyde including earthworks and structures for crossings of A'Becketts Creek and Duck Creek; and
- Excavation of a tunnel dive structure and associated tunnels at Rosehill to support a connection between the Clyde stabling and maintenance facility and the mainline metro tunnels.

The location of the Sydney Metro West line in the context of the broader Sydney Metro network is shown in Figure 2. An overview of the Sydney Metro West Project and is shown in Figure 3.

The following table indicates the construction commencement dates for each stage of work as per the Staging Report.

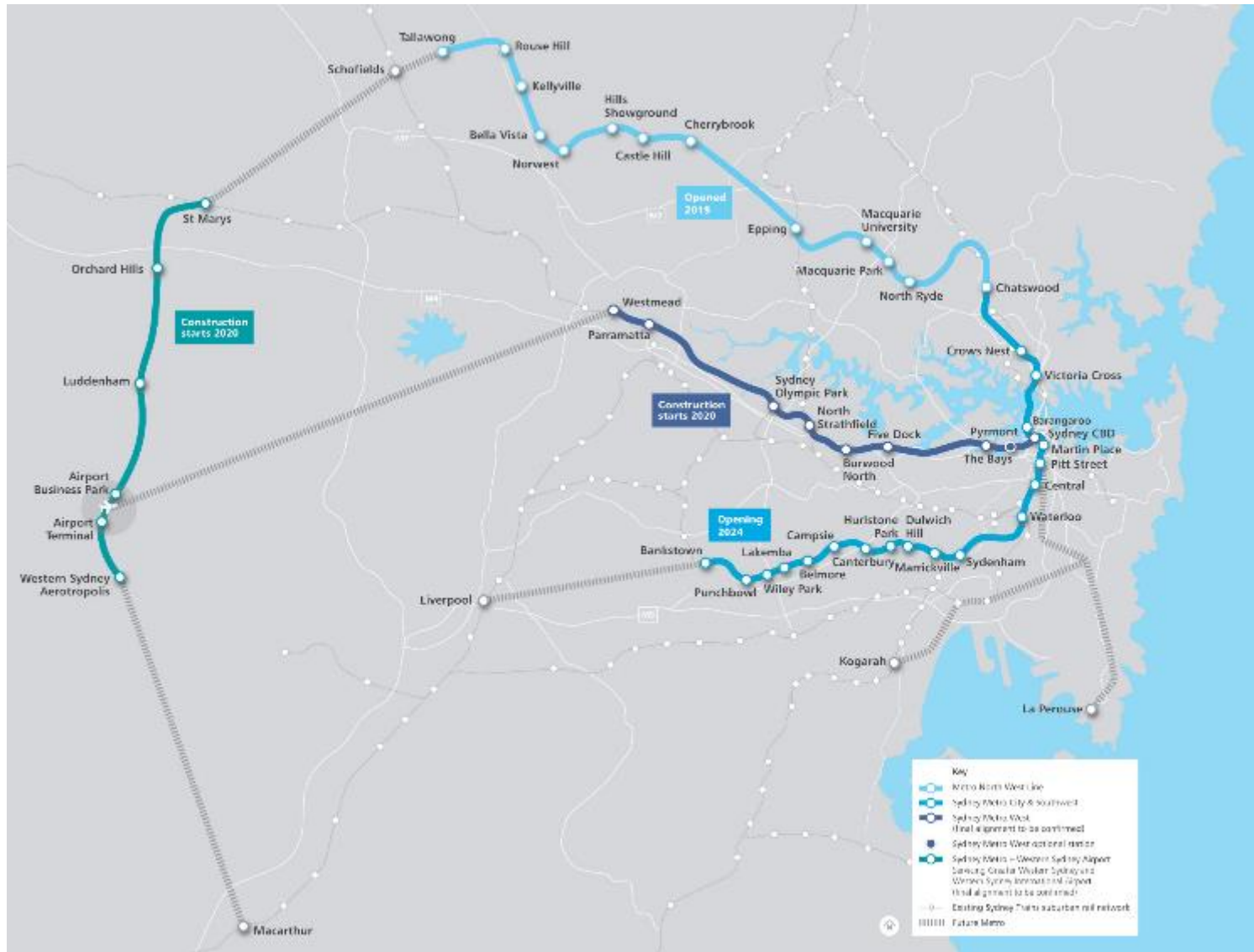


Figure 2: The Sydney Metro network (source: Project RfS)

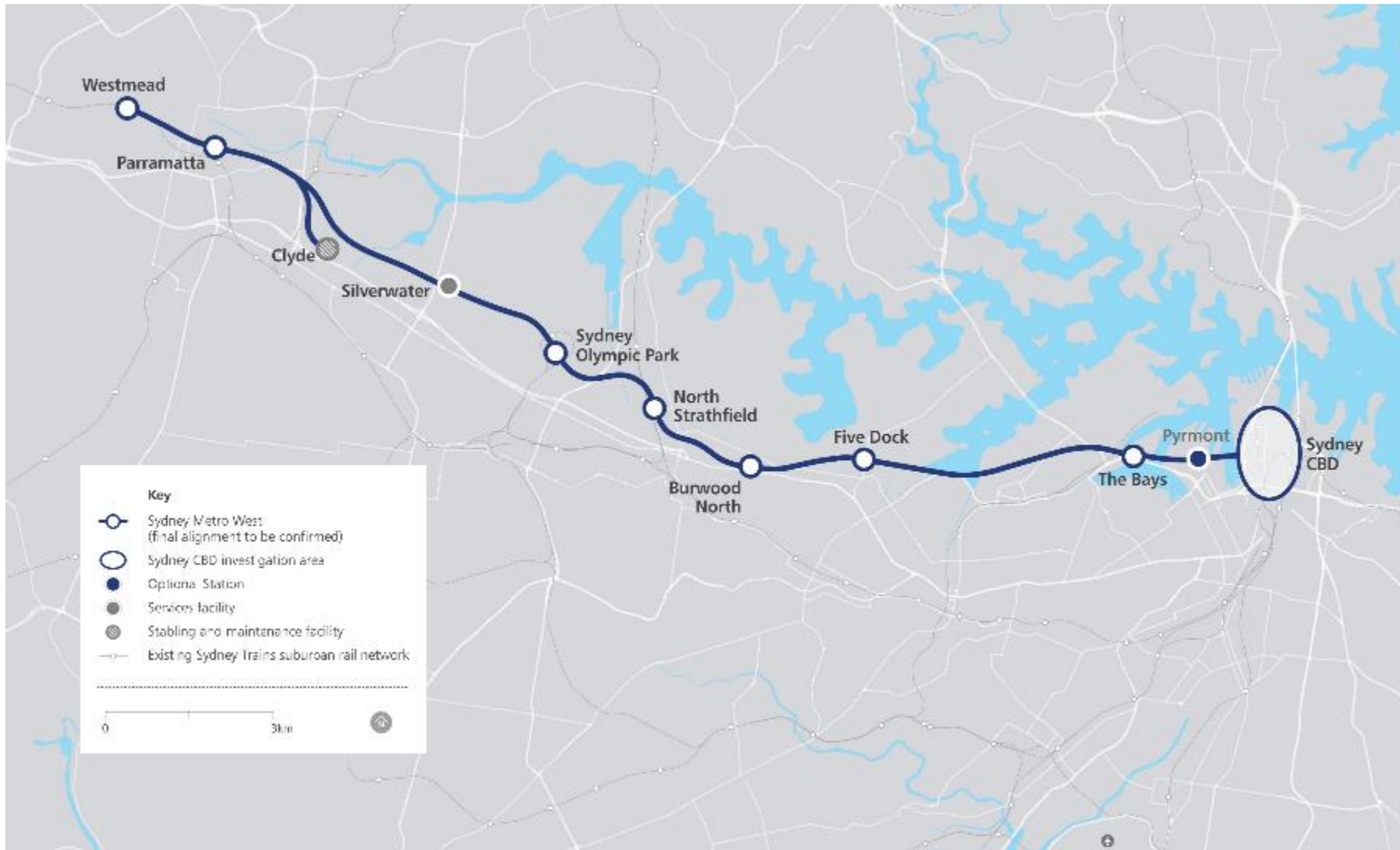


Figure 3: Sydney Metro West overview (source: Project RtS)

A Phasing Report has been prepared and approved for the Project: *Sydney Metro West Stage 1 – Phasing Report*, Revision 1.4, May 2022. In line with this report, construction of the Project is currently being staged as per the phases set out in Table 1 , below. A description of the scope of works involved in delivery of each phase is included in the Phasing Report.

Table 1 Construction Commencement Date

Stage	Contractor	ER Endorsement Date CEMP	Construction Commencement Date
Phase A - Power Enabling Works	Quickway	18 June 2021	13 July 2021
Phase B1 - Central Tunnelling Early Works	AFJV	20 December 2021	10 January 2022
Phase C1 – Parramatta, Westmead and Clyde Demolition Works	Delta	September and December 2021	8 December 2021

Works being conducted during the current audit period included:

- Power supply works at Rozelle (Phase A) nearing completion;
- Demolition works at Westmead, Parramatta and Clyde (Phase C1) nearing completion; and
- Site establishment works completed the Central Tunnelling Package Early Works (Phase B1), Sydney Olympic Park, Burwood, North Strathfield, Five Dock and The Bays.
- There was no “low Impact Works” during the audit period as noted by all the contractors and ER during the interviews.

At the time of the Independent Audit, construction works on Phase B2, Phase C2, Phase E, and Phase F had yet to commence.

1.2 The audit teams

In accordance with Schedule 3, condition A40 of SSI 10038, and Section 3.1 of the IAPAR, Independent Auditors must be suitably qualified, experienced, and independent of the Project, and appointed by the Planning Secretary.

The Audit Team comprises:

- Steve Fermio (Auditor Lead): Bachelor of Science (Hons), Exemplar Global Certified Principal Environmental Auditor (Certificate No 110498)
- Annabelle Tungol (Auditor): Lead Environmental Auditor (Exemplar Global -Certificate #119536); Quality Auditor (Exemplar Global -Certificate #119536); Chemical Engineer (Philippines Professional Regulation Commission May 2000 – Certificate #22566);

Approval of the Audit Team was provided by the Department on 2 August 2022. The approval is presented in Appendix B.

1.3 The audit objectives

The objective of this Independent Audit is to satisfy SSI 10038 Schedule 3, condition A39, which states:

Independent Audits of Stage 1 of the CSSI must be conducted and carried out in accordance with the *Independent Audit Post Approval Requirements* (DPIE, 2020).

The IAPAR sets out the scope, methodology and reporting requirements for Independent Audit.

This Independent Audit seeks to fulfil the requirements of condition A39, to verify compliance with the relevant conditions, and assess the effectiveness of environmental management on the Project using the scope, methodology and reporting requirements from the IAPAR.

1.4 Audit scope

This Audit Report relates to the first Independent Audit on the Project covering the period from the granting of consent in March to September 2022 (the 'audit period').

The scope of the Independent Audit comprises:

- An assessment of compliance with:
 - All conditions of consent applicable to the phase of the development that is being audited
 - All post approval and compliance documents prepared to satisfy the conditions of consent, including an assessment of the implementation of Environmental Management Plans and Sub-plans; and
 - All environmental licences and approvals applicable to the development excluding environment protection licences issued under the *Protection of the Environment Operations Act 1997*.
- A review of the environmental performance of the development, including but not necessarily limited to, an assessment of:
 - Actual impacts compared to predicted impacts documented in the environmental impact assessment
 - The physical extent of the development in comparison with the approved boundary
 - Incidents, non-compliances and complaints that occurred or were made during the audit period
 - The performance of the development having regard to agency policy and any particular environmental issues identified through consultation carried out when developing the scope of the audit; and
 - Feedback received from the Department, and other agencies and stakeholders, including the community or Community Consultative Committee (if there is one)

for the Project), on the environmental performance of the project during the audit period

- The status of implementation of previous Independent Audit findings, recommendations and actions, if any (nil in this case as this is the first Independent Audit)
- A high-level assessment of whether Environmental Management Plans and Sub-plans are adequate; and
- Any other matters considered relevant by the auditor or the Department, considering relevant regulatory requirements and legislation, knowledge of the development's past performance and comparison to industry best practices.

2. AUDIT METHODOLOGY

2.1 Audit process

The Independent Audit was conducted in a manner consistent with AS/NZS ISO 19011.2019 – Guidelines for Auditing Management Systems and the methodology set out in the Department’s IAPAR. An overview of the audit activities, as specified in AS/NZS ISO 19011, is presented in Figure 4.

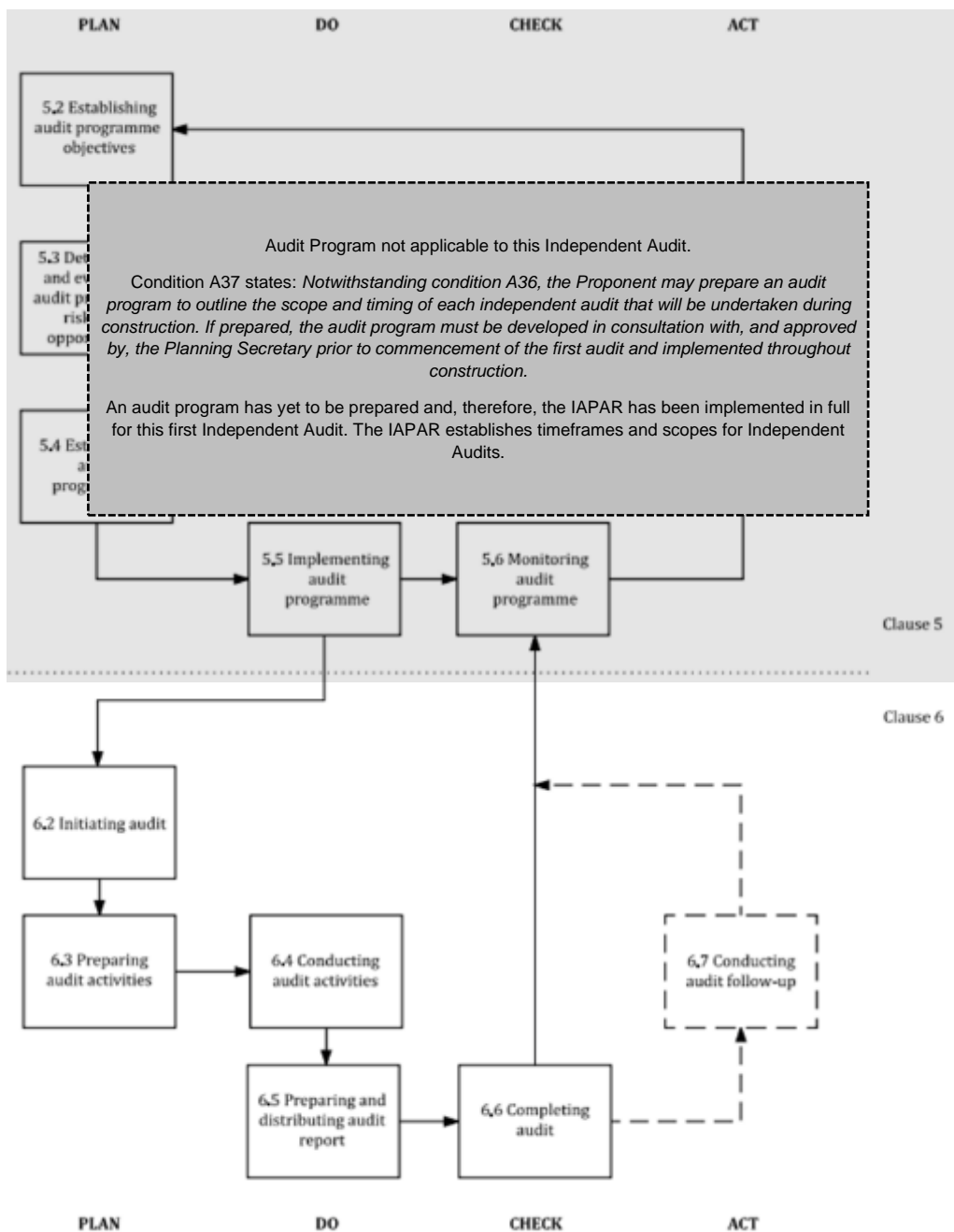


Figure 4: Audit activities overview (modified from AS/NZS ISO 19011). Subclause numbering refers to the relevant subclauses in the Standard.

2.2 Audit process detail

2.2.1 Audit initiation and scope development

Prior to the commencement of the audit the following tasks were completed:

- Establish initial contact with the auditee
- Confirm the audit team
- Confirm the audit purpose, scope and criteria.

2.2.2 Preparing audit activities

The Auditor performed a document review, prepared an audit plan, and prepared work documents (audit checklists) and distributed to the Project team in preparation for the Independent Audit.

Additional documents sighted are identified in Appendix A.

2.2.3 Site personnel involvement

The Independent Audit was conducted both on-site and through a series of online sessions. The on-site activities took place on 23 September 2022, with online document review and interviews conducted across four meetings between 27-28 September 2022 and 10 and 12 October 2022.

Table 2 Key Personnel Interviewed

Name	Company	Position
Maria Doumit	Sydney Metro	Environmental Coordinator
Daniel Mutkins	Quickway (AEW Power)	Environment Coordinator
Tom St Vincent Welch	Quickway (AEW Power)	Environment Manager
Pamela Tummers	Sydney Metro	Environment Manager
Matthew Marrinan	Sydney Metro	Senior Manager Environmental
Wayne Duffy	Property Risk (working for Delta)	Environmental Manager
Brendan Jolliffe	Delta	Project Manager
Gregor Wilson	AFJV	Environmental Advisor
Jared Lipton	AFJV	Environmental Manager
John Leroklis	Sydney Metro	Environmental Manager
Jenny Williams	Sydney Metro	Comms Team
Kelly Zhou	Sydney Metro	Comms Team
Caroline St Clair	Sydney Metro	Comms Manager
Sean Clarke	Sydney Metro	Traffic
Jo Robertson	Healthy Buildings International	Environmental Representative

Name	Company	Position
Michael Woolley	Healthy Buildings International	Environmental Representative
Larry Clarke	Acoustic Studio	Acoustic Advisor
Ben Withnall	Sydney Metro	Contamination

2.2.4 Meetings

Opening and closing meetings were held with the Auditor and Project personnel.

During the opening meeting, held on site on 19 September 2022, the objectives and scope of the Independent Audit, the resources required and methodology to be applied were discussed.

At the closing meeting, held on 14 November 2022, preliminary audit findings were presented, preliminary recommendations (as appropriate) were made, timing for finalisation of the audit report and any post-audit actions were confirmed.

2.2.5 Site inspection

The on-site audit activities took place on 23 September 2022. The on-site audit activities included inspections of the following sites for Phases A (Enabling Works - Quickway), B1 (Central Tunnelling Package – Early Works - AFJV) and C1 (Demolition - Delta):

- Sydney Olympic Park
- North Strathfield
- Burwood
- Five Dock
- The Bays
- Quickway – Rozelle 33kV
- Delta – Parramatta Demolition

Photos are presented in Appendix D.

2.2.6 Document review

The Independent Audit included investigation and review of Project files, records and documentation that acts as evidence of compliance (or otherwise) with a compliance requirement. The documents sighted are detailed within Appendix A.

2.2.7 Generating audit findings

Independent Audit findings were based on verifiable evidence. The evidence included:

- Relevant records, documents and reports

- Interviews of relevant site personnel
- Photographs
- Figures and plans; and
- Site inspections of relevant locations, activities and processes.

2.2.8 Compliance evaluation

The Auditor determined the compliance status of each compliance requirement in the Audit Table, using the descriptors from Table 2 of the IAPAR, as listed in Table 3, below:

Table 3: Compliance descriptors from Table 2 of the IAPAR

Status	Description
Compliant	The Auditor has collected sufficient verifiable evidence to demonstrate that all elements of the requirement have been complied with within the scope of the audit.
Non-compliant	The Auditor has determined that one or more specific elements of the conditions or requirements have not been complied with within the scope of the audit.
Not Triggered	A requirement has an activation or timing trigger that has not been met at the time when the audit is undertaken, therefore an assessment of compliance is not relevant.

Observations and notes may also be made to provide context, identify opportunities for improvement or highlight positive initiatives.

2.2.9 Evaluation of post audit approval documentation

The Auditor assessed whether post approval documents:

- Have been developed in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any) and their content is adequate.
- Have been implemented in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any).

The adequacy of post approval documents was determined on the basis of whether:

- There are any non-compliances resulting from the implementation of the document; or
- Whether there are any opportunities for improvement.

2.2.10 Completing the audit

The Independent Audit Report was distributed to the Proponent to check factual matters and for input into actions in response to findings (where relevant). The Auditor retained the right to make findings or recommendations based on the facts presented.

3. AUDIT FINDINGS

3.1 Approvals and documents audited, and evidence sighted

The evidence sighted against each requirement are detailed within Appendix A. The primary documents reviewed prior to and after the site visit are as follows:

- Sydney Metro West – Concept and Stage 1 Conditions of Approval-SSI 10038 Mod 3 determined 4 July 2022
- SMW Phasing Report 1.4, Sydney Metro, May 2022
- Sydney Metro West - Concept and Stage 1 (major civil construction between Westmead and The Bays)
- Sydney Metro West Environmental Impact Statement – Westmead to The Bays and Sydney CBD, 15 April 2020 (the EIS)
- Sydney Metro West Westmead to The Bays and Sydney CBD – Submissions Report Concept and Stage 1, (no date) 2020 (the RtS)
- Sydney Metro West Power Enabling Works Construction Environmental Management Plan, Quickway, Revision 1.2, 13 May 2022 (Phase A (Quickway) CEMP)
- Sydney Metro West Central Tunnelling Package Construction Environmental Management Plan, AFJV, Revision 03, 9 November 2021 (Phase B (AFJV) CEMP)
- Sydney Metro West Demolition Works Construction Environmental Management Plan, Delta, Revision 9, 12 April 2021 (Phase C (Delta) CEMP)
- Sydney Metro West Overarching Community Communications Strategy, Revision 3, 28 July 2021

3.2 Non-compliance, Observations and Actions

This section, including Table 4 Findings from this Second Independent Audit (September 2022)

Item	Co A	Requirement	Type	Finding/Recommendation	Applicant Response	Status
10038_I A2_01	A2	Stage 1 of the CSSI must only be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in Condition A1 of this schedule unless otherwise specified in, or required under, this approval.	Observation	<p>Observation:</p> <p>The following issues were noted during the site inspection conducted during this audit:</p> <p>The Bays - Handling of chemicals onsite needs to be improved. There two occasions where chemicals are</p>	Issues were addressed immediately, and photos of rectification were sent to the auditor.	Closed

Item	Co A	Requirement	Type	Finding/Recommendation	Applicant Response	Status
				<p>stored on unbundled area; and Sydney Olympic Park - General housekeeping to be implemented at the utility work area.</p> <p>Recommendation: AFJV to ensure that general housekeeping (including proper storage of chemicals is being implemented at all times.</p>		
10038_I A2_02	A1 1	<p>The Phasing Report must: set out how construction of the whole of Stage 1 of the CSSI will be phased, including details of work and other activities to be carried out in each phase and the general timing of when construction of each phase will commence and finish; specify the relevant conditions that apply to each phase and how compliance with conditions will be achieved across and between each of the phases of Stage 1 of the CSSI; set out mechanisms for managing any cumulative impacts arising from the proposed phasing; and <u>for the purposes of informing Conditions C2, C7 and C18,</u> include an assessment of the predicted level of environmental risk and potential level of community concern posed by the construction activities required to construct each phase of Stage 1 of the CSSI.</p>	Observation	<p>Observation: This condition is not yet included in the Phasing Report V1.4, May 2022.</p> <p>Recommendation: To update Phasing Report with these conditions 18.1 and 18.2.</p>	Sydney Metro to update the Phasing Report.	Open

Item	Co A	Requirement	Type	Finding/Recommendation	Applicant Response	Status
		With respect to (d) above, the risk assessment must use an appropriate process consistent with AS/NZS ISO 31000: 2018; Risk Management - Principles and Guidelines and must be endorsed by the ER. [SSI-10038 Mod-1]				
10038_I A2_03	A2 1	Minor Ancillary Facilities Lunch sheds, office sheds, portable toilet facilities, and the like, can be established and used where they have been assessed in the documents listed in Condition A1 of this schedule or satisfy the following criteria: are located within or adjacent to the Construction Boundary; and have been assessed by the ER to have: minimal amenity impacts to surrounding residences and businesses, after consideration of matters such as compliance with the ICNG, traffic and access impacts, dust and odour impacts, and visual (including light spill) impacts, and minimal environmental impact with respect to waste management and flooding, and no impacts on biodiversity, soil and water, and Heritage items beyond those already approved under other conditions of this approval.	Non-Compliant	Self-Reported Non-Compliant NCR-007-CoA A21-2/6/2022 (Phase B1) - Site team had accessed and undertaken preliminary establishment activities of a property at Five Dock (Phase B1) that had been obtained under a lease agreement, prior to final approval of the Minor Ancillary Facility approval (CoA A21) from the Environmental Representative.	This non-compliant was reported to the Department and have been addressed accordingly and closed.	Closed
10038_I A2_04	A3 1	The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions	Observation	Observation: Evidence provided demonstrates that the Project has been providing the ER with	Sydney Metro to review and address	Open

Item	Co A	Requirement	Type	Finding/Recommendation	Applicant Response	Status
		specified in Condition A30 of this schedule (including preparation of the ER monthly report), as well as: the Complaints Register (to be provided on a weekly basis or as requested); and a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work).		<p>relevant documentation in order for the ER to perform their functions specified in Condition A30. However, the ER noted there is no visibility if the documents have been submitted to the DPE through the Portal.</p> <p>Recommendation: A process improvement on ensuring that the ER will have a visibility on the documents that they have reviewed and endorsed are submitted to the Department within the relevant required timeframe.</p>		
10038_I A2_05	A4 5	The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.	Non-Compliant	<p>Self-Reported Non-Compliant NCR-EVT-0001455 (Phase B1) - dated 16 September 2022 was raised for delays in notification of non-compliances for Phase B1 to DPE lodge on 23 September 2022 describing the delays of notification on the following NCR:</p> <ul style="list-style-type: none"> NCR of 11&12 April 2022 – HVLR in place for Five Dock site exceeding the 	This non-compliant was reported to the Department and have been addressed accordingly and closed.	Closed

Item	Co A	Requirement	Type	Finding/Recommendation	Applicant Response	Status
				<ul style="list-style-type: none"> number of trucks • NCR of 2 June 2022 – Five Dock A21 was submitted to ER but not works commenced without the approval • NCR 12 June 2022 – North Strathfield – Tree clearing was not included in DNVIS • NCR 24 June 2022 NCR against CTMP • This is now closed. 		
10038_I A2_06	B1 1	A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all phases of construction of	Non-Compliant	Sydney Metro website – https://www.sydneymetro.info/documents Non-Compliant: The navigation to each package (contractor) is made easier but not all documents required under B11 are posted	Sydney to review and address.	Open

Item	Co A	Requirement	Type	Finding/Recommendation	Applicant Response	Status
		<p>Stage 1 of the CSSI. Up-to-date information (excluding confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including:</p> <p>information on the current implementation status of Stage 1 of the CSSI;</p> <p>a copy of the documents listed in Condition A1 of this schedule, and any documentation relating to any modifications made to the CSSI or the conditions of this approval;</p> <p>a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its conditions), and copies of any approval granted by the Minister to a modification of the conditions of this approval, or links to the referenced documents where available;</p> <p>a copy of each statutory approval, license or permit required and obtained in relation to Stage 1 of the CSSI, or where the issuing agency maintains a website of approvals, licences or permits, a link to that website;</p> <p>a current copy of each document required under the conditions of this approval, which must be published within one (1) week of its approval or</p>		<p>on the Project website. SM submitted a letter dated 30 August 2022 requesting agreement from the Planning Secretary to exclude documentation that is required to be uploaded to the project's website under condition B11 of SSI-10038. The Department considers in their response letter dated 27/09/2022 that confidential, private and commercial information (including personal, health and culturally sensitive details) should be redacted from reports prior to being published on the project's website. However, this does not preclude these redacted reports from being placed on the project's website, in accordance with condition B11. As of 12 October 2022, the documents i.e., ER and AA Monthly Reports, archaeological method statements, detailed site investigations, Report(s) were not yet posted on the website.</p> <p>Recommendation: Sydney Metro to review the website and make necessary adjustment to ensure that all documents required to be posted are on the website and</p>		

Item	Co A	Requirement	Type	Finding/Recommendation	Applicant Response	Status
		before the commencement of any work to which they relate or before their implementation, as the case may be; and a copy of the audit reports required under this approval. Where the information / document relates to a particular work or is required to be implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation. All information required in this condition is to be provided on the website or webpage, and easy to navigate.		that it is easier to navigate.		
10038_I A2_07	D13	Non-Aboriginal Heritage The Proponent must not destroy, modify or otherwise physically affect any Heritage item not identified in documents referred to in Condition A1 of this schedule. Unexpected heritage finds identified by Stage 1 of the CSSI must be managed in accordance with the Unexpected Finds Protocol outlined in Conditions D31 to D33 of this schedule. Consideration of avoidance and redesign to protect state significant unexpected finds must be addressed where this condition applies. <i>Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020)</i>	Non-Compliant	Self-Reported Non-Compliant On Saturday 25 June 2022, a steel lintel was dislodged from the rear of 41 George Street, Parramatta during demolition (Phase C). The steel lintel has impacted with the rear wall of the adjacent property (43-47 George Street), which is listed as Local heritage significance and caused localised and repairable damage to the rear of the structure.	This non-compliant was reported to the Department and have been addressed accordingly and closed.	Closed
10038_I A2_08	D38	Out-of-hours Work Protocol – Work Not Subject to an EPL	Non-Compliant	Self-Reported Non-Compliant	This non-compliant was reported to the Department and	Closed

Item	Co A	Requirement	Type	Finding/Recommendation	Applicant Response	Status
		<p>An Out-of-Hours Work Protocol must be prepared to identify a process for the consideration, management and approval of work which are outside the hours defined in Conditions D35 and D36 of this schedule. The Protocol must be approved by the Planning Secretary before commencement of the out-of-hours work. The Protocol must be prepared in consultation with the ER, AA and EPA. The Protocol must provide:</p> <p>identification of low and high-risk activities and an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where:</p> <p>the ER and AA review all proposed out-of-hours activities and confirm their risk levels;</p> <p>low risk activities can be approved by the ER in consultation with the AA; and</p> <p>high risk activities that are approved by the Planning Secretary;</p> <p>a process for the consideration of out-of-hours works against the relevant NML and vibration criteria;</p> <p>a process for selecting and implementing mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of Condition D50 of this</p>		<p>NCR-003 (D38 Phase B1) – 1 March 2022 and notified to SM on 9 March 2022-incident and non-compliance (Phase B1), AFJV enviro team held a meeting with the Acoustic Advisor to review this event. Although no complaints were received for the urgent use of the vac truck. It was agreed with the Acoustic Advisor that this event be documented as an NCR as it was not consistent with the OOHW Permit</p>	<p>have been addressed accordingly and closed.</p>	

Item	Co A	Requirement	Type	Finding/Recommendation	Applicant Response	Status
		<p>schedule. The measures must take into account the predicted noise levels and the likely frequency and duration of the out-of-hours works that sensitive land user(s) would be exposed to, including the number of noises awakening events; procedures to facilitate the coordination of out-of-hours work including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided; and notification arrangements for affected receivers for all approved out-of-hours works and notification to the Planning Secretary of approved low risk out-of-hours works.</p> <p>This condition does not apply if the requirements of Condition D37(b) of this schedule are met.</p> <p><i>Note: Out-of-hours work is any work that occurs outside the construction hours identified in Condition D35 and D36 of this schedule.</i></p>				
10038_I A2_09	D43	<p>Detailed Noise and Vibration Impact Statements (DNVIS) must be prepared for any work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in Conditions D39 and D40 of this schedule at any residence outside construction hours identified in Condition D35 of this schedule, or where receivers will be highly noise affected. The DNVIS must include specific mitigation measures identified through consultation with affected</p>	Non-Compliant	<p>Self-Reported Non-compliant</p> <p>NCR-009 (Phase B1) - The tree clearing activity was not included in the Detailed Noise and Vibration Impact Statement (DNVIS) but was assessed (consistent with a DNVIS and as per agreement with the Acoustic Advisor) as part of an OOHW Permit, however this</p>	<p>This non-compliant was reported to the Department and have been addressed accordingly and closed.</p>	Closed

Item	Co A	Requirement	Type	Finding/Recommendation	Applicant Response	Status
		sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the AA and ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy(ies) of the DNVIS.		assessment was not provided to the Acoustic Advisor prior to the works commencing. It is noted that this issue was discussed to determine the occurrence of a non-compliance between the period of the clearing activity and the date of confirmation of the non-compliance with the Acoustic Advisor, Environmental Representative and Sydney Metro. It is further noted that no complaints were received pertaining to noise or vibration impact of this work.		
10038_I A2_10	D4 5	Owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before works that generate vibration commences in the vicinity of those properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers are to be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. These properties must be identified and considered in the Noise and Vibration CEMP Sub-plan.	Non-Compliant	Self-Reported Non-compliant NCR-005 (Phase C) - Elevated vibration readings were observed on the vibration logger attached to 240 Church Street, Parramatta on 18/05/2022, which is directly adjacent to 238 Church Street, Parramatta which was demolished (Phase C). The elevated readings exceeded action criteria outlined in the Noise & Vibration Management Sub Plan (NVMSMP). The alert system was not active at the time of the exceedance and	This non-compliant was reported to the Department and have been addressed accordingly and closed.	Closed

Item	Co A	Requirement	Type	Finding/Recommendation	Applicant Response	Status
				therefore was not being managed in accordance with NVMSP.		
10038_I A2_11	D86	Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 of this schedule must be approved by the Planning Secretary and be included in the CTMPs.	Non-Compliant	<p>Self-Reported Non-compliant</p> <p>NCR004 (Phase B1) - CoA D86 & D87 – using local road for heavy vehicle access on 11&12 April 2022</p> <p>NCR-008 – D86 – 22/06/22 - A temporary driveway has been installed to facilitate worksite entry from Great North Rd. The Driveway is narrow and cannot be widened. As described within the approved CTMP, trucks are to head Northbound on Great North Rd then enter the driveway via a left hand turn. However, due to the driveway being narrow a truck is unable to easily utilise a turn left into the driveway and was instead having to drive past the driveway and reverse in. This had the potential to hold up non-construction traffic also utilising this road, and a reversing manoeuvre is more undesirable from a safety perspective. It was determined that driving Southbound on Great North Road and turning right into the driveway would allow a</p>	This non-compliant was reported to the Department and have been addressed accordingly and closed.	Closed

Item	Co A	Requirement	Type	Finding/Recommendation	Applicant Response	Status
				sufficient swept path area to perform the manoeuvre in a forward direction, thus eliminate the need for reversing and increase the safety to the public. A decision was made from a safety perspective to immediately implement the Southbound right turn once this issue was identified, without the required CTMPs being updated to reflect this adaptation.		
10038_I A2_12	D1 16	Stormwater Before undertaking any works and during maintenance or construction activities, erosion and sediment controls must be implemented and maintained to prevent water pollution consistent with Landcom Managing Urban Stormwater series (The Blue Book).	Observation	<p>Observation</p> <p>There were few observations on erosion and sedimentation control raised during this audit at the following CTP Sites:</p> <p>Burwood</p> <ul style="list-style-type: none"> sweeping of the hardstand and additional check-dams to be put prior to the sump. sediment laden water to be flocked prior to discharging onto the pit. Only 	Issues were addressed immediately, and photos of rectification were sent to the auditor.	Closed

Item	Co A	Requirement	Type	Finding/Recommendation	Applicant Response	Status
				<p>stormwater is being collected in this pit and discharge pit was covered with geofabric .</p> <p>Sydney Olympic Park</p> <ul style="list-style-type: none"> Erosion and Sediment controls to be installed to divert the clean water entering the site or clean water diversion to be implemented at this northern perimeter near Herb Elliot Avenue access <p>Recommendation: AFJV to ensure that erosion and sedimentation controls are always implemented as per the ERSED plan.</p>		

Table 5, presents the non-compliance and observations from the IA2 and Table 5 presents the previous findings that were left open during the IA1. Actions in response to each of the findings are also presented. Detailed findings against each requirement are presented in Appendix A. In summary:

- There were 222 conditions assessed.
- Most of the previous findings were addressed and closed except for B11 which was raised to non-Compliant this audit and D10 was left open and to be further review on the next audit.
- There was a total of eight (8) non-compliances [seven (7) were self-reported against A21, A45, D13, D38, D43, D45, D86, and one (1) non-compliant (B11) raised during this audit).
- Four (4) observations were identified in relation to the conditions A2 general housekeeping, A11 Phasing Report, A31 provision of documents to ER, and D116 erosion and sedimentation controls.

Table 4 Findings from this Second Independent Audit (September 2022)

Item	CoA	Requirement	Type	Finding/Recommendation	Applicant Response	Status
10038_IA2_01	A2	Stage 1 of the CSSI must only be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in Condition A1 of this schedule unless otherwise specified in, or required under, this approval.	Observation	<p>Observation:</p> <p>The following issues were noted during the site inspection conducted during this audit:</p> <p>The Bays - Handling of chemicals onsite needs to be improved. There two occasions where chemicals are stored on unbunded area; and</p> <p>Sydney Olympic Park - General housekeeping to be implemented at the utility work area.</p> <p>Recommendation:</p> <p>AFJV to ensure that general housekeeping (including proper storage of chemicals is being implemented at all times.</p>	Issues were addressed immediately, and photos of rectification were sent to the auditor.	Closed
10038_IA2_02	A11	<p>The Phasing Report must:</p> <p>set out how construction of the whole of Stage 1 of the CSSI will be phased, including details of work and other activities to be carried out in each phase and the general timing of when construction of each phase will commence and finish;</p> <p>specify the relevant conditions that apply to each phase and how compliance with conditions will be achieved across and between each of the phases of Stage 1 of the CSSI;</p> <p>set out mechanisms for managing any cumulative impacts arising from the proposed phasing; and</p> <p><u>for the purposes of informing Conditions C2, C7 and C18, include an assessment of the predicted level of environmental risk and potential level of community concern posed by the construction activities required to construct each phase of Stage 1 of the CSSI.</u></p> <p>With respect to (d) above, the risk assessment must use an appropriate process consistent with AS/NZS ISO 31000: 2018; Risk Management - Principles and Guidelines and must be endorsed by the ER.</p> <p>[SSI-10038 Mod-1]</p>	Observation	<p>Observation:</p> <p>This condition is not yet included in the Phasing Report V1.4, May 2022.</p> <p>Recommendation:</p> <p>To update Phasing Report with these conditions 18.1 and 18.2.</p>	Sydney Metro to update the Phasing Report.	Open
10038_IA2_03	A21	<p>Minor Ancillary Facilities</p> <p>Lunch sheds, office sheds, portable toilet facilities, and the like, can be established and used where they have been assessed in the documents listed in Condition A1 of this schedule or satisfy the following criteria:</p> <p>are located within or adjacent to the Construction Boundary; and</p> <p>have been assessed by the ER to have:</p> <p>minimal amenity impacts to surrounding residences and businesses, after consideration of matters such as compliance with the ICNG, traffic and access impacts, dust and odour impacts, and visual (including light spill) impacts, and</p> <p>minimal environmental impact with respect to waste management and flooding, and</p>	Non-Compliant	<p>Self-Reported Non-Compliant</p> <p>NCR-007-CoA A21- 2/6/2022 (Phase B1) - Site team had accessed and undertaken preliminary establishment activities of a property at Five Dock (Phase B1) that had been obtained under a lease agreement, prior to final approval of the Minor Ancillary Facility approval (CoA A21) from the Environmental Representative.</p>	This non-compliant was reported to the Department and have been addressed accordingly and closed.	Closed

Item	CoA	Requirement	Type	Finding/Recommendation	Applicant Response	Status
		no impacts on biodiversity, soil and water, and Heritage items beyond those already approved under other conditions of this approval.				
10038_IA2_04	A31	The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions specified in Condition A30 of this schedule (including preparation of the ER monthly report), as well as: the Complaints Register (to be provided on a weekly basis or as requested); and a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work).	Observation	Observation: Evidence provided demonstrates that the Project has been providing the ER with relevant documentation in order for the ER to perform their functions specified in Condition A30. However, the ER noted there is no visibility if the documents have been submitted to the DPE through the Portal. Recommendation: A process improvement on ensuring that the ER will have a visibility on the documents that they have reviewed and endorsed are submitted to the Department within the relevant required timeframe.	Sydney Metro to review and address	Open
10038_IA2_05	A45	The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.	Non-Compliant	Self-Reported Non-Compliant NCR-EVT-0001455 (Phase B1) - dated 16 September 2022 was raised for delays in notification of non-compliances for Phase B1 to DPE lodge on 23 September 2022 describing the delays of notification on the following NCR: <ul style="list-style-type: none"> NCR of 11&12 April 2022 – HVLR in place for Five Dock site exceeding the number of trucks NCR of 2 June 2022 – Five Dock A21 was submitted to ER but not works commenced without the approval NCR 12 June 2022 – North Strathfield – Tree clearing was not included in DNVIS NCR 24 June 2022 NCR against CTMP This is now closed. 	This non-compliant was reported to the Department and have been addressed accordingly and closed.	Closed
10038_IA2_06	B11	A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all phases of construction of Stage 1 of the CSSI. Up-to-date information (excluding confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including: information on the current implementation status of Stage 1 of the CSSI;	Non-Compliant	Sydney Metro website – https://www.sydneymetro.info/documents Non-Compliant: The navigation to each package (contractor) is made easier but not all documents required under B11 are posted on the Project website. SM submitted a letter dated 30 August 2022 requesting agreement from the Planning Secretary to exclude documentation that is required to be uploaded to the project's website under condition B11 of SSI-10038. The Department considers in their response letter dated 27/09/2022 that confidential, private and commercial information	Sydney to review and address.	Open

Item	CoA	Requirement	Type	Finding/Recommendation	Applicant Response	Status
		<p>a copy of the documents listed in Condition A1 of this schedule, and any documentation relating to any modifications made to the CSSI or the conditions of this approval;</p> <p>a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its conditions), and copies of any approval granted by the Minister to a modification of the conditions of this approval, or links to the referenced documents where available;</p> <p>a copy of each statutory approval, license or permit required and obtained in relation to Stage 1 of the CSSI, or where the issuing agency maintains a website of approvals, licences or permits, a link to that website;</p> <p>a current copy of each document required under the conditions of this approval, which must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation, as the case may be; and</p> <p>a copy of the audit reports required under this approval. Where the information / document relates to a particular work or is required to be implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation. All information required in this condition is to be provided on the website or webpage, and easy to navigate.</p>		<p>(including personal, health and culturally sensitive details) should be redacted from reports prior to being published on the project's website. However, this does not preclude these redacted reports from being placed on the project's website, in accordance with condition B11. As of 12 October 2022, the documents i.e., ER and AA Monthly Reports, archaeological method statements, detailed site investigations, Report(s) were not yet posted on the website.</p> <p>Recommendation: Sydney Metro to review the website and make necessary adjustment to ensure that all documents required to be posted are on the website and that it is easier to navigate.</p>		
10038_IA2_07	D13	<p>Non-Aboriginal Heritage</p> <p>The Proponent must not destroy, modify or otherwise physically affect any Heritage item not identified in documents referred to in Condition A1 of this schedule. Unexpected heritage finds identified by Stage 1 of the CSSI must be managed in accordance with the Unexpected Finds Protocol outlined in Conditions D31 to D33 of this schedule. Consideration of avoidance and redesign to protect state significant unexpected finds must be addressed where this condition applies.</p> <p><i>Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020)</i></p>	Non-Compliant	<p>Self-Reported Non-Compliant</p> <p>On Saturday 25 June 2022, a steel lintel was dislodged from the rear of 41 George Street, Parramatta during demolition (Phase C). The steel lintel has impacted with the rear wall of the adjacent property (43-47 George Street), which is listed as Local heritage significance and caused localised and repairable damage to the rear of the structure.</p>	This non-compliant was reported to the Department and have been addressed accordingly and closed.	Closed
10038_IA2_08	D38	<p>Out-of-hours Work Protocol – Work Not Subject to an EPL</p> <p>An Out-of-Hours Work Protocol must be prepared to identify a process for the consideration, management and approval of work which are outside the hours defined in Conditions D35 and D36 of this schedule. The Protocol must be approved by the Planning Secretary before commencement of the out-of-hours work. The Protocol must be prepared in consultation with the ER, AA and EPA. The Protocol must provide:</p> <p>identification of low and high-risk activities and an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where:</p> <p>the ER and AA review all proposed out-of-hours activities and confirm their risk levels;</p> <p>low risk activities can be approved by the ER in consultation with the AA; and</p>	Non-Compliant	<p>Self-Reported Non-Compliant</p> <p>NCR-003 (D38 Phase B1) – 1 March 2022 and notified to SM on 9 March 2022-incident and non-compliance (Phase B1), AFJV enviro team held a meeting with the Acoustic Advisor to review this event. Although no complaints were received for the urgent use of the vac truck. It was agreed with the Acoustic Advisor that this event be documented as an NCR as it was not consistent with the OOHW Permit</p>	This non-compliant was reported to the Department and have been addressed accordingly and closed.	Closed

Item	CoA	Requirement	Type	Finding/Recommendation	Applicant Response	Status
		<p>high risk activities that are approved by the Planning Secretary; a process for the consideration of out-of-hours works against the relevant NML and vibration criteria;</p> <p>a process for selecting and implementing mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of Condition D50 of this schedule. The measures must take into account the predicted noise levels and the likely frequency and duration of the out-of-hours works that sensitive land user(s) would be exposed to, including the number of noises awakening events;</p> <p>procedures to facilitate the coordination of out-of-hours work including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided; and</p> <p>notification arrangements for affected receivers for all approved out-of-hours works and notification to the Planning Secretary of approved low risk out-of-hours works.</p> <p>This condition does not apply if the requirements of Condition D37(b) of this schedule are met.</p> <p><i>Note: Out-of-hours work is any work that occurs outside the construction hours identified in Condition D35 and D36 of this schedule.</i></p>				
10038_IA2_09	D43	<p>Detailed Noise and Vibration Impact Statements (DNVIS) must be prepared for any work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in Conditions D39 and D40 of this schedule at any residence outside construction hours identified in Condition D35 of this schedule, or where receivers will be highly noise affected. The DNVIS must include specific mitigation measures identified through consultation with affected sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the AA and ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy(ies) of the DNVIS.</p>	Non-Compliant	<p>Self-Reported Non-compliant</p> <p>NCR-009 (Phase B1) - The tree clearing activity was not included in the Detailed Noise and Vibration Impact Statement (DNVIS) but was assessed (consistent with a DNVIS and as per agreement with the Acoustic Advisor) as part of an OOHW Permit, however this assessment was not provided to the Acoustic Advisor prior to the works commencing.</p> <p>It is noted that this issue was discussed to determine the occurrence of a non-compliance between the period of the clearing activity and the date of confirmation of the non-compliance with the Acoustic Advisor, Environmental Representative and Sydney Metro.</p> <p>It is further noted that no complaints were received pertaining to noise or vibration impact of this work.</p>	<p>This non-compliant was reported to the Department and have been addressed accordingly and closed.</p>	Closed
10038_IA2_10	D45	<p>Owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before works that generate vibration commences in the vicinity of those properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers are to be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. These properties must be identified and considered in the Noise and Vibration CEMP Sub-plan.</p>	Non-Compliant	<p>Self-Reported Non-compliant</p> <p>NCR-005 (Phase C) - Elevated vibration readings were observed on the vibration logger attached to 240 Church Street, Parramatta on 18/05/2022, which is directly adjacent to 238 Church Street, Parramatta which was demolished (Phase C). The elevated readings exceeded action criteria outlined in the Noise & Vibration Management Sub Plan (NVMSPP). The alert system was not active at the time of the exceedance</p>	<p>This non-compliant was reported to the Department and have been addressed accordingly and closed.</p>	Closed

Item	CoA	Requirement	Type	Finding/Recommendation	Applicant Response	Status
				and therefore was not being managed in accordance with NVMSP.		
10038_IA2_11	D86	Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 of this schedule must be approved by the Planning Secretary and be included in the CTMPs.	Non-Compliant	<p>Self-Reported Non-compliant</p> <p>NCR004 (Phase B1) - CoA D86 & D87 – using local road for heavy vehicle access on 11&12 April 2022</p> <p>NCR-008 – D86 – 22/06/22 - A temporary driveway has been installed to facilitate worksite entry from Great North Rd.</p> <p>The Driveway is narrow and cannot be widened. As described within the approved CTMP, trucks are to head Northbound on Great North Rd then enter the driveway via a left hand turn. However, due to the driveway being narrow a truck is unable to easily utilise a turn left into the driveway and was instead having to drive past the driveway and reverse in. This had the potential to hold up non-construction traffic also utilising this road, and a reversing manoeuvre is more undesirable from a safety perspective.</p> <p>It was determined that driving Southbound on Great North Road and turning right into the driveway would allow a sufficient swept path area to perform the manoeuvre in a forward direction, thus eliminate the need for reversing and increase the safety to the public.</p> <p>A decision was made from a safety perspective to immediately implement the Southbound right turn once this issue was identified, without the required CTMPs being updated to reflect this adaptation.</p>	This non-compliant was reported to the Department and have been addressed accordingly and closed.	Closed
10038_IA2_12	D116	Stormwater Before undertaking any works and during maintenance or construction activities, erosion and sediment controls must be implemented and maintained to prevent water pollution consistent with Landcom Managing Urban Stormwater series (The Blue Book).	Observation	<p>Observation</p> <p>There were few observations on erosion and sedimentation control raised during this audit at the following CTP Sites:</p> <p>Burwood</p> <ul style="list-style-type: none"> sweeping of the hardstand and additional check-dams to be put prior to the sump. sediment laden water to be flocced prior to discharging onto the pit. Only stormwater is being collected in this pit and discharge pit was covered with geofabric. <p>Sydney Olympic Park</p>	Issues were addressed immediately, and photos of rectification were sent to the auditor.	Closed

Item	CoA	Requirement	Type	Finding/Recommendation	Applicant Response	Status
				<ul style="list-style-type: none"> Erosion and Sediment controls to be installed to divert the clean water entering the site or clean water diversion to be implemented at this northern perimeter near Herb Elliot Avenue access <p>Recommendation: AFJV to ensure that erosion and sedimentation controls are always implemented as per the ERSED plan.</p>		

Table 5: Findings from the first Independent Audit (March 2022)

Item	Ref.	Type	Details of item	Recommended or completed actions	Actions Completed	Status
Findings from the first Independent Audit						
SSI 10038 Conditions of Approval						
10038_IA1_01	A36	Observation	Requirement: <i>The approved AA must:</i> <ol style="list-style-type: none"> <i>receive and respond to communication from the Planning Secretary in relation to the performance of Stage 1 of the CSSI in relation to noise and vibration;</i> <i>consider and inform the Planning Secretary on matters specified in the conditions of this approval relating to noise and vibration;</i> <i>consider and recommend, to the Proponent, improvements that may be made to avoid or minimise adverse noise and vibration impacts;</i> <i>review all proposed night-time works (with the exception of low-risk activities) to determine if sleep disturbance would occur and recommend measures to avoid sleep disturbance or appropriate additional alternative mitigation measures;</i> <i>review all noise and vibration documents required to be prepared under the conditions of this approval and, should they be consistent with the conditions of this approval, endorse them before submission to the Planning Secretary (if required to be submitted to the Planning Secretary) or before implementation (if not required to be submitted to the Planning Secretary);</i> <i>regularly monitor the implementation of all noise and vibration documents required to be prepared under the conditions of this approval to ensure implementation is in</i> 	The auditor recommends consideration be given to the preparation of stand-alone reports following the AA's site visits / monitoring and monitoring the implementation of noise and vibration documents, or alternatively, inclusion of greater detail on these activities in the monthly AA reports prepared for the Project.	Standalone AA reports were developed.	Closed

Item	Ref.	Type	Details of item	Recommended or completed actions	Actions Completed	Status
			<p>accordance with what is stated in the document and the conditions of this approval;</p> <p>g) review the Proponent's notification of incidents in accordance with Condition A43 of this schedule;</p> <p>h) in conjunction with the ER (where required), the AA must:</p> <ol style="list-style-type: none"> I. as may be requested by the Planning Secretary or Community Complaints Mediator (required by Condition B8 of this schedule), help plan, attend or undertake audits of noise and vibration management of Stage 1 of the CSSI including briefings, and site visits, II. in the event that conflict arises between the Proponent and the community in relation to the noise and vibration performance of Stage 1 of the CSSI, follow the procedure in the Overarching Community Communication Strategy referenced in Condition B1 of this schedule to attempt to resolve the conflict, and if it cannot be resolved, notify the Planning Secretary, III. if requested by the ER, consider relevant minor amendments made to the Site Establishment Management Plan, CEMP, relevant sub-plans and noise and vibration monitoring programs that require updating or are of an administrative nature, and are consistent with the conditions of this approval and the management plans and monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, endorse the amendment, (this does not include any modifications to the conditions of this approval), IV. if requested by the ER, review the noise impacts of minor ancillary facilities, and V. prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, a Monthly Noise and Vibration Report detailing the AA's actions and decisions on matters for which the AA was responsible in the preceding month. The Monthly Noise and Vibration Report must be submitted within seven (7) days 			

Item	Ref.	Type	Details of item	Recommended or completed actions	Actions Completed	Status
			<p><i>following the end of each month for the duration of the AA's engagement for Stage 1 of the CSSI, or as otherwise agreed by the Planning Secretary.</i></p> <p>Observation: The auditor observed that there is limited detailed reporting on the AA's activities on the Project, particularly around activities such as site visits / monitoring and monitoring the implementation of noise and vibration documents. The auditor recommends consideration be given to the preparation of stand-alone reports following the AA's inspections or data monitoring reviews, or alternatively inclusion of greater detail on these activities in the monthly AA reports prepared for the Project, to better demonstrate compliance with clauses f) and h).</p>			
11038_IA1_05	B11	Observation	<p>Requirement: <i>A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all phases of construction of Stage 1 of the CSSI. Up-to date information (excluding confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including:</i></p> <ul style="list-style-type: none"> <i>a) information on the current implementation status of Stage 1 of the CSSI;</i> <i>b) a copy of the documents listed in Condition A1 of this schedule, and any documentation relating to any modifications made to the CSSI or the conditions of this approval;</i> <i>c) a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its conditions), and copies of any approval granted by the Minister to a modification of the conditions of this approval, or links to the referenced documents where available;</i> <i>d) a copy of each statutory approval, licence or permit required and obtained in relation to Stage 1 of the CSSI, or where the issuing agency maintains a website of approvals, licences or permits, a link to that website;</i> <i>e) a current copy of each document required under the conditions of this approval, which must be published within one (1) week of its approval or before the commencement of any work to which they</i> 	<p>The auditor recommends that consideration be given to providing a clear link on the Sydney Metro Project website's landing page to the Project's page on the DPE Major Projects website, to ensure easier access by the community to the Instrument of Approval.</p>	<p>This is now raised as non-Compliant. Refer to above Table 4</p>	Closed

Item	Ref.	Type	Details of item	Recommended or completed actions	Actions Completed	Status
			<p><i>relate or before their implementation, as the case may be; and</i></p> <p>f) <i>a copy of the audit reports required under this approval. Where the information / document relates to a particular work or is required to be implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation. All information required in this condition is to be provided on the website or webpage, and easy to navigate.</i></p> <p>Observation: The auditor found that the Project website is not easy to navigate and that the process of finding the Instrument of Approval is not particularly straightforward.</p>			

Item	Ref.	Type	Details of item	Recommended or completed actions	Actions Completed	Status
11038_IA1_06	D10	Non-compliance	<p>Requirement: <i>Stage 1 of the CSSI must be designed and constructed to not worsen flooding characteristics within and in the vicinity of the CSSI. Not worsen existing flooding characteristics means the following:</i></p> <ul style="list-style-type: none"> a) <i>a maximum increase in inundation time of one hour in a one (1) per cent Annual Exceedance Probability (AEP) flood event;</i> b) <i>a maximum increase of 10 mm in inundation at properties where floor levels are currently exceeded in a one (1) per cent AEP flood event;</i> c) <i>a maximum increase of 50 mm in inundation of land at properties where floor levels would not be exceeded in a one (1) per cent AEP flood event; and</i> d) <i>no inundation of floor levels which are currently not inundated in a one (1) per cent AEP flood event.</i> <p><i>Measures identified in the documents listed in Condition A1 of this schedule to not worsen flooding characteristics or measures that achieve the same outcome must be incorporated into the detailed design of Stage 1 of the CSSI. The incorporation of these measures must be reviewed and endorsed by a suitably qualified and experienced person in consultation with directly affected landowners, DPIE Water, DPI Fisheries, DPIE EES, NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s).</i></p> <p>Non-compliance: Details provided for flood modelling at The Bays (Phase B (AFJV) works) indicates that the design currently does not comply with D10(b) at seven locations outside the boundary of the construction site due to increased duration of inundation of more than one hour in the 1% AEP event. The auditor is not aware of this being formally reported as a non-compliance.</p>	<p>In response to this finding, Sydney Metro advised that a draft memo summarising post-construction flood levels at The Bays was provided to the auditor. However, the auditor notes that this document is what indicates that there is a non-compliance with D10.</p> <p>The auditor recommends that further investigation be undertaken to determine compliance with D10. If a non-compliance is confirmed, this is to be reported in line with A45 and A46.</p>	<p>Modification to the condition was granted – Refer to Mod-3 of SSI 10038.</p> <p>Where flooding characteristics exceed the levels identified in (a), (b), (c), (d) above, the Proponent must undertake the following:</p> <ul style="list-style-type: none"> (a) consult with property owners for properties adversely flood affected as a result of Stage 1 of the CSSI and mitigate where necessary; and (b) consult with the NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) regarding the management of any residual flood risk beyond the 1 per cent AEP flood event and up to the probable maximum flood. <p>Consultation is ongoing and will be reviewed on the next audit.</p>	OPEN
11038_IA1_11	D47	Non-compliance	<p>Requirement: <i>The Proponent must seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement and noise monitoring at Heritage items.</i></p> <p>Non-compliance: No evidence has been provided regarding the involvement of a heritage specialist in the installation of monitoring equipment for the RTA façade at the Clyde worksite (Phase C (Delta) works).</p>	<p>Sydney Metro advised that heritage specialist advice was sought for the installation of vibration loggers within the heritage buildings at Parramatta (62-64 Macquarie St, (Kia Ora Building) and 43-47 George St, Parramatta), and that this advice confirmed that the use of existing holes to attach the vibration logger would be unlikely to impact the heritage item. Sydney Metro advised that there are no additional loggers to be installed for heritage items for the Phase C1 works.</p> <p>The auditor notes that the correspondence provided was specifically for heritage items at Parramatta and not for the RTA Façade at Clyde. This advice was also received in April 2022, after the works at Clyde were completed. The auditor recommends that the Project seeks confirmation from a heritage specialist that no</p>	<p>The Proponent seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement and noise monitoring at Heritage items.</p> <p>Letter from Sue Rosen Associates to Delta – Heritage Impact of Accelerometer Deployments at 62-64 Macquarie St, (Kia Ora Building) and 43-47 George St, Parramatta, dated 11/04/2022</p>	Closed

Item	Ref.	Type	Details of item	Recommended or completed actions	Actions Completed	Status
				damage/impact has occurred to the RTA façade as a result of installation of monitoring equipment.		
11038_IA1_12	D85	Non-compliance	<p>Requirement: <i>Construction Traffic Management Plans (CTMPs) must be prepared in accordance with the Construction Traffic Management Framework. A copy of the CTMPs must be submitted to the Planning Secretary for information before the commencement of any construction in the area identified and managed within the relevant CTMP.</i></p> <p>Non-compliance: AFJV has not provided site specific CTMPs and advised that they do not intend to submit these site-specific CTMPs to the Planning Secretary for information. This is contrary to a commitment made in section 2 of the approved OCTMP.</p>	The site-specific CTMPs for the Phase B (AFJV) works should be submitted to the Planning Secretary for information, in line with the approved CTP OCTMP and procedure adopted on the other Phases of the Project	Letter from DPE to Sydney Metro re: receipt of CTP OCTMP, dated 03/02/2022	Closed

3.3 Adequacy of Environmental Management Plans, sub-plans and post approval documents

The Auditor considers the documents to be adequate for the works being undertaken, noting the following observations in Section 3.2.

The Construction Environmental Management Plans (CEMP) and sub-plans for each package of work were developed and approved by the Environmental Representatives and submitted to the Department for information. No work has commenced without the CEMP approvals. The CEMP and subplans are adequate for each package of works. Internal audits reviewing the environmental performance through the review of the implementation of CEMP and subplans were conducted by each contractor.

3.4 Summary of notices from agencies

To the Auditor’s knowledge no formal notices were issued by the Department associated with SSI 10038 during the audit period.

3.5 Other matters considered relevant by the Auditor or DPE

Other than the matters identified in Section 3.2, there were no other matters considered relevant by the Auditor. During consultation, the Department did not request any additional issues for inclusion within the scope of the audit that were not already captured by Section 3.3 of the IAPAR.

3.6 Consultation and other matters considered relevant by the DPE or auditor

WolfPeak consulted with the Department on 14 July 2022 to obtain their input into the scope of the Independent Audit in accordance with Section 3.2 of the IAPAR. The Department responded on 19 July 2022. The consultation records are presented in Appendix C. A summary of the key issues and areas of focus raised by the stakeholders is presented in Table 6.

Table 6 Key issues and areas of focus raised during consultation

Stakeholder	Issue and Focus	Auditor Response
DPE	Notwithstanding that SSI-10038 Condition A39 provides that independent audits must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (2020; IAPAR), Condition A39.1 provides that the proponent may prepare an audit program for approval by the Planning Secretary.	This audit was conducted as per the IAPAR 2020 with focus on the following high-risk activities for: <ul style="list-style-type: none"> Phase A – Enabling Works Phase B1 – Central Tunnelling Phase – early works

Stakeholder	Issue and Focus	Auditor Response
	<p>While the Planning Secretary is yet to approve an audit program, Sydney Metro has proposed that independent audits particularly consider those risks identified in the environmental impact statement (chapter 28) as having an initial (unmitigated) rating of very high or high, noting the phased construction set out in the phasing report (current version 1.4, May 2022). Therefore, for the purposes of Condition A39 and the audit scope (IAPAR section 3.3):</p> <ul style="list-style-type: none"> • the assessment of compliance (s3.3 #1), review of environmental performance (s3.3 #2) and assessment of management plans (s3.3 #4) must cover: <ul style="list-style-type: none"> ○ all incidents, non-compliances and complaints that occurred or were made during the audit period ○ very high or high risks relevant to phase A, phase B1 and phase C works during the audit period (should you wish to clarify this, please don't hesitate to get in touch) 	<ul style="list-style-type: none"> • Phase C1 – Demolition works Parramatta Clyde and Westmead <p>Refer to Table 4 for findings, non-compliances and auditee response to findings.</p> <p>Refer to 3.7 for complaints</p> <p>Refer to 3.8 for incidents</p> <p>Refer to Appendix A for all the details of evidence reviewed.</p>
	<p>the status of implementation of previous independent audit findings, recommendations and actions must be included (s3.3 #3)</p>	<p>Refer to Table 5</p>
	<p>the department has not identified other relevant matters for this audit (s3.3 #5) but did provide feedback on the first audit / report to be addressed in this audit / report.</p>	<p>Noted.</p>

During consultation, the Department did not request any additional issues for inclusion within the scope of the audit that were not already captured by Section 3.3 of the IAPAR.

3.7 Complaints

A complaints register is being maintained for the Project using the software, Consultation Manager. Sydney Metro had investigated and considered each complaint and were addressed accordingly and some of these complaints were not relevant to the Project.

Sydney Metro communications team that are responsible for resolving each complaint are proactively engaging with the community through phone calls, door knocking, website updates and addressing each concern. Responses to all complaints were adequate and were conducted within two hours and/or within the allowable timeframe.

A consolidated Complaint Register was provided to the Department on weekly and monthly basis.

Phase A&C - From March to September 2022 – a total of 152 complaints for SM West based on output reports from Consultation Manager provided by Sydney Metro. The complaints related predominantly to noise, out of hours works, property (acquisition, damage, and access), traffic, consultation, and worker behaviour. Positive complements were given to Quickway from the communities on the outcome of noise and community engagement by helping community on putting noise blankets when needed.

Phase B1- complaints for CTP – AFJV from the last 6 months. AFJV (CTP- Phase B1) Complaint Register March to September 2022 recorded a total of 49 complaints. Majority are noise from the out of hours works at Five Dock and few from Burwood and Strathfield.

3.8 Incidents

The Project has not identified any incidents as defined by the consent that needs to be reported to the Department as required under A41-A42.

3.9 Actual versus predicted impacts

Overall, there were no significant changes or additional impacts noted on the actual construction works and predicted impacts as stated in the Environmental Impact Statement (EIS). The changes to project scope or methodology that are inconsistent with the approval were assessed and approved by Sydney Metro under Consistency Assessment and/or Environmental Review process prior to commencement of each work and in accordance with the CEMP and subplans with the review and endorsement of the ER and AA as relevant.

3.10 Key strengths and environmental performance

The overall outcome of this audit indicated that compliance was proactively tracked by the key project personnel.

The following strengths were demonstrated by Sydney Metro, Quickway, Delta and AFJV in managing compliance against the SSD conditions:

- The compliance records were well organized and available at the time of the site inspection and interview with key Project personnel.
- Environmental and sustainability initiatives were demonstrated on saving the trees and preservation of the heritage buildings.
- Relevant environmental and compliance monitoring i.e., internal audit and regular site inspections records were presented to provide verification of compliance to statutory requirements and the broader Project environmental requirements.
- Self-reporting of non-compliances within timeframe and addressing these accordingly.
- Active participation with the community through website update, pop-up shop, letter drop, door knocking, direct calls, and responding and addressing community complaints within the reasonable timeframe.
- The following mitigation measures were being implemented and noted during the site inspection:
 - Site notice was installed at the site entry
 - Hoarding and boundary screening were installed around the site perimeter
 - Tree protection measures and concrete barriers were installed around environmental no go zones.
 - Street sweeper, fog cannons and water were in operation to manage dust and material tracking.
 - Erosion and sediment controls pre-start board were in place at each AFJV compound.
 - Heritage protection zone in place.
 - Spill kits were in place and stocked.
 - Water carts were continuously deployed at each site.
 - Noise and vibration monitoring conducted during out of hours works and high noise.
 - Stickers with SSI label were posted on each spoil haulage trucks.
 - Management of stockpiles and contaminated materials were validated by consultants which are always present at The Bays.
 - Noise blankets were used as required.

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With respect to conditions relating to compliance with the design, Building Codes of Australia (BCA) or satisfaction of the Independent Verifier / Certifier / Certifying Authority, the Independent Audits relied on confirmation from the Independent Verifier / Certifier / Certifying Authority that this is the case. The Independent Audits do not extend to an assessment of the works against the design or BCA requirements themselves, nor did they examine the steps the Independent Verifier / Certifier / Certifying Authority has taken to verify that the design is compliant.

The assessment of actual impacts and those predicted in the Environmental Impact Assessment(s) was a high-level assessment qualitative assessment only. The Environmental Impact Assessment(s) include a voluminous number of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project (including mitigation measures). Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the requirements specified in the conditions of approval, to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Independent Audit.

Audits of all post approval documents prepared to satisfy the conditions, including an assessment of the implementation of Environmental Management Plans and Sub-plans, adopts a Judgement Based Sampling approach. Judgement Based Sampling is the process of selecting a sample of commitments and evidence from within the total available data set (population) to obtain and evaluate evidence about some characteristic of that population, in order to form a conclusion concerning the population.

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APPENDIX A – SSI 10038 CONDITIONS OF APPROVAL

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
SCHEDULE 2: CONDITIONS OF APPROVAL FOR CONCEPT PROPOSAL						
PART C-A: ADMINISTRATIVE CONDITIONS						
General						
C-A1	Approval is granted to the 'Concept' as described in Schedule 1 and in Chapter 6 and in Chapter 7 of the Sydney Metro West – Westmead to The Bays and Sydney CBD Environmental Impact Statement dated 15 April 2020, as amended by the following: Sydney Metro West – Westmead to The Bays and Sydney CBD Amendment Report dated 20 November 2020; and Sydney Metro West – Westmead to The Bays and Sydney CBD Submissions Report dated 20 November 2020.	No specific action for Phase A (Quickway) works.	No specific action for Phase B1 (AFJV) works.	No specific action for Phase C1 (Delta) works.	Refer to conditions below Stage 1 implementation commenced.	Compliant
C-A2	The Proponent must carry out the CSSI Concept in accordance with the conditions of this approval and the documents listed in Condition C-A1 of this schedule unless otherwise specified in, or required under, the conditions of this approval.	No specific action for Phase A (Quickway) works.	No specific action for Phase B1 (AFJV) works.	No specific action for Phase C1 (Delta) works.	Refer to conditions below Stage 1 implementation commenced.	Compliant
C-A3	In the event of an inconsistency between: the conditions of this approval and any document listed in Condition C-A1 of this schedule inclusive, the conditions of this approval will prevail to the extent of the inconsistency; and any document listed in Condition C-A1 of this schedule, the most recent document will prevail to the extent of the inconsistency. <i>Note: For the purpose of this condition, there will be an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.</i>	No specific action for Phase A (Quickway) works.	No specific action for Phase B1 (AFJV) works.	No specific action for Phase C1 (Delta) works.	Refer to conditions below Stage 1 implementation commenced.	Compliant
C-A4	Except to the extent described in any document listed in Condition C-A1 of this schedule, any over station development, including any future uses, does not form part of this CSSI and will be subject to the relevant assessment pathway prescribed by the EP&A Act.	No specific action for Phase A (Quickway) works.	No specific action for Phase B1 (AFJV) works.	No specific action for Phase C1 (Delta) works.	Refer to conditions below Stage 1 implementation commenced.	Compliant
PART C-B: KEY ISSUE CONDITIONS						
Place and Design						
C-B1	Place and Design To ensure that a high-quality urban design response is achieved, the CSSI must have regard to, and be generally consistent with, the place and design principles for each location outlined in the documents listed in Condition C-A1 of this schedule, unless expressly specified in the conditions of this approval.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Not applicable.	Not triggered
C-B2	Clyde Stabling and Maintenance Facility Site	Condition not applicable to Phase A works as per	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per	Not applicable.	Not triggered

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>For the relevant future stage application, the following must be considered at the Clyde Maintenance and Stabling Facility site:</p> <p>publicly accessible active transport corridors immediately around the site adjoining James Ruse Drive that connects to existing and future links and open spaces.</p> <p>public spaces for recreational use on residual land to offset the loss of the private recreational land, or any alternate and commensurate opportunity that achieves the objective and provides value for money, developed in consultation with City of Parramatta Council.</p> <p>renaturalisation of parts of Duck Creek and A'Becketts Creek and rehabilitation of the riparian corridor; and</p> <p>integration with strategic planning for the precinct.</p>	SMW Phasing Report 1.4, Sydney Metro, May 2022.	Phasing Report 1.4, Sydney Metro, May 2022.	SMW Phasing Report 1.4, Sydney Metro, May 2022.		
C-B3	<p>Parramatta Metro Station Site</p> <p>The delivery of the section of the future Parramatta Civic Link located on the Parramatta metro station construction site must be facilitated to enable completion before operation of the CSSI.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Not applicable.	Not triggered
Aboriginal and Non-Aboriginal Heritage						
C-B4	The relevant future stage application relating to the design of stations must include a Heritage Interpretation Strategy, prepared in consultation with Heritage NSW, which outlines how key Aboriginal and non-Aboriginal heritage values and stories of Heritage items will be interpreted in the project design, including station and precinct urban design. The Heritage Interpretation Strategy must include procedures for how to include results of archaeological findings (historical and Aboriginal archaeological results) when they become available.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Not applicable.	Not triggered
C-B5	The Heritage Interpretation Strategy must be prepared in accordance with the NSW Heritage Manual, the NSW Heritage Office's Interpreting Heritage Places, and Items: Guidelines (August 2005), and the NSW Heritage Council's Heritage Interpretation Policy.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Not applicable.	Not triggered
C-B6	<p>The Heritage Interpretation Strategy must include, but not be limited to:</p> <p>a discussion of key interpretive themes, stories and messages proposed to interpret the history and significance of archaeological excavation, the affected Heritage items, and sections of heritage conservation areas (if applicable).</p> <p>options for the re-purposing of archaeological finds (results and artefacts), heritage features or listed items salvaged or protected during construction stages of the CSSI, and how they will be integrated into the final project design.</p> <p>Aboriginal cultural and heritage values of the project area including the results of any archaeological investigations undertaken (or any interim results of any archaeological investigations that have commenced but have yet to be completed) and key socio-cultural values identified in the Aboriginal Cultural Heritage Assessment Report referred to in</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Not applicable.	Not triggered

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>Condition C-A1 of this schedule, and those of any future stages of the CSSI.</p> <p>details of the audience, potential devices to be employed in interpretation, possible locations for interpretation and how this will be incorporated into design.</p> <p>engagement with the Relevant Council(s) and regard for any relevant council heritage interpretation guidelines; and</p> <p>with respect to the Parramatta construction site and (a) above, any discussion must include how the heritage interpretation of the CSSI relates to the heritage interpretations of other projects in Parramatta, including State Significant Development projects and other SSI projects.</p>					
Sustainability						
C-B7	<p>The CSSI must achieve a minimum Infrastructure Sustainability Council of Australia (ISCA) Infrastructure Sustainability rating of 75 (Version 1.2) (or equivalent level of performance using a demonstrated equivalent rating tool) or a 5-Star Green Star rating (or equivalent level of performance using a demonstrated equivalent rating tool).</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.</p> <p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 28 September 2022</p> <p>IS Rating Agreement Design & As Built v1.2: SMW CTP, ISC, 20/12/2021</p> <p>SMW CTP Sustainability Management Plan, Rev 01, 03/12/2021</p> <p>Interview with auditees, 28/09/2022</p> <p>AFJV has entered into a rating agreement with ISC. The Project's IS Rating strategy is detailed in section 3.2 of the Sustainability Management Plan, targeting a score of 75.</p> <p>ISCA workshop was conducted on 26 July 2022. Attended by AFJV, SM and ISCA IS project team.</p> <p>Sustainability Design Reports will be submitted within this week and looking at meeting the targets.</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p> <p>Sustainability Management Sub Plan, 25/08/2021. This SMSP addresses sustainability aspects and measures associated with the Project.</p>	<p>Interview with Sydney Metro and ER on 10 & 12 October 2022</p> <p>As discussed in Section 2.1 of Sydney Metro West Sustainability Plan October 2022, demonstrate leadership, the project will implement the Infrastructure Sustainability Council (ISC) Infrastructure Sustainability (IS) Rating Scheme. The project will be improving upon previous Sydney Metro minimum requirements with a target of 75 points ('Leading') in design and as-built version 1.2 or the Green Star Building rating.</p>	Compliant
Biodiversity and Trees						
C-B8	<p>As many mature trees as practicable must be retained. In addition, within ten (10) years of the date of this approval or no later than the commencement of operation of the CSSI (whichever is earlier) there must be a net increase in the number of mature trees provided at a ratio of 2:1. A net</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 28 September 2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p>	<p>Interview with Sydney Metro and ER on 10 & 12 October 2022</p> <p>Compliant, as per evidence referenced.</p> <p>Tree Register was consolidated by Sydney Metro and was up to date to</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	increase in the number of mature trees does not apply to trees that are subject to a biodiversity offset	<p>Tree Register (spreadsheet), Sydney Metro</p> <p>Only two trees out of 58 was required to be removed, rest involved minor trimming.</p> <p>Tree Pre-Clearance Report Various Street Rozelle NSW 2039 by Lodge Environmental dated 6 July 2021</p> <p>Arborist Report by Canopy Consulting Mansfield ST Rozelle October 25, 2021, Tree Count 4.</p> <p>Tree Removal Permit – dated 20/09/2021 was approved by Environmental Manager and signed by site supervisor. Tree ID 46 – Callistemon Vimenallis.</p> <p>Minimal impact to vegetation has occurred during the Project. Road closures have been implemented in order to minimise trimming and/or removal of vegetation. Minimal vegetation has been impacted, only necessary trimming for plant and equipment movements has occurred.</p>	<p>Tree Register (spreadsheet), Sydney Metro</p> <p>Tree Register has been established for the project to document tree removal across the project sites. Sydney Metro advised that vegetation planting is outside of the Phase B1 (AFJV) works scope.</p> <p>Tree Register was also established by AFJV – SMWSTCTP-AFJ-1NL-EN-REG-000015 up to date. There were 441 trees have been removed to date.</p> <p>Records of Tree Clearing Permits were presented an example SMWSTCTP-AFJ-1NL-EO-FRM-000016 date 12/4/2022 to 13/4/2022 signed off by Ecologist and AFJV Environmental Manager</p> <p>Email from Ecologist – Narla Environmental Pty Ltd date 21/04/2022 – Five Dock Inspection Summary (12/04/2022)</p> <p>No incident reported on tree clearing.</p>	<p>Tree Register (spreadsheet), Sydney Metro</p> <p>Pre-clearance reports prepared for each site to quantify mature trees removed. Post-clearance reports will be prepared prior to completing the works to provide Sydney Metro with a final quantification of mature trees removed from each site.</p> <p>Inspection Test Plan / Checklist: Demolition and Clearance Works (Flora and Fauna Management Pre-clearing/demolition Inspection), Sydney Metro, 11/10/2021</p> <p>Inspection Test Plan / Checklist: Demolition and Clearance Works (Flora and Fauna Management Pre-clearing/demolition Inspection), Sydney Metro, 30/09/2021</p> <p>Tree Assessment – Horwood PI Paramatta, Accurate Tree Assessment, 25/11/2021</p> <p>Tree Assessment – Alexandra Ave, Westmead, Accurate Tree Assessment, 25/11/2021</p> <p>LE1343 Ecological Inspection Summary Letter, Lodge Environmental, 04/11/2021</p> <p>LE1343 Clyde Ecological Inspection Summary Letter, 10/11/2021.</p> <p>LE1343 Clyde Ecological Post Clearance Inspection Summary Letter, 5 May 2022</p> <p>No trees were removed throughout Portion 2 (Clyde Enabling Works 1); Area 'C1', Area 'C2' and Area 'C3' to facilitate</p>	August 2022 latest tree registered up to Tree 441.	

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
				demolition letter dated 6 May 2022. No trees were removed throughout Portion 4 (Clyde Enabling Works 1); Area 'C6', Area 'C7', Area 'C9' and Area 'C10' to facilitate demolition, letter 17 May 2022 LE1343 Westmead Ecological Post Clearance Inspection Summary Letter 18 May 202		
C-B9	The CSSI must result in an increase in tree canopy coverage.	Site inspection 23 September 2022 Interview on 27 September 2022 Tree Register (spreadsheet), Sydney Metro As above. Minor trimming (apart from two trees removed).	Site inspection 23 September 2022 Interview on 28 September 2022 Tree Register (spreadsheet), Sydney Metro Sydney Metro advised that vegetation planting is outside of the Phase B1 (AFJV) works scope. A Tree Register has been established for the project to document tree removal across the project sites.	Site inspection 23 September 2022 Interview on 27 September 2022 Tree Register (spreadsheet), Sydney Metro To be evaluated by Sydney Metro once all data from vegetation clearance has been obtained.	Interview with Sydney Metro and ER on 10 & 12 October 2022 Compliant, as per evidence referenced above.	Compliant
C-B10	Parts of Duck Creek and A'Becketts Creek that remain open channels at the Clyde Stabling and Maintenance Facility site must be rehabilitated and / or renaturalised before operation of the CSSI commences. <i>In areas that are within the tidal limits of Duck Creek and A'Becketts Creek, only species that are representative of PCT 920 are to be used in the revegetation. Elsewhere, revegetation must use species that are representative of the most appropriate plant community type in each location, depending on levels of inundation, salinity levels, and elevation as determined by an ecologist.</i> <i>Note: The most appropriate PCT may include the following: 1234, 1136, 781, 1808, 849, and 1800.</i>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Not applicable during this audit period.	Not triggered
Climate Change						
C-B11	The CSSI must be designed to withstand known impacts associated with climate change to year 2100.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Sydney Metro West – Central Tunnelling Package Climate Change Impact Assessment Report, Malo Sustainability Consulting (for AFJV), Rev 02, 06/10/021	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	A climate change risk assessment has been carried out for the Phase B1 (AFJV) works, including the identification of adaptation measures for incorporation into the design.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
SCHEDULE 3: CONDITIONS OF APPROVAL FOR STAGE 1						
PART A: ADMINISTRATIVE CONDITIONS						
General						
A1	<p>The Proponent must carry out Stage 1 of the CSSI in accordance with the conditions of this approval and generally in accordance with the:</p> <p>(a) Sydney Metro West – Westmead to The Bays and Sydney CBD Environmental Impact Statement dated 15 April 2020.</p> <p>(b) Sydney Metro West – Westmead to The Bays and Sydney CBD Submissions Report dated 20 November 2020.</p> <p>(c) Sydney Metro West – Westmead to The Bays and Sydney CBD Amendment Report dated 20 November 2020.</p> <p>(d) Sydney Metro West – Westmead to The Bays and Sydney CBD Modification Request Letter dated 21 June 2021.</p> <p>(e) Sydney Metro West – Clyde stabling and maintenance facility Modification Report dated November 2021; and</p> <p>(f) Sydney Metro West – Concept and Stage 1 – Modification 2 Clyde stabling and maintenance facility (SSI-10038-Mod-2): Response to submissions dated 21 March 2022; and</p> <p>(g) Sydney Metro West – Concept and Stage 1 – Modification 3 Administrative Mod dated May 2022.</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 28 September 2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p>	<p>Interview with Sydney Metro and ER on 10 & 12 October 2022</p> <p>Phase A, Phase B1 and Phase C1 of CSSI 10038 has been conducted in accordance with relevant documents and conditions. All required documentation has been endorsed by relevant authorities, management is being conducted in accordance with all plans, sub-plans, procedures, and protocols as required. All Management plans with endorsements attached within documents. Compliance on CoA was being checked by ER and AA inspections. Refer to CEMP documents for endorsement letters.</p> <p>Whilst some non-compliances were identified (noted against individual conditions, below), these were not substantial (i.e.: resulting in material impact to people or the environment) or significant in number. Other than these few events, compliance is being achieved in all other respects, and on this basis the Auditor does not consider it appropriate to assign a non-compliance with this condition.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
A2	Stage 1 of the CSSI must only be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in Condition A1 of this schedule unless otherwise specified in, or required under, this approval.	Site inspection 23 September 2022 Interview on 27 September 2022	Site inspection 23 September 2022 Interview on 28 September 2022	Site inspection 23 September 2022 Interview on 27 September 2022	Interview with Sydney Metro and ER on 10 & 12 October 2022 Works were conducted in accordance with the condition. Refer to the specific requirements of each management plans. Observation: The following issues were noted during the site inspection conducted during this audit: <ul style="list-style-type: none"> The Bays - Handling of chemicals onsite needs to be improved. There two occasions where chemicals are stored on unbunded area; and Sydney Olympic Park - General housekeeping to be implemented at the utility work area. Recommendation: AFJV to ensure that general housekeeping (including proper storage of chemicals is being implemented at II times.	Compliant
A3	In the event of an inconsistency between: the conditions of this approval and any document listed in Condition A1 of this schedule, the conditions of this approval will prevail to the extent of the inconsistency; and any document listed in Condition A1 of this schedule, the most recent document will prevail to the extent of the inconsistency. <i>Note: For the purpose of this condition, there is an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.</i>	Site inspection 23 September 2022 Interview on 27 September 2022	Site inspection 23 September 2022 Interview on 28 September 2022 Register of Consistency Assessment was presented as evidence, an example of approval process was reviewed on The Bays/White Bay Power Station Geotechnical Borehole are outside project boundary AFJV12 – approved 07/07/2022. The approval process of SM Consistency Assessment process was implemented.	Site inspection 23 September 2022 Interview on 27 September 2022	Interview with Sydney Metro and ER on 10 & 12 October 2022 Where an inconsistency occurs, the Sydney Metro Consistency Assessment and Environmental Review and approval process was implemented.	Compliant
A4	In the event that there are differing interpretations of the conditions of this approval, including in relation to a condition	Site inspection 23 September 2022	Site inspection 23 September 2022	Site inspection 23 September 2022	The auditees are not aware of any differing interpretations requiring the Planning Secretary's input.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	of this approval, the Planning Secretary's interpretation is final.	Interview on 27 September 2022	Interview on 28 September 2022	Interview on 27 September 2022		
A5	<p>The Proponent must comply with all written requirements or directions of the Planning Secretary, including in relation to:</p> <ul style="list-style-type: none"> the environmental performance of Stage 1 of the CSSI. any document or correspondence in relation to Stage 1 of the CSSI. any notification given to the Planning Secretary under the conditions of this approval. any audit of Stage 1 of the CSSI. the conditions of this approval and compliance with the conditions of this approval (including anything required to be done under this approval). the carrying out of any additional monitoring or mitigation measures; and in respect of ongoing monitoring and management obligations, compliance with an updated or revised version of a guideline, protocol, Australian Standard, or policy required to be complied with under the conditions of this approval. 	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 28 September 2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p>	The auditees are not aware of any formal written directions from the Planning Secretary	Not triggered
A6	<p>Where the conditions of this approval require a document or monitoring program to be prepared, or a review to be undertaken, in consultation with identified parties, evidence of the consultation undertaken must be submitted to the Planning Secretary with the document. The evidence must include:</p> <ul style="list-style-type: none"> documentation of the engagement with the party identified in the condition of approval that has occurred before submitting the document for approval. a log of the dates of engagement or attempted engagement with the identified party and a summary of the issues raised by them. documentation of the follow-up with the identified party(s) where feedback has not been provided to confirm that the party(s) has none or has failed to provide feedback after repeated requests. outline of the issues raised by the identified party(s) and how they have been addressed; and a description of the outstanding issues raised by the identified party(s) and the reasons why they have not been addressed. 	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p> <p>The management plans and required monitoring programs were developed and endorsed by the ER and were posted on Contractor website link. https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 28 September 2022</p> <p>The management plans and required monitoring programs were developed and endorsed by the ER and were posted on Contractor website link. https://www.accionacom.com/projects/sydney-metro-west/</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p> <p>The management plans and required monitoring programs were developed and endorsed by the ER and were posted on Contractor website link. https://www.deltagroup.com.au/sydney-metro-west-project/</p>	Phase A, B1 and C1 of CSSI 10038 has been conducted in accordance with all relevant documents and conditions. All required documentation has been endorsed by relevant authorities, management is being conducted in accordance with all plans, sub-plans, procedures, and protocols as required. All Management plans include the necessary endorsements / approvals (ER, AA, Secretary) within the documents attesting that the required consultation has been included within the endorsed or approved plan. Which are available on Sydney Metro website with the link to each contractor website.	Compliant
A7	This approval lapses five (5) years after the date on which it is granted unless work has physically commenced on or before that date.	Notification of Commencement letter (revised date), Sydney Metro to DPE, 30/08/2021 DPE lodgement record, SSI-10038-PA-34			Construction commenced on 13/07/2021.	Compliant
A8	References in the conditions of this approval to any guideline, protocol, Australian Standard, or policy are to such guidelines, protocols, standards, or policies in the form they are in as at the date of this approval.	The CEMP and sub-plans referred to elsewhere in this Audit Table			The CEMP and sub-plans refer to the relevant guidelines and policies.	Compliant
A9	Any document that must be submitted or action taken within a timeframe specified in or under the conditions of this approval may be submitted or undertaken within a later timeframe	An extension request for the submission of the 1 st Audit Report was granted by the Department under letter dated 29 April 2022 to be submitted on 6 May 2022. Sydney			The document that must be submitted or action taken within a timeframe specified in or under the conditions of this approval	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	agreed with the Planning Secretary. This condition does not apply to the written notification required in respect of an incident under Condition A43 of this schedule	Metro submitted the Initial Audit Report with the Response to Audit Findings on 6 May 2022.			were submitted or undertaken within a later timeframe agreed with the Planning Secretary.	
Phasing						
A10	Stage 1 of the CSSI may be constructed in phases. Where phased construction is proposed, a Phasing Report must be prepared and submitted to the Planning Secretary for information. The Phasing Report must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction of the first of the proposed phases of construction	Revised SMW Phasing Report 1.4, Sydney Metro, May 2022 Endorsed by ER on 17 May 2022. Submitted to DPE dated 2 June 2022.			A Phasing Report has been prepared and was submitted to DPE on 27/04/2021, which was prior to commencement of construction of the first proposed phase (i.e., 13/07/2021). The Phasing was revised to version 1.4 during this audit period which was endorsed by ER and submitted to DPE for information.	Compliant
A11	The Phasing Report must: set out how construction of the whole of Stage 1 of the CSSI will be phased, including details of work and other activities to be carried out in each phase and the general timing of when construction of each phase will commence and finish. specify the relevant conditions that apply to each phase and how compliance with conditions will be achieved across and between each of the phases of Stage 1 of the CSSI. set out mechanisms for managing any cumulative impacts arising from the proposed phasing; and <u>for the purposes of informing Conditions C2, C7 and C18,</u> include an assessment of the predicted level of environmental risk and potential level of community concern posed by the construction activities required to construct each phase of Stage 1 of the CSSI. With respect to (d) above, the risk assessment must use an appropriate process consistent with AS/NZS ISO 31000: 2018; Risk Management - Principles and Guidelines and must be endorsed by the ER. <u>[SSI-10038 Mod-1]</u>	SMW Phasing Report 1.4, Sydney Metro, May 2022 Submitted to DPE dated 2 June 2022 and endorsed by ER on 17 May 2022.			The Phasing report has been revised to Version 1.4 in May 2022 and endorsed by ER and submitted to DPE for information. Observation: This condition is not yet included in the Phasing Report V1.4, May 2022. Recommendation: To update Phasing Report with these conditions 18.1 and 18.2.	Compliant
A12	Stage 1 of the CSSI must be phased in accordance with the Phasing Report, as submitted to the Planning Secretary for information.	SMW Phasing Report 1.4, Sydney Metro, May 2022			All works are being conducted in accordance with the Phasing Report. Works are being conducted in accordance with respective conditions as identified for Phase A, B1 and C1. Compliance monitoring is ongoing.	Compliant
A13	Where phasing is proposed, the conditions of this approval that apply or are relevant to the work or activities to be carried out in a specific phase must be complied with at the relevant time for that phase.	Submitted to DPE dated 2 June 2022 and endorsed by ER on 17 May 2022.			Appendix B of the Phasing Report sets out sets out which conditions have been deemed applicable to each phase of works.	Compliant
A14	Where changes are proposed to the phasing of construction, a revised Phasing Report must be prepared and submitted to the Planning Secretary for information before the commencement of changes to the phasing of construction.	SMW Phasing Report 1.4, Sydney Metro, May 2022			The SMW Phasing Report has been revised to provide updates on scope of phases.	Compliant
A15	With the approval of the Planning Secretary, the Proponent may submit any strategies, plans or programs required by	Submitted to DPE dated 2 June 2022 and endorsed by ER on 17 May 2022.			Documentation required under this approval has been submitted progressively as relevant to each phase	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>this approval on a progressive basis within each phase of Stage 1 of the CSSI.</p> <p><i>Notes:</i></p> <p><i>While any strategy, plan or program may be submitted on a progressive basis, the Proponent will need to ensure that the existing activities on site are covered by suitable strategies, plans or programs at all times; and</i></p> <p><i>If the submission of any strategy, plan or program is to be submitted on a progressive basis, then the relevant strategy, plan or program must clearly describe the activities to which the strategy, plan or program applies, the relationship of this activity to any future activities within the phase, and the trigger for updating the strategy, plan, or program</i></p>				of works, as evidenced throughout this audit. All documents are endorsed by relevant authorities (e.g., the ER, the AA) prior to commencement of works and submitted to DPE for information.	
Ancillary Facilities						
A16	<p>Ancillary Facilities</p> <p>Ancillary facilities that are not identified by description and location in the documents listed in Condition A1 of this schedule can only be established and used in each case if:</p> <ul style="list-style-type: none"> they are located within or immediately adjacent to the Construction Boundary; and they are not located next to sensitive land user(s) (including where an access road is between the facility and the receiver), unless the landowner and occupier have given written acceptance to the carrying out of the relevant facility in the proposed location; and they have no impacts on Heritage items (including areas of archaeological sensitivity), threatened species, populations or ecological communities beyond the impacts approved under the conditions of this approval; and the establishment and use of the facility can be carried out and managed within the outcomes set out in the conditions of this approval, including in relation to environmental, social, and economic impacts. <p><i>Note: This condition does not apply to any ancillary facilities or work that are exempt or complying development, established before the commencement of construction under this approval or minor ancillary facilities established under Condition A21 of this schedule.</i></p>	<p>Interview with auditees, 27/09/2022</p> <p>Quickway CEMP revision 1.2 dated 13 May 2022 was updated to include the ancillary facility at the Bays area which was endorsed by the ER (Michael Woolley) on 18 May 2022.</p>	<p>Interview with auditees, 28/09/2022</p> <p>The auditees advised that no ancillary facilities have been required for the Phase B1 (AFJV) works that were not already identified in the documents listed in Condition A1.</p> <p>Not Triggered</p>	<p>Interview with auditees, 27/09/2022</p> <p>The auditees advised that no ancillary facilities have been required for the Phase C1 (Delta) works that were not already identified in the documents listed in Condition A1.</p>	<p>Interview with Sydney Metro and ER on 10 & 12 October 2022</p> <p>Ancillary facilities were established and used in accordance with condition.</p>	Compliant
Site Establishment Work						
A17	<p>Site Establishment Management Plan</p> <p>Before establishment of any ancillary facility (excluding exempt or complying development, minor ancillary facilities determined by the ER to have minimal environmental impact and those established under Condition A21 of this schedule, and those considered in an approved CEMP), the Proponent must prepare a Site Establishment Management Plan which outlines the environmental management practices and procedures to be implemented for the establishment of the ancillary facilities. The Site Establishment Management Plan must be prepared in consultation with the Relevant Council(s)</p>	<p>Interview with auditees, 27/09/2022</p> <p>Condition is not triggered for the Phase A (Quickway) works. There is minimal risk that a SEMP will be required for the rest of the PEW.</p>	<p>Interview with auditees, 28/09/2022</p> <p>No Site Establishment Management Plans have been required to be prepared for the Phase B1 (AFJV) works to date.</p> <p>Not Triggered</p>	<p>Interview with auditees, 27/09/2022</p> <p>SMW Parramatta, Clyde and Westmead Enabling Works – Site Establishment Sub Plan, Delta, Rev 2, 24/09/2021</p> <p>ER endorsement letter – Delta SEMP Rev 2, dated 27/09/2021</p>	<p>Interview with Sydney Metro and ER on 10 & 12 October 2022</p> <p>A Site Establishment Management Plan (SEMP) was prepared for the Phase C1 (Delta) works in consultation with City of Parramatta and Cumberland City Council prior to establishment of any ancillary facilities.</p> <p>The ER endorsed both revisions of the SEMP as satisfying requirements of this condition (see A19).</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>and relevant government agencies. The Site Establishment Management Plan must include:</p> <p>a description of activities to be undertaken during establishment of the ancillary facility (including scheduling and duration of work to be undertaken at the site).</p> <p>figures illustrating the proposed operational site layout and the location of the closest sensitive land user(s).</p> <p>a program for ongoing analysis of the key environmental risks arising from the site establishment activities described in subsection (a) of this condition, including an initial risk assessment undertaken before the commencement of site establishment work.</p> <p>details of how the site establishment activities described in subsection (a) of this condition will be carried out to:</p> <p>meet the performance outcomes stated in the documents listed in Condition A1 of this schedule, and</p> <p>manage the risks identified in the risk analysis undertaken in subsection (c) of this condition; and</p> <p>a program for monitoring the performance outcomes, including a program for construction noise monitoring, where appropriate or required. Nothing in this condition prevents the Proponent from preparing individual Site Establishment Management Plans for each ancillary facility.</p>			<p>SMW Parramatta, Clyde and Westmead Enabling Works – Site Establishment Sub Plan, Delta, Rev 4, 15/12/2021</p> <p>ER endorsement letter – Delta SEMP Rev 4, dated 15/12/2021</p>		
A18	<p>With the exception of a Site Establishment Management Plan relating to the Silverwater ancillary facility referred to in Condition A19 below and any other Site Establishment Management Plan expressly nominated by the Planning Secretary to be endorsed by the ER, all Site Establishment Management Plans must be submitted to the Planning Secretary for approval one (1) month before the establishment of any ancillary facilities.</p>	<p>Interview with auditees, 27/09/2022</p> <p>No Site Establishment Management Plans have been required to be prepared for the Phase A (Quickway) works to date.</p>	<p>Interview with auditees, 28/09/2022</p> <p>No Site Establishment Management Plans have been required to be prepared for the Phase B1 (AFJV) works to date.</p>	<p>Interview with auditees, 27/09/2022</p> <p>Letter from DPE to Sydney Metro re: SEMP for Parramatta, Clyde, and Westmead Enabling Works, dated 24/09/2021</p>	<p>Interview with Sydney Metro and ER 10 & 12 October 2022</p> <p>Revision 1 of the SEMP for the Phase C1 (Delta) works was submitted to the Planning Secretary. A letter from the Planning Secretary dated 24/09/2021 nominated the ER to endorse the final version of the SEMP (see A19).</p>	Compliant
A19	<p>A Site Establishment Management Plan relating to the Silverwater ancillary facility, and any other Site Establishment Management Plan expressly nominated by the Planning Secretary must be submitted to the ER for endorsement one (1) month before the establishment of that ancillary facility or as otherwise agreed with the ER.</p>	<p>Interview with auditees, 27/09/2022</p> <p>No Site Establishment Management Plans have been required to be prepared for the Phase A (Quickway) works to date.</p>	<p>Interview with auditees, 28/09/2022</p> <p>No Site Establishment Management Plans have been required to be prepared for the Phase B1 (AFJV) works to date.</p>	<p>Interview with auditees, 27/09/2022</p> <p>Letter from DPE to Sydney Metro re: SEMP for Parramatta, Clyde, and Westmead Enabling Works, dated 24/09/2021</p> <p>SMW Parramatta, Clyde and Westmead Enabling Works – Site Establishment Sub Plan, Delta, Rev 2, 24/09/2021</p> <p>ER endorsement letter – Delta SEMP Rev 2, dated 27/09/2021</p> <p>SMW Parramatta, Clyde and Westmead Enabling Works – Site Establishment Sub Plan, Delta, Rev 4, 15/12/2021</p>	<p>Interview with Sydney Metro and ER 10 & 12 October 2022</p> <p>As requested by the Planning Secretary, the SEMP for the Phase C1 (Delta) works was submitted to the ER for endorsement.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
				ER endorsement letter – Delta SEMP Rev 4, dated 15/12/2021		
A20	<p>Use of Ancillary Facilities</p> <p>The use of an ancillary facility for construction must not commence until the CEMP required by Condition C1 of this schedule, relevant CEMP Sub-plans required by Condition C5 of this schedule and relevant Construction Monitoring Programs required by Condition C14 of this schedule have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable). Note: This condition does not apply to Condition A21 of this schedule or where the use of an ancillary facility is Low Impact Work or for Low Impact Work.</p>	<p>SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 0.0, 16/06/2021</p> <p>ER endorsement letter – SMW Power Enabling Works CEMP, dated 18/06/2021</p> <p>SMW Power Enabling Works Construction Environmental Management Plan (Appendix M – Minor Ancillary Facility Area A01), Quickway, Rev 1.0, 02/11/2021</p> <p>ER endorsement letter – SMW Power Enabling Works Minor Ancillary Facility Area 01, dated 31/05/2021</p>	<p>10 January 2022 commencement of CTP construction</p> <p>CEMP for CTP was approved on 20 December 2021</p> <p>SM Notify the Department for commencement of construction on 10 December 2022 letter dated 7 December 2022 then revised notification was sent on 5 April 2022 for the actual commencement on 10 January 2022.</p>	<p>Letter from DPE to Sydney Metro re: SEMP for Parramatta, Clyde, and Westmead Enabling Works, dated 24/09/2021</p> <p>SMW Parramatta, Clyde and Westmead Enabling Works – Site Establishment Sub Plan, Delta, Rev 2, 24/09/2021</p> <p>ER endorsement letter – Delta SEMP Rev 2, dated 27/09/2021</p> <p>SMW Parramatta, Clyde and Westmead Enabling Works – Site Establishment Sub Plan, Delta, Rev 4, 15/12/2021</p> <p>ER endorsement letter – Delta SEMP Rev 4, dated 15/12/2021</p>	<p>Interview with Sydney Metro and ER 10 & 12 October 2022</p> <p>The Project has demonstrated compliance with A20 based on the evidence provided during the initial audit.</p>	Compliant
A21	<p>Minor Ancillary Facilities</p> <p>Lunch sheds, office sheds, portable toilet facilities, and the like, can be established and used where they have been assessed in the documents listed in Condition A1 of this schedule or satisfy the following criteria:</p> <p>are located within or adjacent to the Construction Boundary; and</p> <p>have been assessed by the ER to have:</p> <p>minimal amenity impacts to surrounding residences and businesses, after consideration of matters such as compliance with the ICNG, traffic and access impacts, dust, and odour impacts, and visual (including light spill) impacts, and</p> <p>minimal environmental impact with respect to waste management and flooding, and</p> <p>no impacts on biodiversity, soil and water, and Heritage items beyond those already approved under other conditions of this approval.</p>	<p>SMW Power Enabling Works Construction Environmental Management Plan (Appendix M – Minor Ancillary Facility Area A01), Quickway, Rev 1.0, 02/11/2021</p> <p>ER endorsement letter – SMW Power Enabling Works Minor Ancillary Facility Area 01, dated 31/05/2021</p> <p>Minor ancillary facility 'A01' utilized for Phase A (Quickway) works, endorsed by the ER as part of CEMP update (Rev 1.0, dated 02/11/2021).</p> <p>All minor ancillary facilities were included in the CEMP revision 1.2 dated 13 May 2022 which was endorsed by the ER (Michael Woolley) on 18 May 2022.</p> <p>Site inspection 23 September 2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview 28 September 2022</p> <p>CoA21 -Minor Ancillary Facility approval for – Five Dock, ER endorsement letter dated 7 June 2022</p> <p>CoA21- Minor Ancillary Facility approval for The Bays ER endorsement letter dated 9 February 2022.</p> <p>NCR-007-CoA A21- 2/6/2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview 27 September 2022 No minor ancillary facilities have been established for the Phase C1 (Delta) works to date.</p>	<p>Self-Reported Non-Compliant</p> <p>NCR-007-CoA A21- 2/6/2022 (Phase B1) - Site team had accessed and undertaken preliminary establishment activities of a property at Five Dock (Phase B1) that had been obtained under a lease agreement, prior to final approval of the Minor Ancillary Facility approval (CoA A21) from the Environmental Representative.</p>	Non-Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
		Interview 27 September 2022				
A22	Boundary Screening Boundary screening must be erected around ancillary facilities that are adjacent to sensitive land user(s) for the duration that the ancillary facility is in use unless otherwise agreed with relevant affected residents, business operators or landowners.	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Sydney Metro boundary screening sighted around fencing installed across Project worksites during site inspection. See photos in Appendix D of the Audit Report.	Compliant
A23	Boundary screening required under Condition A22 of this schedule must minimise visual impacts on adjacent sensitive land user(s).	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 28/02/2022 Interview with auditees, 01/03/2022	Sydney Metro boundary screening sighted around fencing installed across Project worksites during site inspection. See photos in Appendix D of the Audit Report.	Compliant
Independent Appointments						
A24	All Independent Appointments required by the conditions of this approval must hold current membership of a relevant professional body, unless otherwise agreed by the Planning Secretary.	Environment Representative (ER) – see A27 Acoustic Advisor (AA) – see A32 and A33	Independent Auditor – see A40 Community Complaints Mediator – see B7 and B8	Excavation Director – see D27 Independent Property Assessment Panel (IPIAP) – see D64	Interview with Sydney Metro and ER 10 & 12 October 2022 Independent appointments on the Project have been approved by the Planning Secretary and therefore considered to hold the relevant memberships and qualifications.	Compliant
A25	The Planning Secretary may at any time commission an audit of how an Independent Appointment has exercised their functions. The Proponent must: facilitate and assist the Planning Secretary in any such audit; and make it a term of their engagement of an Independent Appointment that the Independent Appointment facilitate and assist the Planning Secretary in any such audit.	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 28/02/2022 Interview with auditees, 01/03/2022	The auditees were not aware of any request from the Planning Secretary for an audit of how the how an Independent Appointment has exercised their functions on the Project.	Not triggered
A26	Upon completion of an audit under Conditions A25 above, the Planning Secretary may withdraw its approval of an Independent Appointment should they consider the Independent Appointment has not exercised their functions in accordance with this approval. <i>Note: Conditions A25 and A26 of this schedule apply to all Independent Appointments including the ER, AA, and Independent Auditor</i>	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 28/02/2022 Interview with auditees, 01/03/2022	No audits have been completed for the Project under A25.	Not triggered
Environment Representative						
A27	Work must not commence until an Environmental Representative (ER) has been nominated by the Proponent and approved by the Planning Secretary.	Letter from DPE to Sydney Metro re: approval of ERs, dated 07/04/2021 Letter from DPE to Sydney Metro re: approval of additional ER, dated 21/09/2021			Interview with Sydney Metro and ER 10 & 12 October 2022 The following Environment Representatives (ERs) were approved by the Planning Secretary on 07/04/2021, prior to the notified construction commencement date of 13/07/2021: Michael Woolley Brett McLennan Jo Robertson	Compliant
		Michael Woolley Greg Byrnes	Michael Woolley Jo Robertson	Greg Byrnes Jo Robertson		

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
					Jacqueline Burgin In addition, Greg Byrnes was also approved as an ER on 21/09/2021.	
A28	The proposed ER must be a suitably qualified and experienced person(s) who was not involved in the preparation of the documents listed in Condition A1 of this schedule and is independent from the design and construction personnel for the CSSI and those involved in the delivery of it.	Letter from DPE to Sydney Metro re: approval of ERs, dated 07/04/2021 Letter from DPE to Sydney Metro re: approval of additional ER, dated 21/09/2021 Interview with Sydney Metro and ER 10 & 12 October 2022			The personnel nominated to perform the role of ER on the Project have been deemed suitability qualified and experienced, as evidenced by the Planning Secretary's approval.	Compliant
A29	The Proponent may engage more than one ER for Stage 1 of the CSSI, in which case the functions to be exercised by an ER under the conditions of this approval may be carried out by any ER that is approved by the Planning Secretary for the purposes of Stage 1 of the CSSI. The ER must meet the requirements of the Department's Environmental Representative Protocol (DPE, 2018). The appointment of the ER must have regard to the Department's guideline Seeking approval from the Department for the appointment of independent experts (DPIE, 2020).	Letter from DPE to Sydney Metro re: approval of ERs, dated 07/04/2021 Letter from DPE to Sydney Metro re: approval of additional ER, dated 21/09/2021 Interview with Sydney Metro and ER 10 & 12 October 2022			As detailed above, five people have been approved by the Planning Secretary to perform the role of ER on the Project.	Compliant
A30	For the duration of the work or as agreed with the Planning Secretary, the approved ER must: receive and respond to communication from the Planning Secretary in relation to the environmental performance of Stage 1 of the CSSI. consider and inform the Planning Secretary on matters specified in the conditions of this approval. consider and recommend to the Proponent any improvements that may be made to work practices to avoid or minimise adverse impact to the environment and to the community. review documents identified in Conditions A10, A17, A19, C1, C5 and C14 of this schedule and any other documents that are identified by the Planning Secretary, to ensure they are consistent with requirements in or under this approval and if so: endorse the documents before submission of such documents to the Planning Secretary (if those documents are required to be approved by the Planning Secretary); or endorse the documents before the implementation of such documents (if those documents are only required to be submitted to the Planning Secretary / Department for information or are not required to be submitted to the Planning Secretary / Department). for documents that are required to be submitted to the Planning Secretary / Department for information under (d)(ii) above, the documents must be submitted as soon as practicable to the Planning Secretary / Department after	ER inspection reports ER monthly reports and DPE lodgement receipts ER endorsement letters for documentation required under this approval, including CEMP and Sub-Plans Quick way ER inspection – Graveyards controls ER inspection email dated 13/07/2022, Action to protect the pit within the Quickway worksite. Closed out was conducted through email correspondence and ER was satisfied with actions. ER Inspection on 22/07/2022 at Graveyard to installed erosion and sedimentation controls around the stockpiles and addressed within the day. Quickway OOHW Permits were endorsed by ER within the past 6 months. https://www.quickway.com.au/	AFJV – ER inspection conducted every Thursday with alternating sites to inspect every two weeks except for the Bays which is weekly. No NC raised by the ER. AFJV- All Phase B2 Management Plans were now endorsed by the ER in August 2022, and they now posted on the website. https://www.accionacom.au/sydney-metro-west-ctp/?_adin=0183579827#_ga=2.225337114.1834514048.1667788041-1372581825.1661399770 No NC raised by ER on West No specific letter from Planning Monthly Reports included all the updates, performance of the project, request from Planning to include pictures on the reports.	Delta – ER inspections every Thursday -ER reports issued to Delta on the same day and closed out on any issues were provided to ER timeframe indicated on the report. E.g., ER Report #22 21/05/2022 issues on erosion and sediment controls closed out the following day. No non-compliances raised by the ER on Demolition project. Delta documents were endorsed by ER from last 6 months. https://www.deltagroup.com.au/sydney-metro-west-project/ No specific letter from Planning Monthly Reports included all the updates, performance of the project, request from Planning to include pictures on the reports.	Evidence provided of weekly ER inspections being conducted across the Project. ER monthly reports also sighted for the Project to date, including evidence of submission to the Planning Secretary. The ER has also been involved in the review and endorsement of documentation on the Project, including the CEMP and Sub-Plans across all phases. It is noted that No audits have been commissioned by the Secretary under A30 g). No LIW within the audit period. ER monthly reports for March to September 2022 were submitted to the Department within every 7 th of the Month. The following reports were sighted with the submission evidence to the Department: ER Monthly Report March 2022 submitted 07 April 2022 ER Monthly Report April 2022 submitted 07 May 2022 ER Monthly Report May 2022 submitted 07 June 2022 ER Monthly Report June 2022 submitted 07 July 2022	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>endorsement by the ER, unless otherwise agreed by the Planning Secretary.</p> <p>regularly monitor the implementation of the documents listed in Conditions A10, A17, A19, C1, C5 and C14 of this schedule to ensure implementation is being carried out in accordance with the document and the conditions of this approval.</p> <p>as may be requested by the Planning Secretary, help plan or attend audits of the development commissioned by the Department including scoping audits, programming audits, briefings, and site visits, but not independent environmental audits required under Condition A39 of this schedule.</p> <p>as may be requested by the Planning Secretary, assist in the resolution of community complaints received directly by the Department.</p> <p>consider or assess the impacts of minor ancillary facilities comprising lunch sheds, office sheds and portable toilet facilities as required by Condition A21 of this schedule; and</p> <p>consider any minor amendments to be made to the Site Establishment Management Plan, CEMP, CEMP Sub-plans, and construction monitoring programs without increasing impacts to nearby sensitive receivers and are consistent with the conditions of this approval and the Site Establishment Management Plan, CEMP, CEMP Sub-plans, and construction monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, approve the amendment. This does not include any modifications to the conditions of this approval.</p> <p>prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, an Environmental Representative Monthly Report providing the information set out in the Environmental Representative Protocol under the heading "Environmental Representative Monthly Reports". The Environmental Representative Monthly Report must be submitted within seven (7) days following the end of each month for the duration of the ER's engagement for Stage 1 of the CSSI, or as otherwise agreed by the Planning Secretary; and</p> <p>assess the impacts of activities as required by the Low Impact Work definition.</p> <p>With respect to (d) above, the ER is not required to endorse the specialist content in documents requiring specialist review and / or endorsement.</p>	<p>projects/sydney-metro-west-power-enabling-works/</p> <p>No specific letter from Planning</p> <p>Monthly Reports included all the updates, performance of the project, request from Planning to include pictures on the reports.</p> <p>Inspection Reports provide recommendations and improvements on site</p> <p>ER Monthly Reports are included in monthly reports</p> <p>No for Quick way</p> <p>Same as AFJV</p> <p>NT</p> <p>NT</p> <p>A21 is under CEMP.</p> <p>Website CEMP amendment</p> <p>All monthly reports submitted on 7th of the month.</p> <p>HBI Project Manager Folder with DPE portal evidence of monthly report submissions Mar (7/4/22) April ((7/5/22) May (7/6/22) June (7/7/22) July (7/8/22), August 2022 (7/9//2022), Sep 2022 (7/10/22)</p> <p>No LIW within the audit period</p>	<p>Inspection Reports provide actions (recommendations and improvements) on site e.g., 29/09/2022 ER Inspection</p> <p>Monthly Reports – to follow</p> <p>ER Monthly Reports are included in monthly reports</p> <p>Endorsement for CTP refer to website (AQMP, FFMP,) refer to Phasing Report and website</p> <p>Incident in CTP – ER to review the incident response procedure.</p> <p>Review the ER role and the mediator for complaints raised</p> <p>ER inspections, Review of documents</p> <p>AFJV monitoring reports through Teambinder – ER assessment of implementation of the monitoring dates Ref Teambinder:</p> <p>Monthly Appendix Metro West CFA Sep-Oct 2022 – included risk assessment and recommendation by ER – reviewing all the risk for each package. Internal document</p> <p>Bi-annual Construction Dec2021 to June 2022 with ER comments on PDF dated 23 August 2022 reviewed against the CoA Monitoring Programs (NVMP, Surface Water and Groundwater)</p> <p>Not Triggered</p> <p>Not Triggered</p> <p>A21 – NC was raised</p> <p>Website CEMP</p>	<p>Inspection Reports provide recommendations and improvements on site</p> <p>Endorsement for Delta CEMP and subplans refer to website</p>	<p>ER Monthly Report July 2022 submitted 07 August 2022</p> <p>ER Monthly Report August 2022 submitted 07 September 2022</p> <p>ER Monthly Report September 2022 submitted 07 October 2022</p> <p>ER conducts weekly inspections and reports were presented during this audit that captured the inspection of mitigation measures as relevant to each site and any issues raised during the inspection were addressed within the allotted timeframe as designated by the ER. The following inspections report were sighted:</p> <p>Metro West AFJV ER Inspection 32_ 25-8-22</p> <p>Metro West AFJV ER Inspection 37 061022</p> <p>Metro West Delta ER Inspection 30 dated 25-8-2022</p> <p>Metro West Delta ER Inspection 31 dated 08-9-2022</p> <p>Metro West PEW ER Inspection 22 dated 30-8-2022</p> <p>Metro West PEW ER Inspection 24 dated 11-10-2022</p>	

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
A31	The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions specified in Condition A30 of this schedule (including preparation of the ER monthly report), as well as: the Complaints Register (to be provided on a weekly basis or as requested); and a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work).	<p>Planning Approval Consistency Assessment Form, Version 3.0 27/11/2021</p> <p>Email correspondence re: submission of Consistency Assessment DG01 (Macquarie Lane Carpark) to the ER, dated 17/12/2021</p> <p>Email correspondence from Sydney Metro re: submission of weekly complaints reports to the ER</p>	<p>Complaints registers were received on weekly basis.</p> <p>Generally, the ER gets the documents and records</p>		<p>Observation:</p> <p>Evidence provided demonstrates that the Project has been providing the ER with relevant documentation in order for the ER to perform their functions specified in Condition A30. However, the ER noted there is no visibility if the documents have been submitted to the DPE through the Portal.</p> <p>Recommendation:</p> <p>A process improvement on ensuring that the ER will have a visibility on the documents that they have reviewed and endorsed are submitted to the Department within the relevant required timeframe.</p>	Compliant
Acoustics Advisor						
A32	A suitably qualified and experienced Acoustics Advisor(s) (AA) in noise and vibration management, who is independent of the design and construction personnel, must be nominated by the Proponent and engaged for the duration of work (as required by Condition A35 of this schedule) and for no less than six (6) months following completion of construction of Stage 1 of the CSSI.	AA approval letter from DPE to Sydney Metro, date d12/04/2021 No changes within audit period March to August 2022.			Mr. Dave Anderson has been approved as the Principal Acoustic Advisor and Mr. Larry Clark has been approved as the Alternate Acoustic Advisor, as per the approval letter from DPE dated 12/04/2021.	Compliant
A33	Work must not commence until an AA has been nominated by the Proponent and approved by the Planning Secretary.	AA approval letter from DPE to Sydney Metro, date d12/04/2021 Notification of Commencement letter (revised date), Sydney Metro to DPE, 30/08/2021			The AA was nominated and approved prior to commencement of construction (DPE approval letter dated 12/04/2021, construction commenced 13/07/2021 (see A37).	Compliant
A34	The Proponent must cooperate with the AA by: providing access to noise and vibration monitoring activities as they take place. providing access to the Complaints Register if requested. providing for review of noise and vibration documents required to be prepared under the conditions of this approval; and considering any recommendations to improve practices and demonstrating, to the satisfaction of the AA, why any recommendation is not adopted.	<p>Interview with auditees, 01/03/2022</p> <p>AA inspection reports</p> <p>AA monthly noise and vibration reports</p> <p>Access to noise and vibration data are provided to AA as requested.</p> <p>Complaints register being provide to AA on daily basis, weekly and the monthly reports</p> <p>AA reviewed and endorsed OOHW those are not covered under EPL. OOHW covered under EPL were provided for information.</p> <p>AA inspection reports for Quickway, Delta and AFJV.</p> <p>Comments on OOHW permits included AA recommendation to improve practices. Proactively engaging AA on each high-risk activities and with sensitive receivers as noted by SM.</p>			<p>The AA provided evidence that they have online access to live vibration monitoring records.</p> <p>Evidence of Complaints Registers being provided to the AA on weekly and monthly basis.</p> <p>AA advised that they have attended the Phase A (Quickway) works being undertaken outside standard hours. They have not provided formal reports on these inspections but provide input to ER and directly to Sydney Metro.</p>	Compliant
A35	The Proponent may nominate additional suitably qualified and experienced persons to assist the lead AA for the Planning Secretary's approval.	AA approval letter from DPE to Sydney Metro, date d12/04/2021 No additional AA or alternate for this audit period.			Mr. Dave Anderson has been approved as the Principal Acoustic Advisor and Mr. Larry Clark has been approved as the Alternate Acoustic Advisor, as per the approval letter from DPE dated 12/04/2021.	Compliant
A36	The approved AA must:	Interview with AA on 12/10/22.	AFJV- AA Site inspection 19 September 2022 –	Delta – AA Inspection Report on 17/06/2022	Bases on evidence provided the AA is compliant to this condition.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>receive and respond to communication from the Planning Secretary in relation to the performance of Stage 1 of the CSSI in relation to noise and vibration.</p> <p>consider and inform the Planning Secretary on matters specified in the conditions of this approval relating to noise and vibration.</p> <p>consider and recommend, to the Proponent, improvements that may be made to avoid or minimise adverse noise and vibration impacts.</p> <p>review all proposed night-time works (with the exception of low-risk activities) to determine if sleep disturbance would occur and recommend measures to avoid sleep disturbance or appropriate additional alternative mitigation measures.</p> <p>review all noise and vibration documents required to be prepared under the conditions of this approval and, should they be consistent with the conditions of this approval, endorse them before submission to the Planning Secretary (if required to be submitted to the Planning Secretary) or before implementation (if not required to be submitted to the Planning Secretary).</p> <p>regularly monitor the implementation of all noise and vibration documents required to be prepared under the conditions of this approval to ensure implementation is in accordance with what is stated in the document and the conditions of this approval.</p> <p>review the Proponent's notification of incidents in accordance with Condition A43 of this schedule.</p> <p>in conjunction with the ER (where required), the AA must:</p> <p>as may be requested by the Planning Secretary or Community Complaints Mediator (required by Condition B8 of this schedule), help plan, attend, or undertake audits of noise and vibration management of Stage 1 of the CSSI including briefings, and site visits,</p> <p>in the event that conflict arises between the Proponent and the community in relation to the noise and vibration performance of Stage 1 of the CSSI, follow the procedure in the Overarching Community Communication Strategy referenced in Condition B1 of this schedule to attempt to resolve the conflict, and if it cannot be resolved, notify the Planning Secretary,</p> <p>if requested by the ER, consider relevant minor amendments made to the Site Establishment Management Plan, CEMP, relevant sub-plans and noise and vibration monitoring programs that require updating or are of an administrative nature, and are consistent with the conditions of this approval and the management plans and monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, endorse the amendment, (this does not include any modifications to the conditions of this approval),</p> <p>if requested by the ER, review the noise impacts of minor ancillary facilities, and</p>	<p>AA inspection reports</p> <p>AA monthly noise and vibration reports and DPE lodgement receipts</p> <p>AA endorsement letters for documentation required under this approval, including detailed noise and vibration impact statements (DNVIS) and out of hours works (OOHW) applications</p> <p>All OOHW goes to the SM Comms, AA, and ER for approval.</p> <p>Quickway – Acoustic Advisor Inspection Report dated 23/09/2022 by Larry Clark at Mullens Street between Mansfield and Robert Streets. No issues raised during this inspection.</p> <p>Update of the DNVIS Rev 6 dated 12/09/2022 was sent to AA and SM and Quickway is still waiting for response.</p>	<p>10:30-11:00pm at the Queen Street works outside of North Strathfield site – notes/observation were good practices and only areas of improvement on signalling by horn which would be good to eliminate as much as possible.</p> <p>Ad hoc inspection is being conducted by the AA no specific frequency,</p> <p>AFJV – Acoustic Advisor Inspection for Strathfield (1/7/2022, 21/06/2022) and Burwood North (19/09/2022), Five Dock (17/5/2022)</p>	<p>Delta – AA was involved on the ER inspection on 25th August 2022.</p> <p>AA raised an issue on vibration monitoring at Parramatta and an NCR-005 was raised.</p>	<p>nothing received</p> <p>AA monthly reports and regular meetings with DPE discussing noise and vibration issues.</p> <p>Comments on OOHW permits included AA recommendation to improve practices. Proactively engaging AA on each high-risk activities and with sensitive receivers as noted by SM.</p> <p>OOHW works permits and night-time inspections based on the risk. AFJV – Acoustic Advisor Inspection for Strathfield (1/7/2022, 21/06/2022) and Burwood North (19/09/2022), Five Dock (17/5/2022), Delta – AA Inspection Report on 17/06/2022</p> <p>Refer to monthly reports</p> <p>AA Inspection Reports and review of NVMP, DNVIS, OOHW</p> <p>AA raised an issue on vibration monitoring at Parramatta – NCR-005,</p> <p>I: AA was involved in resolving complaints</p> <p>ii: same as above</p> <p>iii. AA monthly reports</p> <p>iv: NO request from ER during this audit period</p> <p>v: AA monthly reports are submitted at 7th of the months:</p> <p>202203 monthly AA report SMW 10038 March 22 submitted to DPE on 07/04/22</p> <p>202204 monthly AA report SMW 10038 April 22 submitted to DPE on 07/05/22</p> <p>202205 monthly AA report SMW 10038 May 22 submitted to DPE on 07/06/22</p> <p>202206 monthly AA report SMW 10038 June 22 submitted to DPE on 07/07/22</p> <p>202207 monthly AA report SMW 10038 July 22 submitted to DPE on 07/08/22</p> <p>202208 monthly AA report SMW 10038 August 22 submitted to DPE on 07/08/22</p> <p>AA inspections were based on risk of the site, OOHW, and impacts to community based on the works to be conducted e.g., scaffolding at Five Dock where there was resident closed by and risk of sleep disturbance noise. The schedule depends on the OOHW and coordination meetings (fortnightly and weekly).</p> <p>AFJV meetings on Wednesday</p>	

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, a Monthly Noise and Vibration Report detailing the AA's actions and decisions on matters for which the AA was responsible in the preceding month. The Monthly Noise and Vibration Report must be submitted within seven (7) days following the end of each month for the duration of the AA's engagement for Stage 1 of the CSSI, or as otherwise agreed by the Planning Secretary.				Brownfield – Fridays Quick way – Fridays Delta- completed	
Notification of Commencement						
A37	The Department must be notified in writing of the date of commencement of construction before the commencement of construction	Notification of Commencement letter, Sydney Metro to DPE, 04/06/2021 Record of submission to DPE, SSI-10038-PA-18, 04/06/2021 Notification of Commencement letter (revised date), Sydney Metro to DPE, 30/08/2021 Record of submission to DPE, SSI-10038-PA-34, 30/08/2021 Notification of commencement for Phase B2 not yet sent – commencement to be in November 2022 and will be sent 2 weeks before commencement date. Tentative date 5 November 2022 subject to change.			Sydney Metro notified DPE on 04/06/2021 that construction would commence on 28/06/2021. A follow up notification was sent by Sydney Metro to DPE on 30/08/2021 advising that construction had actually commenced on 13/07/2021.	Compliant
A38	If construction of Stage 1 of the CSSI is to be phased, the Department must be notified in writing before the commencement of each phase, of the date of the commencement of that phase.	Notification of Commencement letter – Phase A, Sydney Metro to DPE, 30/08/2021 Record of submission to DPE, SSI-10038-PA-35, 30/08/2021 The Phase A (Quickway) works commenced on 13/07/2021, as per the revised notification sent by Sydney Metro to DPE on 30/08/2021.	Notification of Commencement letter – Phase B1, Sydney Metro to DPE, 07/12/2021 Record of submission to DPE, SSI-10038-PA-74, 06/12/2021 Sydney Metro notified DPE on 06/12/2021 that construction would commence on Phase B1 works on 10/12/2021. The auditees advised that construction on Phase B1 works actually commenced on 10/01/2022. Letter to DPE dated 5 April 2022 for the amended date of notification.	Notification of Commencement letter – Phase C1, Sydney Metro to DPE, 07/12/2021 Record of submission to DPE, SSI-10038-PA-75, 07/12/2021 The Phase C1 (Delta) works commenced on 08/12/2021 at Westmead and Clyde, and 10/12/2021 at Parramatta, as per the revised notification sent by Sydney Metro to DPE on 07/12/2021.	Sydney Metro West Stage 1 Notification of Commencement of Phase C2 under Condition A38 commence Construction of the Parramatta and Clyde Enabling Works (PCEW) - Archaeological Clearance (Phase C2) of the Sydney Metro West Stage 1 project on 09 March 2022. Lodge on DPE portal on 08 March 2022.	Compliant
Independent Environmental Audit						
A39	Independent Audits of Stage 1 of the CSSI must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (DPIE, 2020).	Site inspection was conducted on 23 September 2022 at Rozelle 33Kv site. Interview with key personnel was conducted on 27 September 2022.	Site inspection was conducted on 23 September 2022 at the following sites: Sydney Olympic Park Burwood North Strathfield Five Dock The Bays.	Site inspection was conducted on 23 September 2022 at Parramatta demolition site. Interview with key personnel was conducted on 27 September 2022.	This Independent Audit has been carried out in accordance with the Department's 2020 document entitled Independent Audit Post Approval Requirements. This is the second Independent Audit conducted for the project. Interviews with Sydney Metro, ER and AA were conducted on 10 & 12 October 2022.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
			Interview with key personnel was conducted on 28 September 2022.			
A39.1	<u>Notwithstanding Condition A39, the Proponent may prepare an audit program to outline the scope and timing of each independent audit that will be undertaken during construction. If prepared, the audit program must be developed in consultation with, and approved by, the Planning Secretary before commencement of the first audit and implemented throughout construction.</u> [SSI-10038 Mod-1]	The Audit Program has been prepared and submitted to the Department and is now under review.			This Independent Audit has been carried out in accordance with the Department's 2020 document entitled Independent Audit Post Approval Requirements.	Not triggered
A40	Proposed independent auditors must be approved by the Planning Secretary before the commencement of an Independent Audit	Approval letter from DPE to Sydney Metro, dated 2 August 2022. Approving the following WolfPeak Auditors: Mr. Steve Fermio, Wolfpeak, as lead auditor Ms Annabelle Tungol, Wolfpeak, as auditor Mr. Ibrahim Awad, WolfPeak, as auditor Mr. Peter Hatton, Wolfpeak, as auditor.			The Department approved the Independent Auditors prior to the commencement of the second Independent Audit.	Compliant
A41	The Planning Secretary may require the initial and subsequent Independent Audits to be undertaken at different times to those specified in the Independent Audit Post Approval Requirements (DPIE, 2020), upon giving at least four (4) weeks' notice (or timing as stipulated by the Planning Secretary) to the Proponent of the date upon which the audit must be commenced.	Interview with auditees, 01/03/2022			The auditees are not aware of the Planning Secretary requiring audits to be conducted at different timeframes to that specified in the Independent Audit Post Approval Requirements, or the Audit Program (which is yet to be prepared).	Not triggered
A42	Independent Audit Reports and the Proponent's response to audit findings must be submitted to the Planning Secretary within two (2) months of undertaking the independent audit site inspection as outlined in the Independent Audit Post Approval Requirements (DPIE, 2020), unless otherwise agreed by the Planning Secretary.	The site inspection for IA1 was conducted on 28/02/22. The IA1 Audit Report is due 28/04/22, however, an extension for submission of report was sent to DPE that granted until 6 May 2022. WolfPeak IA1 Audit Final Report was submitted to SM on 27/04/2022.			WolfPeak IA1 Audit Final Report was submitted to SM on 27/04/2022. Sydney Metro submitted the audit report with the response to audit findings on 6 May 2022.	Compliant
Incident and Non-compliance Notification and Reporting						
A43	The Planning Secretary must be notified via phone or in writing via the Major Projects website immediately after the Proponent becomes aware of an incident. Any notification via phone must be followed up by a notification in writing via the Major Projects website within 24 hours of the initial phone call. The written notification must identify the CSSI (including the application number and the name of the CSSI if it has one) and set out the location and general nature of the incident.	Interview with auditees, 27/09/2022 At the time of audit, the auditees were not aware of any incidents that meet the criteria under the Planning Approval having occurred.	No reportable incident that causes material harm to the environment. The following non-compliance were self-reported by AFJV they to the Department. AFJV established a register of non-Compliances included description of non-compliances. Actions and submission of notification to Department.	Incident on 25 June 2022 impact on Heritage Building at Parramatta notification to DPE 29 June 2022 (Ref: PA208) All actions as per the incident report have been implemented and the heritage building will be handed to WTP a week after this audit.	The Planning Secretary was notified via phone or in writing via the Major Projects website immediately after the Proponent becomes aware of an incident.	Compliant
A44	Subsequent notification must be given, and reports submitted in accordance with the requirements set out in Appendix A.	Interview with auditees, 27/09//2022		Clyde Oil Seepage Incident Investigation	Evidence provided indicates that incident notification has been undertaken in line with A44.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
		Not triggered for Phase A (Quickway) works.		Report, Sydney Metro, Version 2, March 2022 Email correspondence confirming submission of Incident Investigation Report to DPE on 07/04/2022, email dated 26/04/2022	A total 16 incidents were reported for the past 6 months there were two incidents reported to DPE.	
A45	The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.	<p>Non-compliant self-reported to Sydney Metro, AA, and ER on 24 May 2022. With regards to the non-compliant dated 16 May 2022 regarding the vacuum truck was not included in the approved OOHW permit.</p> <p>Sydney Metro notified the non-compliance to the Department on 31 May 2022 within 7 days upon becoming aware.</p>	<p>Self-Report Non-compliance</p> <ul style="list-style-type: none"> NCR-003 (D38) – 1 March 2022 and notified to SM on 9 March 2022- incident and non-compliance, AFJV enviro team held a meeting with the Acoustic Advisor to review this event. Although no complaints were received for the urgent use of the vac truck. It was agreed with the Acoustic Advisor that this event be documented as an NCR as it was not consistent with the OOHW Permit NCR004 - CoA D86 & D87 – using local road for heavy vehicle access on 11&12 April 2022 NCR-005- REMM GW4 -4/5/2022 – The groundwater monitoring data were not provided to DPE, EPA and NRAR prior to commencement of 	<p>AA raised an issue on vibration monitoring at Parramatta – NCR-005 NC against C10. Reported to Sydney Metro 26 May 2022.</p> <p>Notification to the Department on 2 June 2022.</p> <p>There were three NCs from the last 6 months.</p>	<p>Self-Reported Non-Compliant</p> <p>NCR-EVT-0001455 (Phase B1) - dated 16 September 2022 was raised for delays in notification of non-compliances for Phase B1 to DPE lodge on 23 September 2022 describing the delays of notification on the following NCR:</p> <ul style="list-style-type: none"> NCR of 11&12 April 2022 – HVLR in place for Five Dock site exceeding the number of trucks NCR of 2 June 2022 – Five Dock A21 was submitted to ER but not works commenced without the approval NCR 12 June 2022 – North Strathfield – Tree clearing was not included in DNVIS NCR 24 June 2022 NCR against CTMP <p>This is now closed.</p>	Non-Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
			<p>construction. These reports have subsequently been provided to EPA (directly by AFJV) on 4 May and to Sydney Metro to provide to DPIE and NRAR (not permitted to be contacted directly by AFJV) on 28 April</p> <ul style="list-style-type: none"> • NCR-006- EPL A2.4- AFJV received an advisory letter from the EPA on 16/05/2022, relating to Condition A2.4, noting that AFJV had failed to upload the EPL Premise Boundary Maps within 3 days of EPL approval. • NCR-007-CoA A21- 2/6/2022-Site team had accessed and undertaken preliminary establishment activities of a property that had been obtained under a lease agreement, prior to final approval of the Minor Ancillary Facility approval (CoA A21) from 			

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
			<p>the Environmental Representative.</p> <ul style="list-style-type: none"> NCR-008-CTMP - 22/6/22 – non-compliant to the turning direction as indicated in CTMP. <p>NCR-009- CoA D43- The tree clearing activity was not included in the Detailed Noise and Vibration Impact Statement (DNVIS) but was assessed (consistent with a DNVIS and as per agreement with the Acoustic Advisor) as part of an OOHW Permit, however this assessment was not provided to the Acoustic Advisor prior to the works commencing.</p>			
A46	<p>A non-compliance notification must identify the CSSI (including the application number for it), set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be undertaken to address the non-compliance.</p> <p><i>Note: A non-compliance which has been notified as an incident does not need to also be notified as a noncompliance.</i></p>	See A45.	See A45.	See A45.	A non-compliance notification identified the CSSI including the application number, set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance and what actions have been, or will be undertaken to address the non-compliance.	Compliant
Identification of Workforce						
A47	All Heavy Vehicles used for spoil haulage must be clearly marked on the sides and rear with the project name and application number to enable immediate identification by a person viewing the Heavy Vehicle standing 20 metres away.	<p>Site inspection, 23/09/2021</p> <p>Sydney Metro West truck sign template</p> <p>Photos of heavy vehicles with signage installed were presented during the interview on 27/09/2022.</p>	<p>Site inspection, 23/09/2021</p> <p>Sydney Metro West truck sign template</p> <p>Photos of heavy vehicles with signage installed were presented during the interview on 27/09/2022.</p>	<p>Site inspection, 23/09/2021</p> <p>Sydney Metro West truck sign template</p> <p>Photos of heavy vehicles with signage installed were presented during the interview on 27/09/2022.</p>	All Heavy Vehicles used for spoil haulage were marked on the sides and rear with the project name and application number and are visible within 20 meters away.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
A48	The CSSI name, application number, telephone number, postal address and email address required under Condition B3 of this schedule must be available on-site boundary fencing / hoarding at each ancillary facility before the commencement of construction. This information must also be provided on the website required under Condition B11 of this schedule.	Site inspection, 23/09/2021 Signage is in place at the Project sites in line with A48.	Site inspection, 23/09/2021 Signage is in place at the Project sites in line with A48.	Site inspection, 23/09/2021 Signage is in place at the Project sites in line with A48.	Signage is in place at the Project sites in line with A48. See photos in Appendix D of the Audit Report.	Compliant
PART B: COMMUNITY INFORMATION AND REPORTING						
Community Information, Consultation, and Involvement						
B1	Community Communication The Overarching Community Communication Strategy as provided in the documents listed in Condition A1 of this schedule must be implemented for the duration of the work.	Latest revision - SMW Overarching Community Communications Strategy, Sydney Metro, Rev 3 3 August 2022 Community newsletters and notifications (available on Sydney Metro website) Interview with auditees, 10/10/2022 Phase B- Contractor – prepared the specific Comms Plan and now on Revision 2 for approval Comms maintained the implementation of the CCS e.g., more door knockings, notifications and proactively calling residence prior to works and during delays and complaints. Five Dock – Community benefit Plan – running 3 months shop local program – people can win vouchers on using local businesses to encourage on using local business.			Evidence provided during the audit demonstrates that the Overarching Community Communications Strategy is being implemented.	Compliant
Complaints Management System						
B2	A Complaints Management System must be prepared and implemented before the commencement of any work and maintained for the duration of construction and for a minimum for 12 months following completion of construction of Stage 1 of the CSSI.	Latest revision - SMW Overarching Community Communications Strategy, Sydney Metro, Rev 2.2, 07/04/2021 Sydney Metro Construction Complaints Management System, Version 2 5 February 2022 Report output from Consultation Manager, March 2022 to October 2022 Interview with auditees, 10/10/2022			The Complaints Management System document describes and governs the Project's Complaints Management System. Phase A & C - All complaints were closed out and nothing is outstanding. Most of the complaints are about noise and vibration for demolition and OOHW works.	Compliant
B3	The following information must be available to facilitate community enquiries and manage complaints before the commencement of work and for 12 months following the completion of construction: a 24- hour telephone number for the registration of complaints and enquiries about the CSSI. a postal address to which written complaints and enquires may be sent. an email address to which electronic complaints and enquiries may be transmitted; and a mediation system for complaints unable to be resolved. This information must be accessible to all in the community regardless of age, ethnicity, disability, or literacy level.	Sydney Metro Construction Complaints Management System, Version 1, 15/12/2021 Phone: 1800 612 173 (community information line open 24 hours) Email: sydneymetrowest@transport.nsw.gov.au https://www.sydneymetro.info/west/project-overview https://www.sydneymetro.info/website-accessibility			The Complaints Management System document describes and governs the Complaints Management System. The phone number was tested. No issues. The address was sighted on the signage during the inspection. The email address was sighted on the signage during the inspection. The Complaints Management System includes details on escalation and mediation. The Project website demonstrates that accessibility and language considerations have been incorporated.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
B4	<p>A Complaints Register must be maintained recording information on all complaints received about the CSSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The Complaints Register must record the:</p> <ul style="list-style-type: none"> number of complaints received. date and time of the complaint. number of people in the household affected in relation to a complaint, if relevant. method by which the complaint was made. any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect. issue of the complaint. means by which the complaint was addressed and whether resolution was reached, with or without mediation; and if no action was taken, the reason(s) why no action was taken. 	<p>Report output from Consultation Manager, March to September 2022</p> <p>Interview with auditees, 10/10/2022</p> <p>Complaint Register Phase A&C1 - March to September 2022 (total 152 complaints)</p> <p>Phase A&C - From March to September 2022 – a total of 152 complaints for SM West. (Based on output reports from Consultation Manager provided by Sydney Metro). The complaints related predominantly to noise, out of hours works, property (acquisition, damage, and access), traffic, consultation, and worker behaviour.</p> <p>Phase B1- complaints for CTP – AFJV from the last 6 months. Majority are noise – OOHW coming from Five Dock and few from Burwood and Strathfield. AFJV (CTP-Phase B1) Complaint Register March to September 2022 (total of 49 complaints)</p> <p>Sydney Metro West Power Enabling Works Unattended Noise Monitoring Report dated 30 August 2022. Complaints received on 7/6/2022, 15/6/2022 and 30/6/2022 at 45 Donnelly who does not want to avail the alternative accommodation. Quickway had done all the reasonable and practical solution to minimize the noise after 10pm and unattended monitoring were conducted to show that the noise criteria were complied with. The Report was sent to the AA on 5/09/2022 and the AA responded on 20/09/2022 with good positive feedback.</p> <p>Positive complements were given to Quickway from the communities on the outcome of noise and community engagement by helping community on putting noise blankets when needed.</p>			<p>A complaints register is being maintained for the Project by Sydney Metro using the software, Consultation Manager. Consultation Manager which captures all of the information required under B4.</p>	Compliant
B5	<p>Complainants must be advised of the following information before, or as soon as practicable after, providing personal information:</p> <ul style="list-style-type: none"> the Complaints Register may be forwarded to government agencies, including the Department (Department of Planning Industry and Environment, 4 Parramatta Square, 12 Darcy Street, Parramatta NSW 2150), to allow them to undertake their regulatory duties. by providing personal information, the complainant authorises the Proponent to provide that information to government agencies. the supply of personal information by the complainant is voluntary; and the complainant has the right to contact government agencies to access personal information held about them and to correct or amend that information (Collection Statement). The Collection Statement must be included on the Proponent or development website to make prospective complainants aware of their rights under the Privacy and Personal Information Protection Act 1998 (NSW). For any complaints made in person, the complainant must be made aware of the Collection Statement. 	<p>Complaints line recording provided</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p> <p>Interview with auditees, 10/10/2022</p>			<p>The complaints line included prompts about collection of personal information that was consistent with requirements a) – d) and includes a direction to the website for further information.</p> <p>The website includes the Collection Notice (Collection Statement).</p>	Compliant
B6	<p>The Complaints Register must be provided to the Planning Secretary upon request, within the timeframe stated in the request. Note: Complainants must be advised that the Complaints Register may be forwarded to Government agencies to allow them to undertake their regulatory duties.</p>	<p>Interview with auditees, 27-28/09/2022</p> <p>The following records were provided:</p> <ul style="list-style-type: none"> Sydney Metro West Monthly Complaints report - March 2022 sent to Department, ER and AA on 1/4/2022 			<p>Monthly submission of the Complaints Register to DPE, ER and AA was conducted.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
		<ul style="list-style-type: none"> Sydney Metro West Monthly Complaints report - April 2022 sent to Department, ER and AA on 2/5/2022 Sydney Metro West Monthly Complaints report - May 2022 sent to Department, ER and AA on 1/6/2022 Sydney Metro West Monthly Complaints report - June 2022 sent to Department, ER and AA on 1/7/2022 Sydney Metro West Monthly Complaints report - July 2022 sent to Department, ER and AA on 1/8/2022 Sydney Metro West Monthly Complaints report - August 2022 sent to Department, ER and AA on 1/9/2022 Sydney Metro West Monthly Complaints report - August 2022 sent to Department, ER and AA on 1/10/2022 				
B7	A Community Complaints Mediator that is independent of the design and construction personnel must be engaged by the Proponent, upon the referral of the complaint by the ER in accordance with the Overarching Community Communication Strategy.	<p>Letter from Sydney Metro to Mr. Steve Lancken confirming Community Complaints Mediator appointment, 14/12/2021</p> <p>Standing Offer Deed – Sydney Metro Mediation Services, Steve Lancken Conflict Management Pty Ltd trading as Negocio Resolutions, SMC-21-0232, 22/12/2021</p> <p>Letter from Mr. Steve Lancken to Sydney Metro, dated 21/03/2022</p> <p>Quickway- no major complaints that needs mediator.</p>			<p>Sydney Metro has engaged Mr. Stephen Lancken (Steve Lancken Conflict Management Pty Limited t/as Negocio Resolutions) as the Community Complaints Mediator for the Project. Mr. Lancken has issued a letter stating his independence.</p> <p>The auditees advised that there has been no referral of complaints by the ER to date.</p> <p>Phase A&C1 did not utilise the mediator.</p>	Compliant
B8	The role of the Community Complaints Mediator is to provide independent mediation services for any reasonable and unresolved complaint referred by the ER where a member of the public is not satisfied by the Proponent's response. Where a Community Complaints Mediator is required, a mediator accredited under the National Mediator Accreditation System (NMAS), administered by the Mediator Standards Board must be appointed.	<p>Letter from Sydney Metro to Mr. Steve Lancken confirming Community Complaints Mediator appointment, 14/12/2021</p> <p>Mediator Standards Board website – https://msb.org.au/mediators</p>			<p>A search of the Mediator Standards Board website confirms that Mr. Stephen Lancken is accredited under the NMAS (ref. 130-3710).</p> <p>As noted above, the auditees advised that there has been no referral of complaints by the ER to date.</p> <p>Not utilised</p>	Compliant
B9	Community Complaints Mediation will: review any unresolved disputes, referred by the ER in accordance with the Overarching Community Communication Strategy. make recommendations to the Proponent to satisfactorily address complaints	Interview with auditees, 27-28/09/2022 and 10&12 October 2022			<p>Sydney Metro advised that there has been no requirement to date for Community Complaints Mediation in relation to the Project.</p> <p>Not utilized</p>	Not triggered
B10	Community Complaints Mediation will not be enacted before the Complaints Management System required by Condition B2 of this schedule has been executed for a complaint and will not consider issues such as property acquisition, where other dispute processes are provided for in this approval or clear government policy and resolution processes are	Interview with auditees, 27-28/09/2022 and 10&12 October 2022			<p>The Complaints Management System described under B2 has been executed. No Community Complaints Mediation has been enacted to date.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	available or matters which are not within the scope of this CSSI.				Phase B- one complaint to be escalated to the Mediator regarding CTP works – ongoing issue mainly noise and OOHW that lives between sites in Five Dock. Ongoing process. Documentation and resolution will be reviewed on the next audit.	
Provision of Electronic Information						
B11	<p>A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all phases of construction of Stage 1 of the CSSI. Up-to-date information (excluding confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including:</p> <ul style="list-style-type: none"> information on the current implementation status of Stage 1 of the CSSI; a copy of the documents listed in Condition A1 of this schedule, and any documentation relating to any modifications made to the CSSI or the conditions of this approval; a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its conditions), and copies of any approval granted by the Minister to a modification of the conditions of this approval, or links to the referenced documents where available; a copy of each statutory approval, license or permit required and obtained in relation to Stage 1 of the CSSI, or where the issuing agency maintains a website of approvals, licences or permits, a link to that website; a current copy of each document required under the conditions of this approval, which must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation, as the case may be; and a copy of the audit reports required under this approval. Where the information / document relates to a particular work or is required to be 	<p>Phase A (Quickway) website – https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/</p>	<p>Phase B1 (AFJV) website – https://www.accionacom.au/sydney-metro-west-ctp/?_adin=0183579827</p> <p>AFJV- Developing virtual community information room which will focus on the update on each site, fact sheets, tunnelling, noise, and vibration impacts. More information for public and links to videos. It is in progress to be up and running in the next few months.</p>	<p>Phase C (Delta) website – https://www.deltagroup.com.au/sydney-metro-west-project/</p>	<p>Sydney Metro website – https://www.sydneymetro.info/documents</p> <p>Non-Compliant:</p> <p>The navigation to each package (contractor) is made easier but not all documents required under B11 are posted on the Project website. SM submitted a letter dated 30 August 2022 requesting agreement from the Planning Secretary to exclude documentation that is required to be uploaded to the project’s website under condition B11 of SSI-10038. The Department considers in their response letter dated 27/09/2022 that confidential, private, and commercial information (including personal, health and culturally sensitive details) should be redacted from reports prior to being published on the project’s website. However, this does not preclude these redacted reports from being placed on the project’s website, in accordance with condition B11. As of 12 October 2022, the documents i.e., ER and AA Monthly Reports, archaeological method statements, and detailed site investigations, were not yet posted on the website.</p> <p>Recommendation:</p> <p>Sydney Metro to review the website and make necessary adjustment to ensure that all documents required to be posted are on the website and that it is easier to navigate.</p>	Non-Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation. All information required in this condition is to be provided on the website or webpage, and easy to navigate.					
PART C: CONSTRUCTION ENVIRONMENTAL MANAGEMENT						
Construction Environmental Management Plan						
C1	Construction Environmental Management Plans (CEMPs) and CEMP Sub-plans must be prepared in accordance with the Construction Environmental Management Framework (CEMF) included in the documents listed in Condition A1 of this schedule to detail how the performance outcomes, commitments and mitigation measures specified in the documents listed in Condition A1 of this schedule will be implemented and achieved during construction	<p>SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021</p> <p>ER endorsement letter - Power Enabling Works Construction Environmental Management Plan, Rev 1.0, dated 04/11/2021</p> <p>CEMP Rev 1.2 dated 13 May 2022 and endorsed by ER on 18 May 2022.</p> <p>Environmental Audit Checklist dated 18/04/2022 conducted by Tom St Vincent Welch</p> <p>Annual internal audit is being conducted as per the CEMP.</p>	<p>SMW CTP Construction Environmental Management Plan, AFJV, Rev 3, 09/11/2021</p> <p>ER endorsement letter</p> <p>DPE approval letter – CTP CEMP and Sub Plans, 20/12/2021</p> <p>AFJV had conducted 3 internal audit for the past 6 months that covers the implementation of the CEMP:</p> <ul style="list-style-type: none"> - MATERIAL TRACKING Auditee: Earthfill Audit Number: EA-01 – 22/02/22 - OUT OF HOURS WORKS (OOHW) Auditee: Burwood North TEAM OOHW Audit Number: EA-01 date 19/08/2022 - Environmental Audit Checklist – CEMP and subplans review date 29/09/2022, score 98%. 	<p>SMW Demolition Works Construction Environmental Management Plan, Delta, Revision 9, 4/4/2022</p> <p>ER endorsement on 12/4/2022</p> <p>Implementation check of CEMP was conducted through weekly inspection and compliance register to Sydney Metro 6 monthly basis. Latest version was sent 1 July 2022.</p>	CEMP and Sub-plans for each phase of the Project have been developed in accordance with CEMF requirements and have been endorsed by ER/approved by the Planning Secretary, as required.	Compliant
C2	With the exception of any CEMPs expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMPs must be submitted to the Planning Secretary for approval.	<p>SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021</p> <p>ER endorsement letter - Power Enabling Works Construction Environmental</p>	<p>SMW CTP Construction Environmental Management Plan, AFJV, Rev 3, 09/11/2021</p> <p>ER endorsement letter</p> <p>DPE approval letter – CTP CEMP and Sub Plans, 20/12/2021</p>	Refer to C1	Refer to C1.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status																		
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)																				
		Management Plan, Rev 1.0, dated 04/11/2021																						
C3	The CEMP(s) not requiring the Planning Secretary's approval must be submitted to the ER for endorsement no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase. That CEMP must obtain the endorsement of the ER as being consistent with the conditions of this approval and all undertakings made in the documents listed in Condition A1 of this schedule.	SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021 ER endorsement letter - Power Enabling Works Construction Environmental Management Plan, Rev 1.0, dated 04/11/2021	SMW CTP Construction Environmental Management Plan, AFJV, Rev 3, 09/11/2021 ER endorsement letter DPE approval letter – CTP CEMP and Sub Plans, 20/12/2021	SMW Demolition Works Construction Environmental Management Plan, Delta, Revision 6, 22/12/2021 ER endorsement letter DPE approval letter	Refer to C1 and A48.	Compliant																		
C4	Any CEMP to be approved by the Planning Secretary must be endorsed by the ER and then submitted to the Planning Secretary for approval no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021 ER endorsement letter - Power Enabling Works Construction Environmental Management Plan, Rev 1.0, dated 04/11/2021	SMW CTP Construction Environmental Management Plan, AFJV, Rev 3, 09/11/2021 ER endorsement letter DPE approval letter – CTP CEMP and Sub Plans, 20/12/2021	CEMP Revision dated 4/04/2022 and endorsed by ER on 11/04/2022	CEMPs for the Project were endorsed by the ER prior to submission to the Planning Secretary for approval.	Compliant																		
C5	Of the CEMP Sub-plans required under Condition C1 of this schedule, the following CEMP Sub-plans must be prepared in consultation with the relevant government agencies identified for each CEMP Sub-plan. Details of issues raised by a government agency during consultation must be included in the relevant CEMP Sub-plan, including copies of all correspondence from those government agencies as required by Condition A6 of this schedule. Where a government agency (ies) request(s) is not included, the Proponent must provide the Planning Secretary / ER (whichever is applicable) justification as to why: <table border="1" data-bbox="320 1459 1003 1753"> <thead> <tr> <th></th> <th>Required CEMP Sub-plan</th> <th>Relevant government agencies to be consulted for each CEMP Sub-plan</th> </tr> </thead> <tbody> <tr> <td>(a)</td> <td>Noise and vibration</td> <td>SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>(b)</td> <td>Flora and fauna</td> <td>DPIE EES, DPI Fisheries, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s)</td> </tr> <tr> <td>(c)</td> <td>Soil and water</td> <td>DPIE EES, Relevant Council(s), SOPA (in respect of Sydney Olympic Park) and Sydney Water (if Sydney Water's assets are affected)</td> </tr> <tr> <td>(d)</td> <td>Heritage (Non-Aboriginal and Aboriginal)</td> <td>Heritage NSW, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>(e)</td> <td>Spoil</td> <td>Relevant Council(s) and SOPA (in respect of Sydney Olympic Park)</td> </tr> </tbody> </table>		Required CEMP Sub-plan	Relevant government agencies to be consulted for each CEMP Sub-plan	(a)	Noise and vibration	SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)	(b)	Flora and fauna	DPIE EES, DPI Fisheries, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s)	(c)	Soil and water	DPIE EES, Relevant Council(s), SOPA (in respect of Sydney Olympic Park) and Sydney Water (if Sydney Water's assets are affected)	(d)	Heritage (Non-Aboriginal and Aboriginal)	Heritage NSW, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)	(e)	Spoil	Relevant Council(s) and SOPA (in respect of Sydney Olympic Park)	The sub plans for Phase A were posted on project website https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/	The sub plans for CTP Phase B1 were posted on project website https://www.accionacom.au/sydney-metro-west-ctp/?_adin=0183579827#_ga=2.158171066.1834514048.1667788041-1372581825.1661399770	SMW Demolition Construction Noise and Vibration Management Plan, Rev 6, 9/4/2022 endorsed by AA and ER on 11 April 2022. SMW Demolition Heritage Management Sub-Plan, Rev 4, 9/3/22, endorsed by ER 28/03/22 SMW Demolition Flora and Fauna Management Sub-Plan, Rev 9, 9/3/2022 endorsed by ER 28/03/22 SpMSP rev 3, 9/3/2022 endorsed by ER 28/03/22 AQMSP rev 3 dated 16/03/2022 endorsed by ER 11/4/22 WSMP rev 5 dated 9/3/2022 endorsed by ER on 28/03/22 CEMP and subplans endorsement register was presented by Delta	The CEMP Sub-plans prepared for the Project have been prepared in line with C5, as evidenced by their endorsement by the ER and approval by the Planning Secretary.	Compliant
	Required CEMP Sub-plan	Relevant government agencies to be consulted for each CEMP Sub-plan																						
(a)	Noise and vibration	SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)																						
(b)	Flora and fauna	DPIE EES, DPI Fisheries, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s)																						
(c)	Soil and water	DPIE EES, Relevant Council(s), SOPA (in respect of Sydney Olympic Park) and Sydney Water (if Sydney Water's assets are affected)																						
(d)	Heritage (Non-Aboriginal and Aboriginal)	Heritage NSW, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)																						
(e)	Spoil	Relevant Council(s) and SOPA (in respect of Sydney Olympic Park)																						

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
				https://www.deltagroup.com.au/sydney-metro-west-project/		
C6	The CEMP Sub-plans must state how: the environmental performance outcomes identified in the documents listed in Condition A1 of this schedule will be achieved. the mitigation measures identified in the documents listed in Condition A1 of this schedule will be implemented. the relevant conditions of this approval will be complied with; and issues requiring management during construction (including cumulative impacts), as identified through ongoing environmental risk analysis, will be managed through SMART principles.	C5	C5	C5	The CEMP Sub-plans prepared for the Project have been prepared in line with C6, as evidenced by their endorsement by the ER and approval by the Planning Secretary.	Compliant
C7	With the exception of any CEMP Sub-plans expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMP Sub-plans must be submitted to the Planning Secretary for approval.	SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021 SMW Power Enabling Works Construction Noise and Vibration Management Plan, Rev 0.0, 08/06/2021	SMW CTP Construction Environmental Management Plan, AFJV, Rev 3, 09/11/2021 SMW CTP Construction Noise and Vibration Management Plan, AFJV, Rev 03, 06/12/2021 SMW CTP Flora and Fauna Management Plan, AFJV, Rev 02, 14/10/2021 SMW CTP Soil and Water Management Plan, AFJV, Rev 03, 03/11/2021 SMW CTP Heritage Management Plan, Rev 03, 16/11/2021 SMW CTP Spoil Management Plan, AFJV, Rev 03, 27/10/2021	SMW Demolition Works Construction Environmental Management Plan, Delta, Revision 6, 22/12/2021 SMW Demolition Construction Noise and Vibration Management Plan, Rev 4, 25/10/2021 SMW Demolition Heritage Management Sub-Plan, Rev 3, 25/10/2021 SMW Demolition Flora and Fauna Management Sub-Plan, Rev 8, 17/01/2022	The CEMP Sub-Plans prepared for the Project have been endorsed by the approved by the Planning Secretary.	Compliant
C8	The CEMP Sub-plans not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all relevant undertakings made in the documents listed in Condition A1 of this schedule. Any of these CEMP Sub-plans must be submitted to the ER with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	The sub plans for Phase A were posted on project website https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/	The sub plans for CTP Phase B1 were posted on project website https://www.acciona.com.au/sydney-metro-west-ctp/?_adin=0183579827#_ga=2.158171066.1834514048.1667788041-1372581825.1661399770	CEMP and subplans endorsement register was presented by Delta https://www.deltagroup.com.au/sydney-metro-west-project/	The CEMP Sub-Plans prepared for the Project have been endorsed by the ER.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
C9	Any of the CEMP Sub-plans to be approved by the Planning Secretary must be submitted to the Planning Secretary with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021 SMW Power Enabling Works Construction Noise and Vibration Management Plan, Rev 0.0, 08/06/2021 ER endorsement letter DPE approval letter	SMW CTP Construction Environmental Management Plan, AFJV, Rev 3, 09/11/2021 SMW CTP Construction Noise and Vibration Management Plan, AFJV, Rev 03, 06/12/2021 SMW CTP Flora and Fauna Management Plan, AFJV, Rev 02, 14/10/2021 SMW CTP Soil and Water Management Plan, AFJV, Rev 03, 03/11/2021 SMW CTP Heritage Management Plan, Rev 03, 16/11/2021 SMW CTP Spoil Management Plan, AFJV, Rev 03, 27/10/2021 ER endorsement letter DPE approval letter	SMW Demolition Works Construction Environmental Management Plan, Delta, Revision 6, 22/12/2021 SMW Demolition Construction Noise and Vibration Management Plan, Rev 4, 25/10/2021 SMW Demolition Heritage Management Sub-Plan, Rev 3, 25/10/2021 SMW Demolition Flora and Fauna Management Sub-Plan, Rev 8, 17/01/2022	The CEMP Sub-Plans were submitted to the Planning Secretary at least .one month before construction.	Compliant
C10	Construction must not commence until the CEMP and all CEMP Sub-plans have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable), unless otherwise agreed by the Planning Secretary. The CEMP and CEMP Sub-plans, as approved by the Planning Secretary or endorsed by the ER (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction. Where construction of Stage 1 of the CSSI is phased, construction of a phase must not commence until the CEMP and CEMP Sub-plans for that phase have been approved by the Planning Secretary or <u>endorsed</u> by the ER upon nomination by the Planning Secretary (whichever is applicable). <u>[SSI-10038 Mod-1]</u>	The CEMP and Sub-Plans were approved by the ER and Planning Secretary prior to commencement of construction. Refr to C1 to C5.	Environment and Sustainability Out of Hours Work (OOHW) Toolbox 29/03/22 DNVIS & OOHW BWD Training attendance sheet sand certificates of competency. Internal Audits on CEMP and subplans refer to C1.	Toolbox talks were sighted for: - 20211126 TBT Mgt of Waste Classes – Clyde - 20211126 TBT Mgt of Waste Classes – Parramatta - 20211126 TBT Mgt of Waste Classes – Westmead - OOHW Toolbox Talks 24/06/22	The CEMP and Sub-Plans were approved by the ER and Planning Secretary prior to commencement of construction. Based on the NCs and incidents toolbox talks were conducted by contractors. Environmental Alert are also release by SM to remind contractor with regards to the incidents and non-compliances raised during the audit period. Internal audits were conducted to confirm compliance against CEMP and subplans.	Compliant
C11	In addition to the relevant requirements of the CEMF, the Flora and fauna CEMP Sub-plan must include, but not be limited to: <ul style="list-style-type: none"> site specific mitigation measures to manage impacts (including proposed techniques, timing, frequency and responsibility of implementing); measures to minimise disturbance to habitat associated with Myotis macropus / Southern 	SMW Power Enabling Works Construction Flora and Fauna Management Plan Rev 9 dated 9/3/2022 endorsed by ER on 28/3/2022	Sydney Metro West, Central Tunnelling Package Construction Flora, and Fauna Management Plan (SMWSTCTP-AFJ-1NL-EO-PLN-000001 Revision 06 dated 6 September 2022).	Sydney Metro West, Delta Group Flora, and Fauna Management Plan (FFMP Rev 8 dated 17 January 2022) endorsed by ER on 24/01/2022.	The FFMP has been developed in accordance with clause 10.2(a) of the Sydney Metro West and CEMF and Condition C11 as endorsed by ER.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>Myotis, including demolition inspections by a suitably qualified ecologist of any vegetation to be cleared and any buildings or structures identified as potential roosting habitat for microbats that are to be demolished or refurbished;</p> <ul style="list-style-type: none"> measures to minimise and mitigate disturbance to mangrove forests at the Clyde Maintenance and Stabling construction site to the extent necessary; and details for undertaking and mitigating vegetation clearance through improved environmental outcomes. 		endorsed by ER on 12/10/2022			
C12	<p>In addition to the relevant requirements of the CEMF, the Soil and Water CEMP Sub-plan must include, but not be limited to:</p> <ul style="list-style-type: none"> details of construction activities and their locations which have the potential to expose areas known to contain, or potentially contain, contaminated soils and / or materials; measures for the handling, treatment and management of hazardous and contaminated soils and materials including measures to manage and / or minimise worker and public health and safety with regards to exposure to contamination; and a description of how the effectiveness of the actions and measures for managing contamination impacts would be monitored during the proposed works, clearly indicating how often this monitoring would be undertaken, the locations where monitoring would take place, and how the results of the monitoring would be recorded and reported. 	SMW Power Enabling Works Construction Environmental Management Plan (Appendix H – Soil and Water Management Plan), Quickway, Rev 9 dated 4/4/2022 endorsed by ER 11/4/2022	Sydney Metro West, Central Tunnelling Package Soil and Water Management Plan (SWMP) (SMWSTCTP-AFJ-1NL-PE-PLN-000003 Revision 05, 16/08/2022) endorsed by ER on 8/09/2022	Construction Environmental Management Plan rev 9 dated 4/4/2022 endorsed by ER 11/04/2022	The SWMP has been prepared by to address the requirements of Infrastructure Approval conditions C5(c), C6 and C12 as endorsed by ER.	Compliant
C13	<p>In addition to the relevant requirements of the CEMF, the Heritage CEMP Sub-plan must include, but not be limited to: be prepared in consultation with a suitably qualified and experienced heritage expert.</p> <p>identify exclusion zones, archival recording requirements, baseline, and periodic monitoring protocols (including before and during construction).</p> <p>identify and assess the heritage significance of the ancillary structures proposed to be demolished or significantly impacted that are within the curtilage of White Bay Power Station and other items identified as retaining 'potential heritage significance' in the documents listed in Condition A1 of this schedule and which will be impacted by the CSSI.</p>	Heritage Management Plan Rev 4 dated 9/3/2022 endorsed by ER 28/03/2022	Sydney Metro West, Central Tunnelling Package Heritage Management Plan (HMP Rev 06 dated 27 July 2022) endorsed by ER on 29/07/2022	SMW Demolition Heritage Management Sub-Plan, Rev 4 dated 9/03/2022 endorsed by ER on 28/03/2022	The Heritage Sub-plans prepared for the Project have been prepared in line with C13, as evidenced by their endorsement by the ER and approval by the Planning Secretary.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status															
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)																	
	<p>in association with Condition D61 of this schedule, set out the final site inspections to be conducted within three (3) months of completion of construction for the following heritage sites unless otherwise agreed by the Planning Secretary:</p> <p>the Roxy Theatre (SHR I00711).</p> <p>White Bay Power Station (SHR I01015).</p> <p>the former State Abattoirs (State Environmental Planning Policy (State Significant Precincts) 2005 Item 141); and</p> <p>the RTA Depot facade fronting Unwin Street (Parramatta Local Environmental Plan 2011 I576); and</p> <p>set out means of rectification of any damage by the CSSI to Heritage items (d)(i) to (d)(iv) above within six (6) months of the completion of construction at the construction site identified in the relevant Heritage CEMP Sub-plan. This rectification work must be in consultation with a suitably qualified and experienced heritage consultant to ensure the use of appropriate materials, appropriate conservation practices and in accordance with existing heritage management documents (for example, conservation management plans or strategies) to protect and conserve the heritage significance of the items. The Heritage CEMP Sub-plan must include Aboriginal cultural heritage management and mitigation measures (that may include conservation, archaeological salvage excavation and community collection) based on the Aboriginal Cultural Heritage Excavation Report and continuing Aboriginal community consultation.</p>																				
Construction Monitoring Programs																					
C14	<p>The following Construction Monitoring Programs must be prepared in consultation with the relevant government agencies identified for each to compare actual performance of construction of Stage 1 of the CSSI against the performance predicted in the documents listed in Condition A1 of this schedule or in the CEMP:</p> <table border="1"> <thead> <tr> <th></th> <th>Required Construction Monitoring Programs</th> <th>Relevant government agencies to be consulted for each Construction Monitoring Program</th> </tr> </thead> <tbody> <tr> <td>(a)</td> <td>Noise and vibration</td> <td>EPA, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>(b)</td> <td>Blasting</td> <td>SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>(c)</td> <td>Surface water quality</td> <td>DPIE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)</td> </tr> <tr> <td>(d)</td> <td>Groundwater</td> <td>DPIE Water and SOPA (in respect of Sydney Olympic Park)</td> </tr> </tbody> </table> <p><i>Note: The Blasting Construction Monitoring Program is only required to be prepared if blasting is proposed to be conducted during construction.</i></p>		Required Construction Monitoring Programs	Relevant government agencies to be consulted for each Construction Monitoring Program	(a)	Noise and vibration	EPA, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)	(b)	Blasting	SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)	(c)	Surface water quality	DPIE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)	(d)	Groundwater	DPIE Water and SOPA (in respect of Sydney Olympic Park)	<p>Sydney Metro West, Delta Group Construction Noise and Vibration Management Sub Plan (CNVMP Rev6, dated 11 April 2022) endorsed by ER on 11/04/2022 also endorsed by AA</p>	<p>Sydney Metro West, Central Tunnelling Package Construction Noise and Vibration Management Plan (CNVMP Rev 08 dated 13 July 2022) endorsed by ER on 28/03/2022</p> <p>Sydney Metro West, Central Tunnelling Package Surface Water Monitoring Program (SWMonP) (SMWSTCTP-AFJ-1NL-PE-PRG-000001 Revision 03 10/06/2022) which forms Appendix D to the SWMP. Endorsed by ER on 8/9/2022</p>	<p>Sydney Metro West, Delta Group Construction Noise and Vibration Management Sub Plan (CNVMP Rev6, dated 11 April 2022). Endorsed by AA and ER 11/04/2022</p>	<p>The CNVMP also included Noise and Vibration Monitoring Program to address the requirements of Conditions C14 to C16.</p> <p>The SWMonP has been prepared by AFJV to address the requirements of Condition C14(c) and C15 of the Infrastructure Approval.</p>	Compliant
	Required Construction Monitoring Programs	Relevant government agencies to be consulted for each Construction Monitoring Program																			
(a)	Noise and vibration	EPA, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)																			
(b)	Blasting	SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)																			
(c)	Surface water quality	DPIE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)																			
(d)	Groundwater	DPIE Water and SOPA (in respect of Sydney Olympic Park)																			
C15	Each Construction Monitoring Program must provide: details of baseline data available including the period of baseline monitoring.	Refer to C14	Refer to C14	Refer to C14	Compliant as per evidence referenced as endorsed by ER.	Compliant															

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<ul style="list-style-type: none"> • details of baseline data to be obtained and when; • details of all monitoring of the project to be undertaken; • the parameters of the project to be monitored; • the frequency of monitoring to be undertaken; • the location of monitoring; • the reporting of monitoring results and analysis results against relevant criteria; • details of the methods that will be used to analyse the monitoring data; • procedures to identify and implement additional mitigation measures where the results of the monitoring indicated unacceptable project impacts; • a consideration of SMART principles; and • any consultation to be undertaken in relation to the monitoring programs; and • any specific requirements as required by Conditions C16 to C17 of this schedule. 					
C16	<p>The Noise and Vibration Construction Monitoring Program and Blasting Construction Monitoring Program must include:</p> <ul style="list-style-type: none"> • noise and vibration monitoring determined in consultation with the AA to confirm the best achievable construction noise and vibration levels with consideration of all reasonable and feasible mitigation and management measures that will be implemented; • for the purposes of (a), noise monitoring must be undertaken during the day, evening and night-time periods and within the first month of work as well as throughout the construction period and cover the range of activities being undertaken at the sites; and • a process to undertake real time noise and vibration monitoring. The results of the monitoring must be readily available to the construction team, the Proponent, ER and AA. The Planning Secretary and EPA must be provided with access to the results on request. 	Refer to C14	Refer to C14	Refer to C14	Compliant as per evidence referenced as endorsed by ER.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
C17	<p>Groundwater Construction Monitoring Program must include: groundwater monitoring networks at each construction excavation site.</p> <ul style="list-style-type: none"> detail of the location of all monitoring bores with nested sites to monitor both shallow and deep groundwater levels and quality; define the location of saltwater interception monitoring where sentinel groundwater monitoring bores will be installed between the saline sources of the estuary or river and that of the stations or shafts; results from existing monitoring bores; monitoring and gauging of groundwater inflow to the excavations, appropriate trigger action response plan for all predicted groundwater impacts upon each noted neighbouring groundwater system component for each excavation construction site; trigger levels for groundwater quality, salinity and groundwater drawdown in monitoring bores and / or other groundwater users; daily measurement of the amount of water discharged from the water treatment plants; water quality testing of the water discharged from treatment plants; management and mitigation measures and criteria; groundwater inflow to the excavations to enable a full accounting of the groundwater take from the Sydney Basin Central Groundwater Source; and reporting of groundwater gauging at excavations, groundwater monitoring, groundwater trigger events and action responses; and methods for providing the data collected to Sydney Water where discharges are directed to their assets. 	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	<p>Sydney Metro West, Central Tunnelling Package Groundwater Management Plan (GWMP) (SMWSTCTP-AFJ-1NL-PE-PLN-000008 Revision 04, 16/08/2022)</p> <p>Sydney Metro West, Central Tunnelling Package Groundwater Construction Monitoring Program (GCMP) (SMWSTCTP-AFJ-1NL-PE-PLN-000006 Revision 04 15/08/2022) which forms Appendix B to the GWMP. Endorsed by ER on 8/9/2022</p>	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, May 2022 Sydney Metro, 01/10/2021.	The GCMP has been prepared by Acciona Ferrovia Joint Venture (AFJV) to address the requirements of Condition C14(d), C15 and C17 of the Infrastructure Approval as endorsed by ER.	Compliant
C18	With the exception of any Construction Monitoring Programs expressly nominated by the Planning Secretary to be endorsed by the ER, all Construction Monitoring Programs must be submitted to the Planning Secretary for approval.	Interview 27/09/2022 Refer to C1 to C20	Interview 27/09/2022 Refer to C1 to C20	Interview 27/09/2022 Refer to C1 to C20	All required Construction Monitoring Programs were submitted to the Planning Secretary for approval.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
C19	The Construction Monitoring Programs not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all undertakings made in the documents listed in Condition A1 of this schedule. Any of these Construction Monitoring Programs must be submitted to the ER for endorsement at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	ER endorsed the Noise and Vibration Management Plan –29/3/21 including the Monitoring Program	ER endorsement letter - CTP Construction Noise and Vibration Management Plan, dated 01/11/2021 ER endorsement letter – CTP Surface Water Quality Monitoring Program, dated 04/11/2021 ER endorsement letter – CTP Construction Groundwater Management Plan, dated 02/11/2021	ER endorsement letter for AQMSP, 21/10/2021 ER endorsement letter for Heritage Management Sub-Plan, 04/11/2021 ER endorsement letter for CVNMP ER endorsement letter for FFFMP, 05/11/2021 ER endorsement letter for Waste Management Sub-Plan, 21/10/2021	Compliant as per evidence provided.	Compliant
C20	Any of the Construction Monitoring Programs which require Planning Secretary approval must be endorsed by the ER and then submitted to the Planning Secretary for approval at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Secretary approved CNVMP (including monitoring program) on 23/6/21 Construction commencement date 13 th July 2021	ER endorsement letter - CTP Construction Noise and Vibration Management Plan, dated 01/11/2021 ER endorsement letter – CTP Surface Water Quality Monitoring Program, dated 04/11/2021 ER endorsement letter – CTP Construction Groundwater Management Plan, dated 02/11/2021	SMW Demolition Construction Noise and Vibration Management Plan, Rev 4, 25/10/2021 Noise and Vibration Construction Monitoring Program included in the ER endorsed and DPIE approved NVMP (See Condition C1)	Compliant as per evidence provided.	Compliant
C21	Unless otherwise agreed with the Planning Secretary, construction must not commence until the Planning Secretary has approved, or the ER has endorsed (whichever is applicable), all of the required Construction Monitoring Programs and all relevant baseline data for the specific construction activity has been collected.	CEMP and sub plans are all endorsed by ER, with the exception of Noise and Vibration Monitoring Program which was by Planning Secretary on 23/6/21. CEMP was endorsed by the ER prior to construction works commencing. ER endorsed CEMP 18 th June 2021 Noise monitoring reports available on Quickway website indicate monitoring commenced 1/9/21 Construction commencement date 13/7/21	SMW CTP Construction Noise and Vibration Management Plan, AFJV, Rev 03, 06/12/2021 SMW CTP Soil and Water Management Plan, AFJV, Rev 03, 03/11/2021 SMW CTP Construction Groundwater Management Plan, Rev 02, 25/10/2021	SMW Demolition Construction Noise and Vibration Management Plan, Rev 4, 25/10/2021 Noise and Vibration Construction Monitoring Program endorsed or approved prior to commencing Phase C1. The approved NVCMP outlined why baseline monitoring could not be collected due to significant Covid-19 restrictions with the LGAs of concern (Parramatta and Cumberland).	Construction commence after Planning Secretary has approved, or the ER has endorsed (whichever is applicable), all of the required Construction Monitoring Programs and all relevant baseline data for the specific construction activity has been collected.	Compliant
C22	The Construction Monitoring Programs, as approved by the Planning Secretary or the ER has endorsed (whichever is applicable), including any minor amendments approved by	Noise monitoring reports available on Quickway project website:	SMW CTP Construction Noise and Vibration	SMW Demolition Construction Noise and	Compliant as per evidence referenced.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	the ER, must be implemented for the duration of construction and for any longer period set out in the monitoring program or specified by the Planning Secretary or the ER (whichever is applicable), whichever is the greater.	https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/	Management Plan, AFJV, Rev 03, 06/12/2021 SMW CTP Soil and Water Management Plan, AFJV, Rev 03, 03/11/2021 SMW CTP Construction Groundwater Management Plan, Rev 02, 25/10/2021	Vibration Management Plan, Rev 4, 25/10/2021 The Noise and Vibration Construction Monitoring Program has been implemented across the Phase C1 works. Evidence sighted include monthly AA and ER reports		
C23	The results of the Construction Monitoring Programs must be submitted to the Planning Secretary, ER and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program. <i>Note: Where a relevant CEMP Sub-plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-plan.</i>	Numerous records of attended noise monitoring sighted and evidence of them having been submitted to ER and AA sighted. Monitoring appears to have been conducted monthly, as required by Noise Monitoring Program.	Construction of Monitoring Reports are to be submitted every 6 months to the Department and the first report was lodge on 7 September 2022. – December 2021 to June 2022. Submitted to EPA on 20/05/2022 letter sighted. EPA Advisory Letter dated 16 May 2022 relating EPL 21610 Condition A2.4 – Premise Maps and EPL to be available on project website.	No reporting requirement to regulators.	Compliant as per evidence referenced.	Compliant
PART D: KEY ISSUE CONDITIONS						
Air Quality						
D1	All reasonably practicable measures must be implemented to minimise the emission of dust and other air pollutants during construction.	Site inspection 23/09/2022	Site inspection 23/09/2022	Site inspection 23/09/2022	Dust and air quality issues are being managed as needed through controls such as water carts, street sweepers, water cannons, water sprayers, sprinklers, and hand-held hoses. No air quality issues were observed during the site inspection. See photos in Appendix D of the Audit Report. A number of air quality and dust related complaints have been raised, all of which have been closed.	Compliant
Biodiversity and Trees						
D2	Biodiversity Credits The clearing of native vegetation must be minimised to the greatest extent practicable with the objective of reducing impacts to threatened ecological communities and threatened species habitat.	Site inspection 23/09/2022 Interview 27/09/2022	Site inspection 23/09/2022 Interview 28/09/2022	Site inspection 23/09/2022 Interview 27/09/2022	Vegetation clearing on the Project has been carried out in line with Flora and Fauna Management Plans prepared and has been minimized as far as practicable. Tree Register was maintained by Sydney Metro a total 634 trees on the record.	Compliant
D3	Impacts to plant community types must not exceed those identified in the documents listed in Condition A1 of this schedule, unless otherwise approved by the Planning Secretary. In requesting the Planning Secretary's approval,	Site inspection 23/09/2022 Interview 27/09/2022	Site inspection 23/09/2022 Interview 28/09/2022	Site inspection 23/09/2022 Interview 27/09/2022	The auditor is not aware of any impacts to plant community types exceeding those identified in the documents listed in Condition A1 of this schedule.	Not triggered

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status												
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)														
	an assessment of the additional impact(s) to plant community types and an updated ecosystem and / or species credit requirement under Condition D4 below, if required, must be provided																	
D4	<p>Before any vegetation clearing or tree removal that must be offset, the relevant credits specified in Table 3 below must be purchased and retired. The retirement of credits must be carried out in accordance with the offset rules of the BC Act.</p> <table border="1"> <caption>Table 3: Biodiversity Credits to be Retired</caption> <thead> <tr> <th>Credit Type</th> <th>Number of Credits</th> </tr> </thead> <tbody> <tr> <td colspan="2">Ecosystem Credits</td> </tr> <tr> <td>Mangrove Forests in estuaries of the Sydney Basin Bioregion and South East Corner Bioregion (Plant Community Type 920) - Poor</td> <td>3</td> </tr> <tr> <td colspan="2">Species Credits for Threatened Species</td> </tr> <tr> <td><i>Myotis macropus</i> / Southern Myotis (Fauna)</td> <td>3</td> </tr> <tr> <td><i>Acacia pubescens</i> / Downy Wattle (Flora)</td> <td>1</td> </tr> </tbody> </table> <p><small>Note: Credits have been calculated using the Biodiversity Assessment Method.</small></p>	Credit Type	Number of Credits	Ecosystem Credits		Mangrove Forests in estuaries of the Sydney Basin Bioregion and South East Corner Bioregion (Plant Community Type 920) - Poor	3	Species Credits for Threatened Species		<i>Myotis macropus</i> / Southern Myotis (Fauna)	3	<i>Acacia pubescens</i> / Downy Wattle (Flora)	1	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Statement confirming payment into the Biodiversity Conservation Fund for an offset obligation, Biodiversity Conservation Trust, dated 10/02/2022	<p>Sighted statement from Biodiversity Conservation Trust confirming that Sydney Metro has made payment into the Biodiversity Conservation Fund under section 6.30(1) of the Act to satisfy an obligation to retire biodiversity credits, in line with D4 and D5.</p> <p>The auditees advised that there has been no removal of mangrove forests or <i>Myotis macropus</i> / Southern <i>Myotis</i> during the Phase C1 (Delta) works to date.</p>	Compliant
Credit Type	Number of Credits																	
Ecosystem Credits																		
Mangrove Forests in estuaries of the Sydney Basin Bioregion and South East Corner Bioregion (Plant Community Type 920) - Poor	3																	
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<i>Myotis macropus</i> / Southern Myotis (Fauna)	3																	
<i>Acacia pubescens</i> / Downy Wattle (Flora)	1																	
D5	The requirement to retire credits in Condition D4 above may be satisfied by payment to the Biodiversity Conservation Fund of an amount equivalent to the class and number of species credits, as calculated by the Biodiversity Offsets Payment Calculator.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Statement confirming payment into the Biodiversity Conservation Fund for an offset obligation, Biodiversity Conservation Trust, dated 10/02/2022	Sighted statement from Biodiversity Conservation Trust confirming that Sydney Metro has made payment into the Biodiversity Conservation Fund under section 6.30(1) of the Act to satisfy an obligation to retire biodiversity credits, in line with D4 and D5.	Compliant												
D6	The Proponent must submit evidence of the retirement of credits required by Condition D4 above to the Planning Secretary for information within one (1) month of receiving evidence of the retirement of credits and / or a certificate confirming payment under Condition D5 above before any vegetation clearing or tree removal that must be offset.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Letter from DPE to Sydney Metro re: 'Evidence of Biodiversity Offset Credits Retirement', dated 15/03/2021 https://majorprojects.planninportal.nsw.gov.au/prweb/PRRestService/mp/01/getContent?AttachRef=SSI-10038-PA-123%2120220315T033236.351%20GMT	<p>Sighted letter from DPE acknowledging that Sydney Metro has retired Biodiversity Offset Credits in accordance with condition D6.</p> <p>The auditees advised that there has been no removal of mangrove forests or <i>Myotis macropus</i> / Southern <i>Myotis</i> during the Phase C1 (Delta) works to date.</p>	Compliant												
D7	<p>Microbat Management</p> <p>Before the removal or clearing of any vegetation, or the demolition of structures identified as potential roosting sites for microbats at the Clyde Stabling and Maintenance Facility site commences, pre-clearing / demolition inspections for the threatened species must be undertaken. The inspections, and any subsequent relocation of fauna and associated management / offset measures, must be undertaken under the guidance of a suitably qualified and experienced ecologist. Survey and relocation methodologies and management / offset measures must be included in the Flora and fauna CEMP Sub-plan required under Condition C5 of this schedule or the relevant Site Establishment Management Plan required by Condition A17 of this schedule.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>Inspection Test Plan / Checklist: Clyde Demolition and Clearance Works (Flora and Fauna Management Pre-clearing/demolition Inspection), 11/10/2021</p> <p>No habitat identified during works,</p>	Inspection completed by suitably qualified and experienced ecologist from Lodge Environmental on 10/11/2021. Microbat management addressed in ITP provided.	Compliant												

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
D8	In the event roosting sites have been identified under Condition D7 above, bat boxes must be provided, or suitable habitat built within the Clyde Stabling and Maintenance Facility site.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Refer to D7.	Condition not triggered for the Phase C1 (Delta) works as no roosting sites have been identified (see D7).	Not triggered
D9	Tree Removal As many mature trees and as much urban canopy as practicable must be retained during construction. Canopy trimming should be considered where practicable prior to any mature tree removal.	Site inspection 23/09/2022 Interview 27/09/2022	Site inspection 23/09/2022 Interview 28/09/2022	Site inspection 23/09/2022 Interview 27/09/2022	Evidence sighted during site inspection of trees being retained at the Project worksites. It appears that tree trimming has been minimised to the greatest extent practicable. Tree Register is being maintained by Sydney Metro.	Compliant
Flooding						
D10	<p>Unless otherwise agreed by the Planning Secretary, Stage 1 of the CSSI must be designed and constructed to not worsen flooding characteristics within and in the vicinity of the CSSI. Not worsen existing flooding characteristics means the following:</p> <p>a maximum increase in inundation time of one hour in a one (1) per cent Annual Exceedance Probability (AEP) flood event.</p> <p>a maximum increase of 10 mm in inundation at properties where floor levels are currently exceeded in a one (1) per cent AEP flood event.</p> <p>a maximum increase of 50 mm in inundation of land at properties where floor levels would not be exceeded in a one (1) per cent AEP flood event; and</p> <p>no inundation of floor levels which are currently not inundated in a one (1) per cent AEP flood event.</p> <p>Measures identified in the documents listed in Condition A1 of this schedule to not worsen flooding characteristics or measures that achieve the same outcome must be incorporated into the detailed design of Stage 1 of the CSSI. The incorporation of these measures must be reviewed and endorsed by a suitably qualified and experienced person in consultation with directly affected landowners, DPE Water, DPI Fisheries, DPE BCD, NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s).</p> <p>Where flooding characteristics exceed the levels identified in (a), (b), (c), (d) above, the Proponent must undertake the following:</p> <p>(a) consult with property owners for properties adversely flood affected as a result of Stage 1 of the CSSI and mitigate where necessary; and</p> <p>(b) consult with the NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) regarding the management of any residual flood risk beyond the 1 per cent AEP flood event and up to the probable maximum flood.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro May 2022	The following memo Flood Assessment reports were prepared for: <ul style="list-style-type: none"> Burwood North Station 28/03/2022 Rev C Five Dock Flood Assessment – Retaining Systems 12/08/2022 Rev 03 North Strathfield station Rev C, 14/7/2022 Sydney Olympic Park Stage 3 Rev C 29/07/2022 The Bays Rev D 14/03/2022 - Flood modelling undertaken for the design for pre-developed condition and the tunnelling phase shows that the design does not comply with condition MCoA-D10 (a) of Ministerial conditions of approval at seven locations (refer Figure 29) outside the boundary of 	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Where flooding characteristics exceed the levels identified in (a), (b), (c), (d) above, the Proponent must undertake the following: <p>(a) consult with property owners for properties adversely flood affected as a result of Stage 1 of the CSSI and mitigate where necessary; and</p> <p>(b) consult with the NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) regarding the management of any residual flood risk beyond the 1 per cent AEP flood event and up to the probable maximum flood.</p> <p>Consultation is ongoing and will be reviewed on the next audit.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
			the construction site due to increased duration of inundation of more than one hour in the 1% AEP event.			
D11	Deleted				.	Not triggered
D12	Flood information including flood reports, models and geographic information system outputs must be provided to the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPIE EES and the SES in order to assist in preparing relevant documents and to reflect changes in flood behaviour as a result of Stage 1 of the CSSI. The Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE EES and the SES must be notified in writing that the information is available no later than one (1) month following the completion of construction. Information requested by the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD EES or the SES must be provided no later than six (6) months following the completion of construction or within another timeframe agreed with the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE CBD and the SES. The project flood models and data must be uploaded to the NSW Flood Data Portal and access must be provided to the Relevant Council(s), DPE EES , SES and SOPA (in respect of Sydney Olympic Park) no later than one (1) month following the completion of construction.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Site inspection 23/09/2022 Interview 28/09/2022 Flood assessment has been carried as per D10.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Construction is currently being undertaken. Ongoing consultation to be implemented.	Not triggered
Heritage						
D13	Non-Aboriginal Heritage The Proponent must not destroy, modify, or otherwise physically affect any Heritage item not identified in documents referred to in Condition A1 of this schedule. Unexpected heritage finds identified by Stage 1 of the CSSI must be managed in accordance with the Unexpected Finds Protocol outlined in Conditions D31 to D33 of this schedule. Consideration of avoidance and redesign to protect state significant unexpected finds must be addressed where this condition applies. <i>Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020)</i>	Heritage management plans have been prepared and approved for each phase of the Project. The plans incorporated the Sydney Metro Unexpected Heritage Finds Procedure (see D31).	Heritage management plans have been prepared and approved for each phase of the Project. The plans incorporated the Sydney Metro Unexpected Heritage Finds Procedure (see D31).	Parramatta_GeorgeSt_EI NCR_Form_2022_06_27_Rev1 Notification to the DPE on 29/06/2022 220625 - Parramatta Damage to George Street Heritage Shops Incident Report 8/07/2022 Metro West - Notification A43 - #C heritage - 2022 June 25 SSI-10038-PA-208 – Request for Information dated 8/8/2022 from DPE	Self-Reported Non-Compliant On Saturday 25 June 2022, a steel lintel was dislodged from the rear of 41 George Street, Parramatta during demolition (Phase C). The steel lintel has impacted with the rear wall of the adjacent property (43-47 George Street), which is listed as Local heritage significance and caused localised and repairable damage to the rear of the structure.	Non-Compliant
D14	Before installing protective site boundary hoarding or equipment used for vibration and noise monitoring at any Heritage item identified in the documents listed in Condition	Condition not applicable to Phase A works as per	Low Impact Works 009 Heritage Assessment	Letter from Susan Rosen Associates to Delta: Heritage Impact of	Evidence provided of vibration monitors being installed in heritage items at The	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	A1 of this schedule, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. The installation must also consider and avoid impacts to potential historical archaeology and seek advice from the Excavation Director approved under Condition D27 below.	SMW Phasing Report 1.4, Sydney Metro, May 2022	Memo for The Bays, Artefact, 26/11/2022 Email correspondence re: method for affixing monitoring equipment at White Bay Power Station, dated 14/12/2021	Accelerometer Deployments at 62-64 Macquarie St, (Kia Ora Building) and 43-47 George St, Parramatta, dated 11/04/2022	Bays and Parramatta, in consultation with heritage expert.	
D15	Before commencement of any excavation at the Parramatta metro station construction site, a detailed investigation must be undertaken to precisely locate the Parramatta Convict Drain. All options available to retain the Parramatta Convict Drain in situ must be considered. If retention of any part of the Parramatta Convict Drain located in situ is not feasible, the Proponent must satisfactorily demonstrate to the Planning Secretary because its removal is appropriate. If it is not feasible to retain the Parramatta Convict Drain in situ, archival recording must be undertaken on the affected section of the item in accordance with Heritage Council of NSW guidelines.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Advice from GML dated 8 December 2021 and 28 January 2022 sighted Email from Sydney Metro to Delta, dated 09/12/2021	Delta advised that it is currently unclear if the current demolition works are not likely to impact the Convict Drain. Email from Sydney Metro to Delta notes the following: "Further to the below, an ITP similar to what Swan provided for Clyde (attached) should be in place. In addition, given the suspended slab and location of the Convict Drain, you will need to update the demolition method to detail the work method in and around this area and have GML certify that the method complies with the ARDEM including any observations from GML that may be required to comply with the ARDEM. request GML" Excavation at the Parramatta metro station construction site has not yet commenced.	Not triggered
D16	During construction, the Proponent must implement protective measures to prevent adverse impacts on the heritage significance of the Victorian Regency terraced shops at 41-45 George Street, Parramatta and Kia Ora Georgian House at 64 Macquarie Street, Parramatta. Before installing such measures, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. Protection measures must also consider and avoid potential impacts to significant historical archaeology and seek the advice from the Excavation Director approved under Condition D27 below	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Installation of protective scaffolding installed on the outside of building being demolished adjacent to the Kia Ora Georgian House. Delta advised that no direct contact with the Kia Ora Building was required. See photos in Appendix D of the Audit Report.	Compliant
D17	The Roxy Theatre, White Bay Power Station, the former State Abattoirs, and the former RTA Depot facade fronting Unwin Street must not be destroyed, modified, or otherwise adversely affected, except as identified in the documents listed in Condition A1 of this schedule. <i>Note: Affected in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020)</i>	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 For the Phase A (Quickway) works, management measures to protect the White Bay Power Station heritage site are included in the CEMP. Quickway advised that there are no works as part of their scope that would	Site inspection, 23/09/2022 Interview with auditees, 28/09/2022 AFJV advised of vibration monitoring being undertaken at White Bay Power Station to ensure no adverse impacts occur.	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 Delta advised that the RTA Depot facade and Roxy Theatre has not been impacted by the works at Clyde and Parramatta respectively.	The Roxy Theatre, White Bay Power Station, the former State Abattoirs, and the former RTA Depot facade fronting Unwin Street were not destroyed, modified, or otherwise adversely affected by the construction works.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
		likely impact this heritage item.				
D18	Where Heritage items, or items assessed to be of local heritage significance in the documents listed in Condition A1 of this schedule, are proposed to be fully or partially destroyed, heritage salvage must occur in consultation with a suitably qualified heritage specialist. The Proponent must develop a significant fabric and moveable heritage salvage register. The register must identify significant items to be salvaged hazard Salvage must occur for items that are assessed as having heritage significance and where significance is retained and / or the potential for re-use, reinstatement or re-sale has been identified. The salvage from any State listed items must be undertaken in consultation with Heritage NSW.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Salvage Report, The Pine Inn, Comber Consultants, Rev B, 22/02/2022 For the Phase B1 (AFJV) works, the auditors advised that three buildings were identified as being of potential local significance. Two of these buildings were assessed due to the need for demolition, and one was confirmed as local heritage (The Pine Inn at Burwood). A salvage report has been prepared for this item.	Heritage Salvage Advice 220 Church Street, 48 Macquarie Street and Parramall Shopping Centre, submitted to Sydney Metro July 2021 For the Phase C1 (Delta) works, the auditees advised that none of the items identified for salvage in the report evidenced have yet been removed.	Salvage reports were prepared.	Compliant
D18.1	D18.1 The Proponent must investigate opportunities to relocate the Rosehill Railway Station Footbridge to an alternate location in the City of Parramatta LGA in consultation with City of Parramatta Council before the dismantled footbridge can be removed from the Clyde Stabling and Maintenance Facility Site. The Railway Footbridge Heritage Conservation Strategy 2016 (GAO Heritage Group, 2016) and any other relevant guideline or plan must be considered when assessing alternate locations. The Rosehill Railway Station Footbridge must be stored in accordance with relevant Heritage NSW guidelines and, where a suitable location is found, must be reinstated no later than 12 months following the completion of construction, unless otherwise agreed with the Planning Secretary. If an alternate location cannot be agreed to between the Proponent and Relevant Council, evidence of consultation, including consideration of alternative sites, must be submitted to the Planning Secretary for information before the dismantled Rosehill Railway Station Footbridge is removed from the Clyde Stabling and Maintenance Facility Site	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 Not Triggered	Site inspection, 23/09/2022 Interview with auditees, 28/09/2022 Not Triggered	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 Noy Triggered	Observation: This condition is not yet included in the Phasing Report V1.4, May 2022. Recommendation: To update Phasing Report with these conditions 18.1 and 18.2.	Not Triggered
D18.2	Where an alternative location for the Rosehill Railway Station Footbridge is agreed to, a Heritage Asset Action Plan, including an updated statement of significance, in accordance with Statement of Best Practice for Heritage Asset Action Plans (Heritage Council of NSW 2021), must be prepared to reflect its new setting within 12 months of relocation and at no cost to council. The Proponent is responsible for maintenance of the Rosehill Railway Station Footbridge until ownership is transferred to Council. <i>Note: This condition does not prevent the Proponent from providing funding or similar to Council for the preparation of</i>	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 Not Triggered	Site inspection, 23/09/2022 Interview with auditees, 28/09/2022 Not Triggered	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 Noy Triggered	Observation: This condition is not yet included in the Phasing Report V1.4, May 2022. Recommendation: To update Phasing Report with these conditions 18.1 and 18.2.	Not Triggered

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<i>the required documents and does not prevent Council from preparing them.</i>					
D19	<p>Aboriginal Heritage</p> <p>All reasonable steps must be taken not to harm, modify or otherwise impact Aboriginal objects except as authorised by this approval.</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 27/09/2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 27/09/2022</p>	<p>Heritage management plans have been prepared and approved for each phase of the Project. The plans incorporated the Sydney Metro Unexpected Heritage Finds Procedure (see D31).</p> <p>There have been no reports to date of the Project impacting Aboriginal objects except as authorised by this approval.</p>	Compliant
D20	<p>The Registered Aboriginal Parties (RAPs) must be kept informed about Stage 1 of the CSSI. The RAPs must continue to be provided with the opportunity to be consulted about the Aboriginal cultural heritage management requirements of Stage 1 of the CSSI.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 27/09/2022</p>	<p>Minutes from initial Aboriginal Focus Group meeting with the RAPs on 02/11/2021 sighted.</p> <p>Sydney Metro provided examples of ongoing consultation that has occurred with the RAPs, including:</p> <p>Review of the methodology for Aboriginal archaeological test excavation at Clyde. The methodology was issued to RAPs on 30 November 2021.</p> <p>Ongoing participation in the Aboriginal archaeological program at Parramatta including the Aboriginal archaeological test excavation at Parramatta in March 2022</p> <p>Review of methodology for Aboriginal archaeological test excavation in accordance with the Code of Practice for the Archaeological Investigation of Aboriginal Objects 2010 in Parramatta Park. Methodology was issued to RAPs on 9 March 2022.</p> <p>Sydney Metro advised that RAPs participated in the Aboriginal archaeological test excavation at Clyde in April 2022.</p>	Compliant
D21	<p>Aboriginal archaeological test excavation must be undertaken at those areas identified in Table 25 of the revised Aboriginal Cultural Heritage Assessment Report (ACHAR) prepared by Artefact Heritage and dated November 2020.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p>	<p>Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>AFJV has showed no impact to PAD site at The Bays, therefore an Aboriginal Archaeological Test Excavation Methodology(s) not deemed to be required.</p> <p>Project currently working on getting agreement from the RAPs and planning to consult with Heritage NSW and send this to DPE for agreement.</p> <p>AFJV advised that report from Excavation Director confirms no impact to the PAD site. Some work has occurred within the boundary of the site but has been undertaken under the Archaeological Method Statement and direction of the Excavation Director.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
D22	An Aboriginal Archaeological Test Excavation Methodology(s) must be prepared and appropriately integrated with the revised Archaeological Research Design and Excavation Methodology. The Aboriginal Archaeological Salvage Excavation Methodology(s) must be prepared after analysis of the test excavation results.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Archaeological Research Design and Excavation Methodology	Clyde—Sydney Metro Aboriginal Heritage Work Method Statement (Draft Report), Issue No. 2, 30/11/2021 Sydney Metro West Parramatta Station Construction Site Aboriginal Heritage Report (Report prepared for Sydney Metro), October 2021 Various SM emails dated 26/27 October 2021 indicate consultation has occurred	Condition deemed compliant as per evidence provided.	Compliant
D23	At the completion of Aboriginal cultural heritage test and salvage excavations, an Aboriginal Cultural Heritage Excavation Report(s), prepared by a suitably qualified expert, must be prepared in accordance with the Guide to Investigation, assessing and reporting on Aboriginal cultural heritage in NSW, OEH 2011 and the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales, DECCW 2010. The Aboriginal Cultural Heritage Excavation Report(s) must document the results of the archaeological test excavations and any subsequent salvage excavations. The RAPs must be given a minimum of 28 days to consider the report and provide comments before the report is finalised. The final report must be provided to Heritage NSW within 24 months of the completion of the Aboriginal archaeological excavations (both test and salvage).	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not triggered at this stage as Aboriginal cultural heritage test and salvage excavations have not yet been completed.	Clyde Sydney Metro Aboriginal Heritage Archaeological Report Final Rev 3 dated 5/10/2022	The Aboriginal Cultural Heritage Excavation Report was prepared by GML in compliance to this requirement.	Compliant
D24	Where previously unidentified Aboriginal objects are discovered, all work must immediately stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice before construction recommences. The measures to consider and manage this process must be specified in the Heritage CEMP Sub-plan required by Condition C5 of this schedule and, where relevant, include registration in the Aboriginal Heritage Information Management System (AHIMS).	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 23/09/2022 Interview with auditees, 28/09/2022	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	The auditees advised that there have been no previously unidentified Aboriginal objects discovered during the Project works to date.	Not triggered
D25	Excavation and Archaeology Before the commencement of any excavation at Parramatta and The Bays metro station construction sites, a revised Archaeological Research Design and Excavation Methodology(s) must be prepared in accordance with Heritage Council of NSW guidelines and with reference to the detailed design of Stage 1 construction of the CSSI to guide archaeological excavation. The revised Archaeological Research Design and Excavation Methodology(s) must be prepared by the Excavation Director (approved under Condition D27 below) and must include:	Power Enabling Works— The Bays Station Construction Site: Historical Archaeological Test Excavation Report, GML Heritage, Issue 1 (Draft), 23/01/2022 Archaeological Research Design and Excavation Methodology (ARDEM) prepared by GML heritage to discuss management of	The Bays Metro Station Archaeological Research Design and Excavation Methodology (ARDEM) for the Central Tunnelling Package, AFJV, Rev 3, 24/11/2021 Approval letter from DPE to Sydney Metro - The Bays Metro Station Archaeological Research Design and Excavation	Sydney Metro West Parramatta Station Construction Site Archaeological Research Design & Excavation Methodology, GML Heritage, Rev 4, 15/10/2021 Approval letter from DPE to Sydney Metro - Parramatta Metro Station Archaeological Research	Before the commencement of any excavation at Parramatta and The Bays metro station construction sites, a revised Archaeological Research Design and Excavation Methodology(s) was prepared in accordance with Heritage Council of NSW guidelines and with reference to the detailed design of Stage 1 construction of the CSSI to guide archaeological excavation. The revised Archaeological Research Design and Excavation	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<ul style="list-style-type: none"> - site specific research for the Parramatta and The Bays metro station construction sites which is conducted by a professional historian to clearly articulate the historical development of the allotments to assist with the reassessment of potential and significance. - comparative analysis from archaeological investigations in Parramatta (including theses, publications, and grey literature reports). - preparation of research questions based on the additional site-specific research required by this condition, and relevant research agendas from previously excavated early historical occupation in Parramatta including recovered artefact assemblages; and - a reconsideration of archaeological methods to manage the sites based on this additional assessment. - The revised Archaeological Research Design and Excavation Methodology(s) must apply to both Parramatta and The Bays metro station construction sites and be prepared in consultation with Heritage NSW and Place Management NSW (in respect of The Bays) and submitted to the Planning Secretary for approval. - The revised Archaeological Research Design and Excavation Methodology(s) must be implemented throughout the archaeological excavation programs. - <i>Note: Nothing in these conditions prevents the Archaeological Research Design and Excavation Methodology to be separate procedures.</i> - <u>[SSI-10038 Mod-1]</u> 	<p>works near identified WBPS heritage inlet canal. ARDEM endorsed 5th November 2021. https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/</p> <p>As per the requirements of the ARDEM, Heritage Consultant and Archaeologist from GML, in consultation of the nominated Excavation Director, has been on site during excavation of the case bore pit where the potential heritage item 'inlet canal 'may be identified, to supervise and document any findings.</p>	<p>Methodology (CTP), dated 02/12/2021</p> <p>Archaeological Method Statements (AMS) provided for:</p> <ul style="list-style-type: none"> - <i>Archaeological Work Method Statement 12 Service Reticulation and Drainage 22/04/2022</i> - <i>Archaeological Work Method Statement 15 Extra Borehole 7/6/2022</i> - <i>AMS 16 Perimeter works, fencing and hoarding, Lighting Tower 7/9/2022</i> - <i>AMS 17 TBM and Sewer Utilities Works 22/08/2022</i> <p><i>Project name: The Bays Metro Archaeological Research Design</i></p> <p><i>Author: Dr Iain Stuart, Martina Muller, Sammuell Sammut, Duncan Jones</i></p> <p><i>Project manager: Duncan Jones</i></p> <p><i>Name of document: Metro West – The Bays Archaeological Research Design and Excavation Methodology</i></p> <p><i>Name of organisation: Artefact Heritage</i></p> <p><i>Document version: Final</i></p>	<p>Design and Excavation Methodology, dated 28/10/2021</p>	<p>Methodology(s) was prepared by the Excavation Director.</p>	
D26	<p>The revised Archaeological Research Design and Excavation Methodology(s) must include provision for early physical investigation of areas of impact identified as likely to contain State significant archaeology or subterranean Heritage items in the research design to inform excavation in these areas. This must include the Parramatta and The Bays metro station sites, including Parramatta Convict Drain, Parramatta Sand Body, White Bay Power Station (inlet) Canal and Beattie Street Stormwater Channel.</p>	<p>ARDEM was prepared by GML heritage included requirements of the above condition. The revised ARDEM has been approved 5th November 2021. https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/</p> <p>Power Enabling Works— The Bays Station Construction Site Historical Archaeological Test Excavation Report (GML 23/1/22) prepared in</p>	<p>The Bays Metro Station Archaeological Research Design and Excavation Methodology (ARDEM) for the Central Tunnelling Package, AFJV, Rev 3, 24/11/2021</p> <p>Approval letter from DPE to Sydney Metro - The Bays Metro Station Archaeological Research Design and Excavation Methodology (CTP), dated 02/12/2021</p> <p>Interview with auditees, 01/03/2022</p>	<p>Sydney Metro West Parramatta Station Construction Site Archaeological Research Design & Excavation Methodology, GML Heritage, Rev 4, 15/10/2021</p> <p>Approval letter from DPE to Sydney Metro - Parramatta Metro Station Archaeological Research Design and Excavation Methodology, dated 28/10/2021</p>	<p>Condition deemed compliant as per evidence provided.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
		accordance with the ARDEM		Advice from GML dated 8 December 2021 and 28 January 2022 sighted Email from Sydney Metro to Delta, dated 09/12/2021		
D27	Before commencement of archaeological excavation, the Proponent must nominate a suitably qualified Excavation Director, who complies with Heritage Council of NSW's Criteria for Assessment of Excavation Director (September 2019), to oversee and advise on matters associated with historical archaeology for the approval of the Planning Secretary, in consultation with Heritage NSW. The Excavation Director must be present to oversee excavation, advise on archaeological issues, advise on the duration and extent of oversight required during archaeological excavations consistent with the approved Archaeological Research Design and Excavation Methodology(s) required under Condition D25 of this schedule. Aboriginal archaeological excavations must be conducted by a suitably qualified person in accordance with the requirements of the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010). More than one Excavation Director may be engaged for Stage 1 of the CSSI to exercise the functions required under the conditions of this approval.	DPE approval letter – Nomination of Excavation Directors, 08/09/2021 Quickway Appointment for Excavation Director – DPE letter dated 17/05/2021 appointing Ms Abi Cryerhall of GML Heritage Pty Ltd as the excavation director.			The following persons have been approved by DPE to perform the Excavation Director role on the project: Dr Tim Owen, Principal, GML Heritage Pty Ltd (Aboriginal archaeology) Dr Iain Stuart, Principal Artefact Heritage Pty Ltd Ms Sophie Jennings, Senior Heritage Consultant, GML Heritage Pty Ltd	Compliant
D28	Following completion of archaeological excavation programs, a Final Excavation Report and an Aboriginal Cultural Heritage Excavation Report must be prepared that includes further detailed and site-specific historical research undertaken to enhance the final reporting, and results of archaeological excavations. The report must include details of any significant artefacts recovered (salvaged), where they are located and details of their ongoing conservation. The Final Excavation Report must document significant results and artefacts which may be re-used in future stages of the CSSI. The Final Excavation Report must be prepared in accordance with guidelines and standards required by Heritage Council of NSW.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Interview with auditees, 01/03/2022 Site inspection, 28/02/2022	Interview with auditees, 01/03/2022 Site inspection, 28/02/2022 Final excavation report in on the process of development by GML.	Not triggered at this stage for the Phase B1 (AFJV) or Phase C1 (Delta) works.	Not triggered
D29	The Final Excavation Report and Aboriginal Cultural Heritage Excavation Report must be submitted to the Planning Secretary, Heritage NSW, and the Relevant Council for information no later than 24 months after the completion of the archaeological excavation.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Interview with auditees, 23/09/2022 Site inspection, 28/09/2022	Interview with auditees, 01/03/2022 Site inspection, 28/02/2022 Final excavation report in on the process of development by GML.	Not triggered at this stage for the Phase B1 (AFJV) or Phase C1 (Delta) works.	Not triggered
D30	In the event the CSSI salvages state significant historical archaeology associated with early convict occupation at the Parramatta metro station construction site for which retention and future conservation is not possible: the key findings of the archaeological investigations must be documented which explain their significance within the	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Interview with auditees, 23/09/2022 Site inspection, 28/09/2022	Interview with auditees, 23/09/2022 Site inspection, 28/09/2022 Not triggered	Not triggered at this stage for the Phase B1 (AFJV) or Phase C1 (Delta) works.	Not triggered

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	context of Parramatta and NSW no later than two (2) years after the completion of the archaeological excavations; and provide for the curation, display and public access of artefacts, site records and final reports. <i>Note: In reference to (b) above, this may involve partnerships with museums, local heritage centres and/or universities</i>					
D31	Unexpected Finds An Unexpected Heritage Finds and Human Remains Procedure must be prepared to manage unexpected heritage finds (heritage items and values) in accordance with any guidelines and standards prepared by the Heritage Council of NSW or Heritage NSW.	Unexpected Heritage Finds Procedure, Sydney Metro, Version 4.1, May 2021 Exhumation Management Procedure, Sydney Metro, Version 5.1, May 2021 Record of submission to DPE, SSI-10038-PA-6, 07/06/2021 DPE approval letter – Unexpected Finds and Human Remains Procedure, 15/06/202 Register of unexpected finds.is up to date 1/08/2022.			Unexpected Heritage Finds and Human Remains Procedure has been prepared for the Project and approved by the Planning Secretary.	Compliant
D32	The Unexpected Heritage Finds and Human Remains Procedure must be prepared by a suitably qualified and experienced heritage specialist in consultation with the Heritage Council of NSW (with respect to non-Aboriginal cultural heritage) and in relation to Aboriginal cultural heritage, in accordance with the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010) and submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction.	Unexpected Heritage Finds Procedure, Sydney Metro, Version 4.1, May 2021 Exhumation Management Procedure, Sydney Metro, Version 5.1, May 2021 Record of submission to DPE, SSI-10038-PA-6, 07/06/2021 DPE approval letter – Unexpected Finds and Human Remains Procedure, 15/06/2021			Unexpected Heritage Finds and Human Remains Procedure has been prepared for the Project and approved by the Planning Secretary.	Compliant
D33	The Unexpected Heritage Finds and Human Remains Procedure, as submitted to the Planning Secretary, must be implemented for the duration of construction. <i>Note: Human remains that are found unexpectedly during the carrying out of work may be under the jurisdiction of the NSW State Coroner and must be reported to the NSW Police immediately. Management of human remains in NSW is subject to requirements set out in the Public Health Act 2010 (NSW) and Public Health Regulation 2012 (NSW). Nothing in these conditions prevents separate procedures for the Unexpected Heritage Finds and Human Remains Procedure.</i>	Sydney Metro Unexpected Finds and Human Remains Procedure is being used and is included as appendix G in the CEMP. This is evidenced by the referral of the unexpected bridge works in Mansfield Street Rozelle to GML (see CoA D13 above) Unexpected heritage find protocol was triggered on 4/11/21 in relation to an unexpected find in Mansfield Street, Rozelle. Specialist advice from GML was sought in relation to the find, which was assessed to be the remains of an historic bridge with local heritage significance.	Potential Unexpected Finds Register, only one actual confirmed unexpected heritage finds Potential Unexpected Finds Register, only one actual confirmed unexpected heritage finds Completed unexpected finds form for the confirmed find Sydney Metro West Central Tunnelling Package - Heritage Finds Register. 69 finds to date and Archaeologist – Artefact (Ian Stuart) are gathering all the data for the ARDEM. e.g., 1 February 2022 Unexpected Finds Report- Southern HV Relocation Pit at the Bays – a brick storm water pit was found. Reported by Artefact.	No unexpected heritage or human remains identified during Phase C1 (Delta) works.	Unexpected heritage finds have occurred on the Phase A (Quickway) works and Phase B1 (AFJV) works, as detailed in evidence. These were managed in line with the Unexpected Heritage Finds and Human Remains Procedures.	Compliant
Noise and Vibration						

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
D34	<p>Land Use Survey</p> <p>A detailed land use survey must be undertaken to confirm sensitive receivers (including critical working areas such as operating theatres and precision laboratories) potentially exposed to construction noise and vibration and construction ground-borne noise. The survey may be undertaken on a progressive basis but must be undertaken in any one area before the commencement of work which generates construction noise, vibration, or ground-borne noise in that area. The results of the survey must be included in the Noise and Vibration CEMP Subplan required under Condition C5 of this schedule.</p>	Detailed Land Use Survey was completed on 1 st April 2021 and is included as Appendix A of the NVMP.	<p>SMW CTP Construction Noise and Vibration Management Plan, AFJV, Rev 03, 06/12/2021</p> <p>Addressed in DNVIS Tunnelling which is under development that included all the land use surveys.</p> <p>DNVIS for Tunnelling Support Vibration Buffers Five Dock.</p>	<p>SMW Demolition Construction Noise and Vibration Management Plan, Rev 4, 25/10/2021</p> <p>As noted in the endorsed NVMSMP, this assessment was not possible due to significant Covid-19 restrictions in place.</p> <p>No surveys conducted</p>	Addressed in Construction Noise and Vibration Management Plans prepared for each phase of the Project.	Compliant
D35	<p>Construction Hours</p> <p>Work must only be undertaken during the following hours: 7:00am to 6:00pm Mondays to Fridays, inclusive. 8:00am to 6:00pm Saturdays; and at no time on Sundays or public holidays.</p>	<p>Site inspection 23/09/2022</p> <p>Interview 27/09/2022</p>	<p>Site inspection 23/09/2022</p> <p>Interview 27/09/2022</p>	<p>Site inspection 23/09/2022</p> <p>Interview 27/09/2022</p>	Works were generally conducted under the construction normal hours. Any works outside this normal hour will be approved under OOHW Protocol and under EPL license permit.	Compliant
D36	<p>Highly Noise Intensive Work</p> <p>Except as permitted by an EPL, highly noise intensive work that results in an exceedance of the applicable NML at the same receiver must only be undertaken: between the hours of 8:00 am to 6:00 pm Monday to Friday. between the hours of 8:00 am to 1:00 pm Saturday; and if continuously, then not exceeding three (3) hours, with a minimum cessation of work of not less than one (1) hour. For the purposes of this condition, 'continuously' includes any period during which there is less than one (1) hour between ceasing and recommencing any of the work.</p>	<p>EPL not applicable to Phase A (Quickway) works</p> <p>Rock breaking noise report dated 07/06/2022 Rock Hammering excavation Robert Street hammering of sandstone. Mitigation measures i.e., noise blankets were installed no additional mitigation measures required. No complaints received.</p>	<p>Site inspection, 28/09/2022</p> <p>AFJV works within the EPL, with Signages posted sites e.g., Five Dock Noisy Works</p> <p>3 hours on 2 hours off document.</p>	<p>Demolition works at Parramatta included provision of respite periods.</p> <p>Included in site induction</p> <p>No know complaint during demolition works.</p>	<p>Management of high noise intensive work detailed in the Construction Noise and Vibration Management Plans.</p> <p>Compliance with D36 is monitored as part of ER inspections.</p>	Compliant
D37	<p>Variation to Work Hours</p> <p>Notwithstanding Conditions D35 and D36 of this schedule work may be undertaken outside the hours specified in the following circumstances: Safety and Emergencies, including: for the delivery of materials required by the NSW Police Force or other authority for safety reasons; or where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm. On becoming aware of the need for emergency work in accordance with (a)(ii) above, the AA, the ER, the Planning Secretary, and the EPA must be notified of the reasons for such work. The Proponent must use best endeavours to notify as soon as practicable all noise and/or vibration affected sensitive land user(s) of the likely impact and duration of those work. Low impact, including: construction that causes LAeq (15 minute) noise levels:</p>	<p>This requirement has been included in the OOHW Protocol as part of the CNVMP and is being implemented throughout construction. Refer to CNVMP Appendix C for OOHW Protocol.</p> <p>There have been no OOHW triggered for safety or emergency situations.</p> <p>A total of 7 OOHW approved under the protocol and endorsed by Comms, AA, and ER.</p> <p>OOHW008 to OOHW013</p> <p>OOHW008- Darling St & Waterloo St trenchless excavation & conduit installation 2/3/2022 approved by Comms</p>	<p>Various example Out of Hours Works Permits provided</p> <p>OOHW Permit Register with total of 175. The OOHW that are not subject for EPL are endorsed and approved by AA and ER.</p> <p>OOHW Work Permit No. 154 Rev 00 date 26/09/2022 Completion date 13/10/2022 OOHW Protocol implemented. Signed by Comms Manager, Signed Environment Manager, signed AA and approved by ER.20/09/2022.</p> <p>NCR-03 Non-compliance report was raised on</p>	<p>No emergency out of hours works from the last 6 months.</p>	Evidence provided of out of hours works being conducted on the Project in line with the requirements of D37.t	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>no more than 5 dB(A) above the rating background level at any residence in accordance with the ICNG, and</p> <p>no more than the 'Noise affected' NMLs specified in Table 3 of the ICNG at other sensitive land user(s); and</p> <p>construction that causes LAFmax (15 minute) noise levels no more than 15 dB(A) above the rating background level at any residence; or</p> <p>construction that causes:</p> <p>continuous or impulsive vibration values, measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC 2006), or</p> <p>intermittent vibration values measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC 2006).</p> <p>By Approval, including:</p> <p>where different construction hours are permitted or required under an EPL in force in respect of the CSSI; or</p> <p>works which are not subject to an EPL that are approved under an Out-of-Hours Work Protocol as required by Condition D38 of this schedule; or</p> <p>negotiated agreements with directly affected residents and sensitive land user(s).</p> <p>By Prescribed Activity, including:</p> <p>tunnelling (excluding cut and cover tunnelling and surface works) are permitted 24 hours a day, seven days a week: or</p> <p>concrete batching at the Clyde construction site is permitted 24 hours a day, seven days a week: or</p> <p>delivery of material that is required to be delivered outside of standard construction hours in Condition D35 of this schedule to directly support tunnelling activities, except between the hours 10:00 pm and 7:00 am to / from the Five Dock and Westmead construction sites and to / from Burwood North construction site using any roads / streets other than directly from Parramatta Road: or</p> <p>haulage of spoil except between the hours of 10:00 pm and 7:00 am to / from the Five Dock and Westmead construction sites and to / from Burwood North construction site using any roads / streets other than directly from Parramatta Road; or</p> <p>work within an acoustic shed where there is no exceedance of noise levels under Low impact circumstances identified in (b) above, unless otherwise agreed by the Planning Secretary.</p> <p><i>Note: Tunnelling does not include station box excavation</i></p>	6/3/2022 and AA & Er approval on 9/03/2022.	Vaughan Civil due to working not in accordance with the Out of Hours Permit on 1 March 2022 and notification received by SM West on 9 March 2022. This NCR was not considered as notifiable event. Toolbox talks and training were conducted to prevent and address this issue. There were no complaints received.			
D38	<p>Out-of-hours Work Protocol – Work Not Subject to an EPL</p> <p>An Out-of-Hours Work Protocol must be prepared to identify a process for the consideration, management and approval of work which are outside the hours defined in Conditions D35 and D36 of this schedule. The Protocol must be approved by the Planning Secretary before commencement of the out-of-</p>	SMW Power Enabling Works Construction Noise and Vibration Management Plan (Appendix C: Out of Hours Works Protocol), Rev 0.0, 08/06/2021	OOHW Protocol OOHW Permit examples OOHW Work Permit No. 154 Rev 00 date 26/09/2022 Completion	SMW Out of Hours Application Form The Out of Hour Work Protocol was prepared in consultation with the ER, AA, and EPA. It was	Self-Reported Non-Compliant NCR-003 (D38 Phase B1) – 1 March 2022 and notified to SM on 9 March 2022-incident and non-compliance (Phase B1), AFJV enviro team held a meeting with the Acoustic Advisor to	Non-Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>hours work. The Protocol must be prepared in consultation with the ER, AA, and EPA. The Protocol must provide:</p> <p>identification of low and high-risk activities and an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where:</p> <p>the ER and AA review all proposed out-of-hours activities and confirm their risk levels.</p> <p>low risk activities can be approved by the ER in consultation with the AA; and</p> <p>high risk activities that are approved by the Planning Secretary.</p> <p>a process for the consideration of out-of-hours works against the relevant NML and vibration criteria.</p> <p>a process for selecting and implementing mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of Condition D50 of this schedule. The measures must consider the predicted noise levels and the likely frequency and duration of the out-of-hours works that sensitive land user(s) would be exposed to, including the number of noises awakening events.</p> <p>procedures to facilitate the coordination of out-of-hours work including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided; and</p> <p>notification arrangements for affected receivers for all approved out-of-hours works and notification to the Planning Secretary of approved low risk out-of-hours works.</p> <p>This condition does not apply if the requirements of Condition D37(b) of this schedule are met.</p> <p><i>Note: Out-of-hours work is any work that occurs outside the construction hours identified in Condition D35 and D36 of this schedule.</i></p>	<p>This requirement has been included in the OOHW Protocol as part of the CNVMP and is being implemented throughout construction as indicated in response to D37 above.</p> <p>CNVMP Appendix C</p>	<p>date 13/10/2022 OOHW Protocol implemented.</p> <p>Signed by Comms Manager, Signed Environment Manager, signed AA and approved by ER.20/09/2022.</p>	<p>incorporated into the endorsed NVMS as section 5.3.1.</p>	<p>review this event. Although no complaints were received for the urgent use of the vac truck. It was agreed with the Acoustic Advisor that this event be documented as an NCR as it was not consistent with the OOHW Permit</p>	
D39	<p>Construction Noise Management Levels and Vibration Criteria</p> <p>All reasonable and feasible mitigation measures must be implemented with the aim of achieving the following construction noise management levels and vibration criteria:</p> <p>construction 'Noise affected' noise management levels established using the Interim Construction Noise Guideline (DECC, 2009).</p> <p>vibration criteria established using the Assessing vibration: a technical guideline (DEC 2006) (for human exposure).</p> <p>Australian Standard AS 2187.2 - 2006 "Explosives - Storage and Use - Use of Explosives" (for human exposure).</p> <p>BS 7385 Part 2-1993 "Evaluation and measurement for vibration in buildings Part 2" as they are "applicable to Australian conditions": and (</p> <p>the vibration limits set out in the German Standard DIN 4150-3: Structural Vibration- effects of vibration on structures (for structural damage for structurally unsound heritage items).</p>	<p>Site inspection 23/09/2022</p> <p>Interview 27/09/2022</p>	<p>Site inspection 23/09/2022</p> <p>Interview 28/09/2022</p>	<p>Site inspection 23/09/2022</p> <p>Interview 27/09/2022</p>	<p>Noise and vibration mitigation set out in Construction Noise and Vibration Management Plans.</p> <p>Sighted implementation of noise mitigation measures onsite, including use of hydraulic shears at Parramatta for the demolition of the car park adjacent to receivers and use of noise blankets around Quickway utility works and hoardings installed around the AFJV sites.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	Any work identified as exceeding the noise management levels and / or vibration criteria must be managed in accordance with the Noise and Vibration CEMP Sub-plan. <i>Note: The ICNG identifies 'particularly annoying' activities that require the addition of 5 dB(A) to the predicted level before comparing to the construction Noise Management Level.</i>					
D40	All reasonable and feasible mitigation measures must be applied when the following residential ground-borne noise levels are exceeded: evening (6:00 pm to 10:00 pm) — internal LAeq (15 minute): 40 dB(A); and night (10:00 pm to 7:00 am) — internal LAeq (15 minute): 35 dB(A). The mitigation measures must be outlined in the Noise and Vibration CEMP Sub-plan, including in any Out-of-Hours Work Protocol, required by Condition D38 of this schedule.	Not triggered for the Phase A (Quickway) works.	Not triggered at this stage for the Phase B1 (AFJV) works as bulk excavation and tunnelling have not yet commenced.	Not triggered for the Phase C1 (Delta) works.	Condition not triggered for the Project. Requirement has been incorporated into relevant management documents prepared for each phase of work.	Not triggered
D41	Noise generating work in the vicinity of potentially affected community, religious, educational institutions and noise and vibration-sensitive businesses and critical working areas (such as theatres, laboratories, and operating theatres) resulting in noise levels above the NMLs must not be timetabled within sensitive periods, unless other reasonable arrangements with the affected institutions are made at no cost to the affected institution.	Site inspection, 23/09/2022 Requirements are included in the CNVMP. All relevant noise mitigation measures have been employed during construction and will continue to be utilised throughout the entirety of the Project. Noise mitigation (noise mats) are implemented at each work site. Refer to CEMP-NVMP section 9.2	Site inspection, 23/09/2022 Requirements are included in the CNVMP. All relevant noise mitigation measures have been employed during construction and will continue to be utilised throughout the entirety of the Project. Noise mitigation (noise mats) are implemented at each work site.	During demolition, the use of shears/pulverisers was applied at Parramatta to minimise the potential noise levels around sensitive land users. Site inspection, 28/09/2022	Condition deemed compliant as per evidence provided.	Compliant
D42	Construction Noise and Vibration Mitigation and Management Industry best practice construction methods must be implemented where reasonably practicable to ensure that noise levels are minimised around sensitive land user(s). Practices must include, but are not limited to: use of regularly serviced low sound power equipment. temporary noise barriers (including the arrangement of plant and equipment) around noisy equipment and activities such as rock hammering and concrete cutting; and use of alternative construction and demolition techniques.	Site inspection, 23/09/2022 Requirements are included in the CNVMP. All relevant noise mitigation measures have been employed during construction and will continue to be utilised throughout the entirety of the Project. Noise mitigation (noise mats) are implemented at each work site. Refer to CEMP-NVMP section 9.2	Site inspection, 23/09/2022 Requirements are included in the CNVMP. All relevant noise mitigation measures have been employed during construction and will continue to be utilised throughout the entirety of the Project. Noise mitigation (noise mats) are implemented at each work site.	During demolition, the use of shears/pulverisers was applied at Parramatta to minimise the potential noise levels around sensitive land users. Site inspection, 28/09/2022	Condition deemed compliant as per evidence provided.	Compliant
D43	Detailed Noise and Vibration Impact Statements (DNVIS) must be prepared for any work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in Conditions D39 and D40 of this schedule at any residence outside construction hours identified in Condition D35 of this schedule, or where receivers will be highly noise affected. The DNVIS must include specific mitigation measures	A Detailed Noise and Vibration Impacts Statement 31/8/21 (Hutchison Weller) was prepared for the Project and outlines all requirements of this	Refer to C10 The following DNVIS were developed: - The Bays DNVIS - Burwood North DNVIS	Acoustics Advisor Endorsement (29/10/2021) for Clyde early works: Detailed noise and vibration impact statement (DNVIS) Rev 3 25/10/2021	Self-Reported Non-compliant NCR-009 (Phase B1) - The tree clearing activity was not included in the Detailed Noise and Vibration Impact Statement (DNVIS) but was assessed (consistent with a DNVIS and as per agreement with the Acoustic Advisor) as part of an	Non-Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	identified through consultation with affected sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the AA and ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy(ies) of the DNVIS.	<p>condition. Monthly Noise and Vibration reports are presented to Sydney Metro and uploaded onto Quickway website. https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/</p> <p>The DNVIS was conditionally approved on 12/7/21 by the AA, and then approved in full covering all of Quickway's work scope following attended monitoring carried out by the AA.</p>	<ul style="list-style-type: none"> - Five Dock DNVIS - Sydney Olympic Park DNVIS <p>Evidence of DNVISs being provided to AA and ER before commencement of works</p> <p>NCR-009-D43- 11 July 2022</p>	<p>Acoustics Advisor Endorsement (29/10/2021) for Paramatta early works: Detailed noise and vibration impact statement (DNVIS) Rev 3 25/10/2021</p> <p>Acoustics Advisor Endorsement (03/11/2021) for Westmead early works: Detailed noise and vibration impact statement (DNVIS) Rev 4 02/11/2021</p> <p>Detailed Noise and Vibration Impact Statement (Clyde) Rev 3 25/10/2021</p> <p>Detailed Noise and Vibration Impact Statement (Paramatta) Rev 3 25/10/2021</p> <p>Detailed Noise and Vibration Impact Statement (Westmead) Rev 4 02/11/2021</p>	<p>OOHW Permit, however this assessment was not provided to the Acoustic Advisor prior to the works commencing.</p> <p>It is noted that this issue was discussed to determine the occurrence of a non-compliance between the period of the clearing activity and the date of confirmation of the non-compliance with the Acoustic Advisor, Environmental Representative and Sydney Metro.</p> <p>It is further noted that no complaints were received pertaining to noise or vibration impact of this work.</p>	
D44	DNVIS must be prepared for each construction site before construction noise and vibration impacts commence and include specific mitigation measures identified through consultation with affected sensitive land users.	A Detailed Noise and Vibration Impacts Statement was prepared for the Project and outlines all requirements of this condition. Works are conducted in accordance with CNVMP, DNVIS, and CNVS.	<p>The following DNVIS for the CTP sites were developed:</p> <ul style="list-style-type: none"> • The Bays DNVIS • Burwood North DNVIS • Five Dock DNVIS • Sydney Olympic Park DNVIS 	See Condition D43.	DNVIS were prepared for each construction site before construction noise and vibration impacts commence and include specific mitigation measures identified through consultation with affected sensitive land users.	Compliant
D45	Owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before works that generate vibration commences in the vicinity of those properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers are to be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. These properties must be identified and considered in the Noise and Vibration CEMP Sub-plan.	Not triggered	<p>The following notifications were conducted:</p> <ul style="list-style-type: none"> • Notification – Rozelle 31 August 2021 • MCoA D45_216-218 Church Street CM record of communication 	<p>NCR005_NVMSPP_C10_P arramatta_ENCR_Rev2 – 18/05/2022</p> <p>Notification to SM dated 26/05/2022</p> <p>Notification to DPE on 02/06/2022</p>	<p>Self-Reported Non-compliant</p> <p>NCR-005 (Phase C) - Elevated vibration readings were observed on the vibration logger attached to 240 Church Street, Parramatta on 18/05/2022, which is directly adjacent to 238 Church Street, Parramatta which was demolished (Phase C). The elevated readings exceeded action criteria outlined in the Noise & Vibration Management Sub Plan (NVMSPP). The alert system was not active at the time of the exceedance and</p>	Non-Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
			<ul style="list-style-type: none"> D45 evidence_37-39 George Street D45 evidence_240 Church Street D45 Evidence_69 George St 		therefore was not being managed in accordance with NVMSP.	
D46	<p>Construction Vibration Mitigation – Heritage</p> <p>Vibration testing must be conducted during vibration generating activities that have the potential to impact on Heritage items to identify minimum working distances to prevent cosmetic damage. In the event that the vibration testing and attended monitoring shows that the preferred values for vibration are likely to be exceeded, the Proponent must review the construction methodology and, if necessary, implement additional mitigation measures. Such measures must include, but not be limited to, review or modification of excavation techniques.</p>	Site inspection, 28/09/2022 Vibration reports are uploaded to the Quickway website and submitted to Sydney Metro monthly.	Site inspection, 28/09/2022 White Bay Power Station St Albans Church	<p>Site inspection, 28/09/2022</p> <p>Vibration monitors installed at each site with provision for alarms based on triggers as outlined in the NVMSP.</p> <p>Macquarie Street properties monitored. 1 reading came through that exceeded the vibration trigger. Osterman receives the warning. Text alert goes to Delta Project Manager, with live data link.</p> <p>Vibration monitoring reports refer to Quarterly reports. Refer to NCR.</p>	Vibration monitoring is conducted regularly to measure vibration impacts from works to ensure the screening criteria is not exceeded with a screening criterion of 7.5mm/s (non-heritage) 2.5mm/s (heritage structures determined as structurally unsound).	Compliant
D47	The Proponent must seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement, and noise monitoring at Heritage items.	Not triggered for the Phase A (Quickway) works	<p>Low Impact Works 009 Heritage Assessment Memo for The Bays, Artefact, 26/11/2022</p> <p>Email correspondence re: method for affixing monitoring equipment at White Bay Power Station</p>	Letter from Sue Rosen Associates to Delta – Heritage Impact of Accelerometer Deployments at 62-64 Macquarie St, (Kia Ora Building) and 43-47 George St, Parramatta, dated 11/04/2022	The Proponent seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement, and noise monitoring at Heritage items.	Compliant
D48	Before conducting at-property treatment at any Heritage item identified in the documents listed in Condition A1 of this schedule, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item.	Not Triggered	Not Triggered	<p>220625 - Heritage Damage Investigation Report_rev1</p> <p>treatments required for 41-45 George St (Phase C1 (Delta) works)</p>	Sydney Metro and the delivery contractors advised that no at-property treatment has been conducted as part of the Project on any identified Heritage items to date except for 41-45 George St.	Compliant
D49	If a Heritage item is found to be structurally unsound (following inspection) a more conservative cosmetic damage criterion of 2.5 mm/s peak component particle velocity (from DIN 4150) must be applied.	Not triggered for Phase A (Quickway) works.	<p>SMW CTP Construction Noise and Vibration Management Plan, AFJV, Rev 03, 06/12/2021</p> <p>Site inspection, 23/09/2022</p>	<p>Criteria noted in the approved NVMSP. No heritage items have been determined to be structurally unsound.</p> <p>Condition surveys undertaken by suitably</p>	Evidence provided demonstrates compliance with D49.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
			<p>Interview with auditees, 28/09/2022</p> <p>AFJV advised during site inspection that the Phase B1 (AFJV) works has conservatively adopted the 2.5mm/s criterion for the White Bay Power Station even though they haven't assessed structurally unsound.</p>	<p>qualified structural engineer.</p> <p>Vibration monitoring records near the heritage building</p>		
D50	<p>Utility Coordination and Respite</p> <p>All work undertaken for the delivery of Stage 1 of the CSSI, including those undertaken by third parties (such as utility relocations), must be coordinated to ensure respite periods are provided. The Proponent must:</p> <ul style="list-style-type: none"> reschedule any work to provide respite to impacted noise sensitive receivers so that the respite is achieved in accordance with Condition D51 of this schedule; or consider the provision of alternative respite or mitigation to impacted noise sensitive receivers; and provide documentary evidence to the AA in support of any decision made by the Proponent in relation to respite or mitigation. <p>The consideration of respite must also include all other approved Critical SSI, SSI and SSD projects which may cause cumulative and / or consecutive impacts at receivers affected by the delivery of Stage 1 of the CSSI.</p>	<p>All respite periods are adhered to as outlined in D51. SM comms team are in charge of providing respite for noise affected receivers (overnight stays, office space during the day, noise cancelling headphones (>250 units have been taken by residents))</p> <p>Cumulative impacts with WCX are assessed during regular meetings and works are planned to coordinate so residents have as minimal impact as possible.</p> <p>AA is continuously updated with all works during fortnightly environmental progress meetings and is consulted with and approves all OOHW applications inclusive of proposed respite and/or mitigation.</p>	<p>SMW CTP Construction Noise and Vibration Management Plan, AFJV, Rev 03, 06/12/2021</p> <p>AFJV Utility Manager</p> <p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p>	<p>Coordination meetings held with Parramatta Light Rail project team. Minutes of PCPLR / Delta Coordination Meeting Nos. 14 & 15 sighted.</p> <p>Interview with auditees, 27/09/2022</p>	<p>Evidence provided shows that coordination has been undertaken with others conducting works in proximity to the Project to ensure adequate respite is provided.</p>	Compliant
D51	<p>Out-of-Hours Works – Community Consultation and Respite</p> <p>In order to undertake out-of-hours work outside the work hours specified under Condition D35 of this schedule, appropriate respite periods for the out-of-hours work must be identified in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with:</p> <ul style="list-style-type: none"> a progressive schedule for periods no less than three (3) months, of likely out-of-hours work. a description of the potential work, location, and duration of the out-of-hours work. the noise characteristics and likely noise levels of the work; and 	<p>This requirement is addressed within the and CNVMP. Community notification is provided at least 7 days prior to works commencing in a new area. OOHW permits are sent to the AA and ER for review and approval prior to any out of hours works commencing. Refer to OOHW Protocol appendix C of the CNVMP.</p>	<p>2022 Q1 D51 Outcomes of Consultation Report_Rev02</p> <p>2022 Q1 Outcomes of Community consultation report Date Lodged 17/08/2022</p> <p>2022 Q2 D51 Outcomes of Consultation Report Date Lodged 16/06/2022</p>	<p>Plant delivery Westmead. DNVIS prepared. Notification provided regarding these works.</p> <p>Several OOHW application packs provided in D38.</p> <p>Matthew Chow (SM Comms) liaised with affected community. Details sighted in Consultation Manager records for various</p>	<p>Managed through notification of out of hours works and quarterly project newsletters.</p> <p>Quarterly notifications provide schedule of works and more prescriptive detail is provided in the notifications themselves.</p> <p>No response received to date regarding respite periods.</p> <p>Sample notifications and newsletters from the website</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>likely mitigation and management measures which aim to achieve the relevant NMLs under Condition D39 (including the circumstances of when respite or relocation offers will be available and details about how the affected community can access these offers).</p> <p>The outcomes of the community consultation, the identified respite periods, and the scheduling of the likely out-of-hour work must be provided to the AA, EPA, and the Planning Secretary.</p> <p><i>Note: Respite periods can be any combination of days or hours where out-of-hours work would not be more than 5 dB(A) above the RBL at any residence.</i></p>	Communications posted on website and included as part of the OOHW.	220627 McCleer St Pit Replacement FNL 220707 Weekly Email 33kv FNL 220711 Optic Fibre Cable Pull FNL 220727 Robert Street Night Work Notification update FNL 220804 Mansfield St cable install update FNL 220804 Mansfield St cable install update FNL Rozelle 33kv Construction Notifications Report April - September 2022 Rozelle_site_compound_update_notification_September_2022	Westmead properties during Feb 2022.		
D52	<p>Traffic Noise Mitigation at Westmead</p> <p>Sensitive land uses located along local roads used to divert traffic from the closure of Alexandra Avenue in Westmead that will be affected by additional road traffic noise from the diverted traffic in excess of the criteria identified in the NSW Road Noise Policy (the RNP criteria) during construction of Stage 1 of the CSSI (the Affected Properties) are eligible to receive at-property noise mitigation treatments.</p> <p>Owners of Affected Properties must be advised of the range of noise mitigation options that can be installed at or in their property and given a choice as to which of these they agree to have installed. A copy of all noise mitigation guidelines and procedures that will be used to determine at-property treatment at each Affected Property must be provided to the property owner.</p> <p>At property mitigation measures and packages must be determined based on the measured exceedance levels above the RNP criteria. Road traffic noise levels must be measured before and after the altered traffic flow detour.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.2, Sydney Metro, 01/10/2021.	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.2, Sydney Metro, 01/10/2021.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.2, Sydney Metro, 01/10/2021.	Not applicable.	Not triggered
D53	<p>Blasting</p> <p>Blasting associated with Stage 1 of the CSSI must only be undertaken during the following hours:</p> <p>9:00am to 5:00pm, Monday to Friday, inclusive. 9:00am to 1:00pm on Saturday; and at no time on Sunday or public holidays; or as authorised through an EPL.</p> <p>This condition does not apply in the event of a direction from the NSW Police Force or other relevant authority for safety or emergency reasons to avoid loss of life, property loss and / or to prevent environmental harm.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
D54	Blasting Management Strategy A Blast Management Strategy must be prepared and must include: sequencing and review of trial blasting to inform blasting. regularity of blasting. intensity of blasting. periods of relief; and blasting program.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered
D55	The Blast Management Strategy must be endorsed by a suitably qualified and experienced person.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered
D56	The Blast Management Strategy must be prepared in accordance with relevant guidelines in order to ensure that all blasting and associated activities are carried out so as not to generate unacceptable noise and vibration impacts or pose a significant risk to sensitive land user(s).	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered
D57	The Blast Management Strategy must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of blasting. The Blast Management Strategy as submitted to the Planning Secretary, must be implemented for all blasting activities.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered
Socio-Economic, Land Use and Property						
D58	Stage 1 of the CSSI must be designed and constructed with the objective of minimising impacts to, and interference with, third party property and infrastructure, and that such infrastructure and property is protected during construction.	SMW Power Enabling Works Interface Management Plan, 3869-SMW-IMP-001, Quickway, Revision B, 02/06/2021 Site inspection, 23/09/2022 Interview with auditees, 28/09/2022 Quickway attended fortnightly meetings with AFJV and Rozelle Interchange ensuring there is no cumulative impacts.	Site inspection, 23/09/2022 Interview with auditees, 28/09/2022	Site inspection, 23/09/2022 Interview with	Evidence provided during the audit suggests that the Project is being designed and constructed with the objective of minimising impacts to, and interference with, third party property and infrastructure. AFJV reported some infrastructure impacts to the Port Access Road at The Bays worksite (a private road for the Ports Authority). The HV and sewer relocation impacts this asset as per certified designs obtained by Sydney Metro with consultation/approval from the relevant service providers (Ausgrid and Sydney Water). Once conduits/pipes installed, the road is re-instated. AFJV also highlighted that at Project completion, mill and re-sheet of the pavement to take place to hand back the road in best condition. The HV relocation scope has been completed and photos of reinstatement provided.	Compliant
D59	The utilities and services (hereafter “services”) potentially affected by construction must be identified to determine requirements for diversion, protection and / or support. Alterations to services must be determined by negotiation between the Proponent and the service providers. Disruption	SMW Power Enabling Works Interface Management Plan, 3869-SMW-IMP-001, Quickway, Revision B, 02/06/2021	Minutes – Jemena/ SM/ AFJV Weekly Progress Meeting, dated 16/12/2021	Site inspection, 23/09/2022 Interview with auditees, 28/09/2022	The utilities and services potentially affected by construction were identified to determine requirements for diversion, protection and / or support. Alterations to services were determined by negotiation	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	to services resulting from construction must be avoided, wherever possible, and advised to customers where it is not possible.	<p>Utilities Consultation Memo, 3869-SMW-MEMO-008, Quickway, Revision 0, 06/08/2021</p> <p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p> <p>Emails sent to service providers i.e., Ausgrid, Sydney Water, Optus, Telstra, and Jemena.</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p> <p>RE Cafe 93 - Queens Street Footpath – Maintenance uneven surface (cycleways) - Sydney Metro trench creating uneven cafe seating</p> <p>SMWST1 - Transmittal_SMWSTCTP-AFJ-TX-0.</p> <p>SMWSTCTP-AFJ-1NL-UT-MIN-000004.-. S2.-.01</p> <p>SWC Meeting Minutes 04-05-2022</p> <p>Weekly Comms Enviro and Traffic Update 17.10.2022</p>	<p>Evidence of communication with service providers was presented with the Register of Asset Owners for all demolition sites 20220823.</p>	between the Proponent and the service providers. Disruption to services resulting from construction were avoided, wherever possible, and advised to customers where it is not possible.	
D60	<p>Condition Survey</p> <p>A suitably qualified and experienced person must undertake condition surveys of all buildings, structures, utilities and the like identified in the documents listed in Condition A1 of this schedule as being at risk of damage before commencement of any work that could impact on the subject surface / subsurface structure. The results of the surveys must be documented in a Preconstruction Condition Survey Report for each item surveyed. Copies of Pre-construction Condition Survey Reports must be provided to the relevant owners of the items surveyed in the vicinity of the proposed work, and no later than one (1) month before the commencement of the work that could impact on the subject surface / subsurface structure.</p>	<p>Interview with auditees, 27/09/2022</p> <p>Property Condition Survey Master Register</p>	<p>Interview with auditees, 28/09/2022</p> <p>Dilap Survey Master Register, AFJV, 03/02/2022</p> <p>Pre-construction property condition survey report - 115 Queen Street North Strathfield</p>	<p>Interview with auditees, 27/09/2022</p> <p>Pre-Construction Condition Survey Summary Register</p>	<p>Evidence provided demonstrates that Pre-construction Condition Surveys have been conducted in accordance with D60.</p> <p>Copies of Pre-construction Condition Survey Reports were provided to the relevant owners of the items surveyed in the vicinity of the proposed work, and no later than one (1) month before the commencement of the work that could impact on the subject surface / subsurface structure.</p>	Compliant
D61	Condition surveys of all items for which condition surveys were undertaken in accordance with Condition D60 of this schedule must be undertaken by a suitably qualified and experienced person after completion of the work identified in Condition D60 of this schedule. The results of the surveys must be documented in a Post-construction Condition Survey Report for each item surveyed. Copies of Post-construction Condition Survey Reports must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure unless otherwise agreed by the Planning Secretary.	Post-construction condition surveys not yet triggered for the Phase A (Quickway) works as they are not yet completed.	Post-construction condition surveys not triggered as Phase B1 (AFJV) works have only just commenced construction.	Post-construction condition surveys not triggered for Phase C1 (Delta) works – to be carried out following completion of works.	<p>Post-construction condition surveys not yet triggered for the Project.</p> <p>Note: Evidence to follow: Copies of Post-construction Condition Survey Reports must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure</p>	Not triggered
D62	The Proponent, where liable, must rectify any property damage caused directly or indirectly (for example from vibration or from groundwater change) by the work at no cost to the owner. Alternatively, the Proponent may pay compensation for the property damage as agreed with the property owner. Rectification or compensation must be undertaken within 12 months of completion of the work	<p>Interview with auditees, 27/09/2022</p> <p>Records to be finalized after the Post Construction Dilapidation.</p>	<p>Interview with auditees, 28/09/2022</p> <p>Sydney Metro and AFJV advised that there has been no property damage</p>	<p>Interview with auditees, 27/09/2022</p> <p>Sydney Metro and Delta advised that there has been no property damage</p>	No complaints have been referred to the IPIAP to date.	Not triggered

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	identified in Condition D60 of this schedule unless another timeframe is agreed with the owner of the affected surface or sub-surface structure or recommended by the IPIAP.		to date as a result of the Phase B1 (AFJV) works.	to date as a result of the Phase C1 (Delta) works. Heritage building refer to incident report.		
D63	Appropriate equipment to monitor areas in proximity of construction sites and the tunnel route during construction must be installed with particular reference to at risk buildings, structures and utilities identified in the condition surveys required by Condition D60 of this schedule and / or geotechnical analysis as required. If monitoring during construction indicates exceedance predicted impacts identified in the documents in Condition A1 or determined through geotechnical analysis of the vibration criteria identified in the DNVIS prepared under Condition D43 of this schedule, then all construction affecting settlement must cease immediately and must not resume until fully rectified or a revised method of construction is established that will ensure protection of affected buildings.	Interview with auditees, 27/09/2022 Site inspection, 23/09/2022 Quickway Noise and Vibration Monitoring Reports – https://drive.google.com/file/d/1nf_W6J6sLtYTLwOXGJRB-q8CPLaFrXAk/view	Interview with auditees, 27/09/2022 Site inspection, 28/09/2022 Vibration monitors installed at each site during bulk excavation.	Interview with auditees, 27/09/2022 Site inspection, 23/09/2022 Vibration monitors installed at each site during structural demolition. Monitoring by Osterman sighted. Refer to vibration monitoring in Quarterly Reports 1 & 2.	Evidence of vibration monitoring being conducted in line with the conditions provided for each phase of works. See also C16 and D46.	Compliant
D64	An Independent Property Impact Assessment Panel (IPIAP) must be established. The Planning Secretary must be informed of the members of the IPIAP and the IPIAP must comprise geotechnical and engineering experts independent of the design and construction team. The IPIAP will be responsible for independently verifying condition surveys undertaken under Conditions D60 and D61 of this schedule, the resolution of property damage disputes and the establishment of ongoing settlement monitoring requirements.	Letter from Sydney Metro to DPE regarding formation of IPIAP, 03/02/2022 Record of submission to DPE, SSI-10038-PA-108, 03/02/2022 Quickway – Sydney Metro West Power Enabling Works 77 Mansfield St Alleged Property Damaged Complaint 31/08/2022.			Letter from Sydney Metro to DPE confirms establishment of IPIAP and includes details of members, their qualifications, and statements of independence.	Compliant
D65	Either the affected property owner or the Proponent may refer unresolved disputes arising from potential and/or actual property impacts to the IPIAP for resolution. All costs incurred in the establishing and implementing of the panel must be borne by the Proponent regardless of which party makes a referral to the IPIAP. The findings and recommendations of the IPIAP are final and binding on the Proponent.	Interview with auditees, 27/09/2022 Quickway – Sydney Metro West Power Enabling Works 77 Mansfield St Alleged Property Damaged Complaint 31/08/2022. All complaints will be dealt after the post construction dilapidation reports			Sydney Metro and its contractors advised that no unresolved property-related disputes have occurred to date in relation to the Project works.	Not triggered
D66	Settlement monitoring must be extended if directed so by the IPIAP following its review of the monitoring data from the period not less than six (6) months after settlement has stabilised, consistent with Condition D63 of this schedule. The results of the monitoring must be made available to the Planning Secretary upon request	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Interview with auditees, 27/09/2022 Site inspection, 28/09/2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Settlement monitoring under D63 has not yet commenced as part of the Phase B2 (AFJV) works.	Not triggered.
D67	Community Benefit Plan(s) A Community Benefit Plan(s) must be prepared, by a suitably qualified and experienced person, to guide the delivery of measures identified in the documents listed in Condition A1 of this schedule relating to social impacts and the development of community benefit initiatives. The Community Benefit Plan(s) must aim to: make a positive contribution to the potentially affected community.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	SMW Stage 1 Community Benefit Plan, Sydney Metro, Version 1, December 2021 Record of submission to DPE, SSI-10038-PA-70, 08/11/2021 Approval letter from DPE, 12/11/2021	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	A Community Benefit Plan has been prepared by Sydney Metro for the project. The plan was submitted to and approved by DPE.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>respond to community priorities and needs.</p> <p>create positive community or environmental outcomes; and prioritise consideration of achieving outcomes for enhancing community character, community culture and the local surroundings.</p> <p>Nothing in this condition prevents the preparation of individual Community Benefit Plans for each station precinct.</p>		<p>Updated version is currently on review.</p> <p>SMW CBP is being updated to include the eastern package.</p>			
D68	<p>The Community Benefit Plan(s) must be submitted to the Planning Secretary for information before construction. The Community Benefit Plan(s) must be implemented for the duration of construction.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Interview with auditees, 28/09/2022</p> <p>SMW Stage 1 Community Benefit Plan, Sydney Metro, Version 1, December 2021</p> <p>Record of submission to DPE, SSI-10038-PA-70, 08/11/2021</p> <p>Approval letter from DPE, 12/11/2021</p> <p>Notification of Commencement letter – Phase B1, Sydney Metro to DPE, 07/12/2021</p> <p>Record of submission to DPE, SSI-10038-PA-74, 06/12/2021</p>	<p>Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>The Community Benefit Plan(s) was submitted to the Planning Secretary for information before construction. The Community Benefit Plan(s) is being implemented for the duration of construction.</p>	Compliant
D69	<p>Potential impacts on the operation of festivals or events at Parramatta, Sydney Olympic Park or Five Dock must be limited as reasonably practicable.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Interview with auditees, 28/09/2022</p> <p>Records of evidence that this was complied.</p> <p>Recently Festival at Five Dock which involved closing of the large part of five dock. AFJV had meeting with the Council and meeting minutes to be provided,</p> <p>FIBA in Sydney Olympic – road closure for two weeks and AFJV coordinated with SOFA and alternate haulage route.</p> <p>Meeting name SOPA Interface Meeting, Time/date 11:00am, 6/04/2022</p>	<p>Interview with auditees, 28/09/2022</p>	<p>Potential impacts on the operation of festivals or events at Parramatta, Sydney Olympic Park or Five Dock were limited as reasonably practicable.</p>	Compliant
D70	<p>Small Business Owners Engagement Plans</p> <p>Small Business Owners Engagement Plan(s) must be implemented in accordance with the Overarching Community Communication Strategy to minimise impact on small businesses adjacent to major construction sites during</p>	<p>Very High Risk</p> <p>Small Business Owners Engagement Plan –</p>	<p>CTP Small Business Owners Engagement Plan, AFJV, Rev B, December 2021</p>	<p>Small Business Owners Engagement Plan – Parramatta and Clyde Enabling Works, Sydney</p>	<p>Small Business Owners Engagement Plans have been prepared for each phase of the Project and submitted to the Planning Secretary in line with D70.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	construction of Stage 1 of the CSSI. These plans must be prepared and submitted to the Planning Secretary for information before construction at the relevant construction site.	Rozelle 33kv Power Supply Works, Sydney Metro, Version 4, May 2021 Email from DPE to Sydney Metro acknowledging receipt of Small Business Owners Engagement Plan, dated 27/05/2021	Record of submission to DPE, SSI-10038-PA-92, 23/12/2021 Twelve monthly reviews for this year and it will be six months as per the revised OCCS. Consultation Manager and Monthly Progress report included Construction Report. Five docks. – progress report for August and September Community Benefits Implementation Plan SMWSTCTP-AFJ-1NL-SB-PLN-000003 Revision 02 Sydney Metro West – Central Tunnelling Package Rev 2 20/9/2022	Metro Version 1, October 2021 Approval letter from DPE to Sydney Metro re: Small Business Owners Engagement Plan – Parramatta and Clyde Enabling Works, dated 13/10/2021	Regarding timing of submission, it is noted that Sydney Metro notified DPE on 06/12/2021 that construction would commence on Phase B1 works on 10/12/2021. However, the auditees advised that construction on Phase B1 works actually commenced on 10/01/2022. This is addressed in A38.	
Soils and Contamination		High Risk				
D71	Contaminated Sites Before commencement of any construction that would result in the disturbance of moderate to high risk contaminated sites as identified in the documents identified in Condition A1 of this schedule, Detailed Site Investigations (for contamination) must be conducted to determine the full nature and extent of the contamination. The Detailed Site Investigation Report(s) and the subsequent report(s) must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP (SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Detailed Site Investigations must be undertaken in accordance with guidelines made or approved under section 105 of Contaminated Land Management Act 1997 (NSW). The Detailed Site Investigation for Sydney Olympic Park metro construction site must be prepared in consultation with SOPA. <i>Note: Nothing in this condition prevents the Proponent from preparing individual Detailed Site Investigation Reports (for contamination) for separate sites.</i>	Condition not applicable to Phase A works as per SMW Phasing Report 1.2, Sydney Metro, 01/10/2021.	Detailed Site Investigation – The Bays Construction Site, ERM, Rev 03, 14/01/2022 Station Box Detailed Site Investigation – The Bays, ERM, Draft 1.0, 28/01/2022 Letter – Interim Site Audit Advice Site Auditor Endorsement of Station Box Detailed Site Investigation the Bays Construction Site, Sydney Metro West, Enviroview Pty Ltd, 08/02/2022 Five Dock Station Detailed Site Investigation, Epic Environmental, Rev 0, 18/02/2022 All DSI for all boxes are now completed. 211203 DSI The Bays (Rev00) 220128 TBY DSI Inside Station Box_(R3)	Detailed Site Investigation –Westmead, ADE Consulting Group, Rev V5F 17/08/2022 Detailed Site Investigation –Parramatta, ADE Consulting Group, Rev V.2F, 11/08/2022	For the Phase B1 (AFJV) works, The Bays site has been split across two DSIs – one for the station box footprint and one for all areas outside the station box. The DSIs for The Bays are approved by Sophie Wood, ERM Partner and CEnvP (SC). The Five Dock DSI has been prepared by Morgan Singleton-Fookes, CEnvP (SC). The DSIs prepared for the Phase C1 (Delta) works were reviewed by Dr. Santo Ragusa, Principal Environmental Scientist and CEnvP (SC). Observation: DSI are to be posted on website. Letter dated 27/09/2022 from DPE.	Compliant
D72	Should remediation be required to make land suitable for the final intended land use, a Remedial Action Plan must be	Condition not applicable to Phase A works as per	There are two RAPs	Condition not applicable to Phase C1 works as per	RAPs were prepared and ongoing implementation was noted.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP (SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Remedial Action Plan must be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997 (NSW) and must include measures to remediate the contamination at the site to ensure the site will be suitable for the proposed use when the Remedial Action Plan is implemented. The Remedial Action Plan for Sydney Olympic Park metro construction site must be prepared in consultation with SOPA.</p> <p><i>Note: Nothing in this condition prevents the Proponent from preparing individual Remedial Action Plans for separate sites.</i></p>	SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>-The Bays covering the remedial plans outside the station box. RAP</p> <p>RAP Burwood Station Construction Site dated 21/09/2022 prepared by ERM.</p> <p>RAP The Bays Station dated 25/08/2022 prepared by ERM</p>	SMW Phasing Report 1.4, Sydney Metro, May 2022		
D73	<p>Before commencing remediation, a Section B Site Audit Statement(s) must be prepared by an NSW EPA-accredited Site Auditor that certifies that the Remedial Action Plan(s) is/are appropriate and that the site can be made suitable for the proposed use. The Remedial Action Plan(s) must be implemented and any changes to the Remedial Action Plan(s) must be approved in writing by the NSW EPA-accredited Site Auditor.</p> <p><i>Note: Nothing in this condition prevents the Proponent from engaging an NSW EPA-accredited Site Auditor to prepare individual Site Audit Statements for Remedial Action Plans for separate sites.</i></p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>Site Auditor – Mr. James Davis of Enviroview (NSW EPA Accreditation number: 0301)</p> <p>Site Audit Statement was released for the Bays Station dated 7/09/2022</p> <p>Validation Consultation ERM</p> <p>Weekly meetings were set up with SM and AFJV for Burwood and SOP.</p>	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	The Bays Rap has been activated and ERM are conducting the validation reporting.	Compliant
D74	<p>Validation Report(s) must be prepared in accordance with Consultants Reporting on Contaminated Land: Contaminated Land Guidelines (EPA, 2020) and relevant guidelines made or approved under section 105 of the Contaminated Land Management Act 1997 (NSW).</p> <p><i>Note: Nothing in this condition prevents the Proponent from preparing individual Validation Reports for separate sites.</i></p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Interview with auditees, 28/09/22	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Validation Reporting is not yet required for the Phase B1 (AFJV) works.	Not triggered
D75	<p>A Section A1 or Section A2 Site Audit Statement (accompanied by an Environmental Management Plan) and its accompanying Site Audit Report, which state that the contaminated land disturbed by the work has been made suitable for the intended land use, must be submitted to the Planning Secretary, SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) after remediation and before the commencement of operation of the CSSI.</p> <p><i>Note: Nothing in this condition prevents the Proponent from obtaining Section A Site Audit Statements for individual parcels of remediated land.</i></p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Interview with auditees, 28/09/22	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Validation Reporting is not yet required for the Phase B1 (AFJV) works.	Not triggered
D76	<p>A copy of Detailed Site Investigation Report(s), Remedial Action Plan(s), Validation Report(s), Site Audit Report(s), and Site Audit Statement(s) must be submitted to the Planning Secretary, SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) for information.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Email to DPE dated 22/07/2022 noting that DSI RAPs and SAS will be submitted in packages Package 1 and Package 2	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	DSI RAPs and SAS were submitted in packages Package 1 and Package 2 with Validation Reports SARs A1 and SAS A2.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
			to be to be Validation Reports SARs A1 and SAS A2 DPE responded 25/07/2022.		Note: A copy of Detailed Site Investigation Report(s), Remedial Action Plan(s), Validation Report(s), Site Audit Report(s), and Site Audit Statement(s) must be submitted SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) for information.	
D77	An Unexpected Contaminated Land and Asbestos Finds Procedure must be prepared before the commencement of construction and must be followed should unexpected, contaminated land or asbestos (or suspected contaminated land or asbestos) be excavated or otherwise discovered during construction.	SMW Power Enabling Works Construction Environmental Management Plan (Appendix H: Soil and Water Management Plan), Quickway, Rev 1.0, 02/11/2021	SMW CTP Works Soil and Water Management Plan (Appendix E: Contamination and Asbestos Unexpected Finds Procedure), AFJV, Rev 3, 03/11/2021 Refer to website	SMW Demolition Works Construction Environmental Management Plan (Appendix C: Additional Environmental Procedures), Delta, Revision 6, 22/12/2021	Contamination and Asbestos Unexpected Finds Procedures are in place for each phase of the Project, incorporated into the approved management plans referenced.	Compliant
D78	The Unexpected Contaminated Land and Asbestos Finds Procedure must be implemented throughout construction.	SMW Power Enabling Works Construction Environmental Management Plan (Appendix H: Soil and Water Management Plan), Quickway, Rev 1.0, 02/11/2021 Waste Classification Report – SMW Power Enabling Works, Alliance Geotechnical and Environmental Solutions, dated 02/02/2022 Asbestos containing material was found at the Robert Street on 8/6/2022 Asbestos clearance conducted by Alliance Asbestos Clearance Certificated Ref: 12843-ER-2-2 dated 8/6/2022.	SMW CTP Works Soil and Water Management Plan (Appendix E: Contamination and Asbestos Unexpected Finds Procedure), AFJV, Rev 3, 03/11/2021 SWM CTP Contamination Unexpected Finds Register, AFJV, last entry 07/02/2022 No unexpected finds to date.	SMW Demolition Works Construction Environmental Management Plan (Appendix C: Additional Environmental Procedures), Delta, Revision 6, 22/12/2021 Environmental Incident and Non-compliance Notification Report – Clyde Unexpected Contamination Find, Sydney Metro, 25/02/2022 Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 Storage tank was found in Parramatta. Decommissioned in-situ and copy of report provided to Council.	One unexpected asbestos find has occurred on the Phase A (Quickway) works at Robert Street, Rozelle, as summarised in the waste classification report referenced. Four unexpected asbestos finds have been discovered during the Phase A (AFJV) works, recorded in the Contamination Unexpected Finds Register. An unexpected find was also discovered at the Clyde worksite (Phase C1 (Delta) works) on 25/02/2022. The find was an underground fuel tank that had filled with water due to heavy rainfall and bubble to the surface with hydrocarbons. Observed controls put in place to contain the contaminated water. Delta advised that the event was notified to the relevant authorities (including the EPA) and emergency services were called to site. Refer to site photos in Appendix D. See also A43 and A44.	Compliant
Sustainability						
D79	A Water Reuse Strategy must be prepared, which sets out options for the reuse of collected stormwater and groundwater during Stage 1 of the CSSI. The Water Reuse Strategy must include, but not be limited to: evaluation of reuse options. details of the preferred reuse option(s), including volumes of water to be reused, proposed reuse locations and/or activities, proposed treatment (if required), and any additional licences or approvals that may be required.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	CTP Water Reuse Strategy, AFJV, Rev 02, 14/12/2021 https://proaccionaau.blob.core.windows.net/media/55tgdbcf/ctp-water-reuse-strategy.pdf	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	The Water Reuse Strategy addresses the requirements of D79. A copy of the Water Reuse Strategy for the Phase B1 (AFJV) works is available on AFJV's project website.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>measures to avoid misuse of recycled water as potable water.</p> <p>consideration of the public health risks from water recycling; and</p> <p>time frame for the implementation of the preferred reuse option(s).</p> <p>The Water Reuse Strategy must be prepared based on best practice and advice sought from relevant agencies, as required. The Strategy must be applied during construction.</p> <p>Justification must be provided to the Planning Secretary if it is concluded that no reuse options prevail.</p> <p>A copy of the Water Reuse Strategy must be made publicly available.</p> <p>Nothing in this condition prevents the Proponent from preparing separate Water Reuse Strategies for the construction phases of Stage 1 of the CSSI.</p>					
Traffic and Transport						
D80	<p>Access to all utilities and properties must be maintained during works, unless otherwise agreed with the relevant utility owner, landowner, or occupier.</p>	<p>SMW Power Enabling Works Overarching Construction Traffic Management Plan, Quickway, Version B, 21/05/2021</p> <p>SMW Power Enabling Works Construction Parking and Access Strategy, Rev 1.0, dated 25/06/2021</p> <p>Quickway - TTLG Presentation 30 June 2022</p> <p>For the Phase A (Quickway) works, alternative parking has been provided at the Rozelle Substation for local residents impacted by the works.</p>	<p>SMW CTP Overarching Construction Traffic Management Plan, AFJV, Rev 03, 24/01/2022</p> <p>SMW CTP Construction Parking and Access Strategy, AFJV, Rev 1, 15/12/2021</p> <p>TTLG meeting minutes #14 to 19 were sighted</p> <p>Regular Monthly Meeting 29/09/2022 every last Thursday of the month.</p> <p>Agenda goes out Wednesday prior the meeting.</p> <p>Presentations were provided by the Contractors.</p> <p>TTLG meeting minutes is being issues Wednesday after the meeting.</p> <p>No action to be done on the last meeting minutes. 29/09/2022.</p> <p>TCG- meeting fortnightly meeting as required latest meeting 06/10/2022 specific for each site.</p> <p>15/092022 TCG meeting minutes. All actions are getting close the following</p>	<p>SMW Demolition Construction Traffic Management Plan – Clyde, Delta, Rev 2, 13/10/2021</p> <p>SMW Demolition Construction Traffic Management Plan – Parramatta, Delta, Rev 2, 14/10/2021</p> <p>SMW Demolition Construction Traffic Management Plan – Westmead, Delta, Rev 2, 19/10/2021</p> <p>SMW Demolition Construction Parking and Access Strategy – Parramatta, Delta, Rev 4, 09/12/2021</p> <p>SMW Demolition Construction Parking and Access Strategy – Clyde, Delta, Rev 3, 11/10/2021</p> <p>SMW Demolition Construction Parking and Access Strategy – Westmead, Rev 4, 12/10/2021</p>	<p>Maintenance of access is addressed in project Construction Traffic Management Plans (CTMPs) and Construction Parking and Access Strategies (CPAS).</p> <p>Some complaints have been raised in relation to parking and access, the majority of which were deemed unavoidable and all of which have been closed.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
			meeting and being monitored accordingly.			
D81	Any property access physically affected by the CSSI must be reinstated to at least an equivalent standard, unless otherwise agreed by the landowner or occupier. Property access must be reinstated within one (1) month of the work that physically affected the access is completed or in any other timeframe agreed with the landowner or occupier.	SMW Power Enabling Works Overarching Construction Traffic Management Plan, Quickway, Version B, 21/05/2021 Site inspection, 23/09/2022 For the Phase A (Quickway) works, there have been some impacts to access of residents' driveways and on-street parking. Alternative parking has been provided at the Rozelle Substation for local residents impacted by the works. This is addressed in section 5.2 of the OCTMP for these works. No access impacts were observed during the site inspection, with access having been reinstated.	Interview with auditees, 28/09/2022 Not Triggered for CTP	Interview with auditees, 27/09/2022 Site inspection, 23/09/2022 Part of the post construction dilap report and rectification	The auditees advised that D81 has not been triggered for the Phase B1 (AFJV) and Phase C1 (Delta) works.	Compliant
D82	Construction vehicles (including light vehicles) must not use Robert Street, Rozelle to access The Bays metro station construction site, unless required in the event of an emergency or in association with the delivery of the Rozelle power supply from the Rozelle sub-transmission substation to The Bays metro station construction site.	SMW Power Enabling Works Overarching Construction Traffic Management Plan, Quickway, Version B, 21/05/2021 Interview with auditees, 27/09/2022 Site inspection, 23/09/2022	SMW CTP Overarching Construction Traffic Management Plan, AFJV, Rev 04, 19/08/2022 CIP Approval 26/08/2022 Interview with auditees, 27/09/2022 Site inspection, 23/09/2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Restrictions around the use of Robert Street for the Phase B1 (AFJV) works are detailed in section 4.7 of the approved OTCMP. The Bays site is accessed via Solomons Way and exited via Sommerville Road. Use of Robert Street, Rozelle is permitted for delivery of the Phase A (Quickway) works.	Compliant
D83	The locations of all Heavy Vehicles used for spoil haulage must be monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Interview with auditees, 27/09/2022 Site inspection, 23/09/2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	The locations of all Heavy Vehicles used for spoil haulage were monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
D84	The primary egress routes for spoil haulage trucks at Sydney Olympic Park metro station construction site must be determined in consultation with SOPA.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	<p>Interview with auditees, 27/09/2022</p> <p>Site inspection, 23/09/2022</p> <p>FW_ Sydney Metro West - CTP - Construction Traffic Management Plan - Sydney Olympic Park - Revision 01 - Issued for Approval</p> <p>SOPA are invited on the TTLG refer to TTLG meeting minutes.</p> <p>Heavy Vehicle Access Route response from SOPA 25/11/21</p>	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	The primary egress routes for spoil haulage trucks at Sydney Olympic Park metro station construction site were determined in consultation with SOPA.	Compliant
D85	Construction Traffic Management Plans (CTMPs) must be prepared in accordance with the Construction Traffic Management Framework. A copy of the CTMPs must be submitted to the Planning Secretary for information before the commencement of any construction in the area identified and managed within the relevant CTMP.	<p>SMW Power Enabling Works Overarching Construction Traffic Management Plan, Quickway, Version B, 21/05/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Power Enabling Works OCTMP, dated 26/07/2021</p> <p>Manning Street to Darling Street Trenching Works, Construction Traffic Management Plan, Version B, 21/06/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Manning Street to Darling Street CTMP, dated 28/07/2021</p> <p>Darling Street Trenching and Under-bore, Construction Traffic Management Plan, Version B, 03/06/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Darling Street CTMP, dated 28/07/2021</p> <p>Merton Street to Mullen Street – Trenching, Construction Traffic Management Plan, Version C, 08/06/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Merton</p>	<p>SMW CTP Overarching Construction Traffic Management Plan, AFJV, Rev 03, 24/01/2022</p> <p>Letter from DPE to Sydney Metro re: receipt of CTP OCTMP, dated 03/02/2022</p> <p>Observation: Revision 4 dated 26/08/2022 must be submitted to DPE.</p>	<p>SMW Demolition Construction Traffic Management Plan – Clyde, Delta, Rev 2, 13/10/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Clyde CTMP, dated 08/10/2021</p> <p>SMW Demolition Construction Traffic Management Plan – Parramatta, Delta, Rev 2, 14/10/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Parramatta CTMP, dated 13/10/2021</p> <p>SMW Demolition Construction Traffic Management Plan – Westmead, Delta, Rev 2, 19/10/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Westmead CTMP, dated 28/10/2021</p>	CTMPs have been prepared for each phase of the Project, addressing the requirements of the CTMF. Evidence demonstrates CTMPs have been provided to the Planning Secretary for information.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
		<p>Street to Mullen Street CTMP, dated 30/07/2021</p> <p>Mullen Street to The Bays Station Trenching and Thrust-bore, Construction Traffic Management Plan, Version C, 08/06/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Mullen Street to The Bays CTMP, dated 30/07/2021</p>				
D86	Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 of this schedule must be approved by the Planning Secretary and be included in the CTMPs.	<p>SMW Power Enabling Works Heavy Construction Vehicle use of Local Roads Request, Delta, Rev 1.0, 25/06/2021</p> <p>Approval letter from DPE to Sydney Metro, dated 05/07/2021</p>	<p>Sydney Olympic Park Heavy Vehicle Route, AFJV, Rev 02, 22/12/2021</p> <p>Approval letter from DPE to Sydney Metro re: SOPA HLVR, dated 14/01/2022</p> <p>East Street and Henry Street Five Dock Heavy Vehicle Route, AFJV, Rev 1, 09/02/2022</p> <p>East Street and Henry Street Five Dock Heavy Vehicle Route Rev 4 dated 29 August 2022 and approved by Department on 2/09/2022</p> <p>Burwood Road Milton Street and Shaftesbury HVLV Rev 0 approved by Department on 11/05/2022</p> <p>There two NCR in Five docks – refer to Copy of NCRs</p>	<p>SMW Demolition Works Heavy Vehicle Local Road Report Clyde, Delta, Rev 4, 12/10/2021</p> <p>Letter from DPE to Sydney Metro re: Clyde HVLV approval, dated 15/10/2021</p> <p>SMW Demolition Works Heavy Vehicle Local Road Report Parramatta, Delta, Rev 3, 07/10/2021</p> <p>Letter from DPE to Sydney Metro re: Parramatta HVLV approval, dated 19/10/2021</p> <p>SMW Demolition Works Heavy Vehicle Local Road Report Westmead, Delta, Rev 2, 27/10/2021</p> <p>Letter from DPE to Sydney Metro re: Westmead HVLV approval, dated 03/11/2021</p>	<p>Self-Reported Non-compliant</p> <ul style="list-style-type: none"> NCR004 (Phase B1) - CoA D86 & D87 – using local road for heavy vehicle access on 11&12 April 2022 NCR-008 – D86 – 22/06/22 - A temporary driveway has been installed to facilitate worksite entry from Great North Rd. <p>The Driveway is narrow and cannot be widened. As described within the approved CTMP, trucks are to head Northbound on Great North Rd then enter the driveway via a left hand turn. However, due to the driveway being narrow a truck is unable to easily utilise a turn left into the driveway and was instead having to drive past the driveway and reverse in. This had the potential to hold up non-construction traffic also utilising this road, and a reversing manoeuvre is more undesirable from a safety perspective.</p> <p>It was determined that driving Southbound on Great North Road and turning right into the driveway would allow a sufficient swept path area to perform the manoeuvre in a forward direction, thus eliminate the need for reversing and increase the safety to the public.</p> <p>A decision was made from a safety perspective to immediately implement the Southbound right turn once this issue was identified, without the required CTMPs being updated to reflect this adaptation.</p>	Non-Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
D87	<p>All requests to the Planning Secretary for approval to use local roads under Condition D86 above must include the following:</p> <ul style="list-style-type: none"> a swept path analysis; demonstration that the use of local roads by Heavy Vehicles for the CSSI will not compromise the safety of pedestrians and cyclists of the safety of two-way traffic flow on two-way roadways; details as to the date of completion of the road dilapidation surveys for the subject local roads; and measures that will be implemented to avoid where practicable the use of local roads past schools, aged care facilities and childcare facilities during their peak operation times; and written advice from an appropriately qualified professional on the suitability of the proposed Heavy Vehicle route which takes into consideration items (a) to(d) of this condition. 	<p>SMW Power Enabling Works Heavy Construction Vehicle use of Local Roads Request, Delta, Rev 1.0, 25/06/2021</p> <p>Approval letter from DPE to Sydney Metro, dated 05/07/2021</p> <p>ROL 1894828.</p>	<p>Sydney Olympic Park Heavy Vehicle Route, AFJV, Rev 02, 22/12/2021</p> <p>Approval letter from DPE to Sydney Metro re: SOPA HLVR, dated 14/01/2022</p>	<p>SMW Demolition Works Heavy Vehicle Local Road Report Clyde, Delta, Rev 4, 12/10/2021</p> <p>Letter from DPE to Sydney Metro re: Clyde HVLR approval, dated 15/10/2021</p> <p>SMW Demolition Works Heavy Vehicle Local Road Report Parramatta, Delta, Rev 3, 07/10/2021</p> <p>Letter from DPE to Sydney Metro re: Parramatta HVLR approval, dated 19/10/2021</p> <p>SMW Demolition Works Heavy Vehicle Local Road Report Westmead, Delta, Rev 2, 27/10/2021</p> <p>Letter from DPE to Sydney Metro re: Westmead HVLR approval, dated 03/11/2021</p>	<p>All requests for approval to use local roads under Condition D86 have been approved by the Planning Secretary.</p>	Compliant
D88	<p>Road Dilapidation</p> <p>Before any local road is used by a Heavy Vehicle for the purposes of construction of Stage 1 of the CSSI, a Road Dilapidation Report must be prepared for the road. A copy of the Road Dilapidation Report must be provided to the Relevant Road Authority(s) within three (3) weeks of completion of the survey and at no later than one (1) month before the road being used by Heavy Vehicles associated with the construction of Stage 1 of the CSSI.</p>	<p>SMW Power Enabling Works Heavy Construction Vehicle use of Local Roads Request (Appendix I), Delta, Rev 1.0, 25/06/2021</p> <p>Email correspondence detailing submission of dilapidation reports to Council, 16/04/2021</p>	<p>TeamBinder transmittal, Road Dilapidation Report – Burwood Council, 07/12/2021</p> <p>TeamBinder transmittal, Road Dilapidation Report – City of Canada Bay, 06/12/2021</p> <p>TeamBinder transmittal, Road Dilapidation Report – Ports Authority, 05/01/2022</p> <p>TeamBinder transmittal, Road Dilapidation Report – SOPA, 07/12/2021</p> <p>TeamBinder transmittal, Road Dilapidation Report – TfNSW, 17/12/2021</p> <p>Interview with auditees, 28/09/22</p>	<p>SMW Demolition Works Heavy Vehicle Local Road Report Clyde, Delta, Rev 4, 12/10/2021</p> <p>SMW Demolition Works Heavy Vehicle Local Road Report Parramatta, Delta, Rev 3, 07/10/2021</p> <p>SMW Demolition Works Heavy Vehicle Local Road Report Westmead, Delta, Rev 2, 27/10/2021</p> <p>Email correspondence providing Parramatta & Clyde Enabling Works Road Dilapidation Report to representatives from City of Parramatta Council and Cumberland City Council, dated 02/10/2021</p>	<p>Evidence provided indicates that road dilapidation surveys have been undertaken in accordance with D88.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
D89	If damage to roads occurs as a result of the construction of Stage 1 of the CSSI, the Proponent must either (at the Relevant Road Authority's discretion): (a) compensate the Relevant Road Authority for the damage so caused; or (b) rectify the damage to restore the road to at least the condition it was in pre-work as identified in the Road Dilapidation Report.	Interview with auditees, 27-28/09/22 Site inspection, 23/09/22 Part of the Post Dilapidation Reports and treatment as required.			Not triggered at this stage for the Project works.	Not triggered
D90	Construction Parking and Access Management Vehicles associated with the project workforce (including light vehicles and Heavy Vehicles) must be managed to: minimise parking on public roads. minimise idling and queueing on state and regional roads. not carry out marshalling of construction vehicles near sensitive land user(s). not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs	SMW Power Enabling Works Construction Parking and Access Strategy, Rev 1.0, dated 25/06/2021 SMW Power Enabling Works Overarching Construction Traffic Management Plan, Quickway, Version B, 21/05/2021 Site inspection, 23/02/2022	SMW CTP Construction Parking and Access Strategy, AFJV, Rev 1, 15/12/2021 Site inspection, 23/09/22	SMW Demolition Construction Parking and Access Strategy – Parramatta, Delta, Rev 4, 09/12/2021 SMW Demolition Construction Parking and Access Strategy – Clyde, Delta, Rev 3, 11/10/2021 SMW Demolition Construction Parking and Access Strategy – Westmead, Rev 4, 12/10/2021 Site inspection, 28/09/2022	Parking and access management aspects are communicated to Project personnel. Monitoring of construction parking and access management is considered as part of ER inspections. Some complaints have been raised in relation to parking and access, the majority of which were deemed unavoidable and all of which have been closed.	Compliant
D91	A Construction Parking and Access Strategy must be prepared to identify and mitigate impacts resulting from on- and off-street parking changes during construction. The Construction Parking and Access Strategy must include, but not necessarily be limited to: achieving the requirements of Condition D90 above. confirmation and timing of the removal of on- and off-street parking associated with construction of Stage 1 of the CSSI. parking surveys of all parking spaces to be removed or occupied by the project workforce to determine current demand during peak, off-peak, school drop off and pickup, weekend periods and during special events. consultation with affected stakeholders utilising existing on- and off-street parking stock which will be impacted as a result of construction. assessment of the impacts to on- and off-street parking stock taking into consideration, occupation by the project workforce, outcomes of consultation with affected stakeholders and considering the impacts of special events. identification of reasonable and practicable mitigation measures to manage impacts to stakeholders as a result of on- and off-street parking changes including, but not necessarily limited to, staged removal and replacement of parking, provision of alternative parking arrangements, managed staff parking arrangements and working with relevant council(s) to introduce parking restrictions adjacent to work sites and compounds or appropriate residential parking schemes.	SMW Power Enabling Works Construction Parking and Access Strategy, Rev 1.0, dated 25/06/2021	SMW CTP Construction Parking and Access Strategy, AFJV, Rev 1, 15/12/2021 DPE approval letter (to Sydney Metro) for SMW CTP CPAS, 21/12/2021 Minutes – Interface Meeting with City of Canada Bay Council, dated 22/02/2022	SMW Demolition Construction Parking and Access Strategy – Parramatta, Delta, Rev 4, 09/12/2021 SMW Demolition Construction Parking and Access Strategy – Clyde, Delta, Rev 3, 11/10/2021 SMW Demolition Construction Parking and Access Strategy – Westmead, Rev 4, 12/10/2021	Construction Parking and Access Strategies have been prepared for each phase of works in line with D91. It is noted that the DPE approval letter for the CPAS prepared for Phase B1 (AFJV) works notes that consultation with City of Canada Bay Council was conducted at and that an updated CPAS is required to be submitted once this consultation is resolved. AFJV advised that this consultation is ongoing, and that this topic is discussed as part of interface meetings with City of Canada Bay Council (example minutes provided).	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>where residential parking schemes already exist, off-road parking facilities must be provided for the project workforce.</p> <p>mechanisms for monitoring, over appropriate intervals (not less than 6 months), to determine the effectiveness of implemented mitigation measures.</p> <p>details of shuttle bus service(s) to transport the project workforce to construction sites from public transport hubs and off-site car parking facilities (where these are provided) and between construction sites.</p> <p>provision of contingency measures should the results of mitigation or monitoring indicate implemented measures are ineffective; and</p> <p>provision of reporting of monitoring results to the Planning Secretary and Relevant Council(s) at six (6) monthly intervals.</p>					
D92	<p>The Construction Parking and Access Strategy must be submitted to the Planning Secretary for approval at least one (1) month before the commencement of any construction that reduces the availability of existing parking. The approved Construction Parking and Access Strategy must be implemented before impacting on on-street parking and incorporated into the CTMPs.</p>	<p>DPE lodgement record, SSI-10038-PA-17, 31/05/2021</p> <p>CPAS approval Letter from DPE to Sydney Metro, 02/07/2021</p> <p>Notification of Commencement letter – Phase A, Sydney Metro to DPE, 30/08/2021</p> <p>Site inspection, 23/09/2022</p>	<p>DPE lodgement record, SSI-10038-PA-72, 26/11/2021</p> <p>CTP CPAS approval letter from DPE to Sydney Metro, dated 21/12/2021</p> <p>Site inspection,</p>	<p>DPE lodgement record (Clyde CPAS), SSI-10038-PA-46, 18/09/2021</p> <p>Letter from DPE to Sydney Metro re: Clyde CPAS approval, dated 18/10/2021</p> <p>DPE lodgement record (Parramatta CPAS), SSI-10038-PA-48, 21/09/2021</p> <p>Letter from DPE to Sydney Metro re: Parramatta CPAS approval, dated 15/12/2021</p> <p>DPE lodgement record (Westmead CPAS), SSI-10038-PA-49, 22/09/2021</p> <p>Letter from DPE to Sydney Metro re: Westmead CPAS approval, dated 20/10/2021</p> <p>Notification of Commencement letter – Phase C1, Sydney Metro to DPE, 07/12/2021</p> <p>Site inspection, 38/09/2022</p>	<p>The Construction Parking and Access Strategy were submitted to the Planning Secretary for approval at least one (1) month before the commencement of any construction that reduces the availability of existing parking. The approved Construction Parking and Access Strategy were implemented before impacting on on-street parking and incorporated into the CTMPs.</p>	Compliant
D93	<p>During construction, all reasonably practicable measures must be implemented to maintain pedestrian, cyclist, and vehicular access to, and parking in the vicinity of, businesses and affected properties. Disruptions are to be avoided, and where avoidance is not possible, minimised. Where disruption cannot be minimised, alternative pedestrian, cyclist and vehicular access, and parking arrangements must be</p>	<p>Site inspection 23/09/22</p>	<p>Site inspection 23/09/22</p>	<p>Site inspection 23/09/22</p>	<p>All reasonably practicable measures were implemented to maintain pedestrian, cyclist, and vehicular access to, and parking in the vicinity of, businesses and affected properties. Disruptions were avoided, and where avoidance is not possible, it was</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	developed in consultation with affected businesses and implemented before the disruption. Adequate signage and directions to businesses must be provided before, and for the duration of, any disruption.				minimised. Where disruption cannot be minimised, alternative pedestrian, cyclist and vehicular access, and parking arrangements were developed in consultation with affected businesses and implemented before the disruption. Adequate signage and directions to businesses were provided before, and for the duration of, any disruption.	
D94	Road Safety A Traffic and Transport Liaison Group(s) must be established in accordance with the Construction Traffic Management Framework to inform the development of CTMPs.	Sydney Metro West Traffic and Transport Liaison Group – Terms of Reference, Rev 0.3, 27/07/2021 Sydney Metro West TTLG Minutes – Meeting 19 29/09/2022 Sydney Metro West TTLG Minutes – Meeting 18 25/08/2022			TTLG has been established by Sydney Metro. The TTLG meetings are attended by representatives from the contractors delivering each phase of works.	Compliant
D95	Supplementary analysis and modelling as required by TfNSW and / or the Traffic and Transport Liaison Group(s) must be undertaken to demonstrate that construction and operational traffic can be managed to minimise disruption to traffic network operations including changes to and the management of pedestrian, bicycle and public transport networks, public transport services, and pedestrian and cyclist movements. Revised traffic management measures must be incorporated into the CTMPs.	Interview with auditees, 27-28/09/22			There has been no requirement to conduct supplementary analysis and modelling for construction traffic across the Project to date.	Not triggered
D96	The permanent road works at Clyde / Rosehill must be designed, constructed, and operated with the objective of integrating with existing and proposed road and related transport networks and minimising adverse changes to the safety, efficiency, and accessibility of the networks, and avoid deterioration in peak period levels of service in relation to permanent and operational changes. Design and assessment of related traffic, parking, pedestrian and cycle accessibility impacts and changes shall be undertaken: in consultation with, and to the reasonable requirements of the relevant Traffic and Transport Liaison Group. in consideration of existing and future demand, connectivity (in relation to permanent changes), performance and safety requirements. to minimise and manage local area traffic impacts. to ensure access is maintained to property and infrastructure; and to meet relevant design, engineering, and safety guidelines, including Austroads, Australian Standards, and TfNSW requirements. Copies of civil, structural and traffic signal design plans shall be submitted to the Relevant Road Authority for consultation during design development and before completion of construction of Stage 1 of the CSSI.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered
96.1	D96.1 The permanent realignment of Unwin Street and Kay Street must be designed with the objective of not precluding a potential future connection between the M4 Motorway and the Camellia Rosehill Precinct, unless otherwise agreed by the Planning Secretary. The Proponent must	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>provide the Department, in a timely manner, detailed design, engineering and other related documentation to inform its master planning of the precinct. The current road reserve of Unwin Street between Kay Street and the TfNSW Granville Depot driveway (including the A'beckets Creek bridge) must be retained, unless otherwise agreed by the Planning Secretary.</p> <p><i>Note: At the time of approval, the relevant team at the Department is the Metro Central team, within the Planning & Land Use Strategy Division</i></p> <p><i>Note: The intent of this condition is to retain a section of the current road reserve of Unwin Street for future use as a connection between the M4 Motorway and Unwin Street. The M4 connection referenced in this condition does not form part of this Approval and this condition does not require the Proponent to deliver said connection.</i></p>					
D97	<p>Permanent road works, including vehicular access, signalised intersection works, and works relating to pedestrians, cyclists, and public transport users must be subject to safety audits demonstrating consistency with relevant design, engineering and safety standards and guidelines. Safety audits must be prepared in consultation with the relevant Traffic and Transport Liaison Group before the completion and use of the subject infrastructure and must be made available to the Planning Secretary upon request.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>No permanent road works have commenced at this stage. Works on the WestConnex haulage road at The Bays site is considered an internal haul road and is temporary.</p>	Not triggered
D98	<p>Pedestrian and Cyclist Access</p> <p>Safe pedestrian and cyclist access must be maintained around construction sites during construction. In circumstances where pedestrian and cyclist access are restricted or removed due to construction activities, a proximate alternate route which complies with the relevant standards, must be provided, and signposted before the restriction or removal of the i D98.1 Temporary pedestrian access across the project must be provided as near as practicable to the existing Rosehill Railway Station Footbridge. The access must provide a reasonably direct route between the intersection of James Ruse Drive and Prospect Street and Gate 3 of Rosehill Gardens Racecourse. The access must be safe and open to all users (including the general public).</p> <p>The temporary pedestrian access must be designed in consultation with Australian Turf Club, the relevant landowner and/or Relevant Road Authority, and be implemented before removal of the Rosehill Railway Station Footbridge.</p> <p><i>Note: Any temporary pedestrian access in the vicinity of the former Rosehill Station which is intended to be made permanent must be designed in consultation with Australian Turf Club and must consider relevant</i></p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>SMW CTP Overarching Construction Traffic Management Plan, AFJV, Rev 03, 24/01/2022</p> <p>Site inspection, 23/09/2022</p>	<p>SMW Demolition Construction Traffic Management Plan – Clyde, Delta, Rev 2, 13/10/2021</p> <p>SMW Demolition Construction Traffic Management Plan – Parramatta, Delta, Rev 2, 14/10/2021</p> <p>SMW Demolition Construction Traffic Management Plan – Westmead, Delta, Rev 2, 19/10/2021</p> <p>Site inspection, 28/02/2022</p>	<p>Requirements to maintain pedestrian and cyclist access around worksites is addressed in the referenced management plans. Evidence of measures to address this condition were sighted across the Project worksites during the site inspection.</p> <p>See photos in Appendix D of the Audit Report.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	masterplans and strategic planning documents impacted access.					
D99	Spoil Movement Opportunities to maximise spoil material removal by non-road methods must be investigated and implemented where reasonably practicable to minimise movements by road.	Spoil Management Register – SMW Waste Material Register updated to July 2022.	Spoil Tracking Register and Waste Register and all movement will be via roads.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.2, Sydney Metro, 01/10/2021. Waste Register including spoil disposal and movement- Custom Tipping Report July 2022 and to be updated to final version to include all the spoil removed until September 2022.	Opportunities investigated for the Phase B1 (AFJV) works but found to be not feasible.	Compliant
D100	Emergency Vehicle Access The Proponent must maintain emergency vehicle access, in consultation with TfNSW, emergency services and NSW Health, to Westmead Hospital at all times throughout Stage 1 of the CSSI. Measures must be outlined in the Construction Parking and Access Strategy required under Condition D91 above.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Interview 27/09/22	Not triggered, no disruption to emergency vehicle access during Phase C1 works.	Not triggered
Utilities Management						
	Utilities, services, and other infrastructure potentially affected by construction must be identified before works affecting the item, to determine requirements for access to, diversion protection, and / or support. The relevant owner(s) and / or provider(s) of services must be consulted to make suitable arrangements for access to diversion, protection, and / or support of the affected infrastructure as required. The Proponent must ensure that disruption to any service is minimised and be responsible for advising local residents and businesses affected before any planned disruption of service.	Utilities Consultation Memo, 3869-SMW-MEMO-008, Quickway, Revision 0, 06/08/2021	SMW CTP Underground Services Investigation Report – The Bays, Veris, 17/02/2022 Utilities Investigation Report – The Bays Worksite, SmarterWX Locate, 27/09/2021 Minutes – Jemena/SM/AFJV Weekly Progress Meeting, 16/12/2021 AFJV Weekly Progress meeting minutes.	Email re: Pole Mounted Service - Temporary removal and reinstatement at Clyde, 19/10/2021 Email from Delta to Endeavour Energy – RFI re: live substation, 21/02/2022 Refer to Asset Owner Register.	Evidence provided demonstrates that works associated with utilities, services, and other infrastructure are being undertaken in consultation with the relevant asset owners. Consultation with third parties is ongoing across the Project to identify preferred work methodologies and where required, protection or additional requirements.	Compliant
D102	Utility Coordination Manager A Utility Coordination Manager must be appointed for the duration of work associated with Stage 1 of the CSSI. The role of the Utility Coordination Manager must include, but not be limited to: the management and coordination of all utility work associated with the delivery of Stage 1 of the CSSI, to ensure respite is provided to the community.	Interview with auditees, 27/09/2022. 20220627- SM-AFJV_Jemena Progress Meeting 20220901 - CTP - Ausgrid Assets SWC Meeting Minutes 04-05-2022			Sydney Metro has appointed the role of Utility Coordination Manager, performed by Paul Rogers, Utilities & Stakeholder Manager. Each contractor provides information to the Utilities Coordination Manager as requested.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	<p>providing advice to the Sydney Metro Place Manager regarding upcoming utility work, including the scope of the work and the responsibility for the work; and</p> <p>investigating complaints received from the Community Complaints Mediator or the Project communication team relating to utility work and providing a response as required.</p>					
Urban Design and Visual Amenity						
D103	<p>Ancillary Facilities</p> <p>Wayfinding information must be incorporated on temporary hoardings to guide pedestrians around ancillary facilities and enhance their understanding and experience of the locality and space.</p>	<p>SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021</p> <p>Site inspection, 23/09/2022</p>	<p>SMW CTP Visual Amenity Management Plan, AFJV, Rev 01, 19/10/2021</p> <p>Site inspection, 23/09/2022</p>	<p>SMW Demolition Works Construction Environmental Management Plan, Delta, Rev 6, 22/12/2021</p> <p>Site inspection, 23/09/2022</p>	<p>Some evidence sighted evidence of wayfinding information installed across the Project sites. It is noted that the Project is still in the early stages of construction.</p> <p>See photos in Appendix D of the Audit Report.</p>	Compliant
D104	<p>Nothing in this approval permits advertising on any element of Stage 1 of the CSSI.</p>	<p>SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021</p> <p>Site inspection, 23/09/2022</p>	<p>SMW CTP Visual Amenity Management Plan, AFJV, Rev 01, 19/10/2021</p> <p>Site inspection, 23/09/2022</p>	<p>SMW Demolition Works Construction Environmental Management Plan, Delta, Rev 6, 22/12/2021</p> <p>Site inspection, 23/09/2022</p>	<p>No advertising applied to external elements was observed during the site inspection.</p>	Compliant
D105	<p>Temporary Activations</p> <p>The Proponent must undertake temporary placemaking initiatives for the benefit of the community, such as commercial “pop up” spaces, information booths, art installations, around the perimeter or in the vicinity of construction sites at Parramatta and Five Dock with the objective of temporarily enhancing visual amenity, providing gathering places in the local area, and creating temporary active frontages to construction sites during Stage 1 of the CSSI.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Meeting, Five Dock Activation Discussion, Sydney Metro and City of Canada Bay, 11/11/2021</p> <p>Presentation: Addressing the challenges of the Metro, City of Canada Bay, 11/11/2021</p> <p>Grant opportunity memo, Five Dock Metro mitigation program, 11/11/2021</p> <p>Five Dock – engaged a consultation putting temporary placement initiative – workshop with Canada bay Council – Workshop – Date 16 September 2022</p> <p>Having large screens. Contribute financially to Neon Lights in Five Dock working together with Council.</p>	<p>Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Evidence of consultation with City of Canada Bay regarding opportunities for activation of area in proximity to Five Dock worksite.</p>	Compliant
D106	<p>Five Dock Metro Station Eastern Construction Site</p> <p>The acoustic shed at the Five Dock metro station eastern construction site must be designed and constructed in a manner that minimises visual amenity, solar access, and</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>SMW CTP Five Dock Visual Amenity, Solar Access, and Overshadowing Report,</p>	<p>Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Addressed in the Solar Access and Overshadowing Report, which has been submitted to the Department. AFJV confirmed that the acoustic sheds at the</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	overshadowing impacts to the residential apartments at 110 Great North Road, Five Dock facing the acoustic shed. The potential visual amenity, solar access, and overshadowing impacts of the acoustic shed on the affected residential apartments must be assessed in a Visual Amenity, Solar Access and Overshadowing Report prepared by the Proponent.		AFJV, Rev B (01), 01/03/2022		Five Dock sites were to be installed in June 2022.	
D107	<p>The Visual Amenity, Solar Access and Overshadowing Report must include:</p> <p>visual amenity impact assessments from the relevant residential apartments to the acoustic shed at the Five Dock metro station eastern construction site.</p> <p>solar access assessments of the relevant residential apartments, with consideration for the relevant development controls in the City of Canada Bay Development Control Plan (Version 4, 21 October 2020) and the Apartment Design Guide; and</p> <p>a consultation plan to detail how potential impacts and mitigation measures will be discussed and negotiated with potentially affected property owners.</p> <p>The Visual Amenity, Solar Access and Overshadowing Report must be provided to the Planning Secretary for approval within (1) month prior to the installation of the acoustic shed at the Five Dock metro station eastern construction site.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>SMW CTP Five Dock Visual Amenity, Solar Access, and Overshadowing Report, AFJV, Rev B (01), 01/03/2022</p> <p>Record of submission to DPE, SSI-10038-PA-128, 02/03/2022</p> <p>Approved by the DPE on 29 March 2022</p> <p>Acoustic Shed not yet installed.</p>	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Solar Access and Overshadowing Report has been prepared and submitted to the Department. AFJV confirmed that the acoustic sheds at the Five Dock sites were installed in June 2022.	Compliant
D108	Where the acoustic shed causes a moderate (or greater) adverse visual amenity impact and / or unreasonable overshadowing and solar access impacts to any of the subject residential apartments, the Proponent must consult with the relevant affected property owners and occupiers to identify appropriate mitigation measures and an agreed implementation program. A copy of agreed implementation programs must be provided to the Planning Secretary for information.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	SMW CTP Five Dock Visual Amenity, Solar Access, and Overshadowing Report, AFJV, Rev B (01), 01/03/2022	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not triggered based on the outcomes of the investigation detailed in the Solar Access and Overshadowing Report.	Not triggered
D109	<p>Lighting and Security</p> <p>Stage 1 of the CSSI must be constructed with the objective of minimising light spill to surrounding properties including from headlights of construction vehicles. All lighting associated with the construction of Stage 1 of the CSSI must be consistent with the requirements of Australian Standard 4282-1997 Control of the obtrusive effects of outdoor lighting and relevant Australian Standards in the series AS/NZ 1158 – Lighting for Roads and Public Spaces. Additionally, mitigation measures must be provided to manage any residual night lighting impacts to protect properties adjoining or adjacent to the CSSI, in consultation with affected landowners.</p>	<p>SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021</p> <p>SMW Power Enabling Works Overarching Construction Traffic Management Plan, Quickway, Version B, 21/05/2021</p> <p>Photos of night works provided by Quickway</p> <p>Interview with auditees, 27/09/22</p>	<p>SMW CTP Visual Amenity Management Plan, AFJV, Rev 01, 19/10/2021</p> <p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/22</p>	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>Commitment has been made by Quickway and AFJV to ensure works minimise light spill to surrounding properties and comply with referenced standards.</p> <p>The auditees reported that no lighting related complaints have been received during the audit period.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
D110	<p>Visual Amenity</p> <p>Stage 1 of the CSSI must be constructed in a manner that minimises visual impacts of construction sites including, providing temporary landscaping and vegetative screening, minimising light spill, minimising impacts to identified significant view lines in respect of The Bays metro station construction site and incorporating architectural treatment and finishes within key elements of temporary structures that reflect the context within which the construction sites are located, wherever practicable.</p>	SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021	SMW CTP Visual Amenity Management Plan, AFJV, Rev 01, 19/10/2021 Site inspection, 23/09/2022	SMW Demolition Works Construction Environmental Management Plan, Delta, Rev 6, 22/12/2021	<p>There were minimal opportunities to minimise visual amenity impacts associated with the Phase A (Quickway) works due to the minor and temporary nature of these works.</p> <p>For the Phase B1 (AFJV) works, construction phase visual amenity impacts, and mitigation are discussed in the Visual Amenity Management Plan, with inclusion of site layout plans in Appendix B. Works at The Bays site were consistent with the plan.</p> <p>For the Phase C1 (Delta) works, fencing and hoarding has been installed around the boundary of the sites, including installation of Sydney Metro branded shade cloth.</p>	Compliant
Waste		High Risk				
D111	<p>Waste generated during construction and operation must be dealt with in accordance with the following priorities:</p> <ul style="list-style-type: none"> waste generation must be avoided and where avoidance is not reasonably practicable, waste generation must be reduced. where avoiding or reducing waste is not possible, waste must be re-used, recycled, or recovered; and where re-using, recycling, or recovering waste is not possible, waste must be treated or disposed of. 	SMW Power Enabling Works Construction Environmental Management Plan (Appendix F – Waste and Spoil Management Plan), Quickway, Rev 1.0, 02/11/2021	SMW CTP Waste Management Plan, AFJV, Rev 01, 22/10/2021 Site inspection, 23/09/2022	SMW Demolition Waste Management Sub-Plan, Delta, Rev 4, 16/12/2021 Site inspection, 23/09/2022 Waste Register	<p>Waste management processes adopted on the Project incorporate the principles of waste avoidance, reduction, reuse, and recycling.</p> <p>Waste storage and management practices sighted during inspection (see photos in Appendix D of Audit Report).</p>	Compliant
D112	The importation of waste and the storage, treatment, processing, reprocessing or disposal of such waste must comply with the conditions of the current EPL for Stage 1 of the CSSI, or be done in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, as the case may be.	SMW Power Enabling Works Construction Environmental Management Plan (Appendix F – Waste and Spoil Management Plan), Quickway, Rev 1.0, 02/11/2021 SMW Power Enabling Works Material Tracking Register	SMW CTP Imported Material Procedure, AFJV, Rev 01, 03/02/2022 SMW CTP Material Import (s143) Register, AFJV, latest entry /2022	Not triggered for the Phase C1 (Delta) works as no waste has been imported to site. Waste classifications were identified in the waste register. ADE Consulting was engaged for the waste classification and validation reports.	Procedure and register sighted for the importation of waste material to the Phase B1 (AFJV) works in line with Resource Recovery Exemption/Order And EPL.	Compliant
D113	Waste must only be exported to a site licensed by the EPA for the storage, treatment, processing, reprocessing or disposal of the subject waste, or in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, or to any other place that can lawfully accept such waste.	SMW Power Enabling Works Construction Environmental Management Plan (Appendix F – Waste and Spoil Management Plan), Quickway, Rev 1.0, 02/11/2021 SMW Power Enabling Works Material Tracking Register, last updated 02/02/2022	SMW CTP Waste Management Plan, AFJV, Rev 01, 22/10/2021 The Bays Waste Disposal Register, AFJV, last updated 23/02/2022 SMW CTP Waste Destination Approval Form – Suez Kemps Creek, dated 21/01/2022	SMW Demolition Waste Management Sub-Plan, Delta, Rev 4, 16/12/2021 SMW Demolition Waste Disposal Summary – Feb 2022 Waste register included the waste facilities and are licensed by EPA.	Evidence provided demonstrates that waste generated across the Project is being exported to appropriately licensed facilities in line with D113.	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
D114	All waste must be classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.	SMW Power Enabling Works Construction Environmental Management Plan (Appendix F – Waste and Spoil Management Plan), Quickway, Rev 1.0, 02/11/2021 SMW Power Enabling Works Material Tracking Register	SMW CTP Waste Management Plan, AFJV, Rev 01, 22/10/2021 SMW CTP Waste Tracking Register – The, AFJV	SMW Demolition Waste Management Sub-Plan, Delta, Rev 4, 16/12/2021 Waste Classification Report – Clyde, Property Risk Australia, 08/12/2021 Letter from Sydney Metro to DPE – Notification of non-compliance, dated 25/11/2021 Record of submission to DPE, SSI-10038-PA-71, 25/11/2021 Waste Classification Reports by ADE e.g., sighted Parramatta Waste Classification Report 68. 70,72, & 74 Macquarie Street Macquarie Lane and 71 Horwood Place Parramatta NSW. Dated 28/03/2022 by ADE Consulting Group.	All waste were classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.	Compliant
Water						
D115	Work on waterfront land must be carried out in accordance with controlled activity guidelines.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable	Not triggered
D116	Stormwater Before undertaking any works and during maintenance or construction activities, erosion and sediment controls must be implemented and maintained to prevent water pollution consistent with Landcom Managing Urban Stormwater series (The Blue Book).	SMW Power Enabling Works Construction Environmental Management Plan (Appendix H – Soil and Water Management Plan), Quickway, Rev 1.0, 02/11/2021 Erosion and Sediment Control Plan for Stockpiling, 3869-SMW-ESCP-003 Site inspection, 27/09/2022	SMW CTP Construction Soil and Water Management Plan, AFJV, Rev 3, 03/11/2021 Progressive Erosion and Sediment Control Plan (PESCP) –Central Tunnelling Package -The Bays–Stage 1a, AFJV, Rev 05, 28/02/2022 Site inspection, 23/09/2022 Progressive Erosion and Sediment Control Plan (PESCP) – Central Tunnelling Package – Burwood – Stage 1a, AFJV	SMW Demolition Works Construction Environmental Management Plan, Delta, Rev 6, 22/12/2021 Site inspection, 23/09/2022 all erosion and sedimentation controls were implemented accordingly Refer to Photos.	Erosion and sediment control plans were prepared for all Project worksites and controls were observed to be in place during the site inspection. Site controls are inspected as part of Environment Representative inspections. AFJV has installed PESCP white board at each site to monitor and implement the erosion and sedimentation controls. Observation There were few observations on erosion and sedimentation control raised during this audit at the following CTP Sites: <ul style="list-style-type: none"> • Burwood <ul style="list-style-type: none"> ◦ sweeping of the hardstand and additional check-dams to be put prior to the sump. ◦ sediment laden water to be flocked 	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
					<p>prior to discharging onto the pit. Only stormwater is being collected in this pit and discharge pit was covered with geofabric.</p> <ul style="list-style-type: none"> • Sydney Olympic Park <ul style="list-style-type: none"> ◦ Erosion and Sediment controls to be installed to divert the clean water entering the site or clean water diversion to be implemented at this northern perimeter near Herb Elliot Avenue access ◦ General housekeeping to be implemented at the utility work area <p>Recommendation:</p> <p>AFJV to ensure that erosion and sedimentation controls are always implemented as per the ERSED plan.</p>	
D117	<p>Water Quality</p> <p>Stage 1 of the CSSI must be designed and constructed so as to maintain the NSW Water Quality Objectives (NSW WQO) where they are being achieved as at the date of this approval, and contribute towards achievement of the NSW WQO over time where they are not being achieved as at the date of this approval, unless an EPL in force in respect of the CSSI contains different requirements in relation to the NSW WQO, in which case those requirements must be complied with.</p>	<p>SMW Power Enabling Works Construction Environmental Management Plan (Appendix H – Soil and Water Management Plan), Quickway, Rev 1.0, 02/11/2021</p> <p>Erosion and Sediment Control Plan for Stockpiling, 3869-SMW-ESCP-003, Revision 0,08/07/2021</p> <p>Site inspection, 23/09/2022</p> <p>For the Phase A (Quickway) works, these principles are included in</p>	<p>SMW CTP Construction Soil and Water Management Plan, AFJV, Rev 3, 03/11/2021</p> <p>Progressive Erosion and Sediment Control Plan (PESCP) – Central Tunnelling Package -The Bays–Stage 1a, AFJV, Rev 05, 28/02/2022</p> <p>Progressive Erosion and Sediment Control Plan (PESCP) – Central Tunnelling Package – Burwood – Stage 1a, AFJV</p> <p>Site inspection, 23/09/2022</p>	<p>Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>For the Phase B1 (AFJV) works, surface water at The Bays site is being managed in accordance with the site PESCP. The auditees advised that there is currently no discharge of water from site, with collected water disposed as liquid waste. AFJV advised that a water treatment plant has been procured for The Bays site.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
		the Soil and Water Management Plan (Appendix H of CEMP). Section 4 of SWMP covers the component identified in the condition. All sites are managed in accordance with Landcom Blue Book, with erosion and sediment controls in place to ensure no impact to waterways. No direct discharge to waterways has occurred.				
D118	<p>Unless an EPL is in force in respect to Stage 1 of the CSSI and that license specifies alternative criteria, discharges from wastewater treatment plants to surface waters must not exceed:</p> <ul style="list-style-type: none"> the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2018 (ANZG (2018)) default guideline values for toxicants at the 95 per cent species protection level. for physical and chemical stressors, the guideline values set out in Tables 3.3.2 and 3.3.3 of the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2000 (ANZECC/ARMCANZ); and for bio accumulative and persistent toxicants, the ANZG (2018) guidelines values at a minimum of 99 per cent species protection level. Where the ANZG (2018) does not provide a default guideline value for a particular pollutant, the approaches set out in the ANZG (2018) for deriving guideline values, using interim guideline values and/or using other lines of evidence such as international scientific literature or water quality guidelines from other countries, must be used. 	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Site inspection, 23/09/2022 Water Quality Monitoring Reports were sighted as evidence	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	EPL is in force in respect to Stage 1 Phase B1 of the CSSI and that license specifies alternative criteria, discharges from wastewater treatment plants to surface waters were not exceed	Compliant
D119	If construction stage stormwater discharges are proposed, a Water Pollution Impact Assessment will be required to inform licensing consistent with section 45 of the POEO Act. Any such assessment must be prepared in consultation with the EPA and be consistent with the National Water Quality Guidelines, with a level of detail commensurate with the potential water pollution risk.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	The auditees advised that there have been no discharges to stormwater at this stage during the Phase B1 (AFJV) works. All water is collected onsite and disposed of as liquid waste, if required.	Not triggered
D120	Drainage feature crossings (permanent and temporary watercourse crossings and stream diversions) and drainage swales and depressions must be carried out in accordance with relevant guidelines and designed by a suitably qualified and experienced person.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not triggered for Phase B1 (AFJV) works to date.	Not triggered
D121	Groundwater	Condition not applicable to Phase A works as per	Condition not applicable to Phase B1 works as per	Condition not applicable to Phase C1 works as per	Not triggered for Phase B1 (AFJV) works to date.	Not triggered

Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
	Make good provisions for groundwater users must be provided in the event of a material decline in water supply levels, quality or quantity from registered existing bores associated with groundwater changes from construction.	SMW Phasing Report 1.4, Sydney Metro, May 2022	SMW Phasing Report 1.4, Sydney Metro, May 2022	SMW Phasing Report 1.4, Sydney Metro, May 2022		
D122	<p>The Proponent must submit a revised Groundwater Modelling Report in association with Stage 1 of the CSSI to the Planning Secretary for information before bulk excavation at the relevant construction location. The Groundwater Modelling Report must include:</p> <p>for each construction site where excavation will be undertaken, cumulative (additive) impacts from nearby developments, parallel transport projects and nearby excavation associated with the CSSI.</p> <p>predicted incidental groundwater take (dewatering) including cumulative project effects.</p> <p>potential impacts for all latter stages of the CSSI or detail and demonstrate why these later stages of the CSSI will not have lasting impacts to the groundwater system, ongoing groundwater incidental take and groundwater level drawdown effects.</p> <p>actions required after Stage 1 to minimise the risk of inflows (including in the event latter stages of the CSSI are delayed or do not progress) and a strategy for accounting for any water taken beyond the life of the operation of the CSSI.</p> <p>saltwater intrusion modelling analysis, from estuarine and saline groundwater in shale, into The Bays metro station site and other relevant metro station sties; and</p> <p>a schematic of the conceptual hydrogeological model.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>Interview with auditees, 28/09/22</p> <p>Site inspection,</p> <p>Groundwater Modelling Reports for Burwood was submitted to DPE on 7/09/2022 and acknowledged by DPE on 30 Sep 2022</p> <p>Groundwater Modelling Reports for Five Dock was submitted to DPE on 7/09/2022 acknowledged by DPE on 30 Sep 2022</p> <p>Groundwater Modelling Reports for Sydney Olympic Park was submitted to DPE on 7/09/2022 acknowledged by DPE on 30 Sep 2022</p> <p>Groundwater Modelling Reports for the Bays was submitted to DPE on 17/05/2022 and resubmitted on 2/09/2022 addressing Department comments. acknowledged by DPE on 6 October 2022</p>	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	The auditees advised that groundwater modelling reports are completed as part of each design package.	Compliant
Unique ID	Compliance requirement	Evidence collected			Independent Audit findings and recommendations	Compliance status
		Phase A (Quickway)	Phase B1 (AFJV)	Phase C1 (Delta)		
SCHEDULE 2: CONDITIONS OF APPROVAL FOR CONCEPT PROPOSAL						
PART C-A: ADMINISTRATIVE CONDITIONS						
General						

C-A1	Approval is granted to the 'Concept' as described in Schedule 1 and in Chapter 6 and in Chapter 7 of the Sydney Metro West – Westmead to The Bays and Sydney CBD Environmental Impact Statement dated 15 April 2020, as amended by the following: Sydney Metro West – Westmead to The Bays and Sydney CBD Amendment Report dated 20 November 2020; and Sydney Metro West – Westmead to The Bays and Sydney CBD Submissions Report dated 20 November 2020.	No specific action for Phase A (Quickway) works.	No specific action for Phase B1 (AFJV) works.	No specific action for Phase C1 (Delta) works.	Refer to conditions below Stage 1 implementation commenced.	Compliant
C-A2	The Proponent must carry out the CSSI Concept in accordance with the conditions of this approval and the documents listed in Condition C-A1 of this schedule unless otherwise specified in, or required under, the conditions of this approval.	No specific action for Phase A (Quickway) works.	No specific action for Phase B1 (AFJV) works.	No specific action for Phase C1 (Delta) works.	Refer to conditions below Stage 1 implementation commenced.	Compliant
C-A3	In the event of an inconsistency between: the conditions of this approval and any document listed in Condition C-A1 of this schedule inclusive, the conditions of this approval will prevail to the extent of the inconsistency; and any document listed in Condition C-A1 of this schedule, the most recent document will prevail to the extent of the inconsistency. <i>Note: For the purpose of this condition, there will be an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.</i>	No specific action for Phase A (Quickway) works.	No specific action for Phase B1 (AFJV) works.	No specific action for Phase C1 (Delta) works.	Refer to conditions below Stage 1 implementation commenced.	Compliant
C-A4	Except to the extent described in any document listed in Condition C-A1 of this schedule, any over station development, including any future uses, does not form part of this CSSI and will be subject to the relevant assessment pathway prescribed by the EP&A Act.	No specific action for Phase A (Quickway) works.	No specific action for Phase B1 (AFJV) works.	No specific action for Phase C1 (Delta) works.	Refer to conditions below Stage 1 implementation commenced.	Compliant
PART C-B: KEY ISSUE CONDITIONS						
Place and Design						
C-B1	Place and Design To ensure that a high-quality urban design response is achieved, the CSSI must have regard to, and be generally consistent with, the place and design principles for each location outlined in the documents listed in Condition C-A1 of this schedule, unless expressly specified in the conditions of this approval.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Not applicable.	Not triggered

C-B2	<p>Clyde Stabling and Maintenance Facility Site</p> <p>For the relevant future stage application, the following must be considered at the Clyde Maintenance and Stabling Facility site:</p> <p>publicly accessible active transport corridors immediately around the site adjoining James Ruse Drive that connects to existing and future links and open spaces.</p> <p>public spaces for recreational use on residual land to offset the loss of the private recreational land, or any alternate and commensurate opportunity that achieves the objective and provides value for money, developed in consultation with City of Parramatta Council.</p> <p>renaturalisation of parts of Duck Creek and A'Becketts Creek and rehabilitation of the riparian corridor; and integration with strategic planning for the precinct.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase B1 works as per SMW Phasing SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Not applicable.	Not triggered
C-B3	<p>Parramatta Metro Station Site</p> <p>The delivery of the section of the future Parramatta Civic Link located on the Parramatta metro station construction site must be facilitated to enable completion before operation of the CSSI.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase B1 works as per SMW Phasing SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Not applicable.	Not triggered
Aboriginal and Non-Aboriginal Heritage						
C-B4	<p>The relevant future stage application relating to the design of stations must include a Heritage Interpretation Strategy, prepared in consultation with Heritage NSW, which outlines how key Aboriginal and non-Aboriginal heritage values and stories of Heritage items will be interpreted in the project design, including station and precinct urban design. The Heritage Interpretation Strategy must include procedures for how to include results of archaeological findings (historical and Aboriginal archaeological results) when they become available.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase B1 works as per SMW Phasing SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Not applicable.	Not triggered
C-B5	<p>The Heritage Interpretation Strategy must be prepared in accordance with the NSW Heritage Manual, the NSW Heritage Office's Interpreting Heritage Places, and Items: Guidelines (August 2005), and the NSW Heritage Council's Heritage Interpretation Policy.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase B1 works as per SMW Phasing SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Not applicable.	Not triggered

C-B6	<p>The Heritage Interpretation Strategy must include, but not be limited to:</p> <ul style="list-style-type: none"> a discussion of key interpretive themes, stories and messages proposed to interpret the history and significance of archaeological excavation, the affected Heritage items, and sections of heritage conservation areas (if applicable). options for the re-purposing of archaeological finds (results and artefacts), heritage features or listed items salvaged or protected during construction stages of the CSSI, and how they will be integrated into the final project design. Aboriginal cultural and heritage values of the project area including the results of any archaeological investigations undertaken (or any interim results of any archaeological investigations that have commenced but have yet to be completed) and key socio-cultural values identified in the Aboriginal Cultural Heritage Assessment Report referred to in Condition C-A1 of this schedule, and those of any future stages of the CSSI. details of the audience, potential devices to be employed in interpretation, possible locations for interpretation and how this will be incorporated into design. engagement with the Relevant Council(s) and regard for any relevant council heritage interpretation guidelines; and with respect to the Parramatta construction site and (a) above, any discussion must include how the heritage interpretation of the CSSI relates to the heritage interpretations of other projects in Parramatta, including State Significant Development projects and other SSI projects. 	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Not applicable.	Not triggered
Sustainability						

C-B7	<p>The CSSI must achieve a minimum Infrastructure Sustainability Council of Australia (ISCA) Infrastructure Sustainability rating of 75 (Version 1.2) (or equivalent level of performance using a demonstrated equivalent rating tool) or a 5-Star Green Star rating (or equivalent level of performance using a demonstrated equivalent rating tool).</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.</p> <p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 28 September 2022</p> <p>IS Rating Agreement Design & As Built v1.2: SMW CTP, ISC, 20/12/2021</p> <p>SMW CTP Sustainability Management Plan, Rev 01, 03/12/2021</p> <p>Interview with auditees, 28/09/2022</p> <p>AFJV has entered into a rating agreement with ISC. The Project's IS Rating strategy is detailed in section 3.2 of the Sustainability Management Plan, targeting a score of 75.</p> <p>ISCA workshop was conducted on 26 July 2022. Attended by AFJV, SM and ISCA IS project team.</p> <p>Sustainability Design Reports will be submitted within this week and looking at meeting the targets.</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p> <p>Sustainability Management Sub Plan, 25/08/2021. This SMSP addresses sustainability aspects and measures associated with the Project.</p>	<p>Interview with Sydney Metro and ER on 10 & 12 October 2022</p> <p>As discussed in Section 2.1 of Sydney Metro West Sustainability Plan October 2022, demonstrate leadership, the project will implement the Infrastructure Sustainability Council (ISC) Infrastructure Sustainability (IS) Rating Scheme. The project will be improving upon previous Sydney Metro minimum requirements with a target of 75 points ('Leading') in design and as-built version 1.2 or the Green Star Building rating.</p>	Compliant
Biodiversity and Trees						

C-B8	<p>As many mature trees as practicable must be retained. In addition, within ten (10) years of the date of this approval or no later than the commencement of operation of the CSSI (whichever is earlier) there must be a net increase in the number of mature trees provided at a ratio of 2:1. A net increase in the number of mature trees does not apply to trees that are subject to a biodiversity offset</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p> <p>Tree Register (spreadsheet), Sydney Metro</p> <p>Only two trees out of 58 was required to be removed, rest involved minor trimming.</p> <p>Tree Pre-Clearance Report Various Street Rozelle NSW 2039 by Lodge Environmental dated 6 July 2021</p> <p>Arborist Report by Canopy Consulting Mansfield ST Rozelle October 25, 2021, Tree Count 4.</p> <p>Tree Removal Permit – dated 20/09/2021 was approved by Environmental Manager and signed by site supervisor. Tree ID 46 – Callistemon Vimenallis.</p> <p>Minimal impact to vegetation has occurred during the Project. Road closures have been implemented in order to minimise trimming and/or removal of vegetation. Minimal vegetation has been impacted, only necessary trimming for plant and equipment movements has occurred.</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 28 September 2022</p> <p>Tree Register (spreadsheet), Sydney Metro</p> <p>Tree Register has been established for the project to document tree removal across the project sites. Sydney Metro advised that vegetation planting is outside of the Phase B1 (AFJV) works scope.</p> <p>Tree Register was also established by AFJV – SMWSTCTP-AFJ-1NL-EN-REG-000015 up to date. There were 441 trees have been removed to date.</p> <p>Records of Tree Clearing Permits were presented an example SMWSTCTP-AFJ-1NL-EO-FRM-000016 date 12/4/2022 to 13/4/2022 signed off by Ecologist and AFJV Environmental Manager</p> <p>Email from Ecologist – Narla Environmental Pty Ltd date 21/04/2022 – Five Dock Inspection Summary (12/04/2022)</p> <p>No incident reported on tree clearing.</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p> <p>Tree Register (spreadsheet), Sydney Metro</p> <p>Pre-clearance reports prepared for each site to quantify mature trees removed. Post-clearance reports will be prepared prior to completing the works to provide Sydney Metro with a final quantification of mature trees removed from each site.</p> <p>Inspection Test Plan / Checklist: Demolition and Clearance Works (Flora and Fauna Management Pre-clearing/demolition Inspection), Sydney Metro, 11/10/2021</p> <p>Inspection Test Plan / Checklist: Demolition and Clearance Works (Flora and Fauna Management Pre-clearing/demolition Inspection), Sydney Metro, 30/09/2021</p> <p>Tree Assessment – Horwood PI Paramatta, Accurate Tree Assessment, 25/11/2021</p> <p>Tree Assessment – Alexandra Ave, Westmead, Accurate Tree Assessment, 25/11/2021</p> <p>LE1343 Ecological Inspection Summary Letter, Lodge Environmental, 04/11/2021</p> <p>LE1343 Clyde Ecological Inspection Summary Letter, 10/11/2021.</p> <p>LE1343 Clyde Ecological Post Clearance Inspection Summary Letter, 5 May 2022</p> <p>No trees were removed throughout Portion 2 (Clyde Enabling Works 1); Area 'C1', Area 'C2' and</p>	<p>Interview with Sydney Metro and ER on 10 & 12 October 2022</p> <p>Compliant, as per evidence referenced.</p> <p>Tree Register was consolidated by Sydney Metro and was up to date to August 2022 latest tree registered up to Tree 441.</p>	Compliant
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				Area 'C3' to facilitate demolition letter dated 6 May 2022. No trees were removed throughout Portion 4 (Clyde Enabling Works 1); Area 'C6', Area 'C7', Area 'C9' and Area 'C10' to facilitate demolition, letter 17 May 2022 LE1343 Westmead Ecological Post Clearance Inspection Summary Letter 18 May 202		
C-B9	The CSSI must result in an increase in tree canopy coverage.	Site inspection 23 September 2022 Interview on 27 September 2022 Tree Register (spreadsheet), Sydney Metro As above. Minor trimming (apart from two trees removed).	Site inspection 23 September 2022 Interview on 28 September 2022 Tree Register (spreadsheet), Sydney Metro Sydney Metro advised that vegetation planting is outside of the Phase B1 (AFJV) works scope. A Tree Register has been established for the project to document tree removal across the project sites.	Site inspection 23 September 2022 Interview on 27 September 2022 Tree Register (spreadsheet), Sydney Metro To be evaluated by Sydney Metro once all data from vegetation clearance has been obtained.	Interview with Sydney Metro and ER on 10 & 12 October 2022 Compliant, as per evidence referenced above.	Compliant
C-B10	Parts of Duck Creek and A'Becketts Creek that remain open channels at the Clyde Stabling and Maintenance Facility site must be rehabilitated and / or renaturalised before operation of the CSSI commences. <i>In areas that are within the tidal limits of Duck Creek and A'Becketts Creek, only species that are representative of PCT 920 are to be used in the revegetation. Elsewhere, revegetation must use species that are representative of the most appropriate plant community type in each location, depending on levels of inundation, salinity levels, and elevation as determined by an ecologist.</i> <i>Note: The most appropriate PCT may include the following: 1234, 1136, 781, 1808, 849, and 1800.</i>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Not applicable during this audit period.	Not triggered
Climate Change						
C-B11	The CSSI must be designed to withstand known impacts associated with climate change to year 2100.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Sydney Metro West – Central Tunnelling Package Climate Change Impact Assessment Report, Malo Sustainability Consulting (for AFJV), Rev 02, 06/10/21	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	A climate change risk assessment has been carried out for the Phase B1 (AFJV) works, including the identification of adaptation measures for incorporation into the design.	Compliant
SCHEDULE 3: CONDITIONS OF APPROVAL FOR STAGE 1						

PART A: ADMINISTRATIVE CONDITIONS						
General						
A1	<p>The Proponent must carry out Stage 1 of the CSSI in accordance with the conditions of this approval and generally in accordance with the:</p> <p>(a) Sydney Metro West – Westmead to The Bays and Sydney CBD Environmental Impact Statement dated 15 April 2020.</p> <p>(b) Sydney Metro West – Westmead to The Bays and Sydney CBD Submissions Report dated 20 November 2020.</p> <p>(c) Sydney Metro West – Westmead to The Bays and Sydney CBD Amendment Report dated 20 November 2020.</p> <p>(d) Sydney Metro West – Westmead to The Bays and Sydney CBD Modification Request Letter dated 21 June 2021.</p> <p>(e) Sydney Metro West – Clyde stabling and maintenance facility Modification Report dated November 2021; and</p> <p>(f) Sydney Metro West – Concept and Stage 1 – Modification 2 Clyde stabling and maintenance facility (SSI-10038-Mod-2): Response to submissions dated 21 March 2022; and</p> <p>(g) Sydney Metro West – Concept and Stage 1 – Modification 3 Administrative Mod dated May 2022.</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 28 September 2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview on 27 September 2022</p>	<p>Interview with Sydney Metro and ER on 10 & 12 October 2022</p> <p>Phase A, Phase B1 and Phase C1 of CSSI 10038 has been conducted in accordance with relevant documents and conditions. All required documentation has been endorsed by relevant authorities, management is being conducted in accordance with all plans, sub-plans, procedures, and protocols as required. All Management plans with endorsements attached within documents. Compliance on CoA was being checked by ER and AA inspections. Refer to CEMP documents for endorsement letters.</p> <p>Whilst some non-compliances were identified (noted against individual conditions, below), these were not substantial (i.e.: resulting in material impact to people or the environment) or significant in number. Other than these few events, compliance is being achieved in all other respects, and on this basis the Auditor does not consider it appropriate to assign a non-compliance with this condition.</p>	Compliant

A2	<p>Stage 1 of the CSSI must only be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in Condition A1 of this schedule unless otherwise specified in, or required under, this approval.</p>	<p>Site inspection 23 September 2022 Interview on 27 September 2022</p>	<p>Site inspection 23 September 2022 Interview on 28 September 2022</p>	<p>Site inspection 23 September 2022 Interview on 27 September 2022</p>	<p>Interview with Sydney Metro and ER on 10 & 12 October 2022 Works were conducted in accordance with the condition. Refer to the specific requirements of each management plans.</p> <p>Observation: The following issues were noted during the site inspection conducted during this audit:</p> <ul style="list-style-type: none"> • The Bays - Handling of chemicals onsite needs to be improved. There two occasions where chemicals are stored on unbunded area; and • Sydney Olympic Park - General housekeeping to be implemented at the utility work area. <p>Recommendation: AFJV to ensure that general housekeeping (including proper storage of chemicals is being implemented at II times.</p>	Compliant
A3	<p>In the event of an inconsistency between: the conditions of this approval and any document listed in Condition A1 of this schedule, the conditions of this approval will prevail to the extent of the inconsistency; and any document listed in Condition A1 of this schedule, the most recent document will prevail to the extent of the inconsistency.</p> <p><i>Note: For the purpose of this condition, there is an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.</i></p>	<p>Site inspection 23 September 2022 Interview on 27 September 2022</p>	<p>Site inspection 23 September 2022 Interview on 28 September 2022 Register of Consistency Assessment was presented as evidence, an example of approval process was reviewed on The Bays/White Bay Power Station Geotechnical Borehole are outside project boundary AFJV12 – approved 07/07/2022. The approval process of SM Consistency Assessment process was implemented.</p>	<p>Site inspection 23 September 2022 Interview on 27 September 2022</p>	<p>Interview with Sydney Metro and ER on 10 & 12 October 2022 Where an inconsistency occurs, the Sydney Metro Consistency Assessment and Environmental Review and approval process was implemented.</p>	Compliant
A4	<p>In the event that there are differing interpretations of the conditions of this approval, including in relation to a condition of this approval, the Planning Secretary's interpretation is final.</p>	<p>Site inspection 23 September 2022 Interview on 27 September 2022</p>	<p>Site inspection 23 September 2022 Interview on 28 September 2022</p>	<p>Site inspection 23 September 2022 Interview on 27 September 2022</p>	<p>The auditees are not aware of any differing interpretations requiring the Planning Secretary's input.</p>	Compliant

A5	<p>The Proponent must comply with all written requirements or directions of the Planning Secretary, including in relation to:</p> <ul style="list-style-type: none"> the environmental performance of Stage 1 of the CSSI. any document or correspondence in relation to Stage 1 of the CSSI. any notification given to the Planning Secretary under the conditions of this approval. any audit of Stage 1 of the CSSI. the conditions of this approval and compliance with the conditions of this approval (including anything required to be done under this approval). the carrying out of any additional monitoring or mitigation measures; and in respect of ongoing monitoring and management obligations, compliance with an updated or revised version of a guideline, protocol, Australian Standard, or policy required to be complied with under the conditions of this approval. 	<p>Site inspection 23 September 2022 Interview on 27 September 2022</p>	<p>Site inspection 23 September 2022 Interview on 28 September 2022</p>	<p>Site inspection 23 September 2022 Interview on 27 September 2022</p>	<p>The auditees are not aware of any formal written directions from the Planning Secretary</p>	Not triggered
A6	<p>Where the conditions of this approval require a document or monitoring program to be prepared, or a review to be undertaken, in consultation with identified parties, evidence of the consultation undertaken must be submitted to the Planning Secretary with the document. The evidence must include:</p> <ul style="list-style-type: none"> documentation of the engagement with the party identified in the condition of approval that has occurred before submitting the document for approval. a log of the dates of engagement or attempted engagement with the identified party and a summary of the issues raised by them. documentation of the follow-up with the identified party(s) where feedback has not been provided to confirm that the party(s) has none or has failed to provide feedback after repeated requests. outline of the issues raised by the identified party(s) and how they have been addressed; and a description of the outstanding issues raised by the identified party(s) and the reasons why they have not been addressed. 	<p>Site inspection 23 September 2022 Interview on 27 September 2022</p> <p>The management plans and required monitoring programs were developed and endorsed by the ER and were posted on Contractor website link. https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/</p>	<p>Site inspection 23 September 2022 Interview on 28 September 2022</p> <p>The management plans and required monitoring programs were developed and endorsed by the ER and were posted on Contractor website link. https://www.accionacom.com/projects/sydney-metro-west/</p>	<p>Site inspection 23 September 2022 Interview on 27 September 2022</p> <p>The management plans and required monitoring programs were developed and endorsed by the ER and were posted on Contractor website link. https://www.deltagroup.com.au/sydney-metro-west-project/</p>	<p>Phase A, B1 and C1 of CSSI 10038 has been conducted in accordance with all relevant documents and conditions. All required documentation has been endorsed by relevant authorities, management is being conducted in accordance with all plans, sub-plans, procedures, and protocols as required.</p> <p>All Management plans include the necessary endorsements / approvals (ER, AA, Secretary) within the documents attesting that the required consultation has been included within the endorsed or approved plan. Which are available on Sydney Metro website with the link to each contractor website.</p>	Compliant
A7	<p>This approval lapses five (5) years after the date on which it is granted unless work has physically commenced on or before that date.</p>	<p>Notification of Commencement letter (revised date), Sydney Metro to DPE, 30/08/2021 DPE lodgement record, SSI-10038-PA-34</p>			<p>Construction commenced on 13/07/2021.</p>	Compliant
A8	<p>References in the conditions of this approval to any guideline, protocol, Australian Standard, or policy are to such guidelines, protocols, standards, or policies in the form they are in as at the date of this approval.</p>	<p>The CEMP and sub-plans referred to elsewhere in this Audit Table</p>			<p>The CEMP and sub-plans refer to the relevant guidelines and policies.</p>	Compliant
A9	<p>Any document that must be submitted or action taken within a timeframe specified in or under the conditions of this approval may be submitted or undertaken within a later timeframe agreed with the Planning Secretary. This condition does not apply to the written notification required in respect of an incident under Condition A43 of this schedule</p>	<p>An extension request for the submission of the 1st Audit Report was granted by the Department under letter dated 29 April 2022 to be submitted on 6 May 2022. Sydney Metro submitted the Initial Audit Report with the Response to Audit Findings on 6 May 2022.</p>			<p>The document that must be submitted or action taken within a timeframe specified in or under the conditions of this approval were submitted or undertaken within a later timeframe agreed with the Planning Secretary.</p>	Compliant

Phasing				
A10	Stage 1 of the CSSI may be constructed in phases. Where phased construction is proposed, a Phasing Report must be prepared and submitted to the Planning Secretary for information. The Phasing Report must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction of the first of the proposed phases of construction	Revised SMW Phasing Report 1.4, Sydney Metro, May 2022 Endorsed by ER on 17 May 2022. Submitted to DPE dated 2 June 2022.	A Phasing Report has been prepared and was submitted to DPE on 27/04/2021, which was prior to commencement of construction of the first proposed phase (i.e., 13/07/2021). The Phasing was revised to version 1.4 during this audit period which was endorsed by ER and submitted to DPE for information.	Compliant
A11	The Phasing Report must: set out how construction of the whole of Stage 1 of the CSSI will be phased, including details of work and other activities to be carried out in each phase and the general timing of when construction of each phase will commence and finish. specify the relevant conditions that apply to each phase and how compliance with conditions will be achieved across and between each of the phases of Stage 1 of the CSSI. set out mechanisms for managing any cumulative impacts arising from the proposed phasing; and <u>for the purposes of informing Conditions C2, C7 and C18,</u> include an assessment of the predicted level of environmental risk and potential level of community concern posed by the construction activities required to construct each phase of Stage 1 of the CSSI. With respect to (d) above, the risk assessment must use an appropriate process consistent with AS/NZS ISO 31000: 2018; Risk Management - Principles and Guidelines and must be endorsed by the ER. [SSI-10038 Mod-1]	SMW Phasing Report 1.4, Sydney Metro, May 2022 Submitted to DPE dated 2 June 2022 and endorsed by ER on 17 May 2022.	The Phasing report has been revised to Version 1.4 in May 2022 and endorsed by ER and submitted to DPE for information. Observation: This condition is not yet included in the Phasing Report V1.4, May 2022. Recommendation: To update Phasing Report with these conditions 18.1 and 18.2.	Compliant
A12	Stage 1 of the CSSI must be phased in accordance with the Phasing Report, as submitted to the Planning Secretary for information.	SMW Phasing Report 1.4, Sydney Metro, May 2022	All works are being conducted in accordance with the Phasing Report. Works are being conducted in accordance with respective conditions as identified for Phase A, B1 and C1. Compliance monitoring is ongoing.	Compliant
A13	Where phasing is proposed, the conditions of this approval that apply or are relevant to the work or activities to be carried out in a specific phase must be complied with at the relevant time for that phase.	Submitted to DPE dated 2 June 2022 and endorsed by ER on 17 May 2022.	Appendix B of the Phasing Report sets out sets out which conditions have been deemed applicable to each phase of works.	Compliant
A14	Where changes are proposed to the phasing of construction, a revised Phasing Report must be prepared and submitted to the Planning Secretary for information before the commencement of changes to the phasing of construction.	SMW Phasing Report 1.4, Sydney Metro, May 2022	The SMW Phasing Report has been revised to provide updates on scope of phases.	Compliant

A15	<p>With the approval of the Planning Secretary, the Proponent may submit any strategies, plans or programs required by this approval on a progressive basis within each phase of Stage 1 of the CSSI.</p> <p><i>Notes:</i></p> <p><i>While any strategy, plan or program may be submitted on a progressive basis, the Proponent will need to ensure that the existing activities on site are covered by suitable strategies, plans or programs at all times; and</i></p> <p><i>If the submission of any strategy, plan or program is to be submitted on a progressive basis, then the relevant strategy, plan or program must clearly describe the activities to which the strategy, plan or program applies, the relationship of this activity to any future activities within the phase, and the trigger for updating the strategy, plan, or program</i></p>	Submitted to DPE dated 2 June 2022 and endorsed by ER on 17 May 2022.			Documentation required under this approval has been submitted progressively as relevant to each phase of works, as evidenced throughout this audit. All documents are endorsed by relevant authorities (e.g., the ER, the AA) prior to commencement of works and submitted to DPE for information.	Compliant
Ancillary Facilities						
A16	<p>Ancillary Facilities</p> <p>Ancillary facilities that are not identified by description and location in the documents listed in Condition A1 of this schedule can only be established and used in each case if:</p> <ul style="list-style-type: none"> they are located within or immediately adjacent to the Construction Boundary; and they are not located next to sensitive land user(s) (including where an access road is between the facility and the receiver), unless the landowner and occupier have given written acceptance to the carrying out of the relevant facility in the proposed location; and they have no impacts on Heritage items (including areas of archaeological sensitivity), threatened species, populations or ecological communities beyond the impacts approved under the conditions of this approval; and the establishment and use of the facility can be carried out and managed within the outcomes set out in the conditions of this approval, including in relation to environmental, social, and economic impacts. <p><i>Note: This condition does not apply to any ancillary facilities or work that are exempt or complying development, established before the commencement of construction under this approval or minor ancillary facilities established under Condition A21 of this schedule.</i></p>	<p>Interview with auditees, 27/09/2022</p> <p>Quickway CEMP revision 1.2 dated 13 May 2022 was updated to include the ancillary facility at the Bays area which was endorsed by the ER (Michael Woolley) on 18 May 2022.</p>	<p>Interview with auditees, 28/09/2022</p> <p>The auditees advised that no ancillary facilities have been required for the Phase B1 (AFJV) works that were not already identified in the documents listed in Condition A1.</p> <p>Not Triggered</p>	<p>Interview with auditees, 27/09/2022</p> <p>The auditees advised that no ancillary facilities have been required for the Phase C1 (Delta) works that were not already identified in the documents listed in Condition A1.</p>	<p>Interview with Sydney Metro and ER on 10 & 12 October 2022</p> <p>Ancillary facilities were established and used in accordance with condition.</p>	Compliant
Site Establishment Work						

A17	<p>Site Establishment Management Plan</p> <p>Before establishment of any ancillary facility (excluding exempt or complying development, minor ancillary facilities determined by the ER to have minimal environmental impact and those established under Condition A21 of this schedule, and those considered in an approved CEMP), the Proponent must prepare a Site Establishment Management Plan which outlines the environmental management practices and procedures to be implemented for the establishment of the ancillary facilities. The Site Establishment Management Plan must be prepared in consultation with the Relevant Council(s) and relevant government agencies. The Site Establishment Management Plan must include:</p> <ul style="list-style-type: none"> a description of activities to be undertaken during establishment of the ancillary facility (including scheduling and duration of work to be undertaken at the site). figures illustrating the proposed operational site layout and the location of the closest sensitive land user(s). a program for ongoing analysis of the key environmental risks arising from the site establishment activities described in subsection (a) of this condition, including an initial risk assessment undertaken before the commencement of site establishment work. details of how the site establishment activities described in subsection (a) of this condition will be carried out to: <ul style="list-style-type: none"> meet the performance outcomes stated in the documents listed in Condition A1 of this schedule, and manage the risks identified in the risk analysis undertaken in subsection (c) of this condition; and a program for monitoring the performance outcomes, including a program for construction noise monitoring, where appropriate or required. Nothing in this condition prevents the Proponent from preparing individual Site Establishment Management Plans for each ancillary facility. 	<p>Interview with auditees, 27/09/2022</p> <p>Condition is not triggered for the Phase A (Quickway) works. There is minimal risk that a SEMP will be required for the rest of the PEW.</p>	<p>Interview with auditees, 28/09/2022</p> <p>No Site Establishment Management Plans have been required to be prepared for the Phase B1 (AFJV) works to date.</p> <p>Not Triggered</p>	<p>Interview with auditees, 27/09/2022</p> <p>SMW Parramatta, Clyde and Westmead Enabling Works – Site Establishment Sub Plan, Delta, Rev 2, 24/09/2021</p> <p>ER endorsement letter – Delta SEMP Rev 2, dated 27/09/2021</p> <p>SMW Parramatta, Clyde and Westmead Enabling Works – Site Establishment Sub Plan, Delta, Rev 4, 15/12/2021</p> <p>ER endorsement letter – Delta SEMP Rev 4, dated 15/12/2021</p>	<p>Interview with Sydney Metro and ER on 10 & 12 October 2022</p> <p>A Site Establishment Management Plan (SEMP) was prepared for the Phase C1 (Delta) works in consultation with City of Parramatta and Cumberland City Council prior to establishment of any ancillary facilities.</p> <p>The ER endorsed both revisions of the SEMP as satisfying requirements of this condition (see A19).</p>	Compliant
A18	<p>With the exception of a Site Establishment Management Plan relating to the Silverwater ancillary facility referred to in Condition A19 below and any other Site Establishment Management Plan expressly nominated by the Planning Secretary to be endorsed by the ER, all Site Establishment Management Plans must be submitted to the Planning Secretary for approval one (1) month before the establishment of any ancillary facilities.</p>	<p>Interview with auditees, 27/09/2022</p> <p>No Site Establishment Management Plans have been required to be prepared for the Phase A (Quickway) works to date.</p>	<p>Interview with auditees, 28/09/2022</p> <p>No Site Establishment Management Plans have been required to be prepared for the Phase B1 (AFJV) works to date.</p>	<p>Interview with auditees, 27/09/2022</p> <p>Letter from DPE to Sydney Metro re: SEMP for Parramatta, Clyde, and Westmead Enabling Works, dated 24/09/2021</p>	<p>Interview with Sydney Metro and ER 10 & 12 October 2022</p> <p>Revision 1 of the SEMP for the Phase C1 (Delta) works was submitted to the Planning Secretary. A letter from the Planning Secretary dated 24/09/2021 nominated the ER to endorse the final version of the SEMP (see A19).</p>	Compliant

A19	<p>A Site Establishment Management Plan relating to the Silverwater ancillary facility, and any other Site Establishment Management Plan expressly nominated by the Planning Secretary must be submitted to the ER for endorsement one (1) month before the establishment of that ancillary facility or as otherwise agreed with the ER.</p>	<p>Interview with auditees, 27/09/2022 No Site Establishment Management Plans have been required to be prepared for the Phase A (Quickway) works to date.</p>	<p>Interview with auditees, 28/09/2022 No Site Establishment Management Plans have been required to be prepared for the Phase B1 (AFJV) works to date.</p>	<p>Interview with auditees, 27/09/2022 Letter from DPE to Sydney Metro re: SEMP for Parramatta, Clyde, and Westmead Enabling Works, dated 24/09/2021 SMW Parramatta, Clyde and Westmead Enabling Works – Site Establishment Sub Plan, Delta, Rev 2, 24/09/2021 ER endorsement letter – Delta SEMP Rev 2, dated 27/09/2021 SMW Parramatta, Clyde and Westmead Enabling Works – Site Establishment Sub Plan, Delta, Rev 4, 15/12/2021 ER endorsement letter – Delta SEMP Rev 4, dated 15/12/2021</p>	<p>Interview with Sydney Metro and ER 10 & 12 October 2022 As requested by the Planning Secretary, the SEMP for the Phase C1 (Delta) works was submitted to the ER for endorsement.</p>	Compliant
A20	<p>Use of Ancillary Facilities The use of an ancillary facility for construction must not commence until the CEMP required by Condition C1 of this schedule, relevant CEMP Sub-plans required by Condition C5 of this schedule and relevant Construction Monitoring Programs required by Condition C14 of this schedule have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable). Note: This condition does not apply to Condition A21 of this schedule or where the use of an ancillary facility is Low Impact Work or for Low Impact Work.</p>	<p>SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 0.0, 16/06/2021 ER endorsement letter – SMW Power Enabling Works CEMP, dated 18/06/2021 SMW Power Enabling Works Construction Environmental Management Plan (Appendix M – Minor Ancillary Facility Area A01), Quickway, Rev 1.0, 02/11/2021 ER endorsement letter – SMW Power Enabling Works Minor Ancillary Facility Area 01, dated 31/05/2021</p>	<p>10 January 2022 commencement of CTP construction CEMP for CTP was approved on 20 December 2021 SM Notify the Department for commencement of construction on 10 December 2022 letter dated 7 December 2022 then revised notification was sent on 5 April 2022 for the actual commencement on 10 January 2022.</p>	<p>Letter from DPE to Sydney Metro re: SEMP for Parramatta, Clyde, and Westmead Enabling Works, dated 24/09/2021 SMW Parramatta, Clyde and Westmead Enabling Works – Site Establishment Sub Plan, Delta, Rev 2, 24/09/2021 ER endorsement letter – Delta SEMP Rev 2, dated 27/09/2021 SMW Parramatta, Clyde and Westmead Enabling Works – Site Establishment Sub Plan, Delta, Rev 4, 15/12/2021 ER endorsement letter – Delta SEMP Rev 4, dated 15/12/2021</p>	<p>Interview with Sydney Metro and ER 10 & 12 October 2022 The Project has demonstrated compliance with A20 based on the evidence provided during the initial audit.</p>	Compliant

A21	<p>Minor Ancillary Facilities</p> <p>Lunch sheds, office sheds, portable toilet facilities, and the like, can be established and used where they have been assessed in the documents listed in Condition A1 of this schedule or satisfy the following criteria:</p> <p>are located within or adjacent to the Construction Boundary; and</p> <p>have been assessed by the ER to have:</p> <ul style="list-style-type: none"> minimal amenity impacts to surrounding residences and businesses, after consideration of matters such as compliance with the ICNG, traffic and access impacts, dust, and odour impacts, and visual (including light spill) impacts, and minimal environmental impact with respect to waste management and flooding, and no impacts on biodiversity, soil and water, and Heritage items beyond those already approved under other conditions of this approval. 	<p>SMW Power Enabling Works Construction Environmental Management Plan (Appendix M – Minor Ancillary Facility Area A01), Quickway, Rev 1.0, 02/11/2021</p> <p>ER endorsement letter – SMW Power Enabling Works Minor Ancillary Facility Area 01, dated 31/05/2021</p> <p>Minor ancillary facility ‘A01’ utilized for Phase A (Quickway) works, endorsed by the ER as part of CEMP update (Rev 1.0, dated 02/11/2021).</p> <p>All minor ancillary facilities were included in the CEMP revision 1.2 dated 13 May 2022 which was endorsed by the ER (Michael Woolley) on 18 May 2022.</p> <p>Site inspection 23 September 2022</p> <p>Interview 27 September 2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview 28 September 2022</p> <p>CoA21 -Minor Ancillary Facility approval for – Five Dock, ER endorsement letter dated 7 June 2022</p> <p>CoA21- Minor Ancillary Facility approval for The Bays ER endorsement letter dated 9 February 2022.</p> <p>NCR-007-CoA A21- 2/6/2022</p>	<p>Site inspection 23 September 2022</p> <p>Interview 27 September 2022</p> <p>No minor ancillary facilities have been established for the Phase C1 (Delta) works to date.</p>	<p>Self-Reported Non-Compliant</p> <p>NCR-007-CoA A21- 2/6/2022 (Phase B1)</p> <p>- Site team had accessed and undertaken preliminary establishment activities of a property at Five Dock (Phase B1) that had been obtained under a lease agreement, prior to final approval of the Minor Ancillary Facility approval (CoA A21) from the Environmental Representative.</p>	Non-Compliant
A22	<p>Boundary Screening</p> <p>Boundary screening must be erected around ancillary facilities that are adjacent to sensitive land user(s) for the duration that the ancillary facility is in use unless otherwise agreed with relevant affected residents, business operators or landowners.</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 27/09/2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 27/09/2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 27/09/2022</p>	<p>Sydney Metro boundary screening sighted around fencing installed across Project worksites during site inspection. See photos in Appendix D of the Audit Report.</p>	Compliant
A23	<p>Boundary screening required under Condition A22 of this schedule must minimise visual impacts on adjacent sensitive land user(s).</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 27/09/2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 27/09/2022</p>	<p>Site inspection, 28/02/2022</p> <p>Interview with auditees, 01/03/2022</p>	<p>Sydney Metro boundary screening sighted around fencing installed across Project worksites during site inspection. See photos in Appendix D of the Audit Report.</p>	Compliant
Independent Appointments						
A24	<p>All Independent Appointments required by the conditions of this approval must hold current membership of a relevant professional body, unless otherwise agreed by the Planning Secretary.</p>	<p>Environment Representative (ER) – see A27</p> <p>Acoustic Advisor (AA) – see A32 and A33</p>	<p>Independent Auditor – see A40</p> <p>Community Complaints Mediator – see B7 and B8</p>	<p>Excavation Director – see D27</p> <p>Independent Property Assessment Panel (IPIAP) – see D64</p>	<p>Interview with Sydney Metro and ER 10 & 12 October 2022</p> <p>Independent appointments on the Project have been approved by the Planning Secretary and therefore considered to hold the relevant memberships and qualifications.</p>	Compliant

A25	The Planning Secretary may at any time commission an audit of how an Independent Appointment has exercised their functions. The Proponent must: facilitate and assist the Planning Secretary in any such audit; and make it a term of their engagement of an Independent Appointment that the Independent Appointment facilitate and assist the Planning Secretary in any such audit.	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 28/02/2022 Interview with auditees, 01/03/2022	The auditees were not aware of any request from the Planning Secretary for an audit of how the how an Independent Appointment has exercised their functions on the Project.	Not triggered			
A26	Upon completion of an audit under Conditions A25 above, the Planning Secretary may withdraw its approval of an Independent Appointment should they consider the Independent Appointment has not exercised their functions in accordance with this approval. <i>Note: Conditions A25 and A26 of this schedule apply to all Independent Appointments including the ER, AA, and Independent Auditor</i>	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 28/02/2022 Interview with auditees, 01/03/2022	No audits have been completed for the Project under A25.	Not triggered			
Environment Representative									
A27	Work must not commence until an Environmental Representative (ER) has been nominated by the Proponent and approved by the Planning Secretary.	<p>Letter from DPE to Sydney Metro re: approval of ERs, dated 07/04/2021 Letter from DPE to Sydney Metro re: approval of additional ER, dated 21/09/2021</p> <table border="1" data-bbox="1018 926 1979 1262"> <tr> <td data-bbox="1018 926 1338 1262">Michael Woolley Greg Byrnes</td> <td data-bbox="1353 926 1644 1262">Michael Woolley Jo Robertson</td> <td data-bbox="1659 926 1979 1262">Greg Byrnes Jo Robertson</td> </tr> </table>			Michael Woolley Greg Byrnes	Michael Woolley Jo Robertson	Greg Byrnes Jo Robertson	<p>Interview with Sydney Metro and ER 10 & 12 October 2022</p> <p>The following Environment Representatives (ERs) were approved by the Planning Secretary on 07/04/2021, prior to the notified construction commencement date of 13/07/2021:</p> <p>Michael Woolley Brett McLennan Jo Robertson Jacqueline Burgin</p> <p>In addition, Greg Byrnes was also approved as an ER on 21/09/2021.</p>	Compliant
Michael Woolley Greg Byrnes	Michael Woolley Jo Robertson	Greg Byrnes Jo Robertson							
A28	The proposed ER must be a suitably qualified and experienced person(s) who was not involved in the preparation of the documents listed in Condition A1 of this schedule and is independent from the design and construction personnel for the CSSI and those involved in the delivery of it.	<p>Letter from DPE to Sydney Metro re: approval of ERs, dated 07/04/2021 Letter from DPE to Sydney Metro re: approval of additional ER, dated 21/09/2021 Interview with Sydney Metro and ER 10 & 12 October 2022</p>			The personnel nominated to perform the role of ER on the Project have been deemed suitability qualified and experienced, as evidenced by the Planning Secretary's approval.	Compliant			
A29	The Proponent may engage more than one ER for Stage 1 of the CSSI, in which case the functions to be exercised by an ER under the conditions of this approval may be carried out by any ER that is approved by the Planning Secretary for the purposes of Stage 1 of the CSSI. The ER must meet the requirements of the Department's Environmental Representative Protocol (DPE, 2018). The appointment of the ER must have regard to the Department's guideline Seeking approval from the Department for the appointment of independent experts (DPIE, 2020).	<p>Letter from DPE to Sydney Metro re: approval of ERs, dated 07/04/2021 Letter from DPE to Sydney Metro re: approval of additional ER, dated 21/09/2021 Interview with Sydney Metro and ER 10 & 12 October 2022</p>			As detailed above, five people have been approved by the Planning Secretary to perform the role of ER on the Project.	Compliant			

<p>A30</p>	<p>For the duration of the work or as agreed with the Planning Secretary, the approved ER must:</p> <p>receive and respond to communication from the Planning Secretary in relation to the environmental performance of Stage 1 of the CSSI.</p> <p>consider and inform the Planning Secretary on matters specified in the conditions of this approval.</p> <p>consider and recommend to the Proponent any improvements that may be made to work practices to avoid or minimise adverse impact to the environment and to the community.</p> <p>review documents identified in Conditions A10, A17, A19, C1, C5 and C14 of this schedule and any other documents that are identified by the Planning Secretary, to ensure they are consistent with requirements in or under this approval and if so:</p> <p>endorse the documents before submission of such documents to the Planning Secretary (if those documents are required to be approved by the Planning Secretary); or</p> <p>endorse the documents before the implementation of such documents (if those documents are only required to be submitted to the Planning Secretary / Department for information or are not required to be submitted to the Planning Secretary / Department).</p> <p>for documents that are required to be submitted to the Planning Secretary / Department for information under (d)(ii) above, the documents must be submitted as soon as practicable to the Planning Secretary / Department after endorsement by the ER, unless otherwise agreed by the Planning Secretary.</p> <p>regularly monitor the implementation of the documents listed in Conditions A10, A17, A19, C1, C5 and C14 of this schedule to ensure implementation is being carried out in accordance with the document and the conditions of this approval.</p> <p>as may be requested by the Planning Secretary, help plan or attend audits of the development commissioned by the Department including scoping audits, programming audits, briefings, and site visits, but not independent environmental audits required under Condition A39 of this schedule.</p> <p>as may be requested by the Planning Secretary, assist in the resolution of community complaints received directly by the Department.</p> <p>consider or assess the impacts of minor ancillary facilities comprising lunch sheds, office sheds and portable toilet facilities as required by Condition A21 of this schedule; and</p> <p>consider any minor amendments to be made to the Site Establishment Management Plan, CEMP, CEMP Sub-plans, and construction monitoring programs without increasing impacts to nearby sensitive receivers and are consistent with the conditions of this approval and the Site Establishment Management Plan, CEMP, CEMP Sub-plans, and construction monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, approve the amendment. This does not include any modifications to the conditions of this approval.</p>	<p>ER inspection reports</p> <p>ER monthly reports and DPE lodgement receipts</p> <p>ER endorsement letters for documentation required under this approval, including CEMP and Sub-Plans</p> <p>Quick way ER inspection – Graveyards controls ER inspection email dated 13/07/2022, Action to protect the pit within the Quickway worksite. Closed out was conducted through email correspondence and ER was satisfied with actions.</p> <p>ER Inspection on 22/07/2022 at Graveyard to installed erosion and sedimentation controls around the stockpiles and addressed within the day.</p> <p>Quickway OOHW Permits were endorsed by ER within the past 6 months. https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/</p> <p>No specific letter from Planning</p> <p>Monthly Reports included all the updates, performance of the project, request from Planning to include pictures on the reports.</p> <p>Inspection Reports provide recommendations and improvements on site</p> <p>ER Monthly Reports are included in monthly reports</p> <p>No for Quick way</p> <p>Same as AFJV</p> <p>NT</p> <p>NT</p> <p>A21 is under CEMP.</p> <p>Website CEMP amendment</p> <p>All monthly reports submitted on 7th of the month.</p>	<p>AFJV – ER inspection conducted every Thursday with alternating sites to inspect every two weeks except for the Bays which is weekly.</p> <p>No NC raised by the ER.</p> <p>AFJV- All Phase B2 Management Plans were now endorsed by the ER in August 2022, and they now posted on the website. https://www.accionacom.au/sydney-metro-west-ctp/?adin=0183579827#_ga=2.225337114.1834514048.1667788041-1372581825.1661399770</p> <p>No NC raised by ER on West</p> <p>No specific letter from Planning</p> <p>Monthly Reports included all the updates, performance of the project, request from Planning to include pictures on the reports.</p> <p>Inspection Reports provide actions (recommendations and improvements) on site e.g., 29/09/2022 ER Inspection</p> <p>Monthly Reports – to follow</p> <p>ER Monthly Reports are included in monthly reports</p> <p>Endorsement for CTP refer to website (AQMP, FFMP,) refer to Phasing Report and website</p> <p>Incident in CTP – ER to review the incident response procedure.</p> <p>Review the ER role and the mediator for complaints raised</p> <p>ER inspections, Review of documents</p> <p>AFJV monitoring reports through Teambinder – ER assessment of implementation of the</p>	<p>Delta – ER inspections every Thursday -ER reports issued to Delta on the same day and closed out on any issues were provided to ER timeframe indicated on the report. E.g., ER Report #22 21/05/2022 issues on erosion and sediment controls closed out the following day. No non-compliances raised by the ER on Demolition project.</p> <p>Delta documents were endorsed by ER from last 6 months. https://www.deltagroup.com.au/sydney-metro-west-project/</p> <p>No specific letter from Planning</p> <p>Monthly Reports included all the updates, performance of the project, request from Planning to include pictures on the reports.</p> <p>Inspection Reports provide recommendations and improvements on site</p> <p>Endorsement for Delta CEMP and subplans refer to website</p>	<p>Evidence provided of weekly ER inspections being conducted across the Project. ER monthly reports also sighted for the Project to date, including evidence of submission to the Planning Secretary.</p> <p>The ER has also been involved in the review and endorsement of documentation on the Project, including the CEMP and Sub-Plans across all phases.</p> <p>It is noted that No audits have been commissioned by the Secretary under A30 g).</p> <p>No LIW within the audit period.</p> <p>ER monthly reports for March to September 2022 were submitted to the Department within every 7th of the Month. The following reports were sighted with the submission evidence to the Department:</p> <p>ER Monthly Report March 2022 submitted 07 April 2022</p> <p>ER Monthly Report April 2022 submitted 07 May 2022</p> <p>ER Monthly Report May 2022 submitted 07 June 2022</p> <p>ER Monthly Report June 2022 submitted 07 July 2022</p> <p>ER Monthly Report July 2022 submitted 07 August 2022</p> <p>ER Monthly Report August 2022 submitted 07 September 2022</p> <p>ER Monthly Report September 2022 submitted 07 October 2022</p> <p>ER conducts weekly inspections and reports were presented during this audit that captured the inspection of mitigation measures as relevant to each site and any issues raised during the inspection were addressed within the allotted timeframe as designated by the ER. The following inspections report were sighted:</p> <p>Metro West AFJV ER Inspection 32_ 25-8-22</p> <p>Metro West AFJV ER Inspection 37 061022</p> <p>Metro West Delta ER Inspection 30 dated 25-8-2022</p> <p>Metro West Delta ER Inspection 31 dated 08-9-2022</p> <p>Metro West PEW ER Inspection 22 dated 30-8-2022</p> <p>Metro West PEW ER Inspection 24 dated 11-10-2022</p>	<p>Compliant</p>
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	<p>prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, an Environmental Representative Monthly Report providing the information set out in the Environmental Representative Protocol under the heading “Environmental Representative Monthly Reports”. The Environmental Representative Monthly Report must be submitted within seven (7) days following the end of each month for the duration of the ER’s engagement for Stage 1 of the CSSI, or as otherwise agreed by the Planning Secretary; and</p> <p>assess the impacts of activities as required by the Low Impact Work definition.</p> <p>With respect to (d) above, the ER is not required to endorse the specialist content in documents requiring specialist review and / or endorsement.</p>	<p>HBI Project Manager Folder with DPE portal evidence of monthly report submissions Mar (7/4/22) April ((7/5/22) May (7/6/22) June (7/7/22) July (7/8/22), August 2022 (7/9//2022), Sep 2022 (7/10/22)</p> <p>No LIW within the audit period</p>	<p>monitoring dates Ref Teambinder:</p> <p>Monthly Appendix Metro West CFA Sep-Oct 2022 – included risk assessment and recommendation by ER – reviewing all the risk for each package. Internal document</p> <p>Bi-annual Construction Dec2021 to June 2022 with ER comments on PDF dated 23 August 2022 reviewed against the CoA Monitoring Programs (NVMP, Surface Water and Groundwater)</p> <p>Not Triggered Not Triggered A21 – NC was raised Website CEMP</p>			
A31	<p>The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions specified in Condition A30 of this schedule (including preparation of the ER monthly report), as well as:</p> <p>the Complaints Register (to be provided on a weekly basis or as requested); and</p> <p>a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work).</p>	<p>Planning Approval Consistency Assessment Form, Version 3.0 27/11/2021</p> <p>Email correspondence re: submission of Consistency Assessment DG01 (Macquarie Lane Carpark) to the ER, dated 17/12/2021</p> <p>Email correspondence from Sydney Metro re: submission of weekly complaints reports to the ER</p>	<p>Complaints registers were received on weekly basis.</p> <p>Generally, the ER gets the documents and records</p>		<p>Observation:</p> <p>Evidence provided demonstrates that the Project has been providing the ER with relevant documentation in order for the ER to perform their functions specified in Condition A30. However, the ER noted there is no visibility if the documents have been submitted to the DPE through the Portal.</p> <p>Recommendation:</p> <p>A process improvement on ensuring that the ER will have a visibility on the documents that they have reviewed and endorsed are submitted to the Department within the relevant required timeframe.</p>	Compliant
Acoustics Advisor						
A32	<p>A suitably qualified and experienced Acoustics Advisor(s) (AA) in noise and vibration management, who is independent of the design and construction personnel, must be nominated by the Proponent and engaged for the duration of work (as required by Condition A35 of this schedule) and for no less than six (6) months following completion of construction of Stage 1 of the CSSI.</p>	<p>AA approval letter from DPE to Sydney Metro, date d12/04/2021</p> <p>No changes within audit period March to August 2022.</p>		<p>Mr. Dave Anderson has been approved as the Principal Acoustic Advisor and Mr. Larry Clark has been approved as the Alternate Acoustic Advisor, as per the approval letter from DPE dated 12/04/2021.</p>	Compliant	
A33	<p>Work must not commence until an AA has been nominated by the Proponent and approved by the Planning Secretary.</p>	<p>AA approval letter from DPE to Sydney Metro, date d12/04/2021</p> <p>Notification of Commencement letter (revised date), Sydney Metro to DPE, 30/08/2021</p>		<p>The AA was nominated and approved prior to commencement of construction (DPE approval letter dated 12/04/2021, construction commenced 13/07/2021 (see A37).</p>	Compliant	

A34	<p>The Proponent must cooperate with the AA by: providing access to noise and vibration monitoring activities as they take place. providing access to the Complaints Register if requested. providing for review of noise and vibration documents required to be prepared under the conditions of this approval; and considering any recommendations to improve practices and demonstrating, to the satisfaction of the AA, why any recommendation is not adopted.</p>	<p>Interview with auditees, 01/03/2022 AA inspection reports AA monthly noise and vibration reports Access to noise and vibration data are provided to AA as requested. Complaints register being provide to AA on daily basis, weekly and the monthly reports AA reviewed and endorsed OOHW those are not covered under EPL. OOHW covered under EPL were provided for information. AA inspection reports for Quickway, Delta and AFJV. Comments on OOHW permits included AA recommendation to improve practices. Proactively engaging AA on each high-risk activities and with sensitive receivers as noted by SM.</p>	<p>The AA provided evidence that they have online access to live vibration monitoring records. Evidence of Complaints Registers being provided to the AA on weekly and monthly basis. AA advised that they have attended the Phase A (Quickway) works being undertaken outside standard hours. They have not provided formal reports on these inspections but provide input to ER and directly to Sydney Metro.</p>	Compliant
A35	<p>The Proponent may nominate additional suitably qualified and experienced persons to assist the lead AA for the Planning Secretary's approval.</p>	<p>AA approval letter from DPE to Sydney Metro, date d12/04/2021 No additional AA or alternate for this audit period.</p>	<p>Mr. Dave Anderson has been approved as the Principal Acoustic Advisor and Mr. Larry Clark has been approved as the Alternate Acoustic Advisor, as per the approval letter from DPE dated 12/04/2021.</p>	Compliant

<p>A36</p>	<p>The approved AA must:</p> <p>receive and respond to communication from the Planning Secretary in relation to the performance of Stage 1 of the CSSI in relation to noise and vibration.</p> <p>consider and inform the Planning Secretary on matters specified in the conditions of this approval relating to noise and vibration.</p> <p>consider and recommend, to the Proponent, improvements that may be made to avoid or minimise adverse noise and vibration impacts.</p> <p>review all proposed night-time works (with the exception of low-risk activities) to determine if sleep disturbance would occur and recommend measures to avoid sleep disturbance or appropriate additional alternative mitigation measures.</p> <p>review all noise and vibration documents required to be prepared under the conditions of this approval and, should they be consistent with the conditions of this approval, endorse them before submission to the Planning Secretary (if required to be submitted to the Planning Secretary) or before implementation (if not required to be submitted to the Planning Secretary).</p> <p>regularly monitor the implementation of all noise and vibration documents required to be prepared under the conditions of this approval to ensure implementation is in accordance with what is stated in the document and the conditions of this approval.</p> <p>review the Proponent's notification of incidents in accordance with Condition A43 of this schedule.</p> <p>in conjunction with the ER (where required), the AA must:</p> <p>as may be requested by the Planning Secretary or Community Complaints Mediator (required by Condition B8 of this schedule), help plan, attend, or undertake audits of noise and vibration management of Stage 1 of the CSSI including briefings, and site visits,</p> <p>in the event that conflict arises between the Proponent and the community in relation to the noise and vibration performance of Stage 1 of the CSSI, follow the procedure in the Overarching Community Communication Strategy referenced in Condition B1 of this schedule to attempt to resolve the conflict, and if it cannot be resolved, notify the Planning Secretary,</p> <p>if requested by the ER, consider relevant minor amendments made to the Site Establishment Management Plan, CEMP, relevant sub-plans and noise and vibration monitoring programs that require updating or are of an administrative nature, and are consistent with the conditions of this approval and the management plans and monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, endorse the amendment, (this does not include any modifications to the conditions of this approval),</p> <p>if requested by the ER, review the noise impacts of minor ancillary facilities, and</p> <p>prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, a Monthly Noise and Vibration Report detailing the AA's actions and decisions</p>	<p>Interview with AA on 12/10/22.</p> <p>AA inspection reports</p> <p>AA monthly noise and vibration reports and DPE lodgement receipts</p> <p>AA endorsement letters for documentation required under this approval, including detailed noise and vibration impact statements (DNVIS) and out of hours works (OOHW) applications</p> <p>All OOHW goes to the SM Comms, AA, and ER for approval.</p> <p>Quickway – Acoustic Advisor Inspection Report dated 23/09/2022 by Larry Clark at Mullens Street between Mansfield and Robert Streets. No issues raised during this inspection.</p> <p>Update of the DNVIS Rev 6 dated 12/09/2022 was sent to AA and SM and Quickway is still waiting for response.</p>	<p>AFJV- AA Site inspection 19 September 2022 – 10:30-11:00pm at the Queen Street works outside of North Strathfield site – notes/observation were good practices and only areas of improvement on signalling by horn which would be good to eliminate as much as possible.</p> <p>Ad hoc inspection is being conducted by the AA no specific frequency,</p> <p>AFJV – Acoustic Advisor Inspection for Strathfield (1/7/2022, 21/06/2022) and Burwood North (19/09/2022), Five Dock (17/5/2022)</p>	<p>Delta – AA Inspection Report on 17/06/2022</p> <p>Delta – AA was involved on the ER inspection on 25th August 2022.</p> <p>AA raised an issue on vibration monitoring at Parramatta and an NCR-005 was raised.</p>	<p>Bases on evidence provided the AA is compliant to this condition.</p> <p>nothing received</p> <p>AA monthly reports and regular meetings with DPE discussing noise and vibration issues.</p> <p>Comments on OOHW permits included AA recommendation to improve practices. Proactively engaging AA on each high-risk activities and with sensitive receivers as noted by SM.</p> <p>OOHW works permits and night-time inspections based on the risk. AFJV – Acoustic Advisor Inspection for Strathfield (1/7/2022, 21/06/2022) and Burwood North (19/09/2022), Five Dock (17/5/2022), Delta – AA Inspection Report on 17/06/2022</p> <p>Refer to monthly reports</p> <p>AA Inspection Reports and review of NVMP, DNVIS, OOHW</p> <p>AA raised an issue on vibration monitoring at Parramatta – NCR-005,</p> <p>I: AA was involved in resolving complaints</p> <p>ii: same as above</p> <p>iii. AA monthly reports</p> <p>iv: NO request from ER during this audit period</p> <p>v: AA monthly reports are submitted at 7th of the months:</p> <p>202203 monthly AA report SMW 10038 March 22 submitted to DPE on 07/04/22</p> <p>202204 monthly AA report SMW 10038 April 22 submitted to DPE on 07/05/22</p> <p>202205 monthly AA report SMW 10038 May 22 submitted to DPE on 07/06/22</p> <p>202206 monthly AA report SMW 10038 June 22 submitted to DPE on 07/07/22</p> <p>202207 monthly AA report SMW 10038 July 22 submitted to DPE on 07/08/22</p> <p>202208 monthly AA report SMW 10038 August 22 submitted to DPE on 07/08/22</p> <p>AA inspections were based on risk of the site, OOHW, and impacts to community based on the works to be conducted e.g., scaffolding at Five Dock where there was resident closed by and risk of sleep disturbance noise. The schedule depends on the OOHW and coordination meetings (fortnightly and weekly).</p> <p>AFJV meetings on Wednesday</p>	<p>Compliant</p>
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	on matters for which the AA was responsible in the preceding month. The Monthly Noise and Vibration Report must be submitted within seven (7) days following the end of each month for the duration of the AA's engagement for Stage 1 of the CSSI, or as otherwise agreed by the Planning Secretary.				Brownfield – Fridays Quick way – Fridays Delta- completed	
Notification of Commencement						
A37	The Department must be notified in writing of the date of commencement of construction before the commencement of construction	Notification of Commencement letter, Sydney Metro to DPE, 04/06/2021 Record of submission to DPE, SSI-10038-PA-18, 04/06/2021 Notification of Commencement letter (revised date), Sydney Metro to DPE, 30/08/2021 Record of submission to DPE, SSI-10038-PA-34, 30/08/2021 Notification of commencement for Phase B2 not yet sent – commencement to be in November 2022 and will be sent 2 weeks before commencement date. Tentative date 5 November 2022 subject to change.			Sydney Metro notified DPE on 04/06/2021 that construction would commence on 28/06/2021. A follow up notification was sent by Sydney Metro to DPE on 30/08/2021 advising that construction had actually commenced on 13/07/2021.	Compliant
A38	If construction of Stage 1 of the CSSI is to be phased, the Department must be notified in writing before the commencement of each phase, of the date of the commencement of that phase.	Notification of Commencement letter – Phase A, Sydney Metro to DPE, 30/08/2021 Record of submission to DPE, SSI-10038-PA-35, 30/08/2021 The Phase A (Quickway) works commenced on 13/07/2021, as per the revised notification sent by Sydney Metro to DPE on 30/08/2021.	Notification of Commencement letter – Phase B1, Sydney Metro to DPE, 07/12/2021 Record of submission to DPE, SSI-10038-PA-74, 06/12/2021 Sydney Metro notified DPE on 06/12/2021 that construction would commence on Phase B1 works on 10/12/2021. The auditees advised that construction on Phase B1 works actually commenced on 10/01/2022. Letter to DPE dated 5 April 2022 for the amended date of notification.	Notification of Commencement letter – Phase C1, Sydney Metro to DPE, 07/12/2021 Record of submission to DPE, SSI-10038-PA-75, 07/12/2021 The Phase C1 (Delta) works commenced on 08/12/2021 at Westmead and Clyde, and 10/12/2021 at Parramatta, as per the revised notification sent by Sydney Metro to DPE on 07/12/2021.	Sydney Metro West Stage 1 Notification of Commencement of Phase C2 under Condition A38 commence Construction of the Parramatta and Clyde Enabling Works (PCEW) - Archaeological Clearance (Phase C2) of the Sydney Metro West Stage 1 project on 09 March 2022. Lodge on DPE portal on 08 March 2022.	Compliant
Independent Environmental Audit						
A39	Independent Audits of Stage 1 of the CSSI must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (DPIE, 2020).	Site inspection was conducted on 23 September 2022 at Rozelle 33Kv site. Interview with key personnel was conducted on 27 September 2022.	Site inspection was conducted on 23 September 2022 at the following sites: Sydney Olympic Park Burwood North Strathfield Five Dock The Bays. Interview with key personnel was conducted on 28 September 2022.	Site inspection was conducted on 23 September 2022 at Parramatta demolition site. Interview with key personnel was conducted on 27 September 2022.	This Independent Audit has been carried out in accordance with the Department's 2020 document entitled Independent Audit Post Approval Requirements. This is the second Independent Audit conducted for the project. Interviews with Sydney Metro, ER and AA were conducted on 10 & 12 October 2022.	Compliant

A39.1	Notwithstanding Condition A39, the Proponent may prepare an audit program to outline the scope and timing of each independent audit that will be undertaken during construction. If prepared, the audit program must be developed in consultation with, and approved by, the Planning Secretary before commencement of the first audit and implemented throughout construction. [SSI-10038 Mod-1]	The Audit Program has been prepared and submitted to the Department and is now under review.	This Independent Audit has been carried out in accordance with the Department's 2020 document entitled Independent Audit Post Approval Requirements.	Not triggered		
A40	Proposed independent auditors must be approved by the Planning Secretary before the commencement of an Independent Audit	Approval letter from DPE to Sydney Metro, dated 2 August 2022. Approving the following WolfPeak Auditors: Mr. Steve Fermio, Wolfpeak, as lead auditor Ms Annabelle Tungol, Wolfpeak, as auditor Mr. Ibrahim Awad, WolfPeak, as auditor Mr. Peter Hatton, Wolfpeak, as auditor.	The Department approved the Independent Auditors prior to the commencement of the second Independent Audit.	Compliant		
A41	The Planning Secretary may require the initial and subsequent Independent Audits to be undertaken at different times to those specified in the Independent Audit Post Approval Requirements (DPIE, 2020), upon giving at least four (4) weeks' notice (or timing as stipulated by the Planning Secretary) to the Proponent of the date upon which the audit must be commenced.	Interview with auditees, 01/03/2022	The auditees are not aware of the Planning Secretary requiring audits to be conducted at different timeframes to that specified in the Independent Audit Post Approval Requirements, or the Audit Program (which is yet to be prepared).	Not triggered		
A42	Independent Audit Reports and the Proponent's response to audit findings must be submitted to the Planning Secretary within two (2) months of undertaking the independent audit site inspection as outlined in the Independent Audit Post Approval Requirements (DPIE, 2020), unless otherwise agreed by the Planning Secretary.	The site inspection for IA1 was conducted on 28/02/22. The IA1 Audit Report is due 28/04/22, however, an extension for submission of report was sent to DPE that granted until 6 May 2022. WolfPeak IA1 Audit Final Report was submitted to SM on 27/04/2022.	WolfPeak IA1 Audit Final Report was submitted to SM on 27/04/2022. Sydney Metro submitted the audit report with the response to audit findings on 6 May 2022.	Compliant		
Incident and Non-compliance Notification and Reporting						
A43	The Planning Secretary must be notified via phone or in writing via the Major Projects website immediately after the Proponent becomes aware of an incident. Any notification via phone must be followed up by a notification in writing via the Major Projects website within 24 hours of the initial phone call. The written notification must identify the CSSI (including the application number and the name of the CSSI if it has one) and set out the location and general nature of the incident.	Interview with auditees, 27/09/2022 At the time of audit, the auditees were not aware of any incidents that meet the criteria under the Planning Approval having occurred.	No reportable incident that causes material harm to the environment. The following non-compliance were self-reported by AFJV they to the Department. AFJV established a register of non-compliances included description of non-compliances. Actions and submission of notification to Department.	Incident on 25 June 2022 impact on Heritage Building at Parramatta notification to DPE 29 June 2022 (Ref: PA208) All actions as per the incident report have been implemented and the heritage building will be handed to WTP a week after this audit.	The Planning Secretary was notified via phone or in writing via the Major Projects website immediately after the Proponent becomes aware of an incident.	Compliant

A44	Subsequent notification must be given, and reports submitted in accordance with the requirements set out in Appendix A.	Interview with auditees, 27/09//2022 Not triggered for Phase A (Quickway) works.		Clyde Oil Seepage Incident Investigation Report, Sydney Metro, Version 2, March 2022 Email correspondence confirming submission of Incident Investigation Report to DPE on 07/04/2022, email dated 26/04/2022	Evidence provided indicates that incident notification has been undertaken in line with A44. A total 16 incidents were reported for the past 6 months there were two incidents reported to DPE.	Compliant
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A45	<p>The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.</p>	<p>Non-compliant self-reported to Sydney Metro, AA, and ER on 24 May 2022. With regards to the non-compliant dated 16 May 2022 regarding the vacuum truck was not included in the approved OOHW permit.</p> <p>Sydney Metro notified the non-compliance to the Department on 31 May 2022 within 7 days upon becoming aware.</p>	<p>Self-Report Non-compliance</p> <ul style="list-style-type: none"> NCR-003 (D38) – 1 March 2022 and notified to SM on 9 March 2022- incident and non-compliance, AFJV enviro team held a meeting with the Acoustic Advisor to review this event. Although no complaints were received for the urgent use of the vac truck. It was agreed with the Acoustic Advisor that this event be documented as an NCR as it was not consistent with the OOHW Permit NCR004 - CoA D86 & D87 – using local road for heavy vehicle access on 11&12 April 2022 NCR-005- REMM GW4 -4/5/2022 – The groundwater monitoring data were not provided to DPE, EPA and NRAR prior to commencement of construction. These reports have subsequently been provided to EPA (directly by AFJV) on 4 May and to Sydney Metro to provide to DPIE and NRAR (not permitted to 	<p>AA raised an issue on vibration monitoring at Parramatta – NCR-005 NC against C10. Reported to Sydney Metro 26 May 2022.</p> <p>Notification to the Department on 2 June 2022.</p> <p>There were three NCs from the last 6 months.</p>	<p>Self-Reported Non-Compliant</p> <p>NCR-EVT-0001455 (Phase B1) - dated 16 September 2022 was raised for delays in notification of non-compliances for Phase B1 to DPE lodge on 23 September 2022 describing the delays of notification on the following NCR:</p> <ul style="list-style-type: none"> NCR of 11&12 April 2022 – HVLR in place for Five Dock site exceeding the number of trucks NCR of 2 June 2022 – Five Dock A21 was submitted to ER but not works commenced without the approval NCR 12 June 2022 – North Strathfield – Tree clearing was not included in DNVIS NCR 24 June 2022 NCR against CTMP <p>This is now closed.</p>	<p>Non-Compliant</p>
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			<p>be contacted directly by AFJV) on 28 April</p> <ul style="list-style-type: none"> • NCR-006- EPL A2.4- AFJV received an advisory letter from the EPA on 16/05/2022, relating to Condition A2.4, noting that AFJV had failed to upload the EPL Premise Boundary Maps within 3 days of EPL approval. • NCR-007-CoA A21- 2/6/2022-Site team had accessed and undertaken preliminary establishment activities of a property that had been obtained under a lease agreement, prior to final approval of the Minor Ancillary Facility approval (CoA A21) from the Environmental Representative. • NCR-008-CTMP - 22/6/22 – non-compliant to the turning direction as indicated in CTMP. <p>NCR-009- CoA D43- The tree clearing activity was not included in the Detailed Noise and Vibration</p>			
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			Impact Statement (DNVIS) but was assessed (consistent with a DNVIS and as per agreement with the Acoustic Advisor) as part of an OOHW Permit, however this assessment was not provided to the Acoustic Advisor prior to the works commencing.			
A46	A non-compliance notification must identify the CSSI (including the application number for it), set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be undertaken to address the non-compliance. <i>Note: A non-compliance which has been notified as an incident does not need to also be notified as a non-compliance.</i>	See A45.	See A45.	See A45.	A non-compliance notification identified the CSSI including the application number, set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance and what actions have been, or will be undertaken to address the non-compliance.	Compliant
Identification of Workforce						
A47	All Heavy Vehicles used for spoil haulage must be clearly marked on the sides and rear with the project name and application number to enable immediate identification by a person viewing the Heavy Vehicle standing 20 metres away.	Site inspection, 23/09/2021 Sydney Metro West truck sign template Photos of heavy vehicles with signage installed were presented during the interview on 27/09/2022.	Site inspection, 23/09/2021 Sydney Metro West truck sign template Photos of heavy vehicles with signage installed were presented during the interview on 27/09/2022.	Site inspection, 23/09/2021 Sydney Metro West truck sign template Photos of heavy vehicles with signage installed were presented during the interview on 27/09/2022.	All Heavy Vehicles used for spoil haulage were marked on the sides and rear with the project name and application number and are visible within 20 meters away.	Compliant
A48	The CSSI name, application number, telephone number, postal address and email address required under Condition B3 of this schedule must be available on-site boundary fencing / hoarding at each ancillary facility before the commencement of construction. This information must also be provided on the website required under Condition B11 of this schedule.	Site inspection, 23/09/2021 Signage is in place at the Project sites in line with A48.	Site inspection, 23/09/2021 Signage is in place at the Project sites in line with A48.	Site inspection, 23/09/2021 Signage is in place at the Project sites in line with A48.	Signage is in place at the Project sites in line with A48. See photos in Appendix D of the Audit Report.	Compliant
PART B: COMMUNITY INFORMATION AND REPORTING						
Community Information, Consultation, and Involvement						

B1	<p>Community Communication</p> <p>The Overarching Community Communication Strategy as provided in the documents listed in Condition A1 of this schedule must be implemented for the duration of the work.</p>	<p>Latest revision - SMW Overarching Community Communications Strategy, Sydney Metro, Rev 3 3 August 2022</p> <p>Community newsletters and notifications (available on Sydney Metro website)</p> <p>Interview with auditees, 10/10/2022</p> <p>Phase B- Contractor – prepared the specific Comms Plan and now on Revision 2 for approval</p> <p>Comms maintained the implementation of the CCS e.g., more door knockings, notifications and proactively calling residence prior to works and during delays and complaints.</p> <p>Five Dock – Community benefit Plan – running 3 months shop local program – people can win vouchers on using local businesses to encourage on using local business.</p>	<p>Evidence provided during the audit demonstrates that the Overarching Community Communications Strategy is being implemented.</p>	Compliant
Complaints Management System				
B2	<p>A Complaints Management System must be prepared and implemented before the commencement of any work and maintained for the duration of construction and for a minimum for 12 months following completion of construction of Stage 1 of the CSSI.</p>	<p>Latest revision - SMW Overarching Community Communications Strategy, Sydney Metro, Rev 2.2, 07/04/2021 3 August 2022 Sydney Metro Construction Complaints Management System, Version 2 5 February 2022</p> <p>Report output from Consultation Manager, March 2022 to October 2022</p> <p>Interview with auditees, 10/10/2022</p>	<p>The Complaints Management System document describes and governs the Project's Complaints Management System.</p> <p>Phase A & C - All complaints were closed out and nothing is outstanding. Most of the complaints are about noise and vibration for demolition and OOHW works.</p>	Compliant
B3	<p>The following information must be available to facilitate community enquiries and manage complaints before the commencement of work and for 12 months following the completion of construction:</p> <ul style="list-style-type: none"> a 24- hour telephone number for the registration of complaints and enquiries about the CSSI. a postal address to which written complaints and enquires may be sent. an email address to which electronic complaints and enquiries may be transmitted; and a mediation system for complaints unable to be resolved. This information must be accessible to all in the community regardless of age, ethnicity, disability, or literacy level. 	<p>Sydney Metro Construction Complaints Management System, Version 1, 15/12/2021</p> <p>Phone: 1800 612 173 (community information line open 24 hours)</p> <p>Email: sydneymetrowest@transport.nsw.gov.au</p> <p>https://www.sydneymetro.info/west/project-overview</p> <p>https://www.sydneymetro.info/website-accessibility</p>	<p>The Complaints Management System document describes and governs the Complaints Management System.</p> <p>The phone number was tested. No issues.</p> <p>The address was sighted on the signage during the inspection.</p> <p>The email address was sighted on the signage during the inspection.</p> <p>The Complaints Management System includes details on escalation and mediation.</p> <p>The Project website demonstrates that accessibility and language considerations have been incorporated.</p>	Compliant

B4	<p>A Complaints Register must be maintained recording information on all complaints received about the CSSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The Complaints Register must record the:</p> <ul style="list-style-type: none"> number of complaints received. date and time of the complaint. number of people in the household affected in relation to a complaint, if relevant. method by which the complaint was made. any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect. issue of the complaint. means by which the complaint was addressed and whether resolution was reached, with or without mediation; and if no action was taken, the reason(s) why no action was taken. 	<p>Report output from Consultation Manager, March to September 2022 Interview with auditees, 10/10/2022</p> <p>Complaint Register Phase A&C1 - March to September 2022 (total 152 complaints) Phase A&C - From March to September 2022 – a total of 152 complaints for SM West. (Based on output reports from Consultation Manager provided by Sydney Metro). The complaints related predominantly to noise, out of hours works, property (acquisition, damage, and access), traffic, consultation, and worker behaviour.</p> <p>Phase B1- complaints for CTP – AFJV from the last 6 months. Majority are noise – OOHW coming from Five Dock and few from Burwood and Strathfield. AFJV (CTP-Phase B1) Complaint Register March to September 2022 (total of 49 complaints)</p> <p>Sydney Metro West Power Enabling Works Unattended Noise Monitoring Report dated 30 August 2022. Complaints received on 7/6/2022, 15/6/2022 and 30/6/2022 at 45 Donnelly who does not want to avail the alternative accommodation. Quickway had done all the reasonable and practical solution to minimize the noise after 10pm and unattended monitoring were conducted to show that the noise criteria were complied with. The Report was sent to the AA on 5/09/2022 and the AA responded on 20/09/2022 with good positive feedback.</p> <p>Positive complements were given to Quickway from the communities on the outcome of noise and community engagement by helping community on putting noise blankets when needed.</p>	<p>A complaints register is being maintained for the Project by Sydney Metro using the software, Consultation Manager. Consultation Manager which captures all of the information required under B4.</p>	Compliant
B5	<p>Complainants must be advised of the following information before, or as soon as practicable after, providing personal information:</p> <ul style="list-style-type: none"> the Complaints Register may be forwarded to government agencies, including the Department (Department of Planning Industry and Environment, 4 Parramatta Square, 12 Darcy Street, Parramatta NSW 2150), to allow them to undertake their regulatory duties. by providing personal information, the complainant authorises the Proponent to provide that information to government agencies. the supply of personal information by the complainant is voluntary; and the complainant has the right to contact government agencies to access personal information held about them and to correct or amend that information (Collection Statement). The Collection Statement must be included on the Proponent or development website to make prospective complainants aware of their rights under the Privacy and Personal Information Protection Act 1998 (NSW). For any complaints made in person, the complainant must be made aware of the Collection Statement. 	<p>Complaints line recording provided https://www.sydneymetro.info/complaints-privacy-collection-notice Interview with auditees, 10/10/2022</p>	<p>The complaints line included prompts about collection of personal information that was consistent with requirements a) – d) and includes a direction to the website for further information.</p> <p>The website includes the Collection Notice (Collection Statement).</p>	Compliant

B6	The Complaints Register must be provided to the Planning Secretary upon request, within the timeframe stated in the request. Note: Complainants must be advised that the Complaints Register may be forwarded to Government agencies to allow them to undertake their regulatory duties.	<p>Interview with auditees, 27-28/09/2022</p> <p>The following records were provided:</p> <ul style="list-style-type: none"> • Sydney Metro West Monthly Complaints report - March 2022 sent to Department, ER and AA on 1/4/2022 • Sydney Metro West Monthly Complaints report - April 2022 sent to Department, ER and AA on 2/5/2022 • Sydney Metro West Monthly Complaints report - May 2022 sent to Department, ER and AA on 1/6/2022 • Sydney Metro West Monthly Complaints report - June 2022 sent to Department, ER and AA on 1/7/2022 • Sydney Metro West Monthly Complaints report - July 2022 sent to Department, ER and AA on 1/8/2022 • Sydney Metro West Monthly Complaints report - August 2022 sent to Department, ER and AA on 1/9/2022 • Sydney Metro West Monthly Complaints report - August 2022 sent to Department, ER and AA on 1/10/2022 	Monthly submission of the Complaints Register to DPE, ER and AA was conducted.	Compliant
B7	A Community Complaints Mediator that is independent of the design and construction personnel must be engaged by the Proponent, upon the referral of the complaint by the ER in accordance with the Overarching Community Communication Strategy.	<p>Letter from Sydney Metro to Mr. Steve Lancken confirming Community Complaints Mediator appointment, 14/12/2021</p> <p>Standing Offer Deed – Sydney Metro Mediation Services, Steve Lancken Conflict Management Pty Ltd trading as Negocio Resolutions, SMC-21-0232, 22/12/2021</p> <p>Letter from Mr. Steve Lancken to Sydney Metro, dated 21/03/2022</p> <p>Quickway- no major complaints that needs mediator.</p>	<p>Sydney Metro has engaged Mr. Stephen Lancken (Steve Lancken Conflict Management Pty Limited t/as Negocio Resolutions) as the Community Complaints Mediator for the Project. Mr. Lancken has issued a letter stating his independence.</p> <p>The auditees advised that there has been no referral of complaints by the ER to date.</p> <p>Phase A&C1 did not utilise the mediator.</p>	Compliant
B8	The role of the Community Complaints Mediator is to provide independent mediation services for any reasonable and unresolved complaint referred by the ER where a member of the public is not satisfied by the Proponent's response. Where a Community Complaints Mediator is required, a mediator accredited under the National Mediator Accreditation System (NMAS), administered by the Mediator Standards Board must be appointed.	<p>Letter from Sydney Metro to Mr. Steve Lancken confirming Community Complaints Mediator appointment, 14/12/2021</p> <p>Mediator Standards Board website – https://msb.org.au/mediators</p>	<p>A search of the Mediator Standards Board website confirms that Mr. Stephen Lancken is accredited under the NMAS (ref. 130-3710).</p> <p>As noted above, the auditees advised that there has been no referral of complaints by the ER to date.</p> <p>Not utilised</p>	Compliant
B9	Community Complaints Mediation will: review any unresolved disputes, referred by the ER in accordance with the Overarching Community Communication Strategy. make recommendations to the Proponent to satisfactorily address complaints	Interview with auditees, 27-28/09/2022 and 10&12 October 2022	<p>Sydney Metro advised that there has been no requirement to date for Community Complaints Mediation in relation to the Project.</p> <p>Not utilized</p>	Not triggered

B10	Community Complaints Mediation will not be enacted before the Complaints Management System required by Condition B2 of this schedule has been executed for a complaint and will not consider issues such as property acquisition, where other dispute processes are provided for in this approval or clear government policy and resolution processes are available or matters which are not within the scope of this CSSI.	Interview with auditees, 27-28/09/2022 and 10&12 October 2022	<p>The Complaints Management System described under B2 has been executed. No Community Complaints Mediation has been enacted to date.</p> <p>Phase B- one complaint to be escalated to the Mediator regarding CTP works – ongoing issue mainly noise and OOHW that lives between sites in Five Dock. Ongoing process. Documentation and resolution will be reviewed on the next audit.</p>	Compliant
Provision of Electronic Information				

B11	<p>A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all phases of construction of Stage 1 of the CSSI. Up-to-date information (excluding confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including:</p> <ul style="list-style-type: none"> • information on the current implementation status of Stage 1 of the CSSI; • a copy of the documents listed in Condition A1 of this schedule, and any documentation relating to any modifications made to the CSSI or the conditions of this approval; • a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its conditions), and copies of any approval granted by the Minister to a modification of the conditions of this approval, or links to the referenced documents where available; • a copy of each statutory approval, license or permit required and obtained in relation to Stage 1 of the CSSI, or where the issuing agency maintains a website of approvals, licences or permits, a link to that website; • a current copy of each document required under the conditions of this approval, which must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation, as the case may be; and • a copy of the audit reports required under this approval. Where the information / document relates to a particular work or is required to be implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation. All information required in this condition is to be provided on the website or webpage, and easy to navigate. 	<p>Phase A (Quickway) website – https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/</p>	<p>Phase B1 (AFJV) website – https://www.accionacom.au/sydney-metro-west-ctp/?_adin=0183579827</p> <p>AFJV- Developing virtual community information room which will focus on the update on each site, fact sheets, tunnelling, noise, and vibration impacts. More information for public and links to videos. It is in progress to be up and running in the next few months.</p>	<p>Phase C (Delta) website – https://www.deltagroup.com.au/sydney-metro-west-project/</p>	<p>Sydney Metro website – https://www.sydneymetro.info/documents</p> <p>Non-Compliant:</p> <p>The navigation to each package (contractor) is made easier but not all documents required under B11 are posted on the Project website. SM submitted a letter dated 30 August 2022 requesting agreement from the Planning Secretary to exclude documentation that is required to be uploaded to the project’s website under condition B11 of SSI-10038. The Department considers in their response letter dated 27/09/2022 that confidential, private, and commercial information (including personal, health and culturally sensitive details) should be redacted from reports prior to being published on the project’s website. However, this does not preclude these redacted reports from being placed on the project’s website, in accordance with condition B11. As of 12 October 2022, the documents i.e., ER and AA Monthly Reports, non-compliance reports, were not yet posted on the website.</p> <p>Recommendation:</p> <p>Sydney Metro to review the website and make necessary adjustment to ensure that all documents required to be posted are on the website and that it is easier to navigate.</p>	Non-Compliant
PART C: CONSTRUCTION ENVIRONMENTAL MANAGEMENT						
Construction Environmental Management Plan						

C1	<p>Construction Environmental Management Plans (CEMPs) and CEMP Sub-plans must be prepared in accordance with the Construction Environmental Management Framework (CEMF) included in the documents listed in Condition A1 of this schedule to detail how the performance outcomes, commitments and mitigation measures specified in the documents listed in Condition A1 of this schedule will be implemented and achieved during construction</p>	<p>SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021</p> <p>ER endorsement letter - Power Enabling Works Construction Environmental Management Plan, Rev 1.0, dated 04/11/2021</p> <p>CEMP Rev 1.2 dated 13 May 2022 and endorsed by ER on 18 May 2022.</p> <p>Environmental Audit Checklist dated 18/04/2022 conducted by Tom St Vincent Welch</p> <p>Annual internal audit is being conducted as per the CEMP.</p>	<p>SMW CTP Construction Environmental Management Plan, AFJV, Rev 3, 09/11/2021</p> <p>ER endorsement letter</p> <p>DPE approval letter – CTP CEMP and Sub Plans, 20/12/2021</p> <p>AFJV had conducted 3 internal audit for the past 6 months that covers the implementation of the CEMP:</p> <ul style="list-style-type: none"> - MATERIAL TRACKING Auditee: Earthfill Audit Number: EA-01 – 22/02/22 - OUT OF HOURS WORKS (OOHW) Auditee: Burwood North TEAM OOHW Audit Number: EA-01 date 19/08/2022 - Environmental Audit Checklist – CEMP and subplans review date 29/09/2022, score 98%. 	<p>SMW Demolition Works Construction Environmental Management Plan, Delta, Revision 9, 4/4/2022</p> <p>ER endorsement on 12/4/2022</p> <p>Implementation check of CEMP was conducted through weekly inspection and compliance register to Sydney Metro 6 monthly basis. Latest version was sent 1 July 2022.</p>	<p>CEMP and Sub-plans for each phase of the Project have been developed in accordance with CEMF requirements and have been endorsed by ER/approved by the Planning Secretary, as required.</p>	Compliant
C2	<p>With the exception of any CEMPs expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMPs must be submitted to the Planning Secretary for approval.</p>	<p>SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021</p> <p>ER endorsement letter - Power Enabling Works Construction Environmental Management Plan, Rev 1.0, dated 04/11/2021</p>	<p>SMW CTP Construction Environmental Management Plan, AFJV, Rev 3, 09/11/2021</p> <p>ER endorsement letter</p> <p>DPE approval letter – CTP CEMP and Sub Plans, 20/12/2021</p>	Refer to C1	Refer to C1.	Compliant

C3	The CEMP(s) not requiring the Planning Secretary's approval must be submitted to the ER for endorsement no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase. That CEMP must obtain the endorsement of the ER as being consistent with the conditions of this approval and all undertakings made in the documents listed in Condition A1 of this schedule.	SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021 ER endorsement letter - Power Enabling Works Construction Environmental Management Plan, Rev 1.0, dated 04/11/2021	SMW CTP Construction Environmental Management Plan, AFJV, Rev 3, 09/11/2021 ER endorsement letter DPE approval letter – CTP CEMP and Sub Plans, 20/12/2021	SMW Demolition Works Construction Environmental Management Plan, Delta, Revision 6, 22/12/2021 ER endorsement letter DPE approval letter	Refer to C1 and A48.	Compliant
C4	Any CEMP to be approved by the Planning Secretary must be endorsed by the ER and then submitted to the Planning Secretary for approval no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021 ER endorsement letter - Power Enabling Works Construction Environmental Management Plan, Rev 1.0, dated 04/11/2021	SMW CTP Construction Environmental Management Plan, AFJV, Rev 3, 09/11/2021 ER endorsement letter DPE approval letter – CTP CEMP and Sub Plans, 20/12/2021	CEMP Revision dated 4/04/2022 and endorsed by ER on 11/04/2022	CEMPs for the Project were endorsed by the ER prior to submission to the Planning Secretary for approval.	Compliant

C5	<p>Of the CEMP Sub-plans required under Condition C1 of this schedule, the following CEMP Sub-plans must be prepared in consultation with the relevant government agencies identified for each CEMP Sub-plan. Details of issues raised by a government agency during consultation must be included in the relevant CEMP Sub-plan, including copies of all correspondence from those government agencies as required by Condition A6 of this schedule. Where a government agency (ies) request(s) is not included, the Proponent must provide the Planning Secretary / ER (whichever is applicable) justification as to why:</p> <table border="1" data-bbox="311 546 994 840"> <thead> <tr> <th></th> <th>Required CEMP Sub-plan</th> <th>Relevant government agencies to be consulted for each CEMP Sub-plan</th> </tr> </thead> <tbody> <tr> <td>(a)</td> <td>Noise and vibration</td> <td>SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>(b)</td> <td>Flora and fauna</td> <td>DPIE EES, DPI Fisheries, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s)</td> </tr> <tr> <td>(c)</td> <td>Soil and water</td> <td>DPIE EES, Relevant Council(s), SOPA (in respect of Sydney Olympic Park) and Sydney Water (if Sydney Water's assets are affected)</td> </tr> <tr> <td>(d)</td> <td>Heritage (Non-Aboriginal and Aboriginal)</td> <td>Heritage NSW, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>(e)</td> <td>Spoil</td> <td>Relevant Council(s) and SOPA (in respect of Sydney Olympic Park)</td> </tr> </tbody> </table>		Required CEMP Sub-plan	Relevant government agencies to be consulted for each CEMP Sub-plan	(a)	Noise and vibration	SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)	(b)	Flora and fauna	DPIE EES, DPI Fisheries, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s)	(c)	Soil and water	DPIE EES, Relevant Council(s), SOPA (in respect of Sydney Olympic Park) and Sydney Water (if Sydney Water's assets are affected)	(d)	Heritage (Non-Aboriginal and Aboriginal)	Heritage NSW, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)	(e)	Spoil	Relevant Council(s) and SOPA (in respect of Sydney Olympic Park)	<p>The sub plans for Phase A were posted on project website https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/</p>	<p>The sub plans for CTP Phase B1 were posted on project website https://www.acciona.com.au/sydney-metro-west-ctp/?_adin=0183579827#_ga=2.158171066.1834514048.1667788041-1372581825.1661399770</p>	<p>SMW Demolition Construction Noise and Vibration Management Plan, Rev 6, 9/4/2022 endorsed by AA and ER on 11 April 2022.</p> <p>SMW Demolition Heritage Management Sub-Plan, Rev 4, 9/3/22, endorsed by ER 28/03/22</p> <p>SMW Demolition Flora and Fauna Management Sub-Plan, Rev 9, 9/3/2022 endorsed by ER 28/03/22</p> <p>SpMSP rev 3, 9/3/2022 endorsed by ER 28/03/22</p> <p>AQMSP rev 3 dated 16/03/2022 endorsed by ER 11/4/22</p> <p>WSMP rev 5 dated 9/3/2022 endorsed by ER on 28/03/22</p> <p>CEMP and subplans endorsement register was presented by Delta https://www.deltagroup.com.au/sydney-metro-west-project/</p>	<p>The CEMP Sub-plans prepared for the Project have been prepared in line with C5, as evidenced by their endorsement by the ER and approval by the Planning Secretary.</p>	Compliant
	Required CEMP Sub-plan	Relevant government agencies to be consulted for each CEMP Sub-plan																						
(a)	Noise and vibration	SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)																						
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(e)	Spoil	Relevant Council(s) and SOPA (in respect of Sydney Olympic Park)																						
C6	<p>The CEMP Sub-plans must state how:</p> <ul style="list-style-type: none"> the environmental performance outcomes identified in the documents listed in Condition A1 of this schedule will be achieved. the mitigation measures identified in the documents listed in Condition A1 of this schedule will be implemented. the relevant conditions of this approval will be complied with; and issues requiring management during construction (including cumulative impacts), as identified through ongoing environmental risk analysis, will be managed through SMART principles. 	C5	C5	C5	<p>The CEMP Sub-plans prepared for the Project have been prepared in line with C6, as evidenced by their endorsement by the ER and approval by the Planning Secretary.</p>	Compliant																		

C7	With the exception of any CEMP Sub-plans expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMP Sub-plans must be submitted to the Planning Secretary for approval.	<p>SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021</p> <p>SMW Power Enabling Works Construction Noise and Vibration Management Plan, Rev 0.0, 08/06/2021</p>	<p>SMW CTP Construction Environmental Management Plan, AFJV, Rev 3, 09/11/2021</p> <p>SMW CTP Construction Noise and Vibration Management Plan, AFJV, Rev 03, 06/12/2021</p> <p>SMW CTP Flora and Fauna Management Plan, AFJV, Rev 02, 14/10/2021</p> <p>SMW CTP Soil and Water Management Plan, AFJV, Rev 03, 03/11/2021</p> <p>SMW CTP Heritage Management Plan, Rev 03, 16/11/2021</p> <p>SMW CTP Spoil Management Plan, AFJV, Rev 03, 27/10/2021</p>	<p>SMW Demolition Works Construction Environmental Management Plan, Delta, Revision 6, 22/12/2021</p> <p>SMW Demolition Construction Noise and Vibration Management Plan, Rev 4, 25/10/2021</p> <p>SMW Demolition Heritage Management Sub-Plan, Rev 3, 25/10/2021</p> <p>SMW Demolition Flora and Fauna Management Sub-Plan, Rev 8, 17/01/2022</p>	The CEMP Sub-Plans prepared for the Project have been endorsed by the approved by the Planning Secretary.	Compliant
C8	The CEMP Sub-plans not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all relevant undertakings made in the documents listed in Condition A1 of this schedule. Any of these CEMP Sub-plans must be submitted to the ER with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	<p>The sub plans for Phase A were posted on project website</p> <p>https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/</p>	<p>The sub plans for CTP Phase B1 were posted on project website</p> <p>https://www.acciona.com.au/sydney-metro-west-ctp/?_adin=0183579827#_ga=2.158171066.1834514048.1667788041-1372581825.1661399770</p>	<p>CEMP and subplans endorsement register was presented by Delta</p> <p>https://www.deltagroup.com.au/sydney-metro-west-project/</p>	The CEMP Sub-Plans prepared for the Project have been endorsed by the ER.	Compliant
C9	Any of the CEMP Sub-plans to be approved by the Planning Secretary must be submitted to the Planning Secretary with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	<p>SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021</p> <p>SMW Power Enabling Works Construction Noise and Vibration Management Plan, Rev 0.0, 08/06/2021</p> <p>ER endorsement letter</p> <p>DPE approval letter</p>	<p>SMW CTP Construction Environmental Management Plan, AFJV, Rev 3, 09/11/2021</p> <p>SMW CTP Construction Noise and Vibration Management Plan, AFJV, Rev 03, 06/12/2021</p> <p>SMW CTP Flora and Fauna Management Plan, AFJV, Rev 02, 14/10/2021</p> <p>SMW CTP Soil and Water Management Plan, AFJV, Rev 03, 03/11/2021</p> <p>SMW CTP Heritage Management Plan, Rev 03, 16/11/2021</p> <p>SMW CTP Spoil Management Plan, AFJV, Rev 03, 27/10/2021</p> <p>ER endorsement letter</p> <p>DPE approval letter</p>	<p>SMW Demolition Works Construction Environmental Management Plan, Delta, Revision 6, 22/12/2021</p> <p>SMW Demolition Construction Noise and Vibration Management Plan, Rev 4, 25/10/2021</p> <p>SMW Demolition Heritage Management Sub-Plan, Rev 3, 25/10/2021</p> <p>SMW Demolition Flora and Fauna Management Sub-Plan, Rev 8, 17/01/2022</p>	The CEMP Sub-Plans were submitted to the Planning Secretary at least .one month before construction.	Compliant

C10	<p>Construction must not commence until the CEMP and all CEMP Sub-plans have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable), unless otherwise agreed by the Planning Secretary. The CEMP and CEMP Sub-plans, as approved by the Planning Secretary or endorsed by the ER (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction. Where construction of Stage 1 of the CSSI is phased, construction of a phase must not commence until the CEMP and CEMP Sub-plans for that phase have been approved by the Planning Secretary or <u>endorsed</u> by the ER upon nomination by the Planning Secretary (whichever is applicable).</p> <p><u>[SSI-10038 Mod-1]</u></p>	<p>The CEMP and Sub-Plans were approved by the ER and Planning Secretary prior to commencement of construction. Refr to C1 to C5.</p>	<p>Environment and Sustainability Out of Hours Work (OOHW) Toolbox 29/03/22 DNVIS & OOHW BWD Training attendance sheet and certificates of competency. Internal Audits on CEMP and subplans refer to C1.</p>	<p>Toolbox talks were sighted for:</p> <ul style="list-style-type: none"> - 20211126 TBT Mgt of Waste Classes – Clyde - 20211126 TBT Mgt of Waste Classes – Parramatta - 20211126 TBT Mgt of Waste Classes – Westmead - OOHW Toolbox Talks 24/06/22 	<p>The CEMP and Sub-Plans were approved by the ER and Planning Secretary prior to commencement of construction. Based on the NCs and incidents toolbox talks were conducted by contractors. Environmental Alert are also release by SM to remind contractor with regards to the incidents and non-compliances raised during the audit period. Internal audits were conducted to confirm compliance against CEMP and subplans.</p>	Compliant
C11	<p>In addition to the relevant requirements of the CEMF, the Flora and fauna CEMP Sub-plan must include, but not be limited to:</p> <ul style="list-style-type: none"> • site specific mitigation measures to manage impacts (including proposed techniques, timing, frequency and responsibility of implementing); • measures to minimise disturbance to habitat associated with Myotis macropus / Southern Myotis, including demolition inspections by a suitably qualified ecologist of any vegetation to be cleared and any buildings or structures identified as potential roosting habitat for microbats that are to be demolished or refurbished; • measures to minimise and mitigate disturbance to mangrove forests at the Clyde Maintenance and Stabling construction site to the extent necessary; and • details for undertaking and mitigating vegetation clearance through improved environmental outcomes. 	<p>SMW Power Enabling Works Construction Flora and Fauna Management Plan Rev 9 dated 9/3/2022 endorsed by ER on 28/3/2022</p>	<p>Sydney Metro West, Central Tunnelling Package Construction Flora, and Fauna Management Plan (SMWSTCTP-AFJ-1NL-EO-PLN-000001 Revision 06 dated 6 September 2022). endorsed by ER on 12/10/2022</p>	<p>Sydney Metro West, Delta Group Flora, and Fauna Management Plan (FFMP Rev 8 dated 17 January 2022) endorsed by ER on 24/01/2022.</p>	<p>The FFMP has been developed in accordance with clause 10.2(a) of the Sydney Metro West and CEMF and Condition C11 as endorsed by ER.</p>	Compliant

C12	<p>In addition to the relevant requirements of the CEMF, the Soil and Water CEMP Sub-plan must include, but not be limited to:</p> <ul style="list-style-type: none"> • details of construction activities and their locations which have the potential to expose areas known to contain, or potentially contain, contaminated soils and / or materials; • measures for the handling, treatment and management of hazardous and contaminated soils and materials including measures to manage and / or minimise worker and public health and safety with regards to exposure to contamination; and • a description of how the effectiveness of the actions and measures for managing contamination impacts would be monitored during the proposed works, clearly indicating how often this monitoring would be undertaken, the locations where monitoring would take place, and how the results of the monitoring would be recorded and reported. 	<p>SMW Power Enabling Works Construction Environmental Management Plan (Appendix H – Soil and Water Management Plan), Quickway, Rev 9 dated 4/4/2022 endorsed by ER 11/4/2022</p>	<p>Sydney Metro West, Central Tunnelling Package Soil and Water Management Plan (SWMP) (SMWSTCTP-AFJ-1NL-PE-PLN-000003 Revision 05, 16/08/2022) endorsed by ER on 8/09/2022</p>	<p>Construction Environmental Management Plan rev 9 dated 4/4/2022 endorsed by ER 11/04/2022</p>	<p>The SWMP has been prepared by to address the requirements of Infrastructure Approval conditions C5(c), C6 and C12 as endorsed by ER.</p>	Compliant
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C13	<p>In addition to the relevant requirements of the CEMF, the Heritage CEMP Sub-plan must include, but not be limited to: be prepared in consultation with a suitably qualified and experienced heritage expert.</p> <p>identify exclusion zones, archival recording requirements, baseline, and periodic monitoring protocols (including before and during construction).</p> <p>identify and assess the heritage significance of the ancillary structures proposed to be demolished or significantly impacted that are within the curtilage of White Bay Power Station and other items identified as retaining 'potential heritage significance' in the documents listed in Condition A1 of this schedule and which will be impacted by the CSSI.</p> <p>in association with Condition D61 of this schedule, set out the final site inspections to be conducted within three (3) months of completion of construction for the following heritage sites unless otherwise agreed by the Planning Secretary:</p> <p>the Roxy Theatre (SHR I00711).</p> <p>White Bay Power Station (SHR I01015).</p> <p>the former State Abattoirs (State Environmental Planning Policy (State Significant Precincts) 2005 Item 141); and</p> <p>the RTA Depot facade fronting Unwin Street (Parramatta Local Environmental Plan 2011 I576); and</p> <p>set out means of rectification of any damage by the CSSI to Heritage items (d)(i) to (d)(iv) above within six (6) months of the completion of construction at the construction site identified in the relevant Heritage CEMP Sub-plan. This rectification work must be in consultation with a suitably qualified and experienced heritage consultant to ensure the use of appropriate materials, appropriate conservation practices and in accordance with existing heritage management documents (for example, conservation management plans or strategies) to protect and conserve the heritage significance of the items. The Heritage CEMP Sub-plan must include Aboriginal cultural heritage management and mitigation measures (that may include conservation, archaeological salvage excavation and community collection) based on the Aboriginal Cultural Heritage Excavation Report and continuing Aboriginal community consultation.</p>	Heritage Management Plan Rev 4 dated 9/3/2022 endorsed by ER 28/03/2022	Sydney Metro West, Central Tunnelling Package Heritage Management Plan (HMP Rev 06 dated 27 July 2022) endorsed by ER on 29/07/2022	SMW Demolition Heritage Management Sub-Plan, Rev 4 dated 9/03/2022 endorsed by ER on 28/03/2022	The Heritage Sub-plans prepared for the Project have been prepared in line with C13, as evidenced by their endorsement by the ER and approval by the Planning Secretary.	Compliant
Construction Monitoring Programs						

<p>C14</p>	<p>The following Construction Monitoring Programs must be prepared in consultation with the relevant government agencies identified for each to compare actual performance of construction of Stage 1 of the CSSI against the performance predicted in the documents listed in Condition A1 of this schedule or in the CEMP:</p> <table border="1" data-bbox="311 399 994 640"> <thead> <tr> <th></th> <th>Required Construction Monitoring Programs</th> <th>Relevant government agencies to be consulted for each Construction Monitoring Program</th> </tr> </thead> <tbody> <tr> <td>(a)</td> <td>Noise and vibration</td> <td>EPA, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>(b)</td> <td>Blasting</td> <td>SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>(c)</td> <td>Surface water quality</td> <td>DPIE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)</td> </tr> <tr> <td>(d)</td> <td>Groundwater</td> <td>DPIE Water and SOPA (in respect of Sydney Olympic Park)</td> </tr> </tbody> </table> <p><i>Note: The Blasting Construction Monitoring Program is only required to be prepared if blasting is proposed to be conducted during construction.</i></p>		Required Construction Monitoring Programs	Relevant government agencies to be consulted for each Construction Monitoring Program	(a)	Noise and vibration	EPA, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)	(b)	Blasting	SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)	(c)	Surface water quality	DPIE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)	(d)	Groundwater	DPIE Water and SOPA (in respect of Sydney Olympic Park)	<p>Sydney Metro West, Delta Group Construction Noise and Vibration Management Sub Plan (CNVMP Rev6, dated 11 April 2022) endorsed by ER on 11/04/2022 also endorsed by AA</p>	<p>Sydney Metro West, Central Tunnelling Package Construction Noise and Vibration Management Plan (CNVMP Rev 08 dated 13 July 2022) endorsed by ER on 28/03/2022</p> <p>Sydney Metro West, Central Tunnelling Package Surface Water Monitoring Program (SWMonP) (SMWSTCTP-AFJ-1NL-PE-PRG-000001 Revision 03 10/06/2022) which forms Appendix D to the SWMP. Endorsed by ER on 8/9/2022</p>	<p>Sydney Metro West, Delta Group Construction Noise and Vibration Management Sub Plan (CNVMP Rev6, dated 11 April 2022). Endorsed by AA and ER 11/04/2022</p>	<p>The CNVMP also included Noise and Vibration Monitoring Program to address the requirements of Conditions C14 to C16.</p> <p>The SWMonP has been prepared by AFJV to address the requirements of Condition C14(c) and C15 of the Infrastructure Approval.</p>	<p>Compliant</p>
	Required Construction Monitoring Programs	Relevant government agencies to be consulted for each Construction Monitoring Program																			
(a)	Noise and vibration	EPA, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)																			
(b)	Blasting	SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)																			
(c)	Surface water quality	DPIE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)																			
(d)	Groundwater	DPIE Water and SOPA (in respect of Sydney Olympic Park)																			
<p>C15</p>	<p>Each Construction Monitoring Program must provide: details of baseline data available including the period of baseline monitoring.</p> <ul style="list-style-type: none"> • details of baseline data to be obtained and when; • details of all monitoring of the project to be undertaken; • the parameters of the project to be monitored; • the frequency of monitoring to be undertaken; • the location of monitoring; • the reporting of monitoring results and analysis results against relevant criteria; • details of the methods that will be used to analyse the monitoring data; • procedures to identify and implement additional mitigation measures where the results of the monitoring indicated unacceptable project impacts; • a consideration of SMART principles; and • any consultation to be undertaken in relation to the monitoring programs; and • any specific requirements as required by Conditions C16 to C17 of this schedule. 	<p>Refer to C14</p>	<p>Refer to C14</p>	<p>Refer to C14</p>	<p>Compliant as per evidence referenced as endorsed by ER.</p>	<p>Compliant</p>															

C16	<p>The Noise and Vibration Construction Monitoring Program and Blasting Construction Monitoring Program must include:</p> <ul style="list-style-type: none"> • noise and vibration monitoring determined in consultation with the AA to confirm the best achievable construction noise and vibration levels with consideration of all reasonable and feasible mitigation and management measures that will be implemented; • for the purposes of (a), noise monitoring must be undertaken during the day, evening and night-time periods and within the first month of work as well as throughout the construction period and cover the range of activities being undertaken at the sites; and • a process to undertake real time noise and vibration monitoring. The results of the monitoring must be readily available to the construction team, the Proponent, ER and AA. The Planning Secretary and EPA must be provided with access to the results on request. 	Refer to C14	Refer to C14	Refer to C14	Compliant as per evidence referenced as endorsed by ER.	Compliant
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C17	<p>Groundwater Construction Monitoring Program must include: groundwater monitoring networks at each construction excavation site.</p> <ul style="list-style-type: none"> • detail of the location of all monitoring bores with nested sites to monitor both shallow and deep groundwater levels and quality; • define the location of saltwater interception monitoring where sentinel groundwater monitoring bores will be installed between the saline sources of the estuary or river and that of the stations or shafts; • results from existing monitoring bores; • monitoring and gauging of groundwater inflow to the excavations, appropriate trigger action response plan for all predicted groundwater impacts upon each noted neighbouring groundwater system component for each excavation construction site; • trigger levels for groundwater quality, salinity and groundwater drawdown in monitoring bores and / or other groundwater users; • daily measurement of the amount of water discharged from the water treatment plants; • water quality testing of the water discharged from treatment plants; • management and mitigation measures and criteria; • groundwater inflow to the excavations to enable a full accounting of the groundwater take from the Sydney Basin Central Groundwater Source; and • reporting of groundwater gauging at excavations, groundwater monitoring, groundwater trigger events and action responses; and • methods for providing the data collected to Sydney Water where discharges are directed to their assets. 	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	<p>Sydney Metro West, Central Tunnelling Package Groundwater Management Plan (GWMP) (SMWSTCTP-AFJ-1NL-PE-PLN-000008 Revision 04, 16/08/2022)</p> <p>Sydney Metro West, Central Tunnelling Package Groundwater Construction Monitoring Program (GCMP) (SMWSTCTP-AFJ-1NL-PE-PLN-000006 Revision 04 15/08/2022) which forms Appendix B to the GWMP. Endorsed by ER on 8/9/2022</p>	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, May 2022 Sydney Metro, 01/10/2021.	The GCMP has been prepared by Acciona Ferrovia Joint Venture (AFJV) to address the requirements of Condition C14(d), C15 and C17 of the Infrastructure Approval as endorsed by ER.	Compliant
C18	With the exception of any Construction Monitoring Programs expressly nominated by the Planning Secretary to be endorsed by the ER, all Construction Monitoring Programs must be submitted to the Planning Secretary for approval.	Interview 27/09/2022 Refer to C1 to C20	Interview 27/09/2022 Refer to C1 to C20	Interview 27/09/2022 Refer to C1 to C20	All required Construction Monitoring Programs were submitted to the Planning Secretary for approval.	Compliant

C19	<p>The Construction Monitoring Programs not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all undertakings made in the documents listed in Condition A1 of this schedule. Any of these Construction Monitoring Programs must be submitted to the ER for endorsement at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.</p>	<p>ER endorsed the Noise and Vibration Management Plan –29/3/21 including the Monitoring Program</p>	<p>ER endorsement letter - CTP Construction Noise and Vibration Management Plan, dated 01/11/2021</p> <p>ER endorsement letter – CTP Surface Water Quality Monitoring Program, dated 04/11/2021</p> <p>ER endorsement letter – CTP Construction Groundwater Management Plan, dated 02/11/2021</p>	<p>ER endorsement letter for AQMSP, 21/10/2021</p> <p>ER endorsement letter for Heritage Management Sub-Plan, 04/11/2021</p> <p>ER endorsement letter for CVNMP</p> <p>ER endorsement letter for FFFMP, 05/11/2021</p> <p>ER endorsement letter for Waste Management Sub-Plan, 21/10/2021</p>	<p>Compliant as per evidence provided.</p>	<p>Compliant</p>
C20	<p>Any of the Construction Monitoring Programs which require Planning Secretary approval must be endorsed by the ER and then submitted to the Planning Secretary for approval at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.</p>	<p>Secretary approved CNVMP (including monitoring program) on 23/6/21</p> <p>Construction commencement date 13th July 2021</p>	<p>ER endorsement letter - CTP Construction Noise and Vibration Management Plan, dated 01/11/2021</p> <p>ER endorsement letter – CTP Surface Water Quality Monitoring Program, dated 04/11/2021</p> <p>ER endorsement letter – CTP Construction Groundwater Management Plan, dated 02/11/2021</p>	<p>SMW Demolition Construction Noise and Vibration Management Plan, Rev 4, 25/10/2021</p> <p>Noise and Vibration Construction Monitoring Program included in the ER endorsed and DPIE approved NVMP (See Condition C1)</p>	<p>Compliant as per evidence provided.</p>	<p>Compliant</p>
C21	<p>Unless otherwise agreed with the Planning Secretary, construction must not commence until the Planning Secretary has approved, or the ER has endorsed (whichever is applicable), all of the required Construction Monitoring Programs and all relevant baseline data for the specific construction activity has been collected.</p>	<p>CEMP and sub plans are all endorsed by ER, with the exception of Noise and Vibration Monitoring Program which was by Planning Secretary on 23/6/21. CEMP was endorsed by the ER prior to construction works commencing.</p> <p>ER endorsed CEMP 18th June 2021</p> <p>Noise monitoring reports available on Quickway website indicate monitoring commenced 1/9/21</p> <p>Construction commencement date 13/7/21</p>	<p>SMW CTP Construction Noise and Vibration Management Plan, AFJV, Rev 03, 06/12/2021</p> <p>SMW CTP Soil and Water Management Plan, AFJV, Rev 03, 03/11/2021</p> <p>SMW CTP Construction Groundwater Management Plan, Rev 02, 25/10/2021</p>	<p>SMW Demolition Construction Noise and Vibration Management Plan, Rev 4, 25/10/2021</p> <p>Noise and Vibration Construction Monitoring Program endorsed or approved prior to commencing Phase C1.</p> <p>The approved NVCMP outlined why baseline monitoring could not be collected due to significant Covid-19 restrictions with the LGAs of concern (Parramatta and Cumberland).</p>	<p>Construction commence after Planning Secretary has approved, or the ER has endorsed (whichever is applicable), all of the required Construction Monitoring Programs and all relevant baseline data for the specific construction activity has been collected.</p>	<p>Compliant</p>

C22	The Construction Monitoring Programs, as approved by the Planning Secretary or the ER has endorsed (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction and for any longer period set out in the monitoring program or specified by the Planning Secretary or the ER (whichever is applicable), whichever is the greater.	Noise monitoring reports available on Quickway project website: https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/	SMW CTP Construction Noise and Vibration Management Plan, AFJV, Rev 03, 06/12/2021 SMW CTP Soil and Water Management Plan, AFJV, Rev 03, 03/11/2021 SMW CTP Construction Groundwater Management Plan, Rev 02, 25/10/2021	SMW Demolition Construction Noise and Vibration Management Plan, Rev 4, 25/10/2021 The Noise and Vibration Construction Monitoring Program has been implemented across the Phase C1 works. Evidence sighted include monthly AA and ER reports	Compliant as per evidence referenced.	Compliant
C23	The results of the Construction Monitoring Programs must be submitted to the Planning Secretary, ER and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program. <i>Note: Where a relevant CEMP Sub-plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-plan.</i>	Numerous records of attended noise monitoring sighted and evidence of them having been submitted to ER and AA sighted. Monitoring appears to have been conducted monthly, as required by Noise Monitoring Program.	Construction of Monitoring Reports are to be submitted every 6 months to the Department and the first report was lodge on 7 September 2022. – December 2021 to June 2022. Submitted to EPA on 20/05/2022 letter sighted. EPA Advisory Letter dated 16 May 2022 relating EPL 21610 Condition A2.4 – Premise Maps and EPL to be available on project website.	No reporting requirement to regulators.	Compliant as per evidence referenced.	Compliant
PART D: KEY ISSUE CONDITIONS						
Air Quality						
D1	All reasonably practicable measures must be implemented to minimise the emission of dust and other air pollutants during construction.	Site inspection 23/09/2022	Site inspection 23/09/2022	Site inspection 23/09/2022	Dust and air quality issues are being managed as needed through controls such as water carts, street sweepers, water cannons, water sprayers, sprinklers, and hand-held hoses. No air quality issues were observed during the site inspection. See photos in Appendix D of the Audit Report. A number of air quality and dust related complaints have been raised, all of which have been closed.	Compliant
Biodiversity and Trees						
D2	Biodiversity Credits The clearing of native vegetation must be minimised to the greatest extent practicable with the objective of reducing impacts to threatened ecological communities and threatened species habitat.	Site inspection 23/09/2022 Interview 27/09/2022	Site inspection 23/09/2022 Interview 28/09/2022	Site inspection 23/09/2022 Interview 27/09/2022	Vegetation clearing on the Project has been carried out in line with Flora and Fauna Management Plans prepared and has been minimized as far as practicable. Tree Register was maintained by Sydney Metro a total 634 trees on the record.	Compliant

D3	Impacts to plant community types must not exceed those identified in the documents listed in Condition A1 of this schedule, unless otherwise approved by the Planning Secretary. In requesting the Planning Secretary's approval, an assessment of the additional impact(s) to plant community types and an updated ecosystem and / or species credit requirement under Condition D4 below, if required, must be provided	Site inspection 23/09/2022 Interview 27/09/2022	Site inspection 23/09/2022 Interview 28/09/2022	Site inspection 23/09/2022 Interview 27/09/2022	The auditor is not aware of any impacts to plant community types exceeding those identified in the documents listed in Condition A1 of this schedule.	Not triggered												
D4	<p>Before any vegetation clearing or tree removal that must be offset, the relevant credits specified in Table 3 below must be purchased and retired. The retirement of credits must be carried out in accordance with the offset rules of the BC Act.</p> <table border="1" data-bbox="320 604 1003 898"> <caption>Table 3: Biodiversity Credits to be Retired</caption> <thead> <tr> <th>Credit Type</th> <th>Number of Credits</th> </tr> </thead> <tbody> <tr> <td colspan="2">Ecosystem Credits</td> </tr> <tr> <td>Mangrove Forests in estuaries of the Sydney Basin Bioregion and South East Corner Bioregion (Plant Community Type 920) - Poor</td> <td>3</td> </tr> <tr> <td colspan="2">Species Credits for Threatened Species</td> </tr> <tr> <td><i>Myotis macropus</i> / Southern Myotis (Fauna)</td> <td>3</td> </tr> <tr> <td><i>Acacia pubescens</i> / Downy Wattle (Flora)</td> <td>1</td> </tr> </tbody> </table> <p><small>Note: Credits have been calculated using the Biodiversity Assessment Method.</small></p>	Credit Type	Number of Credits	Ecosystem Credits		Mangrove Forests in estuaries of the Sydney Basin Bioregion and South East Corner Bioregion (Plant Community Type 920) - Poor	3	Species Credits for Threatened Species		<i>Myotis macropus</i> / Southern Myotis (Fauna)	3	<i>Acacia pubescens</i> / Downy Wattle (Flora)	1	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Statement confirming payment into the Biodiversity Conservation Fund for an offset obligation, Biodiversity Conservation Trust, dated 10/02/2022	<p>Sighted statement from Biodiversity Conservation Trust confirming that Sydney Metro has made payment into the Biodiversity Conservation Fund under section 6.30(1) of the Act to satisfy an obligation to retire biodiversity credits, in line with D4 and D5.</p> <p>The auditees advised that there has been no removal of mangrove forests or <i>Myotis macropus</i> / Southern Myotis during the Phase C1 (Delta) works to date.</p>	Compliant
Credit Type	Number of Credits																	
Ecosystem Credits																		
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<i>Myotis macropus</i> / Southern Myotis (Fauna)	3																	
<i>Acacia pubescens</i> / Downy Wattle (Flora)	1																	
D5	The requirement to retire credits in Condition D4 above may be satisfied by payment to the Biodiversity Conservation Fund of an amount equivalent to the class and number of species credits, as calculated by the Biodiversity Offsets Payment Calculator.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Statement confirming payment into the Biodiversity Conservation Fund for an offset obligation, Biodiversity Conservation Trust, dated 10/02/2022	Sighted statement from Biodiversity Conservation Trust confirming that Sydney Metro has made payment into the Biodiversity Conservation Fund under section 6.30(1) of the Act to satisfy an obligation to retire biodiversity credits, in line with D4 and D5.	Compliant												
D6	The Proponent must submit evidence of the retirement of credits required by Condition D4 above to the Planning Secretary for information within one (1) month of receiving evidence of the retirement of credits and / or a certificate confirming payment under Condition D5 above before any vegetation clearing or tree removal that must be offset.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Letter from DPE to Sydney Metro re: 'Evidence of Biodiversity Offset Credits Retirement', dated 15/03/2021 https://majorprojects.planning.nsw.gov.au/prweb/PRRestService/mp/01/getContent?AttachRef=SSI-10038-PA-123%2120220315T033236.351%20GMT	<p>Sighted letter from DPE acknowledging that Sydney Metro has retired Biodiversity Offset Credits in accordance with condition D6.</p> <p>The auditees advised that there has been no removal of mangrove forests or <i>Myotis macropus</i> / Southern Myotis during the Phase C1 (Delta) works to date.</p>	Compliant												

D7	<p>Microbat Management</p> <p>Before the removal or clearing of any vegetation, or the demolition of structures identified as potential roosting sites for microbats at the Clyde Stabling and Maintenance Facility site commences, pre-clearing / demolition inspections for the threatened species must be undertaken. The inspections, and any subsequent relocation of fauna and associated management / offset measures, must be undertaken under the guidance of a suitably qualified and experienced ecologist. Survey and relocation methodologies and management / offset measures must be included in the Flora and fauna CEMP Sub-plan required under Condition C5 of this schedule or the relevant Site Establishment Management Plan required by Condition A17 of this schedule.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>Inspection Test Plan / Checklist: Clyde Demolition and Clearance Works (Flora and Fauna Management Pre-clearing/demolition Inspection), 11/10/2021</p> <p>No habitat identified during works,</p>	Inspection completed by suitably qualified and experienced ecologist from Lodge Environmental on 10/11/2021. Microbat management addressed in ITP provided.	Compliant
D8	In the event roosting sites have been identified under Condition D7 above, bat boxes must be provided, or suitable habitat built within the Clyde Stabling and Maintenance Facility site.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Refer to D7.	Condition not triggered for the Phase C1 (Delta) works as no roosting sites have been identified (see D7).	Not triggered
D9	<p>Tree Removal</p> <p>As many mature trees and as much urban canopy as practicable must be retained during construction. Canopy trimming should be considered where practicable prior to any mature tree removal.</p>	Site inspection 23/09/2022 Interview 27/09/2022	Site inspection 23/09/2022 Interview 28/09/2022	Site inspection 23/09/2022 Interview 27/09/2022	<p>Evidence sighted during site inspection of trees being retained at the Project worksites. It appears that tree trimming has been minimised to the greatest extent practicable.</p> <p>Tree Register is being maintained by Sydney Metro.</p>	Compliant
Flooding						

D10	<p>Unless otherwise agreed by the Planning Secretary, Stage 1 of the CSSI must be designed and constructed to not worsen flooding characteristics within and in the vicinity of the CSSI. Not worsen existing flooding characteristics means the following:</p> <p>a maximum increase in inundation time of one hour in a one (1) per cent Annual Exceedance Probability (AEP) flood event.</p> <p>a maximum increase of 10 mm in inundation at properties where floor levels are currently exceeded in a one (1) per cent AEP flood event.</p> <p>a maximum increase of 50 mm in inundation of land at properties where floor levels would not be exceeded in a one (1) per cent AEP flood event; and</p> <p>no inundation of floor levels which are currently not inundated in a one (1) per cent AEP flood event.</p> <p>Measures identified in the documents listed in Condition A1 of this schedule to not worsen flooding characteristics or measures that achieve the same outcome must be incorporated into the detailed design of Stage 1 of the CSSI. The incorporation of these measures must be reviewed and endorsed by a suitably qualified and experienced person in consultation with directly affected landowners, DPE Water, DPI Fisheries, DPE BCD, NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s).</p> <p>Where flooding characteristics exceed the levels identified in (a), (b), (c), (d) above, the Proponent must undertake the following:</p> <p>(a) consult with property owners for properties adversely flood affected as a result of Stage 1 of the CSSI and mitigate where necessary; and</p> <p>(b) consult with the NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) regarding the management of any residual flood risk beyond the 1 per cent AEP flood event and up to the probable maximum flood.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro May 2022	<p>The following memo Flood Assessment reports were prepared for:</p> <ul style="list-style-type: none"> - Burwood North Station 28/03/2022 Rev C - Five Dock Flood Assessment – Retaining Systems 12/08/2022 Rev 03 - North Strathfield station Rev C, 14/7/2022 - Sydney Olympic Park Stage 3 Rev C 29/07/2022 - The Bays Rev D 14/03/2022 - Flood modelling undertaken for the design for pre-developed condition and the tunnelling phase shows that the design does not comply with condition MCoA-D10 (a) of Ministerial conditions of approval at seven locations (refer Figure 29) outside the boundary of the construction site due to increased duration of inundation of more than one hour in the 1% AEP event. 	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>Where flooding characteristics exceed the levels identified in (a), (b), (c), (d) above, the Proponent must undertake the following:</p> <p>(a) consult with property owners for properties adversely flood affected as a result of Stage 1 of the CSSI and mitigate where necessary; and</p> <p>(b) consult with the NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) regarding the management of any residual flood risk beyond the 1 per cent AEP flood event and up to the probable maximum flood.</p> <p>Consultation is ongoing and will be reviewed on the next audit.</p>	Compliant
D11	Deleted					Not triggered

D12	Flood information including flood reports, models and geographic information system outputs must be provided to the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPIE EES and the SES in order to assist in preparing relevant documents and to reflect changes in flood behaviour as a result of Stage 1 of the CSSI. The Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE EES and the SES must be notified in writing that the information is available no later than one (1) month following the completion of construction. Information requested by the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD EES or the SES must be provided no later than six (6) months following the completion of construction or within another timeframe agreed with the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE CBD and the SES. The project flood models and data must be uploaded to the NSW Flood Data Portal and access must be provided to the Relevant Council(s), DPE EES , SES and SOPA (in respect of Sydney Olympic Park) no later than one (1) month following the completion of construction.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Site inspection 23/09/2022 Interview 28/09/2022 Flood assessment has been carried as per D10.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Construction is currently being undertaken. Ongoing consultation to be implemented.	Not triggered
Heritage						
D13	<p>Non-Aboriginal Heritage</p> <p>The Proponent must not destroy, modify, or otherwise physically affect any Heritage item not identified in documents referred to in Condition A1 of this schedule. Unexpected heritage finds identified by Stage 1 of the CSSI must be managed in accordance with the Unexpected Finds Protocol outlined in Conditions D31 to D33 of this schedule. Consideration of avoidance and redesign to protect state significant unexpected finds must be addressed where this condition applies.</p> <p><i>Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020)</i></p>	Heritage management plans have been prepared and approved for each phase of the Project. The plans incorporated the Sydney Metro Unexpected Heritage Finds Procedure (see D31).	Heritage management plans have been prepared and approved for each phase of the Project. The plans incorporated the Sydney Metro Unexpected Heritage Finds Procedure (see D31).	<p>Parramatta_GeorgeSt_EI NCR_Form_2022_06_27_Rev1</p> <p>Notification to the DPE on 29/06/2022</p> <p>220625 - Parramatta Damage to George Street Heritage Shops Incident Report 8/07/2022</p> <p>Metro West - Notification A43 - #C heritage - 2022 June 25 SSI-10038-PA-208 – Request for Information dated 8/8/2022 from DPE</p>	<p>Self-Reported Non-Compliant</p> <p>On Saturday 25 June 2022, a steel lintel was dislodged from the rear of 41 George Street, Parramatta during demolition (Phase C). The steel lintel has impacted with the rear wall of the adjacent property (43-47 George Street), which is listed as Local heritage significance and caused localised and repairable damage to the rear of the structure.</p>	Non-Compliant
D14	Before installing protective site boundary hoarding or equipment used for vibration and noise monitoring at any Heritage item identified in the documents listed in Condition A1 of this schedule, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. The installation must also consider and avoid impacts to potential historical archaeology and seek advice from the Excavation Director approved under Condition D27 below.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>Low Impact Works 009 Heritage Assessment Memo for The Bays, Artefact, 26/11/2022</p> <p>Email correspondence re: method for affixing monitoring equipment at White Bay Power Station, dated 14/12/2021</p>	Letter from Susan Rosen Associates to Delta: Heritage Impact of Accelerometer Deployments at 62-64 Macquarie St, (Kia Ora Building) and 43-47 George St, Parramatta, dated 11/04/2022	Evidence provided of vibration monitors being installed in heritage items at The Bays and Parramatta, in consultation with heritage expert.	Compliant

D15	<p>Before commencement of any excavation at the Parramatta metro station construction site, a detailed investigation must be undertaken to precisely locate the Parramatta Convict Drain. All options available to retain the Parramatta Convict Drain in situ must be considered. If retention of any part of the Parramatta Convict Drain located in situ is not feasible, the Proponent must satisfactorily demonstrate to the Planning Secretary because its removal is appropriate. If it is not feasible to retain the Parramatta Convict Drain in situ, archival recording must be undertaken on the affected section of the item in accordance with Heritage Council of NSW guidelines.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Advice from GML dated 8 December 2021 and 28 January 2022 sighted Email from Sydney Metro to Delta, dated 09/12/2021</p>	<p>Delta advised that it is currently unclear if the current demolition works are not likely to impact the Convict Drain. Email from Sydney Metro to Delta notes the following: "Further to the below, an ITP similar to what Swan provided for Clyde (attached) should be in place. In addition, given the suspended slab and location of the Convict Drain, you will need to update the demolition method to detail the work method in and around this area and have GML certify that the method complies with the ARDEM including any observations from GML that may be required to comply with the ARDEM. request GML" Excavation at the Parramatta metro station construction site has not yet commenced.</p>	Not triggered
D16	<p>During construction, the Proponent must implement protective measures to prevent adverse impacts on the heritage significance of the Victorian Regency terraced shops at 41-45 George Street, Parramatta and Kia Ora Georgian House at 64 Macquarie Street, Parramatta. Before installing such measures, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. Protection measures must also consider and avoid potential impacts to significant historical archaeology and seek the advice from the Excavation Director approved under Condition D27 below</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Site inspection, 23/09/2022 Interview with auditees, 27/09/2022</p>	<p>Installation of protective scaffolding installed on the outside of building being demolished adjacent to the Kia Ora Georgian House. Delta advised that no direct contact with the Kia Ora Building was required. See photos in Appendix D of the Audit Report.</p>	Compliant
D17	<p>The Roxy Theatre, White Bay Power Station, the former State Abattoirs, and the former RTA Depot facade fronting Unwin Street must not be destroyed, modified, or otherwise adversely affected, except as identified in the documents listed in Condition A1 of this schedule.</p> <p>Note: Affected in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020)</p>	<p>Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 For the Phase A (Quickway) works, management measures to protect the White Bay Power Station heritage site are included in the CEMP. Quickway advised that there are no works as part of their scope that would likely impact this heritage item.</p>	<p>Site inspection, 23/09/2022 Interview with auditees, 28/09/2022 AFJV advised of vibration monitoring being undertaken at White Bay Power Station to ensure no adverse impacts occur.</p>	<p>Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 Delta advised that the RTA Depot facade and Roxy Theatre has not been impacted by the works at Clyde and Parramatta respectively.</p>	<p>The Roxy Theatre, White Bay Power Station, the former State Abattoirs, and the former RTA Depot facade fronting Unwin Street were not destroyed, modified, or otherwise adversely affected by the construction works.</p>	Compliant

D18	Where Heritage items, or items assessed to be of local heritage significance in the documents listed in Condition A1 of this schedule, are proposed to be fully or partially destroyed, heritage salvage must occur in consultation with a suitably qualified heritage specialist. The Proponent must develop a significant fabric and moveable heritage salvage register. The register must identify significant items to be salvaged hazard Salvage must occur for items that are assessed as having heritage significance and where significance is retained and / or the potential for re-use, reinstatement or re-sale has been identified. The salvage from any State listed items must be undertaken in consultation with Heritage NSW.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Salvage Report, The Pine Inn, Comber Consultants, Rev B, 22/02/2022 For the Phase B1 (AFJV) works, the auditors advised that three buildings were identified as being of potential local significance. Two of these buildings were assessed due to the need for demolition, and one was confirmed as local heritage (The Pine Inn at Burwood). A salvage report has been prepared for this item.	Heritage Salvage Advice 220 Church Street,48 Macquarie Street and Parramall Shopping Centre, submitted to Sydney Metro July 2021 For the Phase C1 (Delta) works, the auditees advised that none of the items identified for salvage in the report evidenced have yet been removed.	Salvage reports were prepared.	Compliant
D18.1	D18.1 The Proponent must investigate opportunities to relocate the Rosehill Railway Station Footbridge to an alternate location in the City of Parramatta LGA in consultation with City of Parramatta Council before the dismantled footbridge can be removed from the Clyde Stabling and Maintenance Facility Site. The Railway Footbridge Heritage Conservation Strategy 2016 (GAO Heritage Group, 2016) and any other relevant guideline or plan must be considered when assessing alternate locations. The Rosehill Railway Station Footbridge must be stored in accordance with relevant Heritage NSW guidelines and, where a suitable location is found, must be reinstated no later than 12 months following the completion of construction, unless otherwise agreed with the Planning Secretary. If an alternate location cannot be agreed to between the Proponent and Relevant Council, evidence of consultation, including consideration of alternative sites, must be submitted to the Planning Secretary for information before the dismantled Rosehill Railway Station Footbridge is removed from the Clyde Stabling and Maintenance Facility Site	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 Not Triggered	Site inspection, 23/09/2022 Interview with auditees, 28/09/2022 Not Triggered	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 Noy Triggered	Observation: This condition Is not yet included in the Phasing Report V1.4, May 2022. Recommendation: To update Phasing Report with these conditions 18.1 and 18.2.	Not Triggered
D18.2	Where an alternative location for the Rosehill Railway Station Footbridge is agreed to, a Heritage Asset Action Plan, including an updated statement of significance, in accordance with Statement of Best Practice for Heritage Asset Action Plans (Heritage Council of NSW 2021), must be prepared to reflect its new setting within 12 months of relocation and at no cost to council. The Proponent is responsible for maintenance of the Rosehill Railway Station Footbridge until ownership is transferred to Council. <i>Note: This condition does not prevent the Proponent from providing funding or similar to Council for the preparation of the required documents and does not prevent Council from preparing them.</i>	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 Not Triggered	Site inspection, 23/09/2022 Interview with auditees, 28/09/2022 Not Triggered	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 Noy Triggered	Observation: This condition Is not yet included in the Phasing Report V1.4, May 2022. Recommendation: To update Phasing Report with these conditions 18.1 and 18.2.	Not Triggered

D19	<p>Aboriginal Heritage</p> <p>All reasonable steps must be taken not to harm, modify or otherwise impact Aboriginal objects except as authorised by this approval.</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 27/09/2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 27/09/2022</p>	<p>Heritage management plans have been prepared and approved for each phase of the Project. The plans incorporated the Sydney Metro Unexpected Heritage Finds Procedure (see D31).</p> <p>There have been no reports to date of the Project impacting Aboriginal objects except as authorised by this approval.</p>	Compliant
D20	<p>The Registered Aboriginal Parties (RAPs) must be kept informed about Stage 1 of the CSSI. The RAPs must continue to be provided with the opportunity to be consulted about the Aboriginal cultural heritage management requirements of Stage 1 of the CSSI.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 27/09/2022</p>	<p>Minutes from initial Aboriginal Focus Group meeting with the RAPs on 02/11/2021 sighted.</p> <p>Sydney Metro provided examples of ongoing consultation that has occurred with the RAPs, including:</p> <p>Review of the methodology for Aboriginal archaeological test excavation at Clyde. The methodology was issued to RAPs on 30 November 2021.</p> <p>Ongoing participation in the Aboriginal archaeological program at Parramatta including the Aboriginal archaeological test excavation at Parramatta in March 2022</p> <p>Review of methodology for Aboriginal archaeological test excavation in accordance with the Code of Practice for the Archaeological Investigation of Aboriginal Objects 2010 in Parramatta Park. Methodology was issued to RAPs on 9 March 2022.</p> <p>Sydney Metro advised that RAPs participated in the Aboriginal archaeological test excavation at Clyde in April 2022.</p>	Compliant
D21	<p>Aboriginal archaeological test excavation must be undertaken at those areas identified in Table 25 of the revised Aboriginal Cultural Heritage Assessment Report (ACHAR) prepared by Artefact Heritage and dated November 2020.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p>	<p>Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>AFJV has showed no impact to PAD site at The Bays, therefore an Aboriginal Archaeological Test Excavation Methodology(s) not deemed to be required.</p> <p>Project currently working on getting agreement from the RAPs and planning to consult with Heritage NSW and send this to DPE for agreement.</p> <p>AFJV advised that report from Excavation Director confirms no impact to the PAD site. Some work has occurred within the boundary of the site but has been undertaken under the Archaeological Method Statement and direction of the Excavation Director.</p>	Compliant

D22	An Aboriginal Archaeological Test Excavation Methodology(s) must be prepared and appropriately integrated with the revised Archaeological Research Design and Excavation Methodology. The Aboriginal Archaeological Salvage Excavation Methodology(s) must be prepared after analysis of the test excavation results.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Archaeological Research Design and Excavation Methodology	Clyde—Sydney Metro Aboriginal Heritage Work Method Statement (Draft Report), Issue No. 2, 30/11/2021 Sydney Metro West Parramatta Station Construction Site Aboriginal Heritage Report (Report prepared for Sydney Metro), October 2021 Various SM emails dated 26/27 October 2021 indicate consultation has occurred	Condition deemed compliant as per evidence provided.	Compliant
D23	At the completion of Aboriginal cultural heritage test and salvage excavations, an Aboriginal Cultural Heritage Excavation Report(s), prepared by a suitably qualified expert, must be prepared in accordance with the Guide to Investigation, assessing and reporting on Aboriginal cultural heritage in NSW, OEH 2011 and the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales, DECCW 2010. The Aboriginal Cultural Heritage Excavation Report(s) must document the results of the archaeological test excavations and any subsequent salvage excavations. The RAPs must be given a minimum of 28 days to consider the report and provide comments before the report is finalised. The final report must be provided to Heritage NSW within 24 months of the completion of the Aboriginal archaeological excavations (both test and salvage).	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not triggered at this stage as Aboriginal cultural heritage test and salvage excavations have not yet been completed.	Clyde Sydney Metro Aboriginal Heritage Archaeological Report Final Rev 3 dated 5/10/2022	The Aboriginal Cultural Heritage Excavation Report was prepared by GML in compliance to this requirement.	Compliant
D24	Where previously unidentified Aboriginal objects are discovered, all work must immediately stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice before construction recommences. The measures to consider and manage this process must be specified in the Heritage CEMP Sub-plan required by Condition C5 of this schedule and, where relevant, include registration in the Aboriginal Heritage Information Management System (AHIMS).	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	Site inspection, 23/09/2022 Interview with auditees, 28/09/2022	Site inspection, 23/09/2022 Interview with auditees, 27/09/2022	The auditees advised that there have been no previously unidentified Aboriginal objects discovered during the Project works to date.	Not triggered

D25	<p>Excavation and Archaeology</p> <p>Before the commencement of any excavation at Parramatta and The Bays metro station construction sites, a revised Archaeological Research Design and Excavation Methodology(s) must be prepared in accordance with Heritage Council of NSW guidelines and with reference to the detailed design of Stage 1 construction of the CSSI to guide archaeological excavation. The revised Archaeological Research Design and Excavation Methodology(s) must be prepared by the Excavation Director (approved under Condition D27 below) and must include:</p> <ul style="list-style-type: none"> - site specific research for the Parramatta and The Bays metro station construction sites which is conducted by a professional historian to clearly articulate the historical development of the allotments to assist with the reassessment of potential and significance. - comparative analysis from archaeological investigations in Parramatta (including theses, publications, and grey literature reports). - preparation of research questions based on the additional site-specific research required by this condition, and relevant research agendas from previously excavated early historical occupation in Parramatta including recovered artefact assemblages; and - a reconsideration of archaeological methods to manage the sites based on this additional assessment. - The revised Archaeological Research Design and Excavation Methodology(s) must apply to both Parramatta and The Bays metro station construction sites and be prepared in consultation with Heritage NSW and Place Management NSW (in respect of The Bays) and submitted to the Planning Secretary for approval. - The revised Archaeological Research Design and Excavation Methodology(s) must be implemented throughout the archaeological excavation programs. - <i>Note: Nothing in these conditions prevents the Archaeological Research Design and Excavation Methodology to be separate procedures.</i> - <u>[SSI-10038 Mod-1]</u> 	<p>Power Enabling Works— The Bays Station Construction Site: Historical Archaeological Test Excavation Report, GML Heritage, Issue 1 (Draft), 23/01/2022</p> <p>Archaeological Research Design and Excavation Methodology (ARDEM) prepared by GML heritage to discuss management of works near identified WBPS heritage inlet canal. ARDEM endorsed 5th November 2021. https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/</p> <p>As per the requirements of the ARDEM, Heritage Consultant and Archaeologist from GML, in consultation of the nominated Excavation Director, has been on site during excavation of the case bore pit where the potential heritage item 'inlet canal 'may be identified, to supervise and document any findings.</p>	<p>The Bays Metro Station Archaeological Research Design and Excavation Methodology (ARDEM) for the Central Tunnelling Package, AFJV, Rev 3, 24/11/2021</p> <p>Approval letter from DPE to Sydney Metro - The Bays Metro Station Archaeological Research Design and Excavation Methodology (CTP), dated 02/12/2021</p> <p>Archaeological Method Statements (AMS) provided for:</p> <ul style="list-style-type: none"> - <i>Archaeological Work Method Statement 12 Service Reticulation and Drainage 22/04/2022</i> - <i>Archaeological Work Method Statement 15 Extra Borehole 7/6/2022</i> - <i>AMS 16 Perimeter works, fencing and hoarding, Lighting Tower 7/9/2022</i> - <i>AMS 17 TBM and Sewer Utilities Works 22/08/2022</i> <p><i>Project name: The Bays Metro Archaeological Research Design</i></p> <p><i>Author: Dr Iain Stuart, Martina Muller, Sammuell Sammut, Duncan Jones</i></p> <p><i>Project manager: Duncan Jones</i></p> <p><i>Name of document: Metro West – The Bays Archaeological Research Design and Excavation Methodology</i></p> <p><i>Name of organisation: Artefact Heritage</i></p> <p><i>Document version: Final</i></p>	<p>Sydney Metro West Parramatta Station Construction Site Archaeological Research Design & Excavation Methodology, GML Heritage, Rev 4, 15/10/2021</p> <p>Approval letter from DPE to Sydney Metro - Parramatta Metro Station Archaeological Research Design and Excavation Methodology, dated 28/10/2021</p>	<p>Before the commencement of any excavation at Parramatta and The Bays metro station construction sites, a revised Archaeological Research Design and Excavation Methodology(s) was prepared in accordance with Heritage Council of NSW guidelines and with reference to the detailed design of Stage 1 construction of the CSSI to guide archaeological excavation. The revised Archaeological Research Design and Excavation Methodology(s) was prepared by the Excavation Director.</p>	Compliant
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D26	<p>The revised Archaeological Research Design and Excavation Methodology(s) must include provision for early physical investigation of areas of impact identified as likely to contain State significant archaeology or subterranean Heritage items in the research design to inform excavation in these areas. This must include the Parramatta and The Bays metro station sites, including Parramatta Convict Drain, Parramatta Sand Body, White Bay Power Station (inlet) Canal and Beattie Street Stormwater Channel.</p>	<p>ARDEM was prepared by GML heritage included requirements of the above condition. The revised ARDEM has been approved 5th November 2021. https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/</p> <p>Power Enabling Works— The Bays Station Construction Site Historical Archaeological Test Excavation Report (GML 23/1/22) prepared in accordance with the ARDEM</p>	<p>The Bays Metro Station Archaeological Research Design and Excavation Methodology (ARDEM) for the Central Tunnelling Package, AFJV, Rev 3, 24/11/2021</p> <p>Approval letter from DPE to Sydney Metro - The Bays Metro Station Archaeological Research Design and Excavation Methodology (CTP), dated 02/12/2021</p> <p>Interview with auditees, 01/03/2022</p>	<p>Sydney Metro West Parramatta Station Construction Site Archaeological Research Design & Excavation Methodology, GML Heritage, Rev 4, 15/10/2021</p> <p>Approval letter from DPE to Sydney Metro - Parramatta Metro Station Archaeological Research Design and Excavation Methodology, dated 28/10/2021</p> <p>Advice from GML dated 8 December 2021 and 28 January 2022 sighted</p> <p>Email from Sydney Metro to Delta, dated 09/12/2021</p>	<p>Condition deemed compliant as per evidence provided.</p>	Compliant
D27	<p>Before commencement of archaeological excavation, the Proponent must nominate a suitably qualified Excavation Director, who complies with Heritage Council of NSW's Criteria for Assessment of Excavation Director (September 2019), to oversee and advise on matters associated with historical archaeology for the approval of the Planning Secretary, in consultation with Heritage NSW. The Excavation Director must be present to oversee excavation, advise on archaeological issues, advise on the duration and extent of oversight required during archaeological excavations consistent with the approved Archaeological Research Design and Excavation Methodology(s) required under Condition D25 of this schedule. Aboriginal archaeological excavations must be conducted by a suitably qualified person in accordance with the requirements of the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010). More than one Excavation Director may be engaged for Stage 1 of the CSSI to exercise the functions required under the conditions of this approval.</p>	<p>DPE approval letter – Nomination of Excavation Directors, 08/09/2021</p> <p>Quickway Appointment for Excavation Director – DPE letter dated 17/05/2021 appointing Ms Abi Cryerhall of GML Heritage Pty Ltd as the excavation director.</p>	<p>The following persons have been approved by DPE to perform the Excavation Director role on the project:</p> <p>Dr Tim Owen, Principal, GML Heritage Pty Ltd (Aboriginal archaeology)</p> <p>Dr Iain Stuart, Principal Artefact Heritage Pty Ltd</p> <p>Ms Sophie Jennings, Senior Heritage Consultant, GML Heritage Pty Ltd</p>	Compliant		
D28	<p>Following completion of archaeological excavation programs, a Final Excavation Report and an Aboriginal Cultural Heritage Excavation Report must be prepared that includes further detailed and site-specific historical research undertaken to enhance the final reporting, and results of archaeological excavations. The report must include details of any significant artefacts recovered (salvaged), where they are located and details of their ongoing conservation. The Final Excavation Report must document significant results and artefacts which may be re-used in future stages of the CSSI. The Final Excavation Report must be prepared in accordance with guidelines and standards required by Heritage Council of NSW.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Interview with auditees, 01/03/2022</p> <p>Site inspection, 28/02/2022</p>	<p>Interview with auditees, 01/03/2022</p> <p>Site inspection, 28/02/2022</p> <p>Final excavation report in on the process of development by GML.</p>	<p>Not triggered at this stage for the Phase B1 (AFJV) or Phase C1 (Delta) works.</p>	Not triggered

D29	The Final Excavation Report and Aboriginal Cultural Heritage Excavation Report must be submitted to the Planning Secretary, Heritage NSW, and the Relevant Council for information no later than 24 months after the completion of the archaeological excavation.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Interview with auditees, 23/09/2022 Site inspection, 28/09/2022	Interview with auditees, 01/03/2022 Site inspection, 28/02/2022 Final excavation report in on the process of development by GML.	Not triggered at this stage for the Phase B1 (AFJV) or Phase C1 (Delta) works.	Not triggered
D30	In the event the CSSI salvages state significant historical archaeology associated with early convict occupation at the Parramatta metro station construction site for which retention and future conservation is not possible: the key findings of the archaeological investigations must be documented which explain their significance within the context of Parramatta and NSW no later than two (2) years after the completion of the archaeological excavations; and provide for the curation, display and public access of artefacts, site records and final reports. <i>Note: In reference to (b) above, this may involve partnerships with museums, local heritage centres and/or universities</i>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Interview with auditees, 23/09/2022 Site inspection, 28/09/2022	Interview with auditees, 23/09/2022 Site inspection, 28/09/2022 Not triggered	Not triggered at this stage for the Phase B1 (AFJV) or Phase C1 (Delta) works.	Not triggered
D31	Unexpected Finds An Unexpected Heritage Finds and Human Remains Procedure must be prepared to manage unexpected heritage finds (heritage items and values) in accordance with any guidelines and standards prepared by the Heritage Council of NSW or Heritage NSW.	Unexpected Heritage Finds Procedure, Sydney Metro, Version 4.1, May 2021 Exhumation Management Procedure, Sydney Metro, Version 5.1, May 2021 Record of submission to DPE, SSI-10038-PA-6, 07/06/2021 DPE approval letter – Unexpected Finds and Human Remains Procedure, 15/06/2021 Register of unexpected finds.is up to date 1/08/2022.			Unexpected Heritage Finds and Human Remains Procedure has been prepared for the Project and approved by the Planning Secretary.	Compliant
D32	The Unexpected Heritage Finds and Human Remains Procedure must be prepared by a suitably qualified and experienced heritage specialist in consultation with the Heritage Council of NSW (with respect to non-Aboriginal cultural heritage) and in relation to Aboriginal cultural heritage, in accordance with the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010) and submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction.	Unexpected Heritage Finds Procedure, Sydney Metro, Version 4.1, May 2021 Exhumation Management Procedure, Sydney Metro, Version 5.1, May 2021 Record of submission to DPE, SSI-10038-PA-6, 07/06/2021 DPE approval letter – Unexpected Finds and Human Remains Procedure, 15/06/2021			Unexpected Heritage Finds and Human Remains Procedure has been prepared for the Project and approved by the Planning Secretary.	Compliant

D33	<p>The Unexpected Heritage Finds and Human Remains Procedure, as submitted to the Planning Secretary, must be implemented for the duration of construction.</p> <p><i>Note: Human remains that are found unexpectedly during the carrying out of work may be under the jurisdiction of the NSW State Coroner and must be reported to the NSW Police immediately. Management of human remains in NSW is subject to requirements set out in the Public Health Act 2010 (NSW) and Public Health Regulation 2012 (NSW). Nothing in these conditions prevents separate procedures for the Unexpected Heritage Finds and Human Remains Procedure.</i></p>	<p>Sydney Metro Unexpected Finds and Human Remains Procedure is being used and is included as appendix G in the CEMP. This is evidenced by the referral of the unexpected bridge works in Mansfield Street Rozelle to GML (see CoA D13 above)</p> <p>Unexpected heritage find protocol was triggered on 4/11/21 in relation to an unexpected find in Mansfield Street, Rozelle. Specialist advice from GML was sought in relation to the find, which was assessed to be the remains of an historic bridge with local heritage significance.</p>	<p>Potential Unexpected Finds Register, only one actual confirmed unexpected heritage finds</p> <p>Potential Unexpected Finds Register, only one actual confirmed unexpected heritage finds</p> <p>Completed unexpected finds form for the confirmed find</p> <p>Sydney Metro West Central Tunnelling Package - Heritage Finds Register. 69 finds to date and</p> <p>Archaeologist – Artefact (Ian Stuart) are gathering all the data for the ARDEM. e.g., 1 February 2022 Unexpected Finds Report- Southern HV Relocation Pit at the Bays – a brick storm water pit was found. Reported by Artefact.</p>	<p>No unexpected heritage or human remains identified during Phase C1 (Delta) works.</p>	<p>Unexpected heritage finds have occurred on the Phase A (Quickway) works and Phase B1 (AFJV) works, as detailed in evidence. These were managed in line with the Unexpected Heritage Finds and Human Remains Procedures.</p>	Compliant
Noise and Vibration						
D34	<p>Land Use Survey</p> <p>A detailed land use survey must be undertaken to confirm sensitive receivers (including critical working areas such as operating theatres and precision laboratories) potentially exposed to construction noise and vibration and construction ground-borne noise. The survey may be undertaken on a progressive basis but must be undertaken in any one area before the commencement of work which generates construction noise, vibration, or ground-borne noise in that area. The results of the survey must be included in the Noise and Vibration CEMP Subplan required under Condition C5 of this schedule.</p>	<p>Detailed Land Use Survey was completed on 1st April 2021 and is included as Appendix A of the NVMP.</p>	<p>SMW CTP Construction Noise and Vibration Management Plan, AFJV, Rev 03, 06/12/2021</p> <p>Addressed in DNVIS Tunnelling which is under development that included all the land use surveys.</p> <p>DNVIS for Tunnelling Support Vibration Buffers Five Dock.</p>	<p>SMW Demolition Construction Noise and Vibration Management Plan, Rev 4, 25/10/2021</p> <p>As noted in the endorsed NVMSP, this assessment was not possible due to significant Covid-19 restrictions in place.</p> <p>No surveys conducted</p>	<p>Addressed in Construction Noise and Vibration Management Plans prepared for each phase of the Project.</p>	Compliant
D35	<p>Construction Hours</p> <p>Work must only be undertaken during the following hours: 7:00am to 6:00pm Mondays to Fridays, inclusive. 8:00am to 6:00pm Saturdays; and at no time on Sundays or public holidays.</p>	<p>Site inspection 23/09/2022 Interview 27/09/2022</p>	<p>Site inspection 23/09/2022 Interview 27/09/2022</p>	<p>Site inspection 23/09/2022 Interview 27/09/2022</p>	<p>Works were generally conducted under the construction normal hours. Any works outside this normal hour will be approved under OOHW Protocol and under EPL license permit.</p>	Compliant

D36	<p>Highly Noise Intensive Work</p> <p>Except as permitted by an EPL, highly noise intensive work that results in an exceedance of the applicable NML at the same receiver must only be undertaken:</p> <p>between the hours of 8:00 am to 6:00 pm Monday to Friday. between the hours of 8:00 am to 1:00 pm Saturday; and if continuously, then not exceeding three (3) hours, with a minimum cessation of work of not less than one (1) hour.</p> <p>For the purposes of this condition, 'continuously' includes any period during which there is less than one (1) hour between ceasing and recommencing any of the work.</p>	<p>EPL not applicable to Phase A (Quickway) works</p> <p>Rock breaking noise report dated 07/06/2022 Rock Hammering excavation Robert Street hammering of sandstone. Mitigation measures i.e., noise blankets were installed no additional mitigation measures required. No complaints received.</p>	<p>Site inspection, 28/09/2022</p> <p>AFJV works within the EPL, with Signages posted sites e.g., Five Dock Noisy Works</p> <p>3 hours on 2 hours off document.</p>	<p>Demolition works at Parramatta included provision of respite periods.</p> <p>Included in site induction</p> <p>No know complaint during demolition works.</p>	<p>Management of high noise intensive work detailed in the Construction Noise and Vibration Management Plans.</p> <p>Compliance with D36 is monitored as part of ER inspections.</p>	<p>Compliant</p>
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<p>D37</p>	<p>Variation to Work Hours</p> <p>Notwithstanding Conditions D35 and D36 of this schedule work may be undertaken outside the hours specified in the following circumstances:</p> <p>Safety and Emergencies, including: for the delivery of materials required by the NSW Police Force or other authority for safety reasons; or where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm.</p> <p>On becoming aware of the need for emergency work in accordance with (a)(ii) above, the AA, the ER, the Planning Secretary, and the EPA must be notified of the reasons for such work. The Proponent must use best endeavours to notify as soon as practicable all noise and/or vibration affected sensitive land user(s) of the likely impact and duration of those work.</p> <p>Low impact, including: construction that causes LAeq (15 minute) noise levels: no more than 5 dB(A) above the rating background level at any residence in accordance with the ICNG, and no more than the 'Noise affected' NMLs specified in Table 3 of the ICNG at other sensitive land user(s); and construction that causes LAFmax (15 minute) noise levels no more than 15 dB(A) above the rating background level at any residence; or construction that causes: continuous or impulsive vibration values, measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC 2006), or intermittent vibration values measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC 2006).</p> <p>By Approval, including: where different construction hours are permitted or required under an EPL in force in respect of the CSSI; or works which are not subject to an EPL that are approved under an Out-of-Hours Work Protocol as required by Condition D38 of this schedule; or negotiated agreements with directly affected residents and sensitive land user(s).</p> <p>By Prescribed Activity, including: tunnelling (excluding cut and cover tunnelling and surface works) are permitted 24 hours a day, seven days a week: or concrete batching at the Clyde construction site is permitted 24 hours a day, seven days a week: or delivery of material that is required to be delivered outside of standard construction hours in Condition D35 of this schedule to directly support tunnelling activities, except between the hours 10:00 pm and 7:00 am to / from the Five Dock and Westmead construction sites and to / from Burwood North</p>	<p>This requirement has been included in the OOHW Protocol as part of the CNVMP and is being implemented throughout construction. Refer to CNVMP Appendix C for OOHW Protocol.</p> <p>There have been no OOHW triggered for safety or emergency situations.</p> <p>A total of 7 OOHW approved under the protocol and endorsed by Comms, AA, and ER.</p> <p>OOHW008 to OOHW013</p> <p>OOHW008- Darling St & Waterloo St trenchless excavation & conduit installation 2/3/2022 approved by Comms 6/3/2022 and AA & Er approval on 9/03/2022.</p>	<p>Various example Out of Hours Works Permits provided</p> <p>OOHW Permit Register with total of 175. The OOHW that are not subject for EPL are endorsed and approved by AA and ER.</p> <p>OOHW Work Permit No. 154 Rev 00 date 26/09/2022 Completion date 13/10/2022 OOHW Protocol implemented. Signed by Comms Manager, Signed Environment Manager, signed AA and approved by ER.20/09/2022.</p> <p>NCR-03 Non-compliance report was raised on Vaughan Civil due to working not in accordance with the Out of Hours Permit on 1 March 2022 and notification received by SM West on 9 March 2022. This NCR was not considered as notifiable event. Toolbox talks and training were conducted to prevent and address this issue. There were no complaints received.</p>	<p>No emergency out of hours works from the last 6 months.</p>	<p>Evidence provided of out of hours works being conducted on the Project in line with the requirements of D37.t</p>	<p>Compliant</p>
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	<p>construction site using any roads / streets other than directly from Parramatta Road: or</p> <p>haulage of spoil except between the hours of 10:00 pm and 7:00 am to / from the Five Dock and Westmead construction sites and to / from Burwood North construction site using any roads / streets other than directly from Parramatta Road; or</p> <p>work within an acoustic shed where there is no exceedance of noise levels under Low impact circumstances identified in (b) above, unless otherwise agreed by the Planning Secretary.</p> <p><i>Note: Tunnelling does not include station box excavation</i></p>					
D38	<p>Out-of-hours Work Protocol – Work Not Subject to an EPL</p> <p>An Out-of-Hours Work Protocol must be prepared to identify a process for the consideration, management and approval of work which are outside the hours defined in Conditions D35 and D36 of this schedule. The Protocol must be approved by the Planning Secretary before commencement of the out-of-hours work. The Protocol must be prepared in consultation with the ER, AA, and EPA. The Protocol must provide:</p> <p>identification of low and high-risk activities and an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where:</p> <p>the ER and AA review all proposed out-of-hours activities and confirm their risk levels.</p> <p>low risk activities can be approved by the ER in consultation with the AA; and</p> <p>high risk activities that are approved by the Planning Secretary.</p> <p>a process for the consideration of out-of-hours works against the relevant NML and vibration criteria.</p> <p>a process for selecting and implementing mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of Condition D50 of this schedule. The measures must consider the predicted noise levels and the likely frequency and duration of the out-of-hours works that sensitive land user(s) would be exposed to, including the number of noises awakening events.</p> <p>procedures to facilitate the coordination of out-of-hours work including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided; and</p> <p>notification arrangements for affected receivers for all approved out-of-hours works and notification to the Planning Secretary of approved low risk out-of-hours works.</p> <p>This condition does not apply if the requirements of Condition D37(b) of this schedule are met.</p> <p><i>Note: Out-of-hours work is any work that occurs outside the construction hours identified in Condition D35 and D36 of this schedule.</i></p>	<p>SMW Power Enabling Works Construction Noise and Vibration Management Plan (Appendix C: Out of Hours Works Protocol), Rev 0.0, 08/06/2021</p> <p>This requirement has been included in the OOHW Protocol as part of the CNVMP and is being implemented throughout construction as indicated in response to D37 above.</p> <p>CNVMP Appendix C</p>	<p>OOHW Protocol</p> <p>OOHW Permit examples</p> <p>OOHW Work Permit No. 154 Rev 00 date 26/09/2022 Completion date 13/10/2022 OOHW Protocol implemented.</p> <p>Signed by Comms Manager, Signed Environment Manager, signed AA and approved by ER.20/09/2022.</p>	<p>SMW Out of Hours Application Form</p> <p>The Out of Hour Work Protocol was prepared in consultation with the ER, AA, and EPA. It was incorporated into the endorsed NVMSM as section 5.3.1.</p>	<p>Self-Reported Non-Compliant</p> <p>NCR-003 (D38 Phase B1) – 1 March 2022 and notified to SM on 9 March 2022-incident and non-compliance (Phase B1), AFJV enviro team held a meeting with the Acoustic Advisor to review this event. Although no complaints were received for the urgent use of the vac truck. It was agreed with the Acoustic Advisor that this event be documented as an NCR as it was not consistent with the OOHW Permit</p>	Non-Compliant

D39	<p>Construction Noise Management Levels and Vibration Criteria</p> <p>All reasonable and feasible mitigation measures must be implemented with the aim of achieving the following construction noise management levels and vibration criteria:</p> <p>construction 'Noise affected' noise management levels established using the Interim Construction Noise Guideline (DECC, 2009).</p> <p>vibration criteria established using the Assessing vibration: a technical guideline (DEC 2006) (for human exposure).</p> <p>Australian Standard AS 2187.2 - 2006 "Explosives - Storage and Use - Use of Explosives" (for human exposure).</p> <p>BS 7385 Part 2-1993 "Evaluation and measurement for vibration in buildings Part 2" as they are "applicable to Australian conditions": and (</p> <p>the vibration limits set out in the German Standard DIN 4150-3: Structural Vibration- effects of vibration on structures (for structural damage for structurally unsound heritage items).</p> <p>Any work identified as exceeding the noise management levels and / or vibration criteria must be managed in accordance with the Noise and Vibration CEMP Sub-plan.</p> <p><i>Note: The ICNG identifies 'particularly annoying' activities that require the addition of 5 dB(A) to the predicted level before comparing to the construction Noise Management Level.</i></p>	<p>Site inspection 23/09/2022 Interview 27/09/2022</p>	<p>Site inspection 23/09/2022 Interview 28/09/2022</p>	<p>Site inspection 23/09/2022 Interview 27/09/2022</p>	<p>Noise and vibration mitigation set out in Construction Noise and Vibration Management Plans.</p> <p>Sighted implementation of noise mitigation measures onsite, including use of hydraulic shears at Parramatta for the demolition of the car park adjacent to receivers and use of noise blankets around Quickway utility works and hoardings installed around the AFJV sites.</p>	Compliant
D40	<p>All reasonable and feasible mitigation measures must be applied when the following residential ground-borne noise levels are exceeded:</p> <p>evening (6:00 pm to 10:00 pm) — internal LAeq (15 minute): 40 dB(A); and</p> <p>night (10:00 pm to 7:00 am) — internal LAeq (15 minute): 35 dB(A).</p> <p>The mitigation measures must be outlined in the Noise and Vibration CEMP Sub-plan, including in any Out-of-Hours Work Protocol, required by Condition D38 of this schedule.</p>	<p>Not triggered for the Phase A (Quickway) works.</p>	<p>Not triggered at this stage for the Phase B1 (AFJV) works as bulk excavation and tunnelling have not yet commenced.</p>	<p>Not triggered for the Phase C1 (Delta) works.</p>	<p>Condition not triggered for the Project. Requirement has been incorporated into relevant management documents prepared for each phase of work.</p>	Not triggered
D41	<p>Noise generating work in the vicinity of potentially affected community, religious, educational institutions and noise and vibration-sensitive businesses and critical working areas (such as theatres, laboratories, and operating theatres) resulting in noise levels above the NMLs must not be timetabled within sensitive periods, unless other reasonable arrangements with the affected institutions are made at no cost to the affected institution.</p>	<p>Site inspection, 23/09/2022 Requirements are included in the CNVMP. All relevant noise mitigation measures have been employed during construction and will continue to be utilised throughout the entirety of the Project. Noise mitigation (noise mats) are implemented at each work site. Refer to CEMP-NVMP section 9.2</p>	<p>Site inspection, 23/09/2022 Requirements are included in the CNVMP. All relevant noise mitigation measures have been employed during construction and will continue to be utilised throughout the entirety of the Project. Noise mitigation (noise mats) are implemented at each work site.</p>	<p>During demolition, the use of shears/pulverisers was applied at Parramatta to minimise the potential noise levels around sensitive land users. Site inspection, 28/09/2022</p>	<p>Condition deemed compliant as per evidence provided.</p>	Compliant

D42	<p>Construction Noise and Vibration Mitigation and Management</p> <p>Industry best practice construction methods must be implemented where reasonably practicable to ensure that noise levels are minimised around sensitive land user(s). Practices must include, but are not limited to:</p> <ul style="list-style-type: none"> use of regularly serviced low sound power equipment. temporary noise barriers (including the arrangement of plant and equipment) around noisy equipment and activities such as rock hammering and concrete cutting; and use of alternative construction and demolition techniques. 	<p>Site inspection, 23/09/2022</p> <p>Requirements are included in the CNVMP. All relevant noise mitigation measures have been employed during construction and will continue to be utilised throughout the entirety of the Project. Noise mitigation (noise mats) are implemented at each work site. Refer to CEMP-NVMP section 9.2</p>	<p>Site inspection, 23/09/2022</p> <p>Requirements are included in the CNVMP. All relevant noise mitigation measures have been employed during construction and will continue to be utilised throughout the entirety of the Project. Noise mitigation (noise mats) are implemented at each work site.</p>	<p>During demolition, the use of shears/pulverisers was applied at Parramatta to minimise the potential noise levels around sensitive land users.</p> <p>Site inspection, 28/09/2022</p>	<p>Condition deemed compliant as per evidence provided.</p>	Compliant
D43	<p>Detailed Noise and Vibration Impact Statements (DNVIS) must be prepared for any work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in Conditions D39 and D40 of this schedule at any residence outside construction hours identified in Condition D35 of this schedule, or where receivers will be highly noise affected. The DNVIS must include specific mitigation measures identified through consultation with affected sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the AA and ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy(ies) of the DNVIS.</p>	<p>A Detailed Noise and Vibration Impacts Statement 31/8/21 (Hutchison Weller) was prepared for the Project and outlines all requirements of this condition. Monthly Noise and Vibration reports are presented to Sydney Metro and uploaded onto Quickway website. https://www.quickway.com.au/projects/sydney-metro-west-power-enabling-works/</p> <p>The DNVIS was conditionally approved on 12/7/21 by the AA, and then approved in full covering all of Quickway's work scope following attended monitoring carried out by the AA.</p>	<p>Refer to C10</p> <p>The following DNVIS were developed:</p> <ul style="list-style-type: none"> - The Bays DNVIS - Burwood North DNVIS - Five Dock DNVIS - Sydney Olympic Park DNVIS <p>Evidence of DNVISs being provided to AA and ER before commencement of works</p> <p>NCR-009-D43- 11 July 2022</p>	<p>Acoustics Advisor Endorsement (29/10/2021) for Clyde early works: Detailed noise and vibration impact statement (DNVIS) Rev 3 25/10/2021</p> <p>Acoustics Advisor Endorsement (29/10/2021) for Paramatta early works: Detailed noise and vibration impact statement (DNVIS) Rev 3 25/10/2021</p> <p>Acoustics Advisor Endorsement (03/11/2021) for Westmead early works: Detailed noise and vibration impact statement (DNVIS) Rev 4 02/11/2021</p> <p>Detailed Noise and Vibration Impact Statement (Clyde) Rev 3 25/10/2021</p> <p>Detailed Noise and Vibration Impact Statement (Paramatta) Rev 3 25/10/2021</p> <p>Detailed Noise and Vibration Impact Statement (Westmead) Rev 4 02/11/2021</p>	<p>Self-Reported Non-compliant</p> <p>NCR-009 (Phase B1) - The tree clearing activity was not included in the Detailed Noise and Vibration Impact Statement (DNVIS) but was assessed (consistent with a DNVIS and as per agreement with the Acoustic Advisor) as part of an OOHW Permit, however this assessment was not provided to the Acoustic Advisor prior to the works commencing.</p> <p>It is noted that this issue was discussed to determine the occurrence of a non-compliance between the period of the clearing activity and the date of confirmation of the non-compliance with the Acoustic Advisor, Environmental Representative and Sydney Metro.</p> <p>It is further noted that no complaints were received pertaining to noise or vibration impact of this work.</p>	Non-Compliant

D44	DNVIS must be prepared for each construction site before construction noise and vibration impacts commence and include specific mitigation measures identified through consultation with affected sensitive land users.	A Detailed Noise and Vibration Impacts Statement was prepared for the Project and outlines all requirements of this condition. Works are conducted in accordance with CNVMP, DNVIS, and CNVS.	The following DNVIS for the CTP sites were developed: <ul style="list-style-type: none"> • The Bays DNVIS • Burwood North DNVIS • Five Dock DNVIS • Sydney Olympic Park DNVIS 	See Condition D43.	DNVIS were prepared for each construction site before construction noise and vibration impacts commence and include specific mitigation measures identified through consultation with affected sensitive land users.	Compliant
D45	Owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before works that generate vibration commences in the vicinity of those properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers are to be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. These properties must be identified and considered in the Noise and Vibration CEMP Sub-plan.	Not triggered	The following notifications were conducted: <ul style="list-style-type: none"> • Notification – Rozelle 31 August 2021 • MCoA D45_216-218 Church Street CM record of communication • D45 evidence_37-39 George Street • D45 evidence_240 Church Street • D45 Evidence_69 George St 	NCR005_NVMSPP_C10_P arramatta_ENCR_Rev2 – 18/05/2022 Notification to SM dated 26/05/2022 Notification to DPE on 02/06/2022	Self-Reported Non-compliant NCR-005 (Phase C) - Elevated vibration readings were observed on the vibration logger attached to 240 Church Street, Parramatta on 18/05/2022, which is directly adjacent to 238 Church Street, Parramatta which was demolished (Phase C). The elevated readings exceeded action criteria outlined in the Noise & Vibration Management Sub Plan (NVMSPP). The alert system was not active at the time of the exceedance and therefore was not being managed in accordance with NVMSPP.	Non-Compliant
D46	Construction Vibration Mitigation – Heritage Vibration testing must be conducted during vibration generating activities that have the potential to impact on Heritage items to identify minimum working distances to prevent cosmetic damage. In the event that the vibration testing and attended monitoring shows that the preferred values for vibration are likely to be exceeded, the Proponent must review the construction methodology and, if necessary, implement additional mitigation measures. Such measures must include, but not be limited to, review or modification of excavation techniques.	Site inspection, 28/09/2022 Vibration reports are uploaded to the Quickway website and submitted to Sydney Metro monthly.	Site inspection, 28/09/2022 White Bay Power Station St Albans Church	Site inspection, 28/09/2022 Vibration monitors installed at each site with provision for alarms based on triggers as outlined in the NVMSPP. Macquarie Street properties monitored. 1 reading came through that exceeded the vibration trigger. Osterman receives the warning. Text alert goes to Delta Project Manager, with live data link. Vibration monitoring reports refer to Quarterly reports. Refer to NCR.	Vibration monitoring is conducted regularly to measure vibration impacts from works to ensure the screening criteria is not exceeded with a screening criterion of 7.5mm/s (non-heritage) 2.5mm/s (heritage structures determined as structurally unsound).	Compliant

D47	The Proponent must seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement, and noise monitoring at Heritage items.	Not triggered for the Phase A (Quickway) works	Low Impact Works 009 Heritage Assessment Memo for The Bays, Artefact, 26/11/2022 Email correspondence re: method for affixing monitoring equipment at White Bay Power Station	Letter from Sue Rosen Associates to Delta – Heritage Impact of Accelerometer Deployments at 62-64 Macquarie St, (Kia Ora Building) and 43-47 George St, Parramatta, dated 11/04/2022	The Proponent seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement, and noise monitoring at Heritage items.	Compliant
D48	Before conducting at-property treatment at any Heritage item identified in the documents listed in Condition A1 of this schedule, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item.	Not Triggered	Not Triggered	220625 - Heritage Damage Investigation Report_rev1 treatments required for 41-45 George St (Phase C1 (Delta) works)	Sydney Metro and the delivery contractors advised that no at-property treatment has been conducted as part of the Project on any identified Heritage items to date except for 41-45 George St.	Compliant
D49	If a Heritage item is found to be structurally unsound (following inspection) a more conservative cosmetic damage criterion of 2.5 mm/s peak component particle velocity (from DIN 4150) must be applied.	Not triggered for Phase A (Quickway) works.	SMW CTP Construction Noise and Vibration Management Plan, AFJV, Rev 03, 06/12/2021 Site inspection, 23/09/2022 Interview with auditees, 28/09/2022 AFJV advised during site inspection that the Phase B1 (AFJV) works has conservatively adopted the 2.5mm/s criterion for the White Bay Power Station even though they haven't assessed structurally unsound.	Criteria noted in the approved NVMSP. No heritage items have been determined to be structurally unsound. Condition surveys undertaken by suitably qualified structural engineer. Vibration monitoring records near the heritage building	Evidence provided demonstrates compliance with D49.	Compliant

D50	<p>Utility Coordination and Respite</p> <p>All work undertaken for the delivery of Stage 1 of the CSSI, including those undertaken by third parties (such as utility relocations), must be coordinated to ensure respite periods are provided. The Proponent must:</p> <ul style="list-style-type: none"> reschedule any work to provide respite to impacted noise sensitive receivers so that the respite is achieved in accordance with Condition D51 of this schedule; or consider the provision of alternative respite or mitigation to impacted noise sensitive receivers; and provide documentary evidence to the AA in support of any decision made by the Proponent in relation to respite or mitigation. <p>The consideration of respite must also include all other approved Critical SSI, SSI and SSD projects which may cause cumulative and / or consecutive impacts at receivers affected by the delivery of Stage 1 of the CSSI.</p>	<p>All respite periods are adhered to as outlined in D51. SM comms team are in charge of providing respite for noise affected receivers (overnight stays, office space during the day, noise cancelling headphones (>250 units have been taken by residents))</p> <p>Cumulative impacts with WCX are assessed during regular meetings and works are planned to coordinate so residents have as minimal impact as possible.</p> <p>AA is continuously updated with all works during fortnightly environmental progress meetings and is consulted with and approves all OOHW applications inclusive of proposed respite and/or mitigation.</p>	<p>SMW CTP Construction Noise and Vibration Management Plan, AFJV, Rev 03, 06/12/2021</p> <p>AFJV Utility Manager</p> <p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p>	<p>Coordination meetings held with Parramatta Light Rail project team. Minutes of PCPLR / Delta Coordination Meeting Nos. 14 & 15 sighted.</p> <p>Interview with auditees, 27/09/2022</p>	<p>Evidence provided shows that coordination has been undertaken with others conducting works in proximity to the Project to ensure adequate respite is provided.</p>	Compliant
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D51	<p>Out-of-Hours Works – Community Consultation and Respite</p> <p>In order to undertake out-of-hours work outside the work hours specified under Condition D35 of this schedule, appropriate respite periods for the out-of-hours work must be identified in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with:</p> <ul style="list-style-type: none"> a progressive schedule for periods no less than three (3) months, of likely out-of-hours work. a description of the potential work, location, and duration of the out-of-hours work. the noise characteristics and likely noise levels of the work; and likely mitigation and management measures which aim to achieve the relevant NMLs under Condition D39 (including the circumstances of when respite or relocation offers will be available and details about how the affected community can access these offers). <p>The outcomes of the community consultation, the identified respite periods, and the scheduling of the likely out-of-hour work must be provided to the AA, EPA, and the Planning Secretary.</p> <p><i>Note: Respite periods can be any combination of days or hours where out-of-hours work would not be more than 5 dB(A) above the RBL at any residence.</i></p>	<p>This requirement is addressed within the and CNVMP. Community notification is provided at least 7 days prior to works commencing in a new area. OOHW permits are sent to the AA and ER for review and approval prior to any out of hours works commencing. Refer to OOHW Protocol appendix C of the CNVMP.</p> <p>Communications posted on website and included as part of the OOHW.</p>	<p>2022 Q1 D51 Outcomes of Consultation Report_Rev02 2022 Q1 Outcomes of Community consultation report Date Lodged 17/08/2022</p> <p>2022 Q2 D51 Outcomes of Consultation Report Date Lodged 16/06/2022</p> <p>220627 McCleer St Pit Replacement FNL 220707 Weekly Email 33kV FNL 220711 Optic Fibre Cable Pull FNL 220727 Robert Street Night Work Notification update FNL 220804 Mansfield St cable install update FNL 220804 Mansfield St cable install update FNL Rozelle 33kv Construction Notifications Report April - September 2022 Rozelle_site_compound_update_notification_September_2022</p>	<p>Plant delivery Westmead. DNVIS prepared. Notification provided regarding these works.</p> <p>Several OOHW application packs provided in D38.</p> <p>Matthew Chow (SM Comms) liaised with affected community. Details sighted in Consultation Manager records for various Westmead properties during Feb 2022.</p>	<p>Managed through notification of out of hours works and quarterly project newsletters.</p> <p>Quarterly notifications provide schedule of works and more prescriptive detail is provided in the notifications themselves.</p> <p>No response received to date regarding respite periods.</p> <p>Sample notifications and newsletters from the website</p>	Compliant
D52	<p>Traffic Noise Mitigation at Westmead</p> <p>Sensitive land uses located along local roads used to divert traffic from the closure of Alexandra Avenue in Westmead that will be affected by additional road traffic noise from the diverted traffic in excess of the criteria identified in the NSW Road Noise Policy (the RNP criteria) during construction of Stage 1 of the CSSI (the Affected Properties) are eligible to receive at-property noise mitigation treatments.</p> <p>Owners of Affected Properties must be advised of the range of noise mitigation options that can be installed at or in their property and given a choice as to which of these they agree to have installed. A copy of all noise mitigation guidelines and procedures that will be used to determine at-property treatment at each Affected Property must be provided to the property owner.</p> <p>At property mitigation measures and packages must be determined based on the measured exceedance levels above the RNP criteria. Road traffic noise levels must be measured before and after the altered traffic flow detour.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.2, Sydney Metro, 01/10/2021.</p>	<p>Condition not applicable to Phase B1 works as per SMW Phasing Report 1.2, Sydney Metro, 01/10/2021.</p>	<p>Condition not applicable to Phase C1 works as per SMW Phasing Report 1.2, Sydney Metro, 01/10/2021.</p>	Not applicable.	Not triggered

D53	<p>Blasting</p> <p>Blasting associated with Stage 1 of the CSSI must only be undertaken during the following hours: 9:00am to 5:00pm, Monday to Friday, inclusive. 9:00am to 1:00pm on Saturday; and at no time on Sunday or public holidays; or as authorised through an EPL.</p> <p>This condition does not apply in the event of a direction from the NSW Police Force or other relevant authority for safety or emergency reasons to avoid loss of life, property loss and / or to prevent environmental harm.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered
D54	<p>Blasting Management Strategy</p> <p>A Blast Management Strategy must be prepared and must include: sequencing and review of trial blasting to inform blasting. regularity of blasting. intensity of blasting. periods of relief; and blasting program.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered
D55	<p>The Blast Management Strategy must be endorsed by a suitably qualified and experienced person.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered
D56	<p>The Blast Management Strategy must be prepared in accordance with relevant guidelines in order to ensure that all blasting and associated activities are carried out so as not to generate unacceptable noise and vibration impacts or pose a significant risk to sensitive land user(s).</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered
D57	<p>The Blast Management Strategy must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of blasting. The Blast Management Strategy as submitted to the Planning Secretary, must be implemented for all blasting activities.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered
Socio-Economic, Land Use and Property						

D58	Stage 1 of the CSSI must be designed and constructed with the objective of minimising impacts to, and interference with, third party property and infrastructure, and that such infrastructure and property is protected during construction.	<p>SMW Power Enabling Works Interface Management Plan, 3869-SMW-IMP-001, Quickway, Revision B, 02/06/2021</p> <p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p> <p>Quickway attended fortnightly meetings with AFJV and Rozelle Interchange ensuring there is no cumulative impacts.</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with</p>	<p>Evidence provided during the audit suggests that the Project is being designed and constructed with the objective of minimising impacts to, and interference with, third party property and infrastructure.</p> <p>AFJV reported some infrastructure impacts to the Port Access Road at The Bays worksite (a private road for the Ports Authority). The HV and sewer relocation impacts this asset as per certified designs obtained by Sydney Metro with consultation/approval from the relevant service providers (Ausgrid and Sydney Water). Once conduits/pipes installed, the road is re-instated. AFJV also highlighted that at Project completion, mill and re-sheet of the pavement to take place to hand back the road in best condition. The HV relocation scope has been completed and photos of reinstatement provided.</p>	Compliant
D59	The utilities and services (hereafter “services”) potentially affected by construction must be identified to determine requirements for diversion, protection and / or support. Alterations to services must be determined by negotiation between the Proponent and the service providers. Disruption to services resulting from construction must be avoided, wherever possible, and advised to customers where it is not possible.	<p>SMW Power Enabling Works Interface Management Plan, 3869-SMW-IMP-001, Quickway, Revision B, 02/06/2021</p> <p>Utilities Consultation Memo, 3869-SMW-MEMO-008, Quickway, Revision 0, 06/08/2021</p> <p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p> <p>Emails sent to service providers i.e., Ausgrid, Sydney Water, Optus, Telstra, and Jemena.</p>	<p>Minutes – Jemena/ SM/ AFJV Weekly Progress Meeting, dated 16/12/2021</p> <p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p> <p>RE Cafe 93 - Queens Street Footpath – Maintenance uneven surface (cycleways) - Sydney Metro trench creating uneven cafe seating</p> <p>SMWST1 - Transmittal_ SMWSTCTP-AFJ-TX-0.</p> <p>SMWSTCTP-AFJ-1NL-UT-MIN-000004.-. S2.-.01</p> <p>SWC Meeting Minutes 04-05-2022</p> <p>Weekly Comms Enviro and Traffic Update 17.10.2022</p>	<p>Site inspection, 23/09/2022</p> <p>Interview with auditees, 28/09/2022</p> <p>Evidence of communication with service providers was presented with the Register of Asset Owners for all demolition sites 20220823.</p>	<p>The utilities and services potentially affected by construction were identified to determine requirements for diversion, protection and / or support. Alterations to services were determined by negotiation between the Proponent and the service providers. Disruption to services resulting from construction were avoided, wherever possible, and advised to customers where it is not possible.</p>	Compliant

D60	<p>Condition Survey</p> <p>A suitably qualified and experienced person must undertake condition surveys of all buildings, structures, utilities and the like identified in the documents listed in Condition A1 of this schedule as being at risk of damage before commencement of any work that could impact on the subject surface / subsurface structure. The results of the surveys must be documented in a Preconstruction Condition Survey Report for each item surveyed. Copies of Pre-construction Condition Survey Reports must be provided to the relevant owners of the items surveyed in the vicinity of the proposed work, and no later than one (1) month before the commencement of the work that could impact on the subject surface / subsurface structure.</p>	<p>Interview with auditees, 27/09/2022</p> <p>Property Condition Survey Master Register</p>	<p>Interview with auditees, 28/09/2022</p> <p>Dilap Survey Master Register, AFJV, 03/02/2022</p> <p>Pre-construction property condition survey report - 115 Queen Street North Strathfield</p>	<p>Interview with auditees, 27/09/2022</p> <p>Pre-Construction Condition Survey Summary Register</p>	<p>Evidence provided demonstrates that Pre-construction Condition Surveys have been conducted in accordance with D60.</p> <p>Copies of Pre-construction Condition Survey Reports were provided to the relevant owners of the items surveyed in the vicinity of the proposed work, and no later than one (1) month before the commencement of the work that could impact on the subject surface / subsurface structure.</p>	Compliant
D61	<p>Condition surveys of all items for which condition surveys were undertaken in accordance with Condition D60 of this schedule must be undertaken by a suitably qualified and experienced person after completion of the work identified in Condition D60 of this schedule. The results of the surveys must be documented in a Post-construction Condition Survey Report for each item surveyed. Copies of Post-construction Condition Survey Reports must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure unless otherwise agreed by the Planning Secretary.</p>	<p>Post-construction condition surveys not yet triggered for the Phase A (Quickway) works as they are not yet completed.</p>	<p>Post-construction condition surveys not triggered as Phase B1 (AFJV) works have only just commenced construction.</p>	<p>Post-construction condition surveys not triggered for Phase C1 (Delta) works – to be carried out following completion of works.</p>	<p>Post-construction condition surveys not yet triggered for the Project.</p> <p>Note: Evidence to follow: Copies of Post-construction Condition Survey Reports must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure</p>	Not triggered
D62	<p>The Proponent, where liable, must rectify any property damage caused directly or indirectly (for example from vibration or from groundwater change) by the work at no cost to the owner. Alternatively, the Proponent may pay compensation for the property damage as agreed with the property owner. Rectification or compensation must be undertaken within 12 months of completion of the work identified in Condition D60 of this schedule unless another timeframe is agreed with the owner of the affected surface or sub-surface structure or recommended by the IPIAP.</p>	<p>Interview with auditees, 27/09/2022</p> <p>Records to be finalized after the Post Construction Dilapidation.</p>	<p>Interview with auditees, 28/09/2022</p> <p>Sydney Metro and AFJV advised that there has been no property damage to date as a result of the Phase B1 (AFJV) works.</p>	<p>Interview with auditees, 27/09/2022</p> <p>Sydney Metro and Delta advised that there has been no property damage to date as a result of the Phase C1 (Delta) works.</p> <p>Heritage building refer to incident report.</p>	<p>No complaints have been referred to the IPIAP to date.</p>	Not triggered
D63	<p>Appropriate equipment to monitor areas in proximity of construction sites and the tunnel route during construction must be installed with particular reference to at risk buildings, structures and utilities identified in the condition surveys required by Condition D60 of this schedule and / or geotechnical analysis as required. If monitoring during construction indicates exceedance predicted impacts identified in the documents in Condition A1 or determined through geotechnical analysis of the vibration criteria identified in the DNVIS prepared under Condition D43 of this schedule, then all construction affecting settlement must cease immediately and must not resume until fully rectified or a revised method of construction is established that will ensure protection of affected buildings.</p>	<p>Interview with auditees, 27/09/2022</p> <p>Site inspection, 23/09/2022</p> <p>Quickway Noise and Vibration Monitoring Reports – https://drive.google.com/file/d/1nf_W6J6sLtYTLwOXGJRB-q8CPLaFrXAk/view</p>	<p>Interview with auditees, 27/09/2022</p> <p>Site inspection, 28/09/2022</p> <p>Vibration monitors installed at each site during bulk excavation.</p>	<p>Interview with auditees, 27/09/2022</p> <p>Site inspection, 23/09/2022</p> <p>Vibration monitors installed at each site during structural demolition. Monitoring by Osterman sighted.</p> <p>Refer to vibration monitoring in Quarterly Reports 1 & 2.</p>	<p>Evidence of vibration monitoring being conducted in line with the conditions provided for each phase of works. See also C16 and D46.</p>	Compliant

D64	An Independent Property Impact Assessment Panel (IPIAP) must be established. The Planning Secretary must be informed of the members of the IPIAP and the IPIAP must comprise geotechnical and engineering experts independent of the design and construction team. The IPIAP will be responsible for independently verifying condition surveys undertaken under Conditions D60 and D61 of this schedule, the resolution of property damage disputes and the establishment of ongoing settlement monitoring requirements.	Letter from Sydney Metro to DPE regarding formation of IPIAP, 03/02/2022 Record of submission to DPE, SSI-10038-PA-108, 03/02/2022 Quickway – Sydney Metro West Power Enabling Works 77 Mansfield St Alleged Property Damaged Complaint 31/08/2022.			Letter from Sydney Metro to DPE confirms establishment of IPIAP and includes details of members, their qualifications, and statements of independence.	Compliant
D65	Either the affected property owner or the Proponent may refer unresolved disputes arising from potential and/or actual property impacts to the IPIAP for resolution. All costs incurred in the establishing and implementing of the panel must be borne by the Proponent regardless of which party makes a referral to the IPIAP. The findings and recommendations of the IPIAP are final and binding on the Proponent.	Interview with auditees, 27/09/2022 Quickway – Sydney Metro West Power Enabling Works 77 Mansfield St Alleged Property Damaged Complaint 31/08/2022. All complaints will be dealt after the post construction dilapidation reports			Sydney Metro and its contractors advised that no unresolved property-related disputes have occurred to date in relation to the Project works.	Not triggered
D66	Settlement monitoring must be extended if directed so by the IPIAP following its review of the monitoring data from the period not less than six (6) months after settlement has stabilised, consistent with Condition D63 of this schedule. The results of the monitoring must be made available to the Planning Secretary upon request	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Interview with auditees, 27/09/2022 Site inspection, 28/09/2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Settlement monitoring under D63 has not yet commenced as part of the Phase B2 (AFJV) works.	Not triggered.
D67	Community Benefit Plan(s) A Community Benefit Plan(s) must be prepared, by a suitably qualified and experienced person, to guide the delivery of measures identified in the documents listed in Condition A1 of this schedule relating to social impacts and the development of community benefit initiatives. The Community Benefit Plan(s) must aim to: make a positive contribution to the potentially affected community. respond to community priorities and needs. create positive community or environmental outcomes; and prioritise consideration of achieving outcomes for enhancing community character, community culture and the local surroundings. Nothing in this condition prevents the preparation of individual Community Benefit Plans for each station precinct.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	SMW Stage 1 Community Benefit Plan, Sydney Metro, Version 1, December 2021 Record of submission to DPE, SSI-10038-PA-70, 08/11/2021 Approval letter from DPE, 12/11/2021 Updated version is currently on review. SMW CBP is being updated to include the eastern package.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	A Community Benefit Plan has been prepared by Sydney Metro for the project. The plan was submitted to and approved by DPE.	Compliant

D68	The Community Benefit Plan(s) must be submitted to the Planning Secretary for information before construction. The Community Benefit Plan(s) must be implemented for the duration of construction.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>Interview with auditees, 28/09/2022</p> <p>SMW Stage 1 Community Benefit Plan, Sydney Metro, Version 1, December 2021</p> <p>Record of submission to DPE, SSI-10038-PA-70, 08/11/2021</p> <p>Approval letter from DPE, 12/11/2021</p> <p>Notification of Commencement letter – Phase B1, Sydney Metro to DPE, 07/12/2021</p> <p>Record of submission to DPE, SSI-10038-PA-74, 06/12/2021</p>	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	The Community Benefit Plan(s) was submitted to the Planning Secretary for information before construction. The Community Benefit Plan(s) is being implemented for the duration of construction.	Compliant
D69	Potential impacts on the operation of festivals or events at Parramatta, Sydney Olympic Park or Five Dock must be limited as reasonably practicable.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>Interview with auditees, 28/09/2022</p> <p>Records of evidence that this was complied.</p> <p>Recently Festival at Five Dock which involved closing of the large part of five dock. AFJV had meeting with the Council and meeting minutes to be provided,</p> <p>FIBA in Sydney Olympic – road closure for two weeks and AFJV coordinated with SOFA and alternate haulage route.</p> <p>Meeting name SOPA Interface Meeting, Time/date 11:00am, 6/04/2022</p>	Interview with auditees, 28/09/2022	Potential impacts on the operation of festivals or events at Parramatta, Sydney Olympic Park or Five Dock were limited as reasonably practicable.	Compliant

D70	<p>Small Business Owners Engagement Plans</p> <p>Small Business Owners Engagement Plan(s) must be implemented in accordance with the Overarching Community Communication Strategy to minimise impact on small businesses adjacent to major construction sites during construction of Stage 1 of the CSSI. These plans must be prepared and submitted to the Planning Secretary for information before construction at the relevant construction site.</p>	<p>Very High Risk</p> <p>Small Business Owners Engagement Plan – Rozelle 33kv Power Supply Works, Sydney Metro, Version 4, May 2021</p> <p>Email from DPE to Sydney Metro acknowledging receipt of Small Business Owners Engagement Plan, dated 27/05/2021</p>	<p>CTP Small Business Owners Engagement Plan, AFJV, Rev B, December 2021</p> <p>Record of submission to DPE, SSI-10038-PA-92, 23/12/2021</p> <p>Twelve monthly reviews for this year and it will be six months as per the revised OCCS.</p> <p>Consultation Manager and Monthly Progress report included Construction Report.</p> <p>Five docks. – progress report for August and September</p> <p>Community Benefits Implementation Plan</p> <p>SMWSTCTP-AFJ-1NL-SB-PLN-000003 Revision 02 Sydney Metro West – Central Tunnelling Package Rev 2 20/9/2022</p>	<p>Small Business Owners Engagement Plan – Parramatta and Clyde Enabling Works, Sydney Metro Version 1, October 2021</p> <p>Approval letter from DPE to Sydney Metro re: Small Business Owners Engagement Plan – Parramatta and Clyde Enabling Works, dated 13/10/2021</p>	<p>Small Business Owners Engagement Plans have been prepared for each phase of the Project and submitted to the Planning Secretary in line with D70.</p> <p>Regarding timing of submission, it is noted that Sydney Metro notified DPE on 06/12/2021 that construction would commence on Phase B1 works on 10/12/2021. However, the auditees advised that construction on Phase B1 works actually commenced on 10/01/2022. This is addressed in A38.</p>	Compliant
Soils and Contamination		High Risk				
D71	<p>Contaminated Sites</p> <p>Before commencement of any construction that would result in the disturbance of moderate to high risk contaminated sites as identified in the documents identified in Condition A1 of this schedule, Detailed Site Investigations (for contamination) must be conducted to determine the full nature and extent of the contamination. The Detailed Site Investigation Report(s) and the subsequent report(s) must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP (SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Detailed Site Investigations must be undertaken in accordance with guidelines made or approved under section 105 of Contaminated Land Management Act 1997 (NSW). The Detailed Site Investigation for Sydney Olympic Park metro construction site must be prepared in consultation with SOPA.</p> <p><i>Note: Nothing in this condition prevents the Proponent from preparing individual Detailed Site Investigation Reports (for contamination) for separate sites.</i></p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.2, Sydney Metro, 01/10/2021.</p>	<p>Detailed Site Investigation – The Bays Construction Site, ERM, Rev 03, 14/01/2022</p> <p>Station Box Detailed Site Investigation – The Bays, ERM, Draft 1.0, 28/01/2022</p> <p>Letter – Interim Site Audit Advice Site Auditor Endorsement of Station Box Detailed Site Investigation the Bays Construction Site, Sydney Metro West, Enviroview Pty Ltd, 08/02/2022</p> <p>Five Dock Station Detailed Site Investigation, Epic Environmental, Rev 0, 18/02/2022</p> <p>All DSI for all boxes are now completed.</p> <p>211203 DSI The Bays (Rev00)</p> <p>220128 TBY DSI Inside Station Box_(R3)</p>	<p>Detailed Site Investigation –Westmead, ADE Consulting Group, Rev V5F 17/08/2022</p> <p>Detailed Site Investigation –Parramatta, ADE Consulting Group, Rev V.2F, 11/08/2022</p>	<p>For the Phase B1 (AFJV) works, The Bays site has been split across two DSIs – one for the station box footprint and one for all areas outside the station box.</p> <p>The DSIs for The Bays are approved by Sophie Wood, ERM Partner and CEnvP (SC).</p> <p>The Five Dock DSI has been prepared by Morgan Singleton-Fookes, CEnvP (SC).</p> <p>The DSIs prepared for the Phase C1 (Delta) works were reviewed by Dr. Santo Ragusa, Principal Environmental Scientist and CEnvP (SC).</p> <p>Observation:</p> <p>DSI are to be posted on website. Letter dated 27/09/2022 from DPE.</p>	Compliant

D72	<p>Should remediation be required to make land suitable for the final intended land use, a Remedial Action Plan must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP (SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Remedial Action Plan must be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997 (NSW) and must include measures to remediate the contamination at the site to ensure the site will be suitable for the proposed use when the Remedial Action Plan is implemented. The Remedial Action Plan for Sydney Olympic Park metro construction site must be prepared in consultation with SOPA.</p> <p><i>Note: Nothing in this condition prevents the Proponent from preparing individual Remedial Action Plans for separate sites.</i></p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>There are two RAPs</p> <p>-The Bays covering the remedial plans outside the station box. RAP</p> <p>RAP Burwood Station Construction Site dated 21/09/2022 prepared by ERM.</p> <p>RAP The Bays Station dated 25/08/2022 prepared by ERM</p>	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	RAPs were prepared and ongoing implementation was noted.	Compliant
D73	<p>Before commencing remediation, a Section B Site Audit Statement(s) must be prepared by an NSW EPA-accredited Site Auditor that certifies that the Remedial Action Plan(s) is/are appropriate and that the site can be made suitable for the proposed use. The Remedial Action Plan(s) must be implemented and any changes to the Remedial Action Plan(s) must be approved in writing by the NSW EPA-accredited Site Auditor.</p> <p><i>Note: Nothing in this condition prevents the Proponent from engaging an NSW EPA-accredited Site Auditor to prepare individual Site Audit Statements for Remedial Action Plans for separate sites.</i></p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>Site Auditor – Mr. James Davis of Enviroview (NSW EPA Accreditation number: 0301)</p> <p>Site Audit Statement was released for the Bays Station dated 7/09/2022</p> <p>Validation Consultation ERM</p> <p>Weekly meetings were set up with SM and AFJV for Burwood and SOP.</p>	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	The Bays Rap has been activated and ERM are conducting the validation reporting.	Compliant
D74	<p>Validation Report(s) must be prepared in accordance with Consultants Reporting on Contaminated Land: Contaminated Land Guidelines (EPA, 2020) and relevant guidelines made or approved under section 105 of the Contaminated Land Management Act 1997 (NSW).</p> <p><i>Note: Nothing in this condition prevents the Proponent from preparing individual Validation Reports for separate sites.</i></p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Interview with auditees, 28/09/22	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Validation Reporting is not yet required for the Phase B1 (AFJV) works.	Not triggered
D75	<p>A Section A1 or Section A2 Site Audit Statement (accompanied by an Environmental Management Plan) and its accompanying Site Audit Report, which state that the contaminated land disturbed by the work has been made suitable for the intended land use, must be submitted to the Planning Secretary, SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) after remediation and before the commencement of operation of the CSSI.</p> <p><i>Note: Nothing in this condition prevents the Proponent from obtaining Section A Site Audit Statements for individual parcels of remediated land.</i></p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Interview with auditees, 28/09/22	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Validation Reporting is not yet required for the Phase B1 (AFJV) works.	Not triggered

D76	A copy of Detailed Site Investigation Report(s), Remedial Action Plan(s), Validation Report(s), Site Audit Report(s), and Site Audit Statement(s) must be submitted to the Planning Secretary, SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) for information.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Email to DPE dated 22/07/2022 noting that DSI RAPs and SAS will be submitted in packages Package 1 and Package 2 to be to be Validation Reports SARs A1 and SAS A2 DPE responded 25/07/2022.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	DSI RAPs and SAS were submitted in packages Package 1 and Package 2 with Validation Reports SARs A1 and SAS A2. Note: A copy of Detailed Site Investigation Report(s), Remedial Action Plan(s), Validation Report(s), Site Audit Report(s), and Site Audit Statement(s) must be submitted SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) for information.	Compliant
D77	An Unexpected Contaminated Land and Asbestos Finds Procedure must be prepared before the commencement of construction and must be followed should unexpected, contaminated land or asbestos (or suspected contaminated land or asbestos) be excavated or otherwise discovered during construction.	SMW Power Enabling Works Construction Environmental Management Plan (Appendix H: Soil and Water Management Plan), Quickway, Rev 1.0, 02/11/2021	SMW CTP Works Soil and Water Management Plan (Appendix E: Contamination and Asbestos Unexpected Finds Procedure), AFJV, Rev 3, 03/11/2021 Refer to website	SMW Demolition Works Construction Environmental Management Plan (Appendix C: Additional Environmental Procedures), Delta, Revision 6, 22/12/2021	Contamination and Asbestos Unexpected Finds Procedures are in place for each phase of the Project, incorporated into the approved management plans referenced.	Compliant
D78	The Unexpected Contaminated Land and Asbestos Finds Procedure must be implemented throughout construction.	SMW Power Enabling Works Construction Environmental Management Plan (Appendix H: Soil and Water Management Plan), Quickway, Rev 1.0, 02/11/2021 Waste Classification Report – SMW Power Enabling Works, Alliance Geotechnical and Environmental Solutions, dated 02/02/2022 Asbestos containing material was found at the Robert Street on 8/6/2022 Asbestos clearance conducted by Alliance Asbestos Clearance Certificated Ref: 12843-ER-2-2 dated 8/6/2022.	SMW CTP Works Soil and Water Management Plan (Appendix E: Contamination and Asbestos Unexpected Finds Procedure), AFJV, Rev 3, 03/11/2021 SWM CTP Contamination Unexpected Finds Register, AFJV, last entry 07/02/2022 No unexpected finds to date.	SMW Demolition Works Construction Environmental Management Plan (Appendix C: Additional Environmental Procedures), Delta, Revision 6, 22/12/2021 Environmental Incident and Non-compliance Notification Report – Clyde Unexpected Contamination Find, Sydney Metro, 25/02/2022 Site inspection, 23/09/2022 Interview with auditees, 27/09/2022 Storage tank was found in Parramatta. Decommissioned in-situ and copy of report provided to Council.	One unexpected asbestos find has occurred on the Phase A (Quickway) works at Robert Street, Rozelle, as summarised in the waste classification report referenced. Four unexpected asbestos finds have been discovered during the Phase A (AFJV) works, recorded in the Contamination Unexpected Finds Register. An unexpected find was also discovered at the Clyde worksite (Phase C1 (Delta) works) on 25/02/2022. The find was an underground fuel tank that had filled with water due to heavy rainfall and bubble to the surface with hydrocarbons. Observed controls put in place to contain the contaminated water. Delta advised that the event was notified to the relevant authorities (including the EPA) and emergency services were called to site. Refer to site photos in Appendix D. See also A43 and A44.	Compliant
Sustainability						

D79	<p>A Water Reuse Strategy must be prepared, which sets out options for the reuse of collected stormwater and groundwater during Stage 1 of the CSSI. The Water Reuse Strategy must include, but not be limited to:</p> <ul style="list-style-type: none"> evaluation of reuse options. details of the preferred reuse option(s), including volumes of water to be reused, proposed reuse locations and/or activities, proposed treatment (if required), and any additional licences or approvals that may be required. measures to avoid misuse of recycled water as potable water. consideration of the public health risks from water recycling; and time frame for the implementation of the preferred reuse option(s). <p>The Water Reuse Strategy must be prepared based on best practice and advice sought from relevant agencies, as required. The Strategy must be applied during construction.</p> <p>Justification must be provided to the Planning Secretary if it is concluded that no reuse options prevail.</p> <p>A copy of the Water Reuse Strategy must be made publicly available.</p> <p>Nothing in this condition prevents the Proponent from preparing separate Water Reuse Strategies for the construction phases of Stage 1 of the CSSI.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>CTP Water Reuse Strategy, AFJV, Rev 02, 14/12/2021</p> <p>https://proaccionaau.blob.core.windows.net/media/55tqdbcf/ctp-water-reuse-strategy.pdf</p>	<p>Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>The Water Reuse Strategy addresses the requirements of D79. A copy of the Water Reuse Strategy for the Phase B1 (AFJV) works is available on AFJV's project website.</p>	<p>Compliant</p>
<p>Traffic and Transport</p>						

D80	<p>Access to all utilities and properties must be maintained during works, unless otherwise agreed with the relevant utility owner, landowner, or occupier.</p>	<p>SMW Power Enabling Works Overarching Construction Traffic Management Plan, Quickway, Version B, 21/05/2021</p> <p>SMW Power Enabling Works Construction Parking and Access Strategy, Rev 1.0, dated 25/06/2021</p> <p>Quickway - TTLG Presentation 30 June 2022</p> <p>For the Phase A (Quickway) works, alternative parking has been provided at the Rozelle Substation for local residents impacted by the works.</p>	<p>SMW CTP Overarching Construction Traffic Management Plan, AFJV, Rev 03, 24/01/2022</p> <p>SMW CTP Construction Parking and Access Strategy, AFJV, Rev 1, 15/12/2021</p> <p>TTLG meeting minutes #14 to 19 were sighted</p> <p>Regular Monthly Meeting 29/09/2022 every last Thursday of the month.</p> <p>Agenda goes out Wednesday prior the meeting.</p> <p>Presentations were provided by the Contractors.</p> <p>TTLG meeting minutes is being issues Wednesday after the meeting.</p> <p>No action to be done on the last meeting minutes. 29/09/2022.</p> <p>TCG- meeting fortnightly meeting as required latest meeting 06/10/2022 specific for each site.</p> <p>15/092022 TCG meeting minutes. All actions are getting close the following meeting and being monitored accordingly.</p>	<p>SMW Demolition Construction Traffic Management Plan – Clyde, Delta, Rev 2, 13/10/2021</p> <p>SMW Demolition Construction Traffic Management Plan – Parramatta, Delta, Rev 2, 14/10/2021</p> <p>SMW Demolition Construction Traffic Management Plan – Westmead, Delta, Rev 2, 19/10/2021</p> <p>SMW Demolition Construction Parking and Access Strategy – Parramatta, Delta, Rev 4, 09/12/2021</p> <p>SMW Demolition Construction Parking and Access Strategy – Clyde, Delta, Rev 3, 11/10/2021</p> <p>SMW Demolition Construction Parking and Access Strategy – Westmead, Rev 4, 12/10/2021</p>	<p>Maintenance of access is addressed in project Construction Traffic Management Plans (CTMPs) and Construction Parking and Access Strategies (CPAS).</p> <p>Some complaints have been raised in relation to parking and access, the majority of which were deemed unavoidable and all of which have been closed.</p>	<p>Compliant</p>
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D81	Any property access physically affected by the CSSI must be reinstated to at least an equivalent standard, unless otherwise agreed by the landowner or occupier. Property access must be reinstated within one (1) month of the work that physically affected the access is completed or in any other timeframe agreed with the landowner or occupier.	SMW Power Enabling Works Overarching Construction Traffic Management Plan, Quickway, Version B, 21/05/2021 Site inspection, 23/09/2022 For the Phase A (Quickway) works, there have been some impacts to access of residents' driveways and on-street parking. Alternative parking has been provided at the Rozelle Substation for local residents impacted by the works. This is addressed in section 5.2 of the OCTMP for these works. No access impacts were observed during the site inspection, with access having been reinstated.	Interview with auditees, 28/09/2022 Not Triggered for CTP	Interview with auditees, 27/09/2022 Site inspection, 23/09/2022 Part of the post construction dilap report and rectification	The auditees advised that D81 has not been triggered for the Phase B1 (AFJV) and Phase C1 (Delta) works.	Compliant
D82	Construction vehicles (including light vehicles) must not use Robert Street, Rozelle to access The Bays metro station construction site, unless required in the event of an emergency or in association with the delivery of the Rozelle power supply from the Rozelle sub-transmission substation to The Bays metro station construction site.	SMW Power Enabling Works Overarching Construction Traffic Management Plan, Quickway, Version B, 21/05/2021 Interview with auditees, 27/09/2022 Site inspection, 23/09/2022	SMW CTP Overarching Construction Traffic Management Plan, AFJV, Rev 04, 19/08/2022 CIP Approval 26/08/2022 Interview with auditees, 27/09/2022 Site inspection, 23/09/2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Restrictions around the use of Robert Street for the Phase B1 (AFJV) works are detailed in section 4.7 of the approved OTCMP. The Bays site is accessed via Solomons Way and exited via Sommerville Road. Use of Robert Street, Rozelle is permitted for delivery of the Phase A (Quickway) works.	Compliant
D83	The locations of all Heavy Vehicles used for spoil haulage must be monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	Interview with auditees, 27/09/2022 Site inspection, 23/09/2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	The locations of all Heavy Vehicles used for spoil haulage were monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.	Compliant

D84	The primary egress routes for spoil haulage trucks at Sydney Olympic Park metro station construction site must be determined in consultation with SOPA.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	<p>Interview with auditees, 27/09/2022</p> <p>Site inspection, 23/09/2022</p> <p>FW_ Sydney Metro West - CTP - Construction Traffic Management Plan - Sydney Olympic Park - Revision 01 - Issued for Approval</p> <p>SOPA are invited on the TTLG refer to TTLG meeting minutes.</p> <p>Heavy Vehicle Access Route response from SOPA 25/11/21</p>	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	The primary egress routes for spoil haulage trucks at Sydney Olympic Park metro station construction site were determined in consultation with SOPA.	Compliant
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D85	<p>Construction Traffic Management Plans (CTMPs) must be prepared in accordance with the Construction Traffic Management Framework. A copy of the CTMPs must be submitted to the Planning Secretary for information before the commencement of any construction in the area identified and managed within the relevant CTMP.</p>	<p>SMW Power Enabling Works Overarching Construction Traffic Management Plan, Quickway, Version B, 21/05/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Power Enabling Works OCTMP, dated 26/07/2021</p> <p>Manning Street to Darling Street Trenching Works, Construction Traffic Management Plan, Version B, 21/06/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Manning Street to Darling Street CTMP, dated 28/07/2021</p> <p>Darling Street Trenching and Under-bore, Construction Traffic Management Plan, Version B, 03/06/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Darling Street CTMP, dated 28/07/2021</p> <p>Merton Street to Mullen Street – Trenching, Construction Traffic Management Plan, Version C, 08/06/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Merton Street to Mullen Street CTMP, dated 30/07/2021</p> <p>Mullen Street to The Bays Station Trenching and Thrust-bore, Construction Traffic Management Plan, Version C, 08/06/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Mullen Street to The Bays CTMP, dated 30/07/2021</p>	<p>SMW CTP Overarching Construction Traffic Management Plan, AFJV, Rev 03, 24/01/2022</p> <p>Letter from DPE to Sydney Metro re: receipt of CTP OCTMP, dated 03/02/2022</p> <p>Observation: Revision 4 dated 26/08/2022 must be submitted to DPE.</p>	<p>SMW Demolition Construction Traffic Management Plan – Clyde, Delta, Rev 2, 13/10/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Clyde CTMP, dated 08/10/2021</p> <p>SMW Demolition Construction Traffic Management Plan – Parramatta, Delta, Rev 2, 14/10/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Parramatta CTMP, dated 13/10/2021</p> <p>SMW Demolition Construction Traffic Management Plan – Westmead, Delta, Rev 2, 19/10/2021</p> <p>Letter from DPE to Sydney Metro re: receipt of Westmead CTMP, dated 28/10/2021</p>	<p>CTMPs have been prepared for each phase of the Project, addressing the requirements of the CTMF. Evidence demonstrates CTMPs have been provided to the Planning Secretary for information.</p>	Compliant
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D86	Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 of this schedule must be approved by the Planning Secretary and be included in the CTMPs.	<p>SMW Power Enabling Works Heavy Construction Vehicle use of Local Roads Request, Delta, Rev 1.0, 25/06/2021</p> <p>Approval letter from DPE to Sydney Metro, dated 05/07/2021</p>	<p>Sydney Olympic Park Heavy Vehicle Route, AFJV, Rev 02, 22/12/2021</p> <p>Approval letter from DPE to Sydney Metro re: SOPA HLVR, dated 14/01/2022</p> <p>East Street and Henry Street Five Dock Heavy Vehicle Route, AFJV, Rev 1, 09/02/2022</p> <p>East Street and Henry Street Five Dock Heavy Vehicle Route Rev 4 dated 29 August 2022 and approved by Department on 2/09/2022</p> <p>Burwood Road Milton Street and Shaftesbury HVLR Rev 0 approved by Department on 11/05/2022</p> <p>There two NCR in Five docks – refer to Copy of NCRs</p>	<p>SMW Demolition Works Heavy Vehicle Local Road Report Clyde, Delta, Rev 4, 12/10/2021</p> <p>Letter from DPE to Sydney Metro re: Clyde HVLR approval, dated 15/10/2021</p> <p>SMW Demolition Works Heavy Vehicle Local Road Report Parramatta, Delta, Rev 3, 07/10/2021</p> <p>Letter from DPE to Sydney Metro re: Parramatta HVLR approval, dated 19/10/2021</p> <p>SMW Demolition Works Heavy Vehicle Local Road Report Westmead, Delta, Rev 2, 27/10/2021</p> <p>Letter from DPE to Sydney Metro re: Westmead HVLR approval, dated 03/11/2021</p>	<p>Self-Reported Non-compliant</p> <ul style="list-style-type: none"> NCR004 (Phase B1) - CoA D86 & D87 – using local road for heavy vehicle access on 11&12 April 2022 NCR-008 – D86 – 22/06/22 - A temporary driveway has been installed to facilitate worksite entry from Great North Rd. <p>The Driveway is narrow and cannot be widened. As described within the approved CTMP, trucks are to head Northbound on Great North Rd then enter the driveway via a left hand turn. However, due to the driveway being narrow a truck is unable to easily utilise a turn left into the driveway and was instead having to drive past the driveway and reverse in. This had the potential to hold up non-construction traffic also utilising this road, and a reversing manoeuvre is more undesirable from a safety perspective.</p> <p>It was determined that driving Southbound on Great North Road and turning right into the driveway would allow a sufficient swept path area to perform the manoeuvre in a forward direction, thus eliminate the need for reversing and increase the safety to the public.</p> <p>A decision was made from a safety perspective to immediately implement the Southbound right turn once this issue was identified, without the required CTMPs being updated to reflect this adaptation.</p>	Non-Compliant
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D87	<p>All requests to the Planning Secretary for approval to use local roads under Condition D86 above must include the following:</p> <ul style="list-style-type: none"> • a swept path analysis; • demonstration that the use of local roads by Heavy Vehicles for the CSSI will not compromise the safety of pedestrians and cyclists of the safety of two-way traffic flow on two-way roadways; • details as to the date of completion of the road dilapidation surveys for the subject local roads; and • measures that will be implemented to avoid where practicable the use of local roads past schools, aged care facilities and childcare facilities during their peak operation times; and • written advice from an appropriately qualified professional on the suitability of the proposed Heavy Vehicle route which takes into consideration items (a) to(d) of this condition. 	<p>SMW Power Enabling Works Heavy Construction Vehicle use of Local Roads Request, Delta, Rev 1.0, 25/06/2021</p> <p>Approval letter from DPE to Sydney Metro, dated 05/07/2021</p> <p>ROL 1894828.</p>	<p>Sydney Olympic Park Heavy Vehicle Route, AFJV, Rev 02, 22/12/2021</p> <p>Approval letter from DPE to Sydney Metro re: SOPA HLVR, dated 14/01/2022</p>	<p>SMW Demolition Works Heavy Vehicle Local Road Report Clyde, Delta, Rev 4, 12/10/2021</p> <p>Letter from DPE to Sydney Metro re: Clyde HVLR approval, dated 15/10/2021</p> <p>SMW Demolition Works Heavy Vehicle Local Road Report Parramatta, Delta, Rev 3, 07/10/2021</p> <p>Letter from DPE to Sydney Metro re: Parramatta HVLR approval, dated 19/10/2021</p> <p>SMW Demolition Works Heavy Vehicle Local Road Report Westmead, Delta, Rev 2, 27/10/2021</p> <p>Letter from DPE to Sydney Metro re: Westmead HVLR approval, dated 03/11/2021</p>	<p>All requests for approval to use local roads under Condition D86 have been approved by the Planning Secretary.</p>	Compliant
D88	<p>Road Dilapidation</p> <p>Before any local road is used by a Heavy Vehicle for the purposes of construction of Stage 1 of the CSSI, a Road Dilapidation Report must be prepared for the road. A copy of the Road Dilapidation Report must be provided to the Relevant Road Authority(s) within three (3) weeks of completion of the survey and at no later than one (1) month before the road being used by Heavy Vehicles associated with the construction of Stage 1 of the CSSI.</p>	<p>SMW Power Enabling Works Heavy Construction Vehicle use of Local Roads Request (Appendix I), Delta, Rev 1.0, 25/06/2021</p> <p>Email correspondence detailing submission of dilapidation reports to Council, 16/04/2021</p>	<p>TeamBinder transmittal, Road Dilapidation Report – Burwood Council, 07/12/2021</p> <p>TeamBinder transmittal, Road Dilapidation Report – City of Canada Bay, 06/12/2021</p> <p>TeamBinder transmittal, Road Dilapidation Report – Ports Authority, 05/01/2022</p> <p>TeamBinder transmittal, Road Dilapidation Report – SOPA, 07/12/2021</p> <p>TeamBinder transmittal, Road Dilapidation Report – TfNSW, 17/12/2021</p> <p>Interview with auditees, 28/09/22</p>	<p>SMW Demolition Works Heavy Vehicle Local Road Report Clyde, Delta, Rev 4, 12/10/2021</p> <p>SMW Demolition Works Heavy Vehicle Local Road Report Parramatta, Delta, Rev 3, 07/10/2021</p> <p>SMW Demolition Works Heavy Vehicle Local Road Report Westmead, Delta, Rev 2, 27/10/2021</p> <p>Email correspondence providing Parramatta & Clyde Enabling Works Road Dilapidation Report to representatives from City of Parramatta Council and Cumberland City Council, dated 02/10/2021</p>	<p>Evidence provided indicates that road dilapidation surveys have been undertaken in accordance with D88.</p>	Compliant
D89	<p>If damage to roads occurs as a result of the construction of Stage 1 of the CSSI, the Proponent must either (at the Relevant Road Authority's discretion): (a) compensate the Relevant Road Authority for the damage so caused; or (b) rectify the damage to restore the road to at least the condition it was in pre-work as identified in the Road Dilapidation Report.</p>	<p>Interview with auditees, 27-28/09/22</p> <p>Site inspection, 23/09/22</p> <p>Part of the Post Dilapidation Reports and treatment as required.</p>	<p>Not triggered at this stage for the Project works.</p>	Not triggered		

D90	<p>Construction Parking and Access Management</p> <p>Vehicles associated with the project workforce (including light vehicles and Heavy Vehicles) must be managed to:</p> <ul style="list-style-type: none"> minimise parking on public roads. minimise idling and queueing on state and regional roads. not carry out marshalling of construction vehicles near sensitive land user(s). not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs 	<p>SMW Power Enabling Works Construction Parking and Access Strategy, Rev 1.0, dated 25/06/2021</p> <p>SMW Power Enabling Works Overarching Construction Traffic Management Plan, Quickway, Version B, 21/05/2021</p> <p>Site inspection, 23/02/2022</p>	<p>SMW CTP Construction Parking and Access Strategy, AFJV, Rev 1, 15/12/2021</p> <p>Site inspection, 23/09/22</p>	<p>SMW Demolition Construction Parking and Access Strategy – Parramatta, Delta, Rev 4, 09/12/2021</p> <p>SMW Demolition Construction Parking and Access Strategy – Clyde, Delta, Rev 3, 11/10/2021</p> <p>SMW Demolition Construction Parking and Access Strategy – Westmead, Rev 4, 12/10/2021</p> <p>Site inspection, 28/09/2022</p>	<p>Parking and access management aspects are communicated to Project personnel.</p> <p>Monitoring of construction parking and access management is considered as part of ER inspections.</p> <p>Some complaints have been raised in relation to parking and access, the majority of which were deemed unavoidable and all of which have been closed.</p>	Compliant
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D91	<p>A Construction Parking and Access Strategy must be prepared to identify and mitigate impacts resulting from on- and off-street parking changes during construction. The Construction Parking and Access Strategy must include, but not necessarily be limited to:</p> <ul style="list-style-type: none"> achieving the requirements of Condition D90 above. confirmation and timing of the removal of on- and off-street parking associated with construction of Stage 1 of the CSSI. parking surveys of all parking spaces to be removed or occupied by the project workforce to determine current demand during peak, off-peak, school drop off and pickup, weekend periods and during special events. consultation with affected stakeholders utilising existing on- and off-street parking stock which will be impacted as a result of construction. assessment of the impacts to on- and off-street parking stock taking into consideration, occupation by the project workforce, outcomes of consultation with affected stakeholders and considering the impacts of special events. identification of reasonable and practicable mitigation measures to manage impacts to stakeholders as a result of on- and off-street parking changes including, but not necessarily limited to, staged removal and replacement of parking, provision of alternative parking arrangements, managed staff parking arrangements and working with relevant council(s) to introduce parking restrictions adjacent to work sites and compounds or appropriate residential parking schemes. where residential parking schemes already exist, off-road parking facilities must be provided for the project workforce. mechanisms for monitoring, over appropriate intervals (not less than 6 months), to determine the effectiveness of implemented mitigation measures. details of shuttle bus service(s) to transport the project workforce to construction sites from public transport hubs and off-site car parking facilities (where these are provided) and between construction sites. provision of contingency measures should the results of mitigation or monitoring indicate implemented measures are ineffective; and provision of reporting of monitoring results to the Planning Secretary and Relevant Council(s) at six (6) monthly intervals. 	<p>SMW Power Enabling Works Construction Parking and Access Strategy, Rev 1.0, dated 25/06/2021</p>	<p>SMW CTP Construction Parking and Access Strategy, AFJV, Rev 1, 15/12/2021</p> <p>DPE approval letter (to Sydney Metro) for SMW CTP CPAS, 21/12/2021</p> <p>Minutes – Interface Meeting with City of Canada Bay Council, dated 22/02/2022</p>	<p>SMW Demolition Construction Parking and Access Strategy – Parramatta, Delta, Rev 4, 09/12/2021</p> <p>SMW Demolition Construction Parking and Access Strategy – Clyde, Delta, Rev 3, 11/10/2021</p> <p>SMW Demolition Construction Parking and Access Strategy – Westmead, Rev 4, 12/10/2021</p>	<p>Construction Parking and Access Strategies have been prepared for each phase of works in line with D91.</p> <p>It is noted that the DPE approval letter for the CPAS prepared for Phase B1 (AFJV) works notes that consultation with City of Canada Bay Council was conducted at and that an updated CPAS is required to be submitted once this consultation is resolved. AFJV advised that this consultation is ongoing, and that this topic is discussed as part of interface meetings with City of Canada Bay Council (example minutes provided).</p>	Compliant
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D92	The Construction Parking and Access Strategy must be submitted to the Planning Secretary for approval at least one (1) month before the commencement of any construction that reduces the availability of existing parking. The approved Construction Parking and Access Strategy must be implemented before impacting on on-street parking and incorporated into the CTMPs.	DPE lodgement record, SSI-10038-PA-17, 31/05/2021 CPAS approval Letter from DPE to Sydney Metro, 02/07/2021 Notification of Commencement letter – Phase A, Sydney Metro to DPE, 30/08/2021 Site inspection, 23/09/2022	DPE lodgement record, SSI-10038-PA-72, 26/11/2021 CTP CPAS approval letter from DPE to Sydney Metro, dated 21/12/2021 Site inspection,	DPE lodgement record (Clyde CPAS), SSI-10038-PA-46, 18/09/2021 Letter from DPE to Sydney Metro re: Clyde CPAS approval, dated 18/10/2021 DPE lodgement record (Parramatta CPAS), SSI-10038-PA-48, 21/09/2021 Letter from DPE to Sydney Metro re: Parramatta CPAS approval, dated 15/12/2021 DPE lodgement record (Westmead CPAS), SSI-10038-PA-49, 22/09/2021 Letter from DPE to Sydney Metro re: Westmead CPAS approval, dated 20/10/2021 Notification of Commencement letter – Phase C1, Sydney Metro to DPE, 07/12/2021 Site inspection, 38/09/2022	The Construction Parking and Access Strategy were submitted to the Planning Secretary for approval at least one (1) month before the commencement of any construction that reduces the availability of existing parking. The approved Construction Parking and Access Strategy were implemented before impacting on on-street parking and incorporated into the CTMPs.	Compliant
D93	During construction, all reasonably practicable measures must be implemented to maintain pedestrian, cyclist, and vehicular access to, and parking in the vicinity of, businesses and affected properties. Disruptions are to be avoided, and where avoidance is not possible, minimised. Where disruption cannot be minimised, alternative pedestrian, cyclist and vehicular access, and parking arrangements must be developed in consultation with affected businesses and implemented before the disruption. Adequate signage and directions to businesses must be provided before, and for the duration of, any disruption.	Site inspection 23/09/22	Site inspection 23/09/22	Site inspection 23/09/22	All reasonably practicable measures were implemented to maintain pedestrian, cyclist, and vehicular access to, and parking in the vicinity of, businesses and affected properties. Disruptions were avoided, and where avoidance is not possible, it was minimised. Where disruption cannot be minimised, alternative pedestrian, cyclist and vehicular access, and parking arrangements were developed in consultation with affected businesses and implemented before the disruption. Adequate signage and directions to businesses were provided before, and for the duration of, any disruption.	Compliant
D94	Road Safety A Traffic and Transport Liaison Group(s) must be established in accordance with the Construction Traffic Management Framework to inform the development of CTMPs.	Sydney Metro West Traffic and Transport Liaison Group – Terms of Reference, Rev 0.3, 27/07/2021 Sydney Metro West TTLG Minutes – Meeting 19 29/09/2022 Sydney Metro West TTLG Minutes – Meeting 18 25/08/2022	TTLG has been established by Sydney Metro. The TTLG meetings are attended by representatives from the contractors delivering each phase of works.	Compliant		

D95	Supplementary analysis and modelling as required by TfNSW and / or the Traffic and Transport Liaison Group(s) must be undertaken to demonstrate that construction and operational traffic can be managed to minimise disruption to traffic network operations including changes to and the management of pedestrian, bicycle and public transport networks, public transport services, and pedestrian and cyclist movements. Revised traffic management measures must be incorporated into the CTMPs.	Interview with auditees, 27-28/09/22			There has been no requirement to conduct supplementary analysis and modelling for construction traffic across the Project to date.	Not triggered
D96	<p>The permanent road works at Clyde / Rosehill must be designed, constructed, and operated with the objective of integrating with existing and proposed road and related transport networks and minimising adverse changes to the safety, efficiency, and accessibility of the networks, and avoid deterioration in peak period levels of service in relation to permanent and operational changes. Design and assessment of related traffic, parking, pedestrian and cycle accessibility impacts and changes shall be undertaken:</p> <ul style="list-style-type: none"> in consultation with, and to the reasonable requirements of the relevant Traffic and Transport Liaison Group. in consideration of existing and future demand, connectivity (in relation to permanent changes), performance and safety requirements. to minimise and manage local area traffic impacts. to ensure access is maintained to property and infrastructure; and to meet relevant design, engineering, and safety guidelines, including Austroads, Australian Standards, and TfNSW requirements. <p>Copies of civil, structural and traffic signal design plans shall be submitted to the Relevant Road Authority for consultation during design development and before completion of construction of Stage 1 of the CSSI.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered

96.1	<p>D96.1 The permanent realignment of Unwin Street and Kay Street must be designed with the objective of not precluding a potential future connection between the M4 Motorway and the Camellia Rosehill Precinct, unless otherwise agreed by the Planning Secretary. The Proponent must provide the Department, in a timely manner, detailed design, engineering and other related documentation to inform its master planning of the precinct. The current road reserve of Unwin Street between Kay Street and the TfNSW Granville Depot driveway (including the A'becketts Creek bridge) must be retained, unless otherwise agreed by the Planning Secretary.</p> <p><i>Note: At the time of approval, the relevant team at the Department is the Metro Central team, within the Planning & Land Use Strategy Division</i></p> <p><i>Note: The intent of this condition is to retain a section of the current road reserve of Unwin Street for future use as a connection between the M4 Motorway and Unwin Street. The M4 connection referenced in this condition does not form part of this Approval and this condition does not require the Proponent to deliver said connection.</i></p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable.	Not triggered
D97	<p>Permanent road works, including vehicular access, signalised intersection works, and works relating to pedestrians, cyclists, and public transport users must be subject to safety audits demonstrating consistency with relevant design, engineering and safety standards and guidelines. Safety audits must be prepared in consultation with the relevant Traffic and Transport Liaison Group before the completion and use of the subject infrastructure and must be made available to the Planning Secretary upon request.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	No permanent road works have commenced at this stage. Works on the WestConnex haulage road at The Bays site is considered an internal haul road and is temporary.	Not triggered

D98	<p>Pedestrian and Cyclist Access</p> <p>Safe pedestrian and cyclist access must be maintained around construction sites during construction. In circumstances where pedestrian and cyclist access are restricted or removed due to construction activities, a proximate alternate route which complies with the relevant standards, must be provided, and signposted before the restriction or removal of the i D98.1 Temporary pedestrian access across the project must be provided as near as practicable to the existing Rosehill Railway Station Footbridge. The access must provide a reasonably direct route between the intersection of James Ruse Drive and Prospect Street and Gate 3 of Rosehill Gardens Racecourse. The access must be safe and open to all users (including the general public).</p> <p>The temporary pedestrian access must be designed in consultation with Australian Turf Club, the relevant landowner and/or Relevant Road Authority, and be implemented before removal of the Rosehill Railway Station Footbridge.</p> <p>Note: Any temporary pedestrian access in the vicinity of the former Rosehill Station which is intended to be made permanent must be designed in consultation with Australian Turf Club and must consider relevant masterplans and strategic planning documents impacted access.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	SMW CTP Overarching Construction Traffic Management Plan, AFJV, Rev 03, 24/01/2022 Site inspection, 23/09/2022	SMW Demolition Construction Traffic Management Plan – Clyde, Delta, Rev 2, 13/10/2021 SMW Demolition Construction Traffic Management Plan – Parramatta, Delta, Rev 2, 14/10/2021 SMW Demolition Construction Traffic Management Plan – Westmead, Delta, Rev 2, 19/10/2021 Site inspection, 28/02/2022	Requirements to maintain pedestrian and cyclist access around worksites is addressed in the referenced management plans. Evidence of measures to address this condition were sighted across the Project worksites during the site inspection. See photos in Appendix D of the Audit Report.	Compliant
D99	<p>Spoil Movement</p> <p>Opportunities to maximise spoil material removal by non-road methods must be investigated and implemented where reasonably practicable to minimise movements by road.</p>	Spoil Management Register – SMW Waste Material Register updated to July 2022.	Spoil Tracking Register and Waste Register and all movement will be via roads.	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.2, Sydney Metro, 01/10/2021. Waste Register including spoil disposal and movement- Custom Tipping Report July 2022 and to be updated to final version to include all the spoil removed until September 2022.	Opportunities investigated for the Phase B1 (AFJV) works but found to be not feasible.	Compliant
D100	<p>Emergency Vehicle Access</p> <p>The Proponent must maintain emergency vehicle access, in consultation with TfNSW, emergency services and NSW Health, to Westmead Hospital at all times throughout Stage 1 of the CSSI. Measures must be outlined in the Construction Parking and Access Strategy required under Condition D91 above.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Interview 27/09/22	Not triggered, no disruption to emergency vehicle access during Phase C1 works.	Not triggered
Utilities Management						

	Utilities, services, and other infrastructure potentially affected by construction must be identified before works affecting the item, to determine requirements for access to, diversion protection, and / or support. The relevant owner(s) and / or provider(s) of services must be consulted to make suitable arrangements for access to diversion, protection, and / or support of the affected infrastructure as required. The Proponent must ensure that disruption to any service is minimised and be responsible for advising local residents and businesses affected before any planned disruption of service.	Utilities Consultation Memo, 3869-SMW-MEMO-008, Quickway, Revision 0, 06/08/2021	SMW CTP Underground Services Investigation Report – The Bays, Veris, 17/02/2022 Utilities Investigation Report – The Bays Worksite, SmarterWX Locate, 27/09/2021 Minutes – Jemena/SM/AFJV Weekly Progress Meeting, 16/12/2021 AFJV Weekly Progress meeting minutes.	Email re: Pole Mounted Service - Temporary removal and reinstatement at Clyde, 19/10/2021 Email from Delta to Endeavour Energy – RFI re: live substation, 21/02/2022 Refer to Asset Owner Register.	Evidence provided demonstrates that works associated with utilities, services, and other infrastructure are being undertaken in consultation with the relevant asset owners. Consultation with third parties is ongoing across the Project to identify preferred work methodologies and where required, protection or additional requirements.	Compliant
D102	Utility Coordination Manager A Utility Coordination Manager must be appointed for the duration of work associated with Stage 1 of the CSSI. The role of the Utility Coordination Manager must include, but not be limited to: the management and coordination of all utility work associated with the delivery of Stage 1 of the CSSI, to ensure respite is provided to the community. providing advice to the Sydney Metro Place Manager regarding upcoming utility work, including the scope of the work and the responsibility for the work; and investigating complaints received from the Community Complaints Mediator or the Project communication team relating to utility work and providing a response as required.	Interview with auditees, 27/09/2022. 20220627- SM-AFJV_Jemena Progress Meeting 20220901 - CTP - Ausgrid Assets SWC Meeting Minutes 04-05-2022			Sydney Metro has appointed the role of Utility Coordination Manager, performed by Paul Rogers, Utilities & Stakeholder Manager. Each contractor provides information to the Utilities Coordination Manager as requested.	Compliant
Urban Design and Visual Amenity						
D103	Ancillary Facilities Wayfinding information must be incorporated on temporary hoardings to guide pedestrians around ancillary facilities and enhance their understanding and experience of the locality and space.	SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021 Site inspection, 23/09/2022	SMW CTP Visual Amenity Management Plan, AFJV, Rev 01, 19/10/2021 Site inspection, 23/09/2022	SMW Demolition Works Construction Environmental Management Plan, Delta, Rev 6, 22/12/2021 Site inspection, 23/09/2022	Some evidence sighted evidence of wayfinding information installed across the Project sites. It is noted that the Project is still in the early stages of construction. See photos in Appendix D of the Audit Report.	Compliant
D104	Nothing in this approval permits advertising on any element of Stage 1 of the CSSI.	SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021 Site inspection, 23/09/2022	SMW CTP Visual Amenity Management Plan, AFJV, Rev 01, 19/10/2021 Site inspection, 23/09/2022	SMW Demolition Works Construction Environmental Management Plan, Delta, Rev 6, 22/12/2021 Site inspection, 23/09/2022	No advertising applied to external elements was observed during the site inspection.	Compliant

D105	<p>Temporary Activations</p> <p>The Proponent must undertake temporary placemaking initiatives for the benefit of the community, such as commercial “pop up” spaces, information booths, art installations, around the perimeter or in the vicinity of construction sites at Parramatta and Five Dock with the objective of temporarily enhancing visual amenity, providing gathering places in the local area, and creating temporary active frontages to construction sites during Stage 1 of the CSSI.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Meeting, Five Dock Activation Discussion, Sydney Metro and City of Canada Bay, 11/11/2021</p> <p>Presentation: Addressing the challenges of the Metro, City of Canada Bay, 11/11/2021</p> <p>Grant opportunity memo, Five Dock Metro mitigation program, 11/11/2021</p> <p>Five Dock – engaged a consultation putting temporary placement initiative – workshop with Canada bay Council – Workshop – Date 16 September 2022</p> <p>Having large screens. Contribute financially to Neon Lights in Five Dock working together with Council.</p>	<p>Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Evidence of consultation with City of Canada Bay regarding opportunities for activation of area in proximity to Five Dock worksite.</p>	Compliant
D106	<p>Five Dock Metro Station Eastern Construction Site</p> <p>The acoustic shed at the Five Dock metro station eastern construction site must be designed and constructed in a manner that minimises visual amenity, solar access, and overshadowing impacts to the residential apartments at 110 Great North Road, Five Dock facing the acoustic shed. The potential visual amenity, solar access, and overshadowing impacts of the acoustic shed on the affected residential apartments must be assessed in a Visual Amenity, Solar Access and Overshadowing Report prepared by the Proponent.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>SMW CTP Five Dock Visual Amenity, Solar Access, and Overshadowing Report, AFJV, Rev B (01), 01/03/2022</p>	<p>Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Addressed in the Solar Access and Overshadowing Report, which has been submitted to the Department. AFJV confirmed that the acoustic sheds at the Five Dock sites were to be installed in June 2022.</p>	Compliant
D107	<p>The Visual Amenity, Solar Access and Overshadowing Report must include:</p> <p>visual amenity impact assessments from the relevant residential apartments to the acoustic shed at the Five Dock metro station eastern construction site.</p> <p>solar access assessments of the relevant residential apartments, with consideration for the relevant development controls in the City of Canada Bay Development Control Plan (Version 4, 21 October 2020) and the Apartment Design Guide; and</p> <p>a consultation plan to detail how potential impacts and mitigation measures will be discussed and negotiated with potentially affected property owners.</p> <p>The Visual Amenity, Solar Access and Overshadowing Report must be provided to the Planning Secretary for approval within (1) month prior to the installation of the acoustic shed at the Five Dock metro station eastern construction site.</p>	<p>Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>SMW CTP Five Dock Visual Amenity, Solar Access, and Overshadowing Report, AFJV, Rev B (01), 01/03/2022</p> <p>Record of submission to DPE, SSI-10038-PA-128, 02/03/2022</p> <p>Approved by the DPE on 29 March 2022</p> <p>Acoustic Shed not yet installed.</p>	<p>Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>Solar Access and Overshadowing Report has been prepared and submitted to the Department. AFJV confirmed that the acoustic sheds at the Five Dock sites were installed in June 2022.</p>	Compliant

D108	Where the acoustic shed causes a moderate (or greater) adverse visual amenity impact and / or unreasonable overshadowing and solar access impacts to any of the subject residential apartments, the Proponent must consult with the relevant affected property owners and occupiers to identify appropriate mitigation measures and an agreed implementation program. A copy of agreed implementation programs must be provided to the Planning Secretary for information.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	SMW CTP Five Dock Visual Amenity, Solar Access, and Overshadowing Report, AFJV, Rev B (01), 01/03/2022	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not triggered based on the outcomes of the investigation detailed in the Solar Access and Overshadowing Report.	Not triggered
D109	Lighting and Security Stage 1 of the CSSI must be constructed with the objective of minimising light spill to surrounding properties including from headlights of construction vehicles. All lighting associated with the construction of Stage 1 of the CSSI must be consistent with the requirements of Australian Standard 4282-1997 Control of the obtrusive effects of outdoor lighting and relevant Australian Standards in the series AS/NZ 1158 – Lighting for Roads and Public Spaces. Additionally, mitigation measures must be provided to manage any residual night lighting impacts to protect properties adjoining or adjacent to the CSSI, in consultation with affected landowners.	SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021 SMW Power Enabling Works Overarching Construction Traffic Management Plan, Quickway, Version B, 21/05/2021 Photos of night works provided by Quickway Interview with auditees, 27/09/22	SMW CTP Visual Amenity Management Plan, AFJV, Rev 01, 19/10/2021 Site inspection, 23/09/2022 Interview with auditees, 28/09/22	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Commitment has been made by Quickway and AFJV to ensure works minimise light spill to surrounding properties and comply with referenced standards. The auditees reported that no lighting related complaints have been received during the audit period.	Compliant
D110	Visual Amenity Stage 1 of the CSSI must be constructed in a manner that minimises visual impacts of construction sites including, providing temporary landscaping and vegetative screening, minimising light spill, minimising impacts to identified significant view lines in respect of The Bays metro station construction site and incorporating architectural treatment and finishes within key elements of temporary structures that reflect the context within which the construction sites are located, wherever practicable.	SMW Power Enabling Works Construction Environmental Management Plan, Quickway, Rev 1.0, 02/11/2021	SMW CTP Visual Amenity Management Plan, AFJV, Rev 01, 19/10/2021 Site inspection, 23/09/2022	SMW Demolition Works Construction Environmental Management Plan, Delta, Rev 6, 22/12/2021	There were minimal opportunities to minimise visual amenity impacts associated with the Phase A (Quickway) works due to the minor and temporary nature of these works. For the Phase B1 (AFJV) works, construction phase visual amenity impacts, and mitigation are discussed in the Visual Amenity Management Plan, with inclusion of site layout plans in Appendix B. Works at The Bays site were consistent with the plan. For the Phase C1 (Delta) works, fencing and hoarding has been installed around the boundary of the sites, including installation of Sydney Metro branded shade cloth.	Compliant
Waste						
High Risk						
D111	Waste generated during construction and operation must be dealt with in accordance with the following priorities: waste generation must be avoided and where avoidance is not reasonably practicable, waste generation must be reduced. where avoiding or reducing waste is not possible, waste must be re-used, recycled, or recovered; and where re-using, recycling, or recovering waste is not possible, waste must be treated or disposed of.	SMW Power Enabling Works Construction Environmental Management Plan (Appendix F – Waste and Spoil Management Plan), Quickway, Rev 1.0, 02/11/2021	SMW CTP Waste Management Plan, AFJV, Rev 01, 22/10/2021 Site inspection, 23/09/2022	SMW Demolition Waste Management Sub-Plan, Delta, Rev 4, 16/12/2021 Site inspection, 23/09/2022 Waste Register	Waste management processes adopted on the Project incorporate the principles of waste avoidance, reduction, reuse, and recycling. Waste storage and management practices sighted during inspection (see photos in Appendix D of Audit Report).	Compliant

D112	The importation of waste and the storage, treatment, processing, reprocessing or disposal of such waste must comply with the conditions of the current EPL for Stage 1 of the CSSI, or be done in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, as the case may be.	SMW Power Enabling Works Construction Environmental Management Plan (Appendix F – Waste and Spoil Management Plan), Quickway, Rev 1.0, 02/11/2021 SMW Power Enabling Works Material Tracking Register	SMW CTP Imported Material Procedure, AFJV, Rev 01, 03/02/2022 SMW CTP Material Import (s143) Register, AFJV, latest entry /2022	Not triggered for the Phase C1 (Delta) works as no waste has been imported to site. Waste classifications were identified in the waste register. ADE Consulting was engaged for the waste classification and validation reports.	Procedure and register sighted for the importation of waste material to the Phase B1 (AFJV) works in line with Resource Recovery Exemption/Order And EPL.	Compliant
D113	Waste must only be exported to a site licensed by the EPA for the storage, treatment, processing, reprocessing or disposal of the subject waste, or in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, or to any other place that can lawfully accept such waste.	SMW Power Enabling Works Construction Environmental Management Plan (Appendix F – Waste and Spoil Management Plan), Quickway, Rev 1.0, 02/11/2021 SMW Power Enabling Works Material Tracking Register, last updated 02/02/2022	SMW CTP Waste Management Plan, AFJV, Rev 01, 22/10/2021 The Bays Waste Disposal Register, AFJV, last updated 23/02/2022 SMW CTP Waste Destination Approval Form – Suez Kemps Creek, dated 21/01/2022	SMW Demolition Waste Management Sub-Plan, Delta, Rev 4, 16/12/2021 SMW Demolition Waste Disposal Summary – Feb 2022 Waste register included the waste facilities and are licensed by EPA.	Evidence provided demonstrates that waste generated across the Project is being exported to appropriately licensed facilities in line with D113.	Compliant
D114	All waste must be classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.	SMW Power Enabling Works Construction Environmental Management Plan (Appendix F – Waste and Spoil Management Plan), Quickway, Rev 1.0, 02/11/2021 SMW Power Enabling Works Material Tracking Register	SMW CTP Waste Management Plan, AFJV, Rev 01, 22/10/2021 SMW CTP Waste Tracking Register – The, AFJV	SMW Demolition Waste Management Sub-Plan, Delta, Rev 4, 16/12/2021 Waste Classification Report – Clyde, Property Risk Australia, 08/12/2021 Letter from Sydney Metro to DPE – Notification of non-compliance, dated 25/11/2021 Record of submission to DPE, SSI-10038-PA-71, 25/11/2021 Waste Classification Reports by ADE e.g., sighted Parramatta Waste Classification Report 68. 70,72, & 74 Macquarie Street Macquarie Lane and 71 Horwood Place Parramatta NSW. Dated 28/03/2022 by ADE Consulting Group.	All waste were classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.	Compliant
Water						
D115	Work on waterfront land must be carried out in accordance with controlled activity guidelines.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not applicable	Not triggered

D116	<p>Stormwater Before undertaking any works and during maintenance or construction activities, erosion and sediment controls must be implemented and maintained to prevent water pollution consistent with Landcom Managing Urban Stormwater series (The Blue Book).</p>	<p>SMW Power Enabling Works Construction Environmental Management Plan (Appendix H – Soil and Water Management Plan), Quickway, Rev 1.0, 02/11/2021 Erosion and Sediment Control Plan for Stockpiling, 3869-SMW-ESCP-003 Site inspection, 27/09/2022</p>	<p>SMW CTP Construction Soil and Water Management Plan, AFJV, Rev 3, 03/11/2021 Progressive Erosion and Sediment Control Plan (PESCP) –Central Tunnelling Package -The Bays–Stage 1a, AFJV, Rev 05, 28/02/2022 Site inspection, 23/09/2022 Progressive Erosion and Sediment Control Plan (PESCP) – Central Tunnelling Package – Burwood – Stage 1a, AFJV</p>	<p>SMW Demolition Works Construction Environmental Management Plan, Delta, Rev 6, 22/12/2021 Site inspection, 23/09/2022 all erosion and sedimentation controls were implemented accordingly Refer to Photos.</p>	<p>Erosion and sediment control plans were prepared for all Project worksites and controls were observed to be in place during the site inspection. Site controls are inspected as part of Environment Representative inspections. AFJV has installed PESCP white board at each site to monitor and implement the erosion and sedimentation controls. Observation There were few observations on erosion and sedimentation control raised during this audit at the following CTP Sites:</p> <ul style="list-style-type: none"> • Burwood <ul style="list-style-type: none"> ◦ sweeping of the hardstand and additional check-dams to be put prior to the sump. ◦ sediment laden water to be flocked prior to discharging onto the pit. Only stormwater is being collected in this pit and discharge pit was covered with geofabric. • Sydney Olympic Park <ul style="list-style-type: none"> ◦ Erosion and Sediment controls to be installed to divert the clean water entering the site or clean water diversion to be implemented at this northern perimeter near Herb Elliot Avenue access ◦ General housekeeping to be implemented at the utility work area <p>Recommendation:</p>	Compliant
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					AFJV to ensure that erosion and sedimentation controls are always implemented as per the ERSED plan.	
D117	<p>Water Quality</p> <p>Stage 1 of the CSSI must be designed and constructed so as to maintain the NSW Water Quality Objectives (NSW WQO) where they are being achieved as at the date of this approval, and contribute towards achievement of the NSW WQO over time where they are not being achieved as at the date of this approval, unless an EPL in force in respect of the CSSI contains different requirements in relation to the NSW WQO, in which case those requirements must be complied with.</p>	<p>SMW Power Enabling Works Construction Environmental Management Plan (Appendix H – Soil and Water Management Plan), Quickway, Rev 1.0, 02/11/2021</p> <p>Erosion and Sediment Control Plan for Stockpiling, 3869-SMW-ESCP-003, Revision 0, 08/07/2021</p> <p>Site inspection, 23/09/2022</p> <p>For the Phase A (Quickway) works, these principles are included in the Soil and Water Management Plan (Appendix H of CEMP). Section 4 of SWMP covers the component identified in the condition. All sites are managed in accordance with Landcom Blue Book, with erosion and sediment controls in place to ensure no impact to waterways. No direct discharge to waterways has occurred.</p>	<p>SMW CTP Construction Soil and Water Management Plan, AFJV, Rev 3, 03/11/2021</p> <p>Progressive Erosion and Sediment Control Plan (PESCP) – Central Tunnelling Package -The Bays–Stage 1a, AFJV, Rev 05, 28/02/2022</p> <p>Progressive Erosion and Sediment Control Plan (PESCP) – Central Tunnelling Package – Burwood – Stage 1a, AFJV</p> <p>Site inspection, 23/09/2022</p>	<p>Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022</p>	<p>For the Phase B1 (AFJV) works, surface water at The Bays site is being managed in accordance with the site PESCP. The auditees advised that there is currently no discharge of water from site, with collected water disposed as liquid waste. AFJV advised that a water treatment plant has been procured for The Bays site.</p>	Compliant

D118	<p>Unless an EPL is in force in respect to Stage 1 of the CSSI and that license specifies alternative criteria, discharges from wastewater treatment plants to surface waters must not exceed:</p> <ul style="list-style-type: none"> the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2018 (ANZG (2018)) default guideline values for toxicants at the 95 per cent species protection level. for physical and chemical stressors, the guideline values set out in Tables 3.3.2 and 3.3.3 of the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2000 (ANZECC/ARMCANZ); and for bio accumulative and persistent toxicants, the ANZG (2018) guidelines values at a minimum of 99 per cent species protection level. Where the ANZG (2018) does not provide a default guideline value for a particular pollutant, the approaches set out in the ANZG (2018) for deriving guideline values, using interim guideline values and/or using other lines of evidence such as international scientific literature or water quality guidelines from other countries, must be used. 	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Site inspection, 23/09/2022 Water Quality Monitoring Reports were sighted as evidence	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022.	EPL is in force in respect to Stage 1 Phase B1 of the CSSI and that license specifies alternative criteria, discharges from wastewater treatment plants to surface waters were not exceed	Compliant
D119	If construction stage stormwater discharges are proposed, a Water Pollution Impact Assessment will be required to inform licensing consistent with section 45 of the POEO Act. Any such assessment must be prepared in consultation with the EPA and be consistent with the National Water Quality Guidelines, with a level of detail commensurate with the potential water pollution risk.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	The auditees advised that there have been no discharges to stormwater at this stage during the Phase B1 (AFJV) works. All water is collected onsite and disposed of as liquid waste, if required.	Not triggered
D120	Drainage feature crossings (permanent and temporary watercourse crossings and stream diversions) and drainage swales and depressions must be carried out in accordance with relevant guidelines and designed by a suitably qualified and experienced person.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not triggered for Phase B1 (AFJV) works to date.	Not triggered
D121	Groundwater Make good provisions for groundwater users must be provided in the event of a material decline in water supply levels, quality or quantity from registered existing bores associated with groundwater changes from construction.	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase B1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	Not triggered for Phase B1 (AFJV) works to date.	Not triggered

D122	<p>The Proponent must submit a revised Groundwater Modelling Report in association with Stage 1 of the CSSI to the Planning Secretary for information before bulk excavation at the relevant construction location. The Groundwater Modelling Report must include:</p> <p>for each construction site where excavation will be undertaken, cumulative (additive) impacts from nearby developments, parallel transport projects and nearby excavation associated with the CSSI.</p> <p>predicted incidental groundwater take (dewatering) including cumulative project effects.</p> <p>potential impacts for all latter stages of the CSSI or detail and demonstrate why these later stages of the CSSI will not have lasting impacts to the groundwater system, ongoing groundwater incidental take and groundwater level drawdown effects.</p> <p>actions required after Stage 1 to minimise the risk of inflows (including in the event latter stages of the CSSI are delayed or do not progress) and a strategy for accounting for any water taken beyond the life of the operation of the CSSI.</p> <p>saltwater intrusion modelling analysis, from estuarine and saline groundwater in shale, into The Bays metro station site and other relevant metro station sites; and</p> <p>a schematic of the conceptual hydrogeological model.</p>	Condition not applicable to Phase A works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	<p>Interview with auditees, 28/09/22</p> <p>Site inspection,</p> <p>Groundwater Modelling Reports for Burwood was submitted to DPE on 7/09/2022 and acknowledged by DPE on 30 Sep 2022</p> <p>Groundwater Modelling Reports for Five Dock was submitted to DPE on 7/09/2022 acknowledged by DPE on 30 Sep 2022</p> <p>Groundwater Modelling Reports for Sydney Olympic Park was submitted to DPE on 7/09/2022 acknowledged by DPE on 30 Sep 2022</p> <p>Groundwater Modelling Reports for the Bays was submitted to DPE on 17/05/2022 and resubmitted on 2/09/2022 addressing Department comments. acknowledged by DPE on 6 October 2022</p>	Condition not applicable to Phase C1 works as per SMW Phasing Report 1.4, Sydney Metro, May 2022	The auditees advised that groundwater modelling reports are completed as part of each design package.	Compliant
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APPENDIX B – PLANNING SECRETARY AGREEMENT OF INDEPENDENT AUDITORS

Department of Planning and Environment

Our ref: SSI-10038-PA-231

Your ref: not supplied

via Major Projects Portal

2 August 2022

Attention: Mr Ben Armstrong, Sydney Metro Associate Director Environment

Subject: Sydney Metro SSI-10038 – approval of independent auditors

Dear Ben,

I refer to the submission received 1 August 2022 (PA-231) requesting the Planning Secretary's approval of suitably qualified, experienced, and independent persons as independent environmental auditors of the Sydney Metro – West Stage 1 project (SSI-10038, as modified).

The department has reviewed the information you have provided against the *Independent Audit Post Approval Requirements*. The department is satisfied that the nominees are certified with Exemplar Global as either principal or lead auditors in environmental management systems, are suitably experienced in state significant projects, and have supplied declarations of independence.

Consequently, I can advise that under Condition A40 of SSI-10038, the Planning Secretary has approved the following audit team for the second construction phase independent audit:

- Mr Steve Fermio, Wolfpeak, as lead auditor
- Ms Annabelle Tungol, Wolfpeak, as auditor
- Mr Ibrahim Awad, Wolfpeak, as auditor
- Mr Peter Hatton, Wolfpeak, as auditor.

Please ensure this correspondence is appended to the Independent Audit Report.

The Independent Audit must be prepared, undertaken and finalised in accordance with the *Independent Audit Post Approval Requirements*. Failure to meet these requirements will require revision and resubmission.

Please note that a further auditor nomination must be made and written approval from the Planning Secretary provided prior to undertaking any subsequent independent audits. The department also reserves the right to request an alternate auditor or audit team for future audits.

Should you wish to discuss the matter further, please contact Alex McGuirk, Senior Compliance Officer.

Yours sincerely,



Rob Sherry

Team Leader Compliance – Government Projects
Planning

As nominee of the Planning Secretary

APPENDIX C – CONSULTATION RECORDS

Annabelle Tungol

From: Alex McGuirk <Alex.McGuirk@dpie.nsw.gov.au>
Sent: Friday, 2 September 2022 1:56 PM
To: Annabelle Tungol
Cc: Steve Fermio; Rob Sherry
Subject: RE: Sydney Metro SSI-10038 Independent Audit N0.2 - Consultation

Hi Annabelle,

Thank you for consulting with the department on the scope of the second construction phase independent audit of the Sydney Metro West (SSI-10038) project.

Notwithstanding that SSI-10038 Condition A39 provides that independent audits must be conducted and carried out in accordance with the *Independent Audit Post Approval Requirements (2020; IAPAR)*, Condition A39.1 provides that the proponent may prepare an audit program for approval by the Planning Secretary.

While the Planning Secretary is yet to approve an audit program, Sydney Metro has proposed that independent audits particularly consider those risks identified in the environmental impact statement (chapter 28) as having an initial (unmitigated) rating of very high or high, noting the phased construction set out in the phasing report (current version 1.4, May 2022). Therefore for the purposes of Condition A39 and the audit scope (IAPAR section 3.3):

- the assessment of compliance (s3.3 #1), review of environmental performance (s3.3 #2) and assessment of management plans (s3.3 #4) must cover:
 - all incidents, non-compliances and complaints that occurred or were made during the audit period
 - very high or high risks relevant to phase A, phase B1 and phase C works during the audit period (should you wish to clarify this, please don't hesitate to get in touch)
- the status of implementation of previous independent audit findings, recommendations and actions must be included (s3.3 #3)
- the department has not identified other relevant matters for this audit (s3.3 #5) but did provide feedback on the first audit / report to be addressed in this audit / report.

Kind regards,

Alex McGuirk

Senior Compliance Officer

Planning & Assessment | Department of Planning & Environment

Locked Bag 5022 | PARRAMATTA NSW 2124

www.dpie.nsw.gov.au



From: Annabelle Tungol <atungol@wolfpeak.com.au>
Sent: Friday, 2 September 2022 6:15 AM
To: Alex McGuirk <Alex.McGuirk@dpie.nsw.gov.au>; DPE PSVC Compliance Mailbox
<compliance@planning.nsw.gov.au>

1

Cc: Steve Fermio <sfermio@wolfpeak.com.au>; Joyce Acierda <jacierda@wolfpeak.com.au>
Subject: Sydney Metro SSI-10038 Independent Audit NO.2 - Consultation

Hi Alex,

I will be conducting the Second Independent Audit for Sydney Metro West Stage 1 SSI-10038 on 23 September 2022.

We would like to get your feedback and any focus areas that you want us to look at this audit, as well as any stakeholder to be consulted. Thank you.

Regards,

Annabelle Tungol
Practice Lead – Risk, Audit & Compliance



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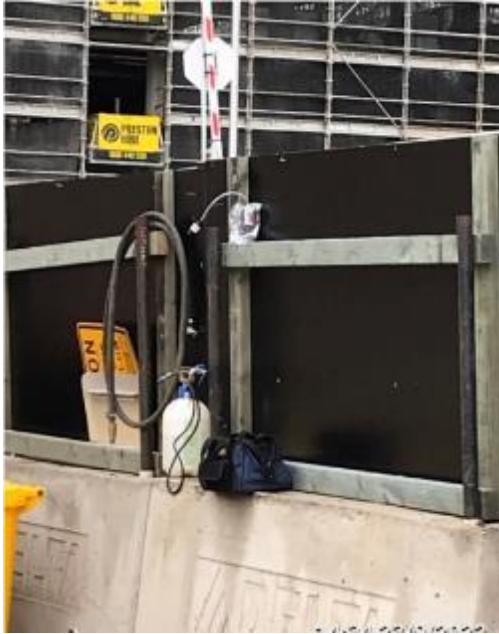


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APPENDIX D – PHOTOS

Observation from the site inspection is provided in Table 7. The Auditor was escorted around the site by Project personnel who made themselves available for this purpose.

Table 7: Appendix D1 - Site Photos for Delta Demolition

No.	Comment	Photograph
1	Fencing around the Heritage Building	
2	Parramatta demolition site	
3	Asbestos contaminated material under testing was isolated.	

No.	Comment	Photograph
4	Asbestos Air Quality Monitoring	
5	<p>Hoarding installed around the site perimeter.</p> <p>Coir logs around the site perimeter were installed.</p>	
6	Spill Kit available on site	

No.	Comment	Photograph
7	Vibration Monitor installed inside the Heritage Building	
8	Sweeping of dirt inside the site.	
9	Quacker was used by the excavator on site	








No.	Comment	Photograph
10	No mud tracking on road	

Table 8: Appendix D2 - Site Photos Quickway

No.	Comment	Photograph
1	Pre-Start Board at Rozelle 33KV	




<p>2</p>	<p>Quickway signages were installed along the work area.</p>	
<p>3</p>	<p>Pits covered with geofabric</p>	
<p>4</p>	<p>Graveyard area</p>	

<p>5</p>	<p>Stockpile of DGB was covered</p>	
<p>6</p>	<p>Access/egress no mud tracking</p>	
<p>7</p>	<p>Street sweeper in operation</p>	




<p>8</p>	<p>Restored utility works</p>	
<p>9</p>	<p>Access/egress with sandbags</p>	
<p>10</p>	<p>Kiosks installed</p>	

11	Excess DGB	
12	Spill kit on site	
13	Access way for workers	

Table 9: Appendix D3 - Site Photos SM West Five Dock

No.	Comment	Photograph
1	Concrete pouring – Traffic control in-place	 <p>11:13 23/9/2022</p>
2	Noise Control Panels installed along the residential boundary	 <p>11:15 23/9/2022</p>
3	Traffic controls at Second Ave.	 <p>11:17 23/9/2022</p>

<p>4</p>	<p>Street sweeper at second Ave. No mud tracking on the Second Ave</p>	
<p>5</p>	<p>Five Dock West hoardings installed</p>	
<p>6</p>	<p>Hoarding and noise blankets installed around the perimeter along Fred Kelly Place</p>	

<p>7</p>	<p>Hoarding installed at East Street</p>	
<p>8</p>	<p>Pre-Start Board</p>	
<p>9</p>	<p>Live and progressive ESCP Board Erosion and sedimentation controls were implemented accordingly</p>	

10	Waste bins with capacity	
11	Vibration Monitor adjacent to the church	
12	Noise Monitor installed	

<p>13</p>	<p>Heritage protection fence</p>	
<p>14</p>	<p>Rumble grid at access and egress Street sweeper in operation</p>	
<p>15</p>	<p>Water in the excavation was pumped into the settling tanks</p>	




16	Erosion and sedimentation control and heritage protection.	 <p>11:32 23/9/2022</p>
17	Ancillary facility approved under A21	 <p>11:54 23/9/2022</p>

Table 10: Appendix D4 - Site Photos SM West North Strathfield




No.	Comment	Photograph
1	Erosion and sediment controls implemented	 <p>09:54 23/9/2022</p>
2	Coir Logs installed	 <p>09:55 23/9/2022</p>
3	Erosion and sediment controls	 <p>09:55 23/9/2022</p>



<p>4</p>	<p>Fencing with shade cloth installed around the perimeter. The site is lower than the road level.</p>	 <p>09:57 23/9/2022</p>
<p>5</p>	<p>Runoff water diversion installed.</p>	 <p>09:58 23/9/2022</p>
<p>6</p>	<p>Neat and tidy site erosion and sediment controls were implemented accordingly</p>	 <p>10:00 23/9/2022</p>

7	No mud tracking	
8	Rumble grid installed at the access/egress	

Table 11: Appendix D5 - SM West Sydney Olympic Park

<p>1</p>	<p>Olympic Park Pre Start Board</p>	
<p>2</p>	<p>Sydney Olympic Park VMP</p>	
<p>3</p>	<p>Sydney Olympic Park noise blankets around genset</p>	

<p>4</p>	<p>Sydney Olympic Park chemical bunding provided</p>	
<p>5</p>	<p>Sydney Olympic Park coir logs around pits</p>	
<p>6</p>	<p>Sydney Olympic Park rumble grid installed at access/egress</p>	

<p>7</p>	<p>Sydney Olympic Park sediment laden coming from out the sediment laden water enters the site from the batter at the northeast perimeter of the site.</p> <p>Issue 1. Erosion and Sediment controls to be installed to divert the clean water entering the site or clean water diversion to be implemented at this northern perimeter near Herb Elliot Avenue access.</p>	
<p>8</p>	<p>Sydney Olympic Park Street Sweeper in operation sweeping of the sediment laden water coming out from the site access/egress at Herb Elliot Avenue. The sediment laden water enters the site from the boundary batter at the northeast perimeter of the site.</p> <p>Issue 1. Erosion and Sediment controls to be installed to divert the clean water entering the site. Same as above</p>	

9 Sydney Olympic Park Street Sweeper Sweeping of the sediment laden water coming out from the site access/egress at Herb Elliot Avenue. The sediment laden water enters the site from the boundary batter at the northeast perimeter of the site.

Issue 1. Erosion and Sediment controls to be installed to divert the clean water entering the site. Same as above






**AFJV Response
28/09/2022 Memo:
23/09/2022 - Concrete barrier and coil log was installed northern perimeter**









Controls was also installed in the Herb Elliot Avenue drain



Table 12: Appendix D6 - Site Photos SM West The Bays

<p>1</p>	<p>VMP</p>	 <p>A photograph of a site sign for 'The Bays Station'. The sign features the 'M' logo for Metro West and the text 'The Bays Station'. It includes a map of the site, a list of 'UHF Channels' (726, 1228), and an 'Emergency' section with contact numbers for Fire (000), Police (000), and the site manager (0438 444 444). A timestamp '12:29 23/9/2022' is visible in the bottom right corner.</p>
<p>2</p>	<p>Pre-Start Board archaeologist on site to verse excavation</p>	 <p>A photograph of a 'Pre-Start Board' for 'The Bays Daily Wash Pre-start'. The board contains detailed site information, including a checklist of tasks, a 'Safety' section, and a 'Quality' section. A timestamp '12:30 23/9/2022' is visible in the bottom right corner.</p>
<p>3</p>	<p>Erosion Control Plan and heritage listed items</p>	 <p>A photograph of an 'Environmental Notice Board' for 'The Bays - Environmental Notice Board'. The board displays an 'Erosion and Sediment Control Plan' with a site map and a 'Golden Rules' section. A timestamp '12:33 23/9/2022' is visible in the bottom right corner.</p>

<p>4</p>	<p>Spill kit onsite</p>	
<p>5</p>	<p>Pile caps</p>	
<p>6</p>	<p>Station Box</p>	

7	Gantry for the TBM	 <p>12:39 23/9/2022</p>
8	Excavation works at station box	 <p>12:41 23/9/2022</p>
9	ASS/PASS treatment area	 <p>12:43 23/9/2022</p>

10 **Issue 1: Jerry Cans unbunded**
Handing of chemicals onsite needs to be improved. There are two occasions where chemicals are stored on unbunded area.



AFJV Response 28/09/2022 Memo: Toolbox talk was conducted, and IBCs were put in a bund.








Site team toolboxed 28/9/22




Site Attachments	
20220928 Wednesday DS & NS - The Bays - VMP.pdf	
https://cloud.safety.com.au/ActivityFile?id=271325&aid=375161	
Activities	
Add Activity / Issue / Comment	<p>make sure to wear ppe including glasses Keep electrical leads and chargers out of water TBM delivery into gate 7. Give access to SPMT blinding at gate 2. Keep out of area if you don't need to be there Leads needs to be raised to avoid contact with water on ground TBM delivery to site Personal hygiene is crucial. site amenities should be respected and keep it clean Fuels and chemicals to be banded on site (11DN)</p>



11	Spoil treatment	
12	Grouting area water sent to water treatment plant	

<p>13</p>	<p>Collection pit to WTP</p>	
<p>14</p>	<p>Lime</p>	
<p>15</p>	<p>Cross section for contamination management</p>	

<p>16</p>	<p>Contamination team overseeing all the topsoil and treatment bays</p>	 <p>12:50 23/9/2022</p>
<p>17</p>	<p>Wheel wash water get pumped into WTP</p>	 <p>12:52 23/9/2022</p>
<p>18</p>	<p>Haulage truck with SM West project ID sticker</p>	 <p>12:53 23/9/2022</p>

<p>19</p>	<p>Haulage truck with SM West sticker</p>	
<p>20</p>	<p>Most Canons</p>	
<p>21</p>	<p>Issue 2: IBC to be put in bund</p>	









<p>22</p>	<p>Mud tracking managed by street sweeper</p>	
<p>23</p>	<p>Site Notice</p>	
<p>24</p>	<p>Street sweeper in operation</p>	

Table 13: Appendix D7 - Site Photos SM West Burwood

No.	Comment	Photograph
1	Hoarding installed for pedestrian safety	 <p>A photograph showing a blue hoarding installed along a sidewalk. The hoarding features the NSW Government logo and Sydney Metro logo. Text on the hoarding includes 'Community information line' and '1800 612 173'. In the background, there is a speed limit sign for 50 km/h and a sign that reads 'RED LIGHT SPEED CAMERA AHEAD'. A white car is visible on the road. The timestamp '10:19 23/9/2022' is visible in the bottom right corner of the photo.</p>
2	Community Information Notice posted on hoarding	 <p>A close-up photograph of a community information notice posted on the blue hoarding. The notice includes the text 'Community information line' and '1800 612 173'. It also features a QR code and a small text box that says 'Keep up to date by downloading the Sydney Metro Connect App'. The NSW Government logo and Sydney Metro logo are visible at the bottom of the notice. The timestamp '10:20 23/9/2022' is visible in the bottom right corner of the photo.</p>

<p>3</p>	<p>Traffic control in place</p>	
<p>4</p>	<p>Burwood Site</p>	
<p>5</p>	<p>Coir logs installed around the site perimeter</p>	

6 Access to site





7 **Issue 1: Sweeping of the hardstand and additional check dams to be put prior to the Sino**





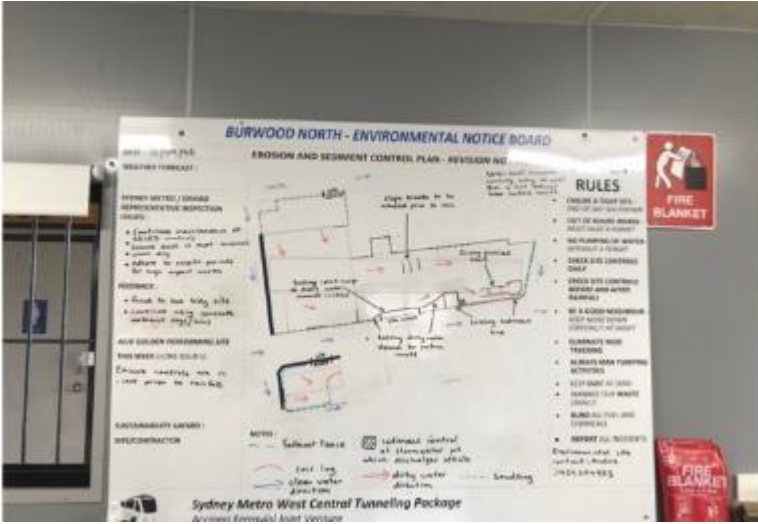
Closed: Memo of closure dated 28/09/2022 was submitted by AFJV.
23/09/2022 – Check dam installed prior inlet and in geo lined swale.









<p>8</p>	<p>Stormwater Sump</p> <p>Issue 2: Sediment laden water to be flocked prior to discharging onto the pit. Only stormwater is being collected in this pit and discharge pit was covered with geofabric.</p> <p>AFJV Response 28/09/2022 Memo: 26/09/2022 - Team is currently in the process of procuring gypsum to treat sediment laden surface runoff.</p>	
<p>9</p>	<p>Overflow pit covered with geofabric</p> <p>Maintained weekly as per interview on site.</p>	

<p>10</p>	<p>Coir logs around the site perimeter</p>	
<p>11</p>	<p>Sweeping to be conducted at the egress</p>	
<p>12</p>	<p>Wheel wash installed water from the wheel wash was recycled</p>	

13	Water treatment in assembly	
14	Erosion and sediment controls installed along the exposed batter	

<p>15</p>	<p>South shaft area</p>	
<p>16</p>	<p>Noise blankets around genset</p>	
<p>17</p>	<p>Erosion and sedimentation control plan</p>	


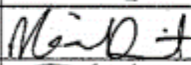
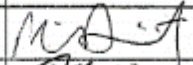





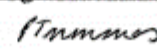
18	Pre-Start Board	
19	View of the site from the site shed	
20	Street sweeper in operation	

<p>21</p>	<p>Resident adjacent to the worksite. No complaints noted</p>	 <p>10:53 23/9/2022</p>
<p>22</p>	<p>Chemical storage bunded and covered</p>	 <p>10:57 23/9/2022</p>
<p>23</p>	<p>No mud tracking at Burton Street</p>	 <p>10:58 23/9/2022</p>

APPENDIX E – ATTENDANCE SHEET



INDEPENDENT AUDIT MEETING ATTENDANCE RECORD

PROJECT (NAME AND APPROVAL NUMBER)	SYDNEY METRO WEST SSI - 10038 IEA - IA2			
LOCATION:	QUICKWAY OFFICE 40, 2 SLUGH AVE SILVERWATER			
DATE/TIME (Opening Meeting):	27/09/2022	DATE/TIME (Closing Meeting):		
Lead Auditor:	Annabelle Tungol	Audit Scope:	PHASE A	
NAME	POSITION / TITLE	ORGANISATION	SIGNATURE	
			Opening Meeting	Closing Meeting
ANNABELLE TUNGOL	LEAD AUDITOR	WOLFPEAK		
MARIA DOUMIT	Enviro coordinator	Sydney Metro		
Daniel Mutkins	Enviro Advisor	Quickway		
TOM St Vincent Welch	Enviro Manager	Quickway		
PAM TUMMERS	ENVIRO MANAGER	SYDNEY METRO		



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 Wauchope office | 17A High Street, Wauchope NSW 2446
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INDEPENDENT AUDIT MEETING ATTENDANCE RECORD

PROJECT (NAME AND APPROVAL NUMBER)	SYDNEY METRO WEST - IA2			
LOCATION:	DELTA GROUP			
DATE/TIME (Opening Meeting):	27/09/2022 1:00 PM	DATE/TIME (Closing Meeting):		
Lead Auditor:	Annabelle Tungol	Audit Scope:		
NAME	POSITION / TITLE	ORGANISATION	SIGNATURE	
			Opening Meeting	Closing Meeting
ANNABELLE TUNGA	LEAD AUDITOR	WOLFPEAK		
MATT MAZINAN	Senior Manager ENVI	TSNSW Sydney Metro	ONLINE	
MARIA DOWMIT	Environment Coordinator	TSNSW Sydney Metro	ONLINE	
WAYNE DUFFY		PROACTO LINK	ONLINE	
BRENDAN JOLIFFE		DELTA GROUP		
PAMELA THOMSON	ENVI & SUSTAINABILITY MANAGER	TSNSW Sydney Metro		



INDEPENDENT AUDIT MEETING ATTENDANCE RECORD

PROJECT (NAME AND APPROVAL NUMBER)		SYDNEY METRO WEST CTP - IA2		
LOCATION:		AFJV OFFICE - 7 FIGTREE DRIVE SYDNEY OLYMPIC PARK NSW		
DATE/TIME (Opening Meeting):		28/09/2022	DATE/TIME (Closing Meeting):	
Lead Auditor:		Annabelle Tungol	Audit Scope:	
			IA2 - CTP - AFJV	
NAME	POSITION / TITLE	ORGANISATION	SIGNATURE	
			Opening Meeting	Closing Meeting
Annabelle Tungol	Lead Auditor	Wolf Peak		
GREGOR WILSON	AFJV ENVIRO MANAGER ^{ADVISOR}	AFJV	gw	gw
MARIA DOUMIT	ENVIRO Coordinator	Sydney Metro	Maria D	Maria D
Jared LIPTON	ENVIRO MANAGER	AFJV	pp gw	pp gw
JOHN IEROKLIS	ENVIRO MANAGER	SYDNEY METRO	pp gw	pp gw

APPENDIX F – DECLARATIONS

Declaration of Independence – Auditor



Project Name:	Sydney Metro West – Concept and Stage 1
Consent Number:	SSI-10038
Description of Project:	<p>Development of the Sydney Metro West project comprising:</p> <ul style="list-style-type: none"> • new passenger rail infrastructure between Westmead and the central business district (CBD) of Sydney, including: <ul style="list-style-type: none"> ◦ tunnels, stations (including surrounding areas) and associated rail facilities, and ◦ stabling and maintenance facilities (including associated underground and overground connections to tunnels), and • modification of existing rail infrastructure (including stations and surrounding areas), and • ancillary development.
Project Address:	Sydney Metropolitan Area
Proponent:	Sydney Metro
Title of audit	Independent Audit No. 2
Date:	22/08/2022

I declare that I have undertaken the Independent Audit and prepared the contents of the attached Independent Audit Report and to the best of my knowledge:

- i. the audit has been undertaken in accordance with relevant condition(s) of consent and the *Independent Audit Post Approval Requirements (Department 2020)*;
- ii. the findings of the audit are reported truthfully, accurately and completely;
- iii. I have exercised due diligence and professional judgement in conducting the audit;
- iv. I have acted professionally, objectively and in an unbiased manner;
- v. I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child;
- vi. I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
- vii. neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
- viii. I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

Notes:

- a) Under section 10.6 of the *Environmental Planning and Assessment Act 1979* a person must not include false or misleading information (or provide information for inclusion in) in a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, \$1 million and for an individual, \$250,000; and
- b) The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both)

Name of Auditor:	Annabelle Tungol
Signature:	
Qualification:	Lead Environmental Auditor (Exemplar Global -Certificate #119536); Quality Auditor (Exemplar Global -Certificate #119536); Chemical Engineer (Philippines Professional Regulation Commission May 2000 – Certificate #22566)
Company:	WolfPeak Pty Ltd

Declaration of Independence – Auditor




Project Name:	Sydney Metro West – Concept and Stage 1
Consent Number:	SSI-10038
Description of Project:	<p>Development of the Sydney Metro West project comprising:</p> <ul style="list-style-type: none"> • new passenger rail infrastructure between Westmead and the central business district (CBD) of Sydney, including: <ul style="list-style-type: none"> ◦ tunnels, stations (including surrounding areas) and associated rail facilities, and ◦ stabling and maintenance facilities (including associated underground and overground connections to tunnels), and • modification of existing rail infrastructure (including stations and surrounding areas), and • ancillary development.
Project Address:	Sydney Metropolitan Area
Proponent:	Sydney Metro
Title of audit	Independent Audit No. 2
Date:	22/08/2022

I declare that I have undertaken the Independent Audit and prepared the contents of the attached Independent Audit Report and to the best of my knowledge:

- i. the audit has been undertaken in accordance with relevant condition(s) of consent and the *Independent Audit Post Approval Requirements (Department 2020)*;
- ii. the findings of the audit are reported truthfully, accurately and completely;
- iii. I have exercised due diligence and professional judgement in conducting the audit;
- iv. I have acted professionally, objectively and in an unbiased manner;
- v. I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child;
- vi. I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
- vii. neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
- viii. I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

Notes:

- a) Under section 10.6 of the *Environmental Planning and Assessment Act 1979* a person must not include false or misleading information (or provide information for inclusion in) in a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, \$1 million and for an individual, \$250,000; and
- b) The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information = maximum penalty 2 years imprisonment or 200 penalty units, or both)

Name of Auditor:	Steve Fermio
Signature:	
Qualification:	Bachelor of Science (Hons) Exemplar Global Auditor Number 110498
Company:	WolfPeak Pty Ltd