

Chatswood to Sydenham – Pre-Construction Compliance Report



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1	24 March 2017	Final	Compliance with Conditions A31 to A33 of the Chatswood to Sydenham conditions of approval	SM Environment Manager, City & Southwest	TfNSW Principal Manager of Planning, Environment & Sustainability, Program
2	29 August 2018	Final	Update to reflect agreed approach with the Secretary to submit Appendix A addition only for each stage. Other minor formatting changes.	SM Environment Manager, City & Southwest	SM Director of Planning, Environment & Sustainability, City & Southwest
3	16 November 2018	Final	Update of the Northern Corridor Works appendix to address Portion 7b requirements.	SM Environment Manager, City & Southwest	SM Director of Planning, Environment & Sustainability, City & Southwest
4	21 December 2018	Final	Incorporation of the Martin Place Integrated Station Development Demolition Stage Appendix	SM Environment Manager, City & Southwest	SM Director of Planning, Environment & Sustainability, City & Southwest
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7	15 September 2020	Final	Incorporation of the Waterloo Integrated Station Development Stage Appendix	SM Environment Manager, City & Southwest	SM Director of Planning, Environment & Sustainability, City & Southwest
8	4 November 2020	Final	Incorporation of Victoria Cross Integrated Station Development Stage Appendix	SM Environment Manager, City & Southwest	SM Director of Planning, Environment & Sustainability, City & Southwest
9	11 January 2021	Final	Incorporation of Pitt Street Integrated Station Development Stage Appendix	SM Environment Manager, City & Southwest	SM Director of Planning, Environment & Sustainability, City & Southwest
10	26 February 2021	Final	Incorporation of Crows Nest Integrated Station Development Stage Appendix	SM Environment Manager, City & Southwest	SM Director of Planning, Environment & Sustainability, City & Southwest
11	15 September 2021	Final	Incorporation of Barangaroo Station Development Stage Appendix	SM Environment Manager, City & Southwest	SM Director of Planning, Environment & Sustainability, City & Southwest

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1. Definitions and Abbreviations

All terminology in this report is taken to mean the generally accepted or dictionary definition, except where defined in any applicable planning approvals. Relevant acronyms, abbreviations and terms used throughout this report are explained in Table 1.

Table 1: Acronym, Abbreviation and Term Explanations

	Definitions
AA	(Independent) Acoustic Advisor
C2S	Chatswood to Sydenham
CBD	Central Business District
CEMP	Construction Environmental Management Plan
CoA(s)	Condition(s) of Approval
Crows Nest ISD	Crows Nest Integrated Station Development
CSM	Central Station Main
CSSI	Critical State Significant Infrastructure
EIS	Environmental Impact Statement
EP&A Act	Environmental Planning and Assessment Act 1979 (NSW)
ER	(Independent) Environmental Representative
LW	Line Wide Works
MP ISD	Martin Place Integrated Station Development
NCW-P7	Northern Corridor Works – Portion 7
Pitt St ISD	Pitt Street Integrated Station Development
Secretary	The Secretary of the NSW Department of Planning and Environment
SM	Sydney Metro
SSJ	Sydenham Station and Junction
SYAB	Sydney Yard Access Bridge
TfNSW	Transport for New South Wales
TSE	Tunnels and Station Excavation
Vic X ISD	Victoria Cross Integrated Station Development

2. Introduction

2.1. Purpose of this Report

This report has been prepared and structured to address the Pre-Construction Compliance Report requirements of Conditions of Approval (CoA) A31 to A33 of the Chatswood to Sydenham (C2S) planning approval.

Table 2 cross-references sections in this report that address each applicable planning approval requirement relating to the Pre-Construction Compliance Report.

Table 2: Pre-Construction Compliance Report Planning Approval Condition Cross-References

Planning Approval Condition	Condition Requirement(s)	Pre-Construction Compliance Report Section
C2S CoA – A31	A Pre-Construction Compliance Report must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction or within another timeframe agreed with the Secretary.	The first version of this report was finalised and endorsed by the Environmental Representative (ER) on 31 March 2017 and submitted to the Secretary on 31 March 2017. The first date of construction on the project was 17 June 2017 (Sydney Yard Access Bridge stage). Subsequent additions to Appendix A were submitted to the Secretary on the date indicated on each Appendix A cover page.
C2S CoA – A32	The Pre-Construction Compliance Report must include:	This report.
C2S CoA – A32(a)	Details of how the terms of this approval that must be addressed before the commencement of construction have been complied with; and	Appendix A.
C2S CoA – A32(b)	The commencement date for construction.	Appendix A (cover pages).
C2S CoA – A33	Construction must not commence until the Pre-Construction Compliance Report has been submitted to the Secretary.	As per C2S CoA – A31.

2.2. Background

The NSW Government is implementing Sydney's Rail Future (Transport for NSW, 2012a) – a plan to transform and modernise Sydney's rail network so that it can grow with the city's population and meet the needs of customers in the future.

Sydney Metro is a new standalone rail network identified in Sydney's Rail Future. This 21st century network will deliver new metro stations and more than 88km of new metro rail for Australia's biggest city – revolutionising the way Sydney travels.

Sydney Metro currently comprises of four rail projects:

- **Northwest** (formerly North West Rail Link) – a 36 kilometre project that commenced operations in May 2019 with a metro train every four minutes in the peak.
- **City & Southwest** – a 30 kilometre metro line extending metro rail from the end of Sydney Metro Northwest at Chatswood, under Sydney Harbour, through new Central Business District (CBD) stations and southwest to Bankstown. The project is due to open in 2024 with ultimate capacity to run a metro train every two minutes in the peak.
- **West** – the next significant railway infrastructure investment proposed to be delivered by the second half of the 2020s. This project would link the CBDs of Parramatta and Sydney and communities along the way.
- **Greater West** – a new railway line to service Greater Western Sydney and the new Western Sydney Airport. The railway is to be operational in 2026 to coincide with commencement of operations of the Western Sydney Airport. The railway will include a station at St Marys to allow customers to interchange with the rest of Sydney's rail network.

Figure 1 provides a map of the four Sydney Metro project alignments.

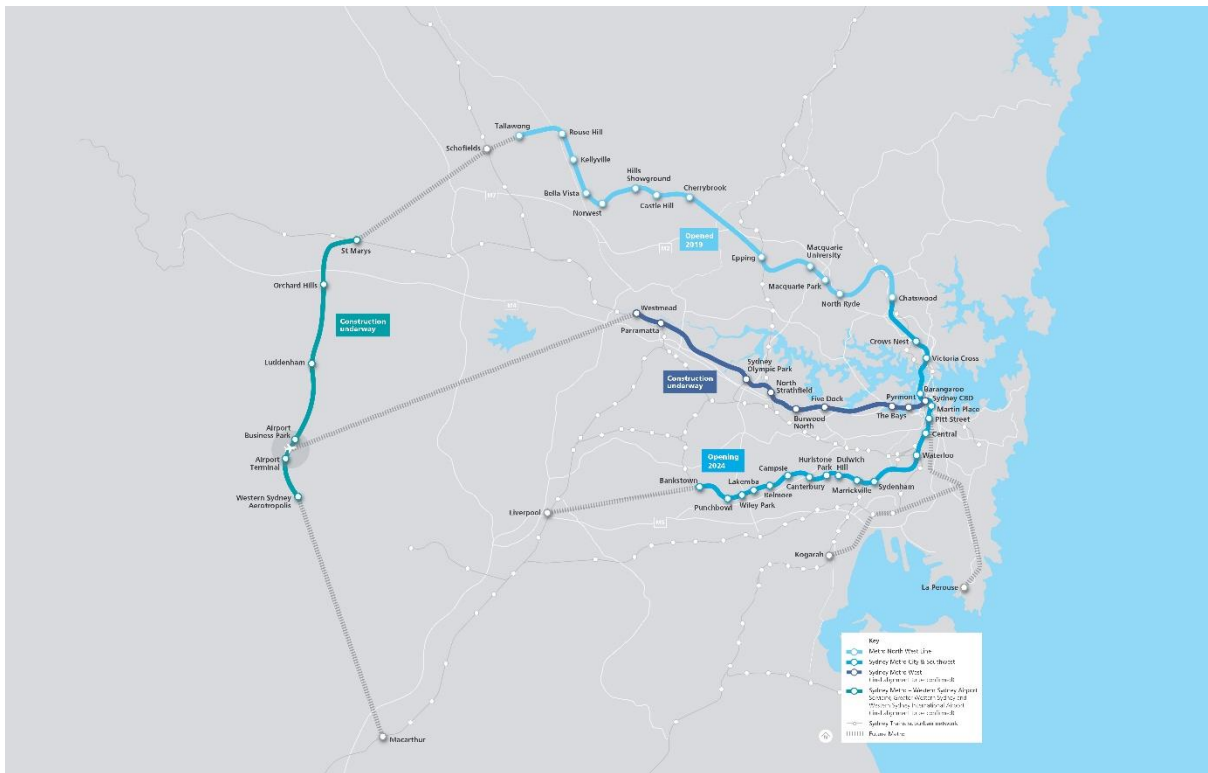


Figure 1: Sydney Metro Project Alignments

2.3. City & Southwest Project and Planning Approvals

The City & Southwest project has generally been declared as a Critical State Significant Infrastructure (CSSI) project by the NSW Minister for Planning. Works within this declaration require planning approval as a CSSI project under the NSW *Environmental Planning and Assessment Act 1979* (EP&A Act). Works outside the declaration require separate planning approval under the EP&A Act.

2.3.1. CSSI Planning Approvals

The City & Southwest project comprises two core components that are each subject to the CSSI planning approval pathway:

- **Chatswood to Sydenham** – which covers the construction and operation of the Sydney Metro railway between Chatswood and Marrickville. This includes the delivery of 7 new metro stations and 15.5 kilometres of twin railways tunnels from Chatswood, beneath Sydney Harbour and the Sydney CBD, to Marrickville. The Chatswood to Sydenham component was subject to the *Chatswood to Sydenham Environmental Impact Statement* (EIS) and was granted planning approval on 9 January 2017.
- **Sydenham to Bankstown** – which covers the construction and operation of the Sydney Metro railway between Marrickville and Bankstown Stations. This includes the upgrading of 13.5 kilometres of the Sydney Trains T3 Bankstown Line between the Marrickville and Bankstown Stations. This component is subject to the *Sydenham to Bankstown Upgrade EIS* and *Sydenham to Bankstown Submissions and Preferred Infrastructure Report* that were granted planning approval on 12 December 2018.

Over-station developments are subject to separate planning approval processes.

2.4. Project Delivery, Staging and Timing

Refer to the [Sydney Metro City & Southwest Staging Report](#) on the Sydney Metro website for the latest information.

The following City & Southwest stages have achieved construction commencement as of the date of this report:

- **Sydney Yard Access Bridge (SYAB)** on 17 Jun 2017
- **Demolition A (Delta)** on 24 Jun 2017
- **Demolition B (Metropolitan)** on 14 Jul 2017
- **Tunnels and Station Excavation (TSE)** on 22 Dec 2017
- **Northern Corridor Works – Portion 7 (NCW-P7)** on:
 - 7 May 2018 for Portion 7a, and
 - 17 November 2018 for Portion 7b.
- **Central Station Main (CSM)** on 4 Aug 2018
- **Sydenham Station and Junction (SSJ)** on 28 Aug 2018
- **Martin Place Integrated Station Development (MP ISD)** on:
 - 19 Dec 2018 for Demolition, and
 - 10 May 2019 for Excavation and Construction
- **Line Wide Works (LW)** on 2 May 2020
- **Waterloo Integrated Station Development (Waterloo ISD)** on 1 October 2020
- **Victoria Cross Integrated Station Development (VicX ISD)** on 5 November 2020
- **Pitt Street Integrated Station Development (PS ISD)** on 6 January 2021
- **Crows Nest Integrated Station Development (CN ISD)** on 26 February 2021

3. Pre-Construction Compliance

This report was originally prepared in March 2017 as a stand-alone document to demonstrate pre-construction compliance for the City & Southwest project as a whole. Subsequent discussions with the Secretary led to a revised approach to demonstrating pre-construction compliance on the project.

The agreed approach between Sydney Metro and the Secretary is to prepare an Appendix A addition to this report to demonstrate pre-construction compliance for each stage of the City & Southwest project. Each addition to Appendix A is to be prepared specifically for each stage and submitted to the Secretary for information following the Secretary's approval of the Construction Environment Management Plan (CEMP) for the stage.

Refer to the [Sydney Metro City & Southwest Chatswood to Sydenham Staging Report](#) on the Sydney Metro website for the latest information on the staging of the project, including indicative timing and applicable CoA and REMM for each stage.

3.1. Compliance Status

Appendix A provides a status update of each pre-construction CoA at the time of each stage's approval of the CEMP by the Secretary. Two (2) types of status are used in this report to indicate compliance (or lack thereof) against each CoA:

- **Compliant** – meaning that sufficient evidence is available to demonstrate that the requirement is currently being met.
- **Non-Compliant** – meaning that sufficient evidence is available to demonstrate that the requirement is not being met.

Any Non-Compliant CoAs indicated in Appendix A are also subject to a non-compliance report in accordance with the [Sydney Metro City & Southwest Compliance Monitoring/Tracking and Reporting Program](#) and will be included in the applicable *Construction Compliance Report* in accordance with CoA A34.

3.2. Environmental Representative Endorsements

Prior to the approval of Modification 6 to the Chatswood to Sydenham planning approval, the Environmental representative (ER) was required to endorse this document and any updates to this document prior to submission to the Secretary. Modification 6 was approved on 21 February 2019. Following this date, the ER is no longer required to endorse this document or any updates to this document, based on the revised wording of C2S CoA A24.

ER endorsements prior to the Modification 6 approval are provided in Appendix B. These include endorsements of both the main body of this document, as well as individual Appendix A updates.

Appendix A.1 – SYAB Pre-Construction Compliance Status Report

Appendix A.2 – Demolition A Pre-Construction Compliance Status Report

Appendix A.3 – Demolition B Pre-Construction Compliance Status Report

Appendix A.4 – TSE Pre-Construction Compliance Status Report

Appendix A.5 – NCW – Portion 7 Pre-Construction Compliance Status Report

Appendix A.6 – CSM Pre-Construction Compliance Status Report

Appendix A.7 – SSJ Pre-Construction Compliance Status Report

Appendix A.8 – MP ISD Demo Pre-Construction Compliance Status Report

Appendix A.9 – MP ISD Pre-Construction Compliance Status Report

Appendix A.10 – LW Pre-Construction Compliance Status Report

Appendix A.11 – Waterloo ISD Pre-Construction Compliance Status Report

Appendix A.12 – Victoria Cross ISD Pre-Construction Compliance Status Report

Appendix A.13 – Pitt Street ISD Pre-Construction Compliance Status Report

Appendix A.14 – Crows Nest ISD Pre-Construction Compliance Status Report

Appendix A.15 – Barangaroo Station Pre-Construction Compliance Status Report

Appendix A.1

SYAB (Laing O'Rourke (LOR)) Pre-Construction Compliance Status Report (11 July 2017)

Site	Commencement of Construction Activities
Sydney Central Station Yard	17 June 2017

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
Part A – Administrative Conditions								
A12	The Staging Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 31 March 2017	Secretary – FYI only	NA	Compliant	Sydney Metro Staging Report v1.0 was endorsed by the ER on 31 March 2017 and submitted to DP&E on 31 March 2017.
A21	An alternate services building location at Victoria Cross Station is found. Analysis of locations is submitted and approved by the Secretary.	Demolition and TSE	May 2017	Submitted 31 May 2017	Secretary	Pending	Compliant	An alternative location for construction of a services building to support Victoria Cross Station has been identified and is the subject of a Modification to SSI-15_7400 (MOD1). MOD1 was submitted to DP&E on 31 May 2017. Determination is expected before the end of 2017.
A22	An Environmental Representative (ER) is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 24 January 2017	Secretary	17 February 2017	Compliant	Letter sent to DP&E nominating HBI to act as ER on 24 January 2017. DP&E approved HBI as ER on 17 February 2017.
A25	An Acoustics Advisor (AA) is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 28 March 2017	Secretary	11 April 2017	Compliant	Letter sent to DP&E nominating Acoustic Studios to act in role until the tender process is complete. Interim approval was received on 28 February 2017 (for Dave Anderson to be AA). Permanent AA letter was sent to DP&E on 28 March 2017 and approved on 11 April 2017.
A29	A Compliance Tracking Program is endorsed by the ER then submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	May 2017	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Compliance Tracking Program has been prepared, endorsed by the ER on 13 March 2017 and submitted to DP&E on 28 March 2017.
A31	The Pre-Construction Compliance Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 31 March 2017	Secretary – FYI only	NA	Compliant	This report was endorsed by the ER on 31 March 2017 and submitted to DP&E on 31 March 2017. DP&E requested an update on 27 June 2017. Updates to Appendix A for Demolition (Delta) and SYAB were prepared in July 2017 for submission to DP&E.
A37	An Environmental Audit Program is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Environmental Audit Program has been prepared based on the Northwest project. It is addressed as part of the CTP (CoA A29) and was endorsed by the ER on 13 March 2017 and submitted to DP&E with the CTP on 28 March 2017.
Part B – Community Information, Consultation and Involvement								
B3	The Community Communication Strategy must be submitted to the Secretary for approval no later than three months from the date of this approval or one (1) month before commencement of any work, whichever is the latter.	All stages	April 2017	Submitted 28 March 2017	Secretary	9 June 2017	Compliant	The Overarching Community Communication Strategy and the Early Works Community Communication Strategy were endorsed by the ER on 16 March 2017 and 23 March 2017 respectively. Both were submitted to DP&E for approval on 28 March 2017. DP&E confirmed via email on 12 April 2017 that 'Minor Works' "don't qualify as 'works for the purposes of the CSSI'".
B4	Work for the purposes of the CSSI must not commence until the Community Communication Strategy has been approved by the Secretary, or within another timeframe agreed with the Secretary.	All stages	April 2017	Submitted 28 March 2017	Secretary	9 June 2017	Compliant	DP&E approved the Overarching Community Communication Strategy and the Early Works Community Communication Strategy on 9 June 2017. DP&E confirmed via email on 12 April 2017 that 'Minor Works' "don't qualify as 'works for the purposes of the CSSI'".
B6	A Complaints Management System is developed.	Pre-staging (TfNSW) and all stages	May 2017	NA	NA	NA	Compliant	A Complaints Management System has been developed and is available in the 'Document Library' on the Sydney Metro website.
B9	Facilities for the collection of community enquiries and complaints are available.	Pre-staging (TfNSW) and all stages	February 2017	NA	NA	NA	Compliant	Facilities are provided on the Sydney Metro website: http://www.sydneymetro.info/get-touch

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
B10	Information required from B9 is published in local newspapers, the website and on site hoardings at each construction site.	Pre-staging (TfNSW) and all stages	May 2017	Newspaper advertising commenced 6 March 2017	NA	NA	Compliant	Advertisements began in week starting 6 March 2017 for entire alignment. As per above the information required from B9 is already available on the website. Facilities are available on established site hoardings and will be made available on future site hoardings yet to be established.
B11	A Community Complaints Commissioner is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	February 2017	Submitted 28 March 2017	Secretary	13 April 2017	Compliant	Christine Marsden of Quatro Group was engaged and approved for this service for NWRL (November 2015). Request for approval was submitted to DP&E on 28 March 2017 and approved on 13 April 2017.
B15	A website providing information in relation to the CSSI is established.	Pre-staging (TfNSW) and all stages	May 2017	In 2016 during EIS preparation / approval and continual uploading of documents thereafter	NA	NA	Non-Compliant	TfNSW Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham TfNSW Sydney Yard Access Bridge webpage established: https://www.sydneymetro.info/station/sydney-yard-access-bridge All relevant TfNSW documents were not uploaded to the TfNSW website until 27 June 2017. This represented a non-compliance. LOR is currently developing a LOR SYAB website and LOR SYAB documents were not available online until they were uploaded to the TfNSW website on 6 July 2017. This also represented a non-compliance. TfNSW will temporarily host the LOR SYAB documents on the following webpage until the LOR SYAB website has been established and hosts the documents: https://www.sydneymetro.info/citysouthwest/environment-planning
Part C – Construction Environmental Management								
C3, C5 and C6	CEMP sub-plans are prepared and developed in consultation with relevant government agencies. The CEMP sub-plans are submitted to the Secretary along with, or subsequent to, the submission of the CEMP.	All stages	April 2017	Various – refer to Evidence / Notes			Compliant	LOR CEMP sub-plans were endorsed by the ER on 26 April 2017, submitted to the DP&E on 1 May 2017 (Heritage) and 2 May 2017 (Noise & Vibration) and approved by DP&E on 9 June 2017. Refer to E82 for Construction Traffic Management Plan.
C7	CEMP for each Stage is endorsed by the ER then submitted to the Secretary for approval.	All stages	April 2017	Submitted 1 May 2017	Secretary	9 June 2017	Compliant	The LOR CEMP was endorsed by the ER on 26 April 2017, submitted to DP&E on 1 May 2017 and approved by DP&E on 9 June 2017.
C9, C12 and C13	Construction Monitoring Programs are prepared and developed for each Stage in consultation with the relevant government agencies and include information requested by an agency, including all correspondence. The programs are endorsed by the ER and then submitted to the Secretary for approval.	All stages to some degree except HV Power Works and NCW	April 2017	Various – refer to Evidence / Notes	Secretary	Various – refer to Evidence / Notes	Compliant	LOR Construction Monitoring Programs are included in their respective CEMP sub-plan (refer to Condition C3, C5 and C6).
Part E – Key Issue Conditions								
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	All stages	May 2017	Not Applicable. Ongoing.	NA	NA	Compliant	Utilities, services and other infrastructure potentially affected by LOR construction works have been identified before works affecting that item have commenced.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E6	A comprehensive tree report is prepared by an arborist prior to the removal of any trees. In the event that tree removal cannot be avoided, then replacement trees are to be planted within, or in close proximity to the CSSI or other location in consultation with the Relevant Councils and agreed by the Secretary. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees, including those affected by the site establishment works. All recommendations of the Tree Report must be implemented by the Proponent, unless otherwise agreed by the Secretary. The Tree Report may be prepared for the entire CSSI or separate reports may be prepared for individual areas where tree removal and/or pruning is proposed.	All stages	May 2017	Submitted 26 May 2017	Secretary – FYI only (except for replacement tree location which requires approval)	NA	Compliant	The LOR Tree Report was endorsed by the ER on 25 May 2017 and submitted to DP&E on 26 May 2017, prior to the removal, damage and/or pruning of any trees.
E13	A Heritage Archival Recording Report is prepared and includes photographic/archival recording of heritage items identified in documents referred to in CoA A1, undertaken by a suitably qualified heritage specialist.	SYAB, Demolition, TSE and CSMW	February to December 2017 with report submitted by December 2019	Not Applicable. To be completed following submission of this Pre-Construction Compliance Report.	NA	NA	Compliant	GML have been engaged and are in the process of undertaking archival recording with the intent to complete by December 2017. The Heritage Archival Report will be submitted to required stakeholders within two years of completion (by December 2019).
E14	Photography of externals of all buildings and structures to be demolished is undertaken prior to demolition, in consultation with Council.	SYAB, Demolition and CSMW	May 2017	Ongoing	NA	NA	Compliant	Photographs have been taken of the externals of all buildings and structures to be demolished, in consultation with Council. GML are in the process of reviewing photographs to confirm compliant with heritage standards and Council requirements. This was completed in May 2017, ensuring compliance prior to demolition commencing.
E15	Salvage items of heritage value from heritage listed buildings and structures to be demolished before demolition.	SYAB, Demolition and CSMW	May 2017 to January 2018	Ongoing	NA	NA	Compliant	LOR is in the process of salvaging items with heritage value from heritage listed buildings and structures prior to demolition at each relevant location (i.e. Hunters Hut and raw iron fencing).
E16	A salvage report is prepared, including photographic recording of the heritage items identified for salvage in the documents referred to in CoA A1.	SYAB, Demolition and TSE	February 2018	Ongoing	NA	NA	Compliant	Photographic recording of the heritage items identified for salvage was completed. The salvage report is currently being prepared, and will be finalised following completion of salvage undertaken as part of CoA E15.
E17	Final Archaeological Method Statements must be prepared in consultation with the Heritage Council of NSW (or its delegate) before commencement of archaeological excavation works.	SYAB, TSE, CSMW and LWC	May 2017	Ongoing	NA	NA	Compliant	The Final Archaeological Method Statements are currently being prepared in consultation with the Heritage Council and will be completed prior to the commencement of LOR archaeological excavation works.
E18	A suitably qualified Excavation Director who complies with the Heritage Council of NSW's Criteria for Assessment of Excavation Directors (July 2011) is nominated by the Proponent before excavation of archaeological management sites.	SYAB, TSE, CSMW and LWC	May 2017	Submitted 1 June 2017	Secretary – FYI only	NA	Compliant	Excavation Director nomination was submitted to DP&E on 1 June 2017, prior to excavation of archaeological management sites.
E19	An Unexpected Heritage Finds Procedure is prepared.	All stages except Demolition	June 2017	Refer to C3, C5 and C6 – Heritage Management Plan.			Compliant	An Unexpected Heritage Finds Procedure is included in LOR's Heritage Management Plan (refer to C3, C5 and C6).
E21	A Heritage Interpretation Plan is prepared and submitted to the Secretary for information.	Demolition	N/A for LOR	N/A for LOR	N/A for LOR	N/A for LOR	N/A	N/A for LOR

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E25	Where previously unidentified Aboriginal objects are discovered during construction of the CSSI, construction must stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before works recommence. The measures to consider and manage this process must be specified in the Heritage Management sub-plan required by Condition C3 and, where relevant, include registration in the OEH's Aboriginal Heritage Information Management System (AHIMS).	All stages except Demolition	June 2017	Submitted 1 May 2017	NA	NA	Compliant	The LOR Heritage Management Plan was endorsed by the ER on 26 April 2017 submitted to DP&E on 1 May 2017 and approved on 9 June 2017. The plan includes measures to ensure compliance with this CoA. No unidentified Aboriginal objects have been discovered by LOR during construction to date.
E27	An Exhumation Management Plan is prepared and provided to the Secretary for information.	SYAB, TSE, CSMW, STME, LWC and TSOM	May 2017	Submitted 26 May 2017	Secretary - FYI	NA	Compliant	Artefact has prepared this plan as a two staged document. The first stage of the document was endorsed by the ER on 26 May 2017 and submitted to DP&E on 26 May 2017.
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage are notified before construction that generates vibration commences in the vicinity of those properties.	All stages	May 2017	Ongoing	NA	NA	Compliant	All identified receivers have received notification 7 days prior to construction commencing and on an ongoing basis including seven days prior to new activities commencing. Quarterly newsletters will also be provided. The Construction Noise and Vibration sub-plans prepared as part of CoA C3 also include consideration of these properties.
E32	The Sydney Metro City and Southwest Construction Noise and Vibration Strategy is reviewed/ revised and submitted to the Secretary for approval at least one (1) month before construction commences.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 24 April 2017	Secretary	Pending	Compliant	A review of the CNVS was undertaken and the CNVS Addendum was endorsed by both the AA and ER on 24 April 2017 and submitted to DP&E on 24 April 2017.
E33	Construction Noise and Vibration Impact Statements (CNVIS) are prepared for each construction site before construction noise and vibration impacts commence.	All stages	May 2017	April/May 2017	NA	NA	Compliant	LOR has prepared a CNVIS for the site, which was endorsed by the AA on 28 April 2017 and the ER on 1 May 2017.
E35	The Proponent must review alternative methods to rock hammering and blasting for excavation as part of the detailed construction planning with a view to adopting methods that minimise impacts on sensitive receivers. Construction Noise and Vibration Impact Statements must be updated for each location or activity to adopt the least impact alternative in any given location unless it can be demonstrated, to the satisfaction of the AA, why it should not be adopted.	TSE, CSMW and STME	N/A for LOR	N/A for LOR	N/A for LOR	N/A for LOR	N/A	N/A for LOR
E47	An Out of Hours Work Protocol for the assessment, management and approval of work outside of standard construction hours, is prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	All stages	May 2017	Submitted 30 March 2017	Secretary	Pending	Compliant	The OOH Protocol was endorsed by the AA and ER on 28 March 2017 and submitted to DP&E for approval on 30 March 2017.
E59	All property owners of buildings identified as being at risk of damage are offered a building condition survey. Copies of Building Condition Survey Reports are provided to the owners of the buildings surveyed, and the Relevant Council, if required.	All stages except HV Power Works and NCW	April 2017	Ongoing	NA	NA	Compliant	Property owners of buildings identified as being at risk of damage from LOR works have been offered a building condition survey (Sydney Trains for Mortuary Station only).

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E62	An Independent Property Impact Assessment Panel is established and the Secretary is informed of the Panel Members.	All stages except HV Power Works and NCW	May 2017	Submitted 21 April 2017	Secretary – FYI only	NA	Compliant	An IPIAP was established and DP&E was informed of the Panel Members on 21 April 2017.
E64	A Business Management Plan is prepared before construction.	All stages	May 2017	23 June 2017	NA	NA	Compliant	The Early Works Business Management Plan was prepared and conditionally endorsed by the ER on 8 June 2017. The plan was subsequently revised and endorsed by the ER on 23 June 2017.
E69	An Unexpected Contaminated Land and Asbestos Finds Procedure is prepared.	All stages except Demolition	June 2017	Submitted 1 May 2017	NA	NA	Compliant	This procedure was prepared as part of the LOR CEMP (refer to C7).
E72	The Proponent must prepare a Sustainability Strategy to be submitted to the Secretary within six (6) months of the date of this approval, or within another timeframe agreed with the Secretary, which must be implemented throughout design, construction and operation of the CSSI.	TSE, CSMW, STME, LWC and TSOM	9 July 2017	7 July 2017	Secretary – FYI only	NA	Compliant	The Sustainability Strategy was endorsed by the ER on 7 July 2017 and submitted to DP&E on 7 July 2017. LOR will develop a Sustainability Management Plan which will take into consideration this overarching strategy.
E77	A Traffic and Transport Liaison Group(s) (TTLGs) is established.	All stages	April 2017	February 2017	NA	NA	Compliant	A TTLG has been established and their first meeting was held on 16 February 2017.
E81	A Construction Traffic Management Framework (CTMF) is prepared and submitted to the Secretary for approval.	All stages	April 2017	Submitted 20 March 2017	Secretary	11 May 2017	Compliant	The CTMF was endorsed by the ER on 17 March 2017, submitted to DP&E on 20 March 2017 and approved on 11 May 2017.
E82	Construction Traffic Management Plans (CTMPs) are prepared for each construction site and submitted to the RMS for approval following Sydney Coordination Office endorsement before construction commences at the relevant construction site.	All stages	April 2017	Submitted on 13 February 2017	RMS	2 June 2017	Compliant	The LOR CTMP was submitted to RMS for approval on 13 February 2017, endorsed by the ER on 26 March 2017, approved by RMS on 2 June 2017 and submitted to DP&E for information on 6 June 2017.
E88	Details of haulage routes and heavy vehicle sizes to transport material to and from any construction site must be specified in the Construction Traffic Management Plan(s) and be approved by the RMS following endorsement by Sydney Coordination Office and the Relevant Roads Authority	All stages	April 2017	Submitted on 13 February 2017	RMS	2 June 2017	Compliant	The CTMP includes details of haulage routes and heavy vehicle sizes (refer to E82).
E90	A Road Dilapidation Report is prepared for local roads proposed to be used by heavy vehicles and copies of the Road Dilapidation Report are provided to the Relevant Council.	All stages	April 2017	Ongoing	NA	NA	Compliant	LOR has prepared Road Dilapidation Reports for local roads that are being used by heavy vehicles as relevant. These reports have been provided to the relevant council(s).
E100	A Design Review Panel (DRP) is established.	Pre-staging (TfNSW) and all stages	May 2017	September / October 2016	NA	NA	Compliant	A DRP has been established and has been involved in the design works required prior to construction.

Appendix A.2

Demolition A (Delta) Pre-Construction Compliance Status Report (11 July 2017)

Site	Commencement of Construction Activities
Victoria Cross	24 June 2017
Pitt Street Chatswood Crows Nest Waterloo Marrickville	Works at these sites remain minor in nature and consistent with the impacts of Minor Works approvals to date. However in accordance with the definition of 'Construction' under the Chatswood to Sydenham Conditions of Approval, all works at these sites became 'construction' on 5 June 2017 following DP&E approval of the CEMP.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
Part A – Administrative Conditions								
A12	The Staging Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 31 March 2017	Secretary – FYI only	NA	Compliant	Sydney Metro Staging Report v1.0 was endorsed by the ER on 31 March 2017 and submitted to DP&E on 31 March 2017.
A21	An alternate services building location at Victoria Cross Station is found. Analysis of locations is submitted and approved by the Secretary.	Demolition and TSE	May 2017	Submitted 31 May 2017	Secretary	Pending	Compliant	An alternative location for construction of a services building to support Victoria Cross Station has been identified and is the subject of a Modification to SSI-15_7400 (MOD1). MOD1 was submitted to DP&E on 31 May 2017. Determination is expected before the end of 2017.
A22	An Environmental Representative (ER) is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 24 January 2017	Secretary	17 February 2017	Compliant	Letter sent to DP&E nominating HBI to act as ER on 24 January 2017. DP&E approved HBI as ER on 17 February 2017.
A25	An Acoustics Advisor (AA) is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 28 March 2017	Secretary	11 April 2017	Compliant	Letter sent to DP&E nominating Acoustic Studios to act in role until the tender process is complete. Interim approval was received on 28 February 2017 (for Dave Anderson to be AA). Permanent AA letter was sent to DP&E on 28 March 2017 and approved on 11 April 2017.
A29	A Compliance Tracking Program is endorsed by the ER then submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	May 2017	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Compliance Tracking Program has been prepared, endorsed by the ER on 13 March 2017 and submitted to DP&E on 28 March 2017.
A31	The Pre-Construction Compliance Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 31 March 2017	Secretary – FYI only	NA	Compliant	This report was endorsed by the ER on 31 March 2017 and submitted to DP&E on 31 March 2017. DP&E requested an update on 27 June 2017. Updates to Appendix A for Demolition (Delta) and SYAB were prepared in July 2017 for submission to DP&E.
A37	An Environmental Audit Program is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Environmental Audit Program has been prepared based on the Northwest project. It is addressed as part of the CTP (CoA A29) and was endorsed by the ER on 13 March 2017 and submitted to DP&E with the CTP on 28 March 2017.
Part B – Community Information, Consultation and Involvement								
B3	The Community Communication Strategy must be submitted to the Secretary for approval no later than three months from the date of this approval or one (1) month before commencement of any work, whichever is the latter.	All stages	April 2017	Submitted 28 March 2017	Secretary	9 June 2017	Compliant	The Overarching Community Communication Strategy and the Early Works Community Communication Strategy were endorsed by the ER on 16 March 2017 and 23 March 2017 respectively. Both were submitted to DP&E for approval on 28 March 2017. DP&E confirmed via email on 12 April 2017 that 'Minor Works' "don't qualify as 'works for the purposes of the CSSI'".
B4	Work for the purposes of the CSSI must not commence until the Community Communication Strategy has been approved by the Secretary, or within another timeframe agreed with the Secretary.	All stages	April 2017	Submitted 28 March 2017	Secretary	9 June 2017	Compliant	DP&E approved the Overarching Community Communication Strategy and the Early Works Community Communication Strategy on 9 June 2017. DP&E confirmed via email on 12 April 2017 that 'Minor Works' "don't qualify as 'works for the purposes of the CSSI'".
B6	A Complaints Management System is developed.	Pre-staging (TfNSW) and all stages	May 2017	NA	NA	NA	Compliant	A Complaints Management System has been developed and is available in the 'Document Library' on the Sydney Metro website.
B9	Facilities for the collection of community enquiries and complaints are available.	Pre-staging (TfNSW) and all stages	February 2017	NA	NA	NA	Compliant	Facilities are provided on the Sydney Metro website: http://www.sydneymetro.info/get-touch

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
B10	Information required from B9 is published in local newspapers, the website and on site hoardings at each construction site.	Pre-staging (TfNSW) and all stages	May 2017	Newspaper advertising commenced 6 March 2017	NA	NA	Compliant	Advertisements began in week starting 6 March 2017 for entire alignment. As per above the information required from B9 is already available on the website. Facilities are available on established site hoardings and will be made available on future site hoardings yet to be established.
B11	A Community Complaints Commissioner is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	February 2017	Submitted 28 March 2017	Secretary	13 April 2017	Compliant	Christine Marsden of Quatro Group was engaged and approved for this service for NWRL (November 2015). Request for approval was submitted to DP&E on 28 March 2017 and approved on 13 April 2017.
B15	A website providing information in relation to the CSSI is established.	Pre-staging (TfNSW) and all stages	May 2017	In 2016 during EIS preparation / approval and continual uploading of documents thereafter	NA	NA	Non-Compliant	TfNSW Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham Demolition (Delta) webpage established: http://www.deltagroup.com.au/projects/sydney-metro-city-and-southwest%E2%80%93demolition-work-packages-a-and-c All relevant TfNSW documents were not uploaded to the TfNSW website until 27 June 2017. This represented a non-compliance. All relevant Delta documents are currently being uploaded to the Delta website. This also represents a non-compliance.
Part C – Construction Environmental Management								
C3, C5 and C6	CEMP sub-plans are prepared and developed in consultation with relevant government agencies. The CEMP sub-plans are submitted to the Secretary along with, or subsequent to, the submission of the CEMP.	All stages	April 2017	Submitted 7 April 2017	Secretary	5 June 2017	Compliant	Delta CEMP sub-plans were endorsed by the ER on 6 April 2017 (Heritage) and 7 April 2017 (Noise & Vibration), submitted to DP&E on 7 April 2017 and approved by DP&E on 5 June 2017. Refer to E82 for Construction Traffic Management Plans.
C7	CEMP for each Stage is endorsed by the ER then submitted to the Secretary for approval.	All stages	April 2017	Submitted 7 March 2017	Secretary	5 June 2017	Compliant	The Delta CEMP was endorsed by the ER on 17 March 2017, submitted to DP&E on 17 March 2017 and approved by DP&E on 5 June 2017. The Victoria Cross Health Risk Assessment was submitted to the DP&E on 13 June 2017 and approved on 24 June 2017.
C9, C12 and C13	Construction Monitoring Programs are prepared and developed for each Stage in consultation with the relevant government agencies and include information requested by an agency, including all correspondence. The programs are endorsed by the ER and then submitted to the Secretary for approval.	All stages to some degree except HV Power Works and NCW	April 2017	Various – refer to Evidence / Notes	Secretary	Various – refer to Evidence / Notes	Compliant	Delta Construction Monitoring Programs are included in their respective CEMP sub-plan (refer to Condition C3, C5 and C6).
Part E – Key Issue Conditions								
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	All stages	May 2017	Not Applicable. Ongoing.	NA	NA	Compliant	Utilities, services and other infrastructure potentially affected by Delta construction works have been identified before works affecting that item have commenced.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E6	A comprehensive tree report is prepared by an arborist prior to the removal of any trees. In the event that tree removal cannot be avoided, then replacement trees are to be planted within, or in close proximity to the CSSI or other location in consultation with the Relevant Councils and agreed by the Secretary. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees, including those affected by the site establishment works. All recommendations of the Tree Report must be implemented by the Proponent, unless otherwise agreed by the Secretary. The Tree Report may be prepared for the entire CSSI or separate reports may be prepared for individual areas where tree removal and/or pruning is proposed.	All stages	May 2017	Various – refer to Evidence / Notes	Secretary – FYI only (except for replacement tree location which requires approval)	NA	Compliant	The following Delta Tree Reports have been endorsed by the ER and submitted to DP&E before removal, damage and/or pruning to any trees of which they are subject to: <ul style="list-style-type: none"> - Victoria Cross Site (endorsed on 22 May 2017 and submitted to DP&E on 18 June 2017). - Chatswood Site and Marrickville Site (endorsed on 29 June 2017 and submitted to DP&E on 29 June 2017).
E13	A Heritage Archival Recording Report is prepared and includes photographic/archival recording of heritage items identified in documents referred to in CoA A1, undertaken by a suitably qualified heritage specialist.	SYAB, Demolition, TSE and CSMW	February to December 2017 with report submitted by December 2019	Not Applicable. To be completed following submission of this Pre-Construction Compliance Report.	NA	NA	Compliant	GML have been engaged and are in the process of undertaking archival recording with the intent to complete by December 2017. Recording at each location has been undertaken as relevant prior to demolition at that location, with demolition scheduled to be completed in February 2018. The Heritage Archival Report will be submitted to required stakeholders within two years of completion (by December 2019).
E14	Photography of externals of all buildings and structures to be demolished is undertaken prior to demolition, in consultation with Council.	SYAB, Demolition and CSMW	May 2017	Ongoing	NA	NA	Compliant	Photographs have been taken of the externals of all buildings and structures to be demolished, in consultation with Council. GML are in the process of reviewing photographs to confirm compliant with heritage standards and Council requirements. This was completed in May 2017, ensuring compliance prior to demolition commencing.
E15	Salvage items of heritage value from heritage listed buildings and structures to be demolished before demolition.	SYAB, Demolition and CSMW	May 2017 to January 2018	Ongoing	NA	NA	Compliant	Delta will salvage items with heritage value from heritage listed buildings and structures prior to demolition at each relevant location (not triggered yet).
E16	A salvage report is prepared, including photographic recording of the heritage items identified for salvage in the documents referred to in CoA A1.	SYAB, Demolition and TSE	February 2018	Ongoing	NA	NA	Compliant	Photographic recording of the heritage items identified for salvage was completed. The salvage report is currently being prepared, and will be finalised following completion of salvage undertaken as part of CoA E15.
E17	Final Archaeological Method Statements must be prepared in consultation with the Heritage Council of NSW (or its delegate) before commencement of archaeological excavation works.	SYAB, TSE, CSMW and LWC	N/A for Delta	N/A for Delta	N/A for Delta	N/A for Delta	N/A	N/A for Delta
E18	A suitably qualified Excavation Director who complies with the Heritage Council of NSW's Criteria for Assessment of Excavation Directors (July 2011) is nominated by the Proponent before excavation of archaeological management sites.	SYAB, TSE, CSMW and LWC	N/A for Delta	N/A for Delta	N/A for Delta	N/A for Delta	N/A	N/A for Delta
E19	An Unexpected Heritage Finds Procedure is prepared:	All stages except Demolition	N/A for Delta	N/A for Delta	N/A for Delta	N/A for Delta	N/A	N/A for Delta
E21	A Heritage Interpretation Plan is prepared and submitted to the Secretary for information.	Demolition	April 2017	Submitted 11 May 2017	Secretary - FYI	NA	Compliant	The Heritage Interpretation Plan was endorsed by the ER on 6 April 2017 and submitted to DP&E on 11 May 2017.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E25	Where previously unidentified Aboriginal objects are discovered during construction of the CSSI, construction must stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before works recommence. The measures to consider and manage this process must be specified in the Heritage Management sub-plan required by Condition C3 and, where relevant, include registration in the OEH's Aboriginal Heritage Information Management System (AHIMS).	All stages except Demolition	N/A for Delta	N/A for Delta	N/A for Delta	N/A for Delta	N/A	N/A for Delta
E27	An Exhumation Management Plan is prepared and provided to the Secretary for information.	SYAB, TSE, CSMW, STME, LWC and TSOM	N/A for Delta	N/A for Delta	N/A for Delta	N/A for Delta	N/A	N/A for Delta
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage are notified before construction that generates vibration commences in the vicinity of those properties.	All stages	May 2017	Ongoing	NA	NA	Compliant	No owners of properties at risk of exceeding the screening criteria for cosmetic damage have been identified as per the CNVIS.
E32	The Sydney Metro City and Southwest Construction Noise and Vibration Strategy is reviewed/ revised and submitted to the Secretary for approval at least one (1) month before construction commences.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 24 April 2017	Secretary	Pending	Compliant	A review of the CNVS was undertaken and the CNVS Addendum was endorsed by both the AA and ER on 24 April 2017 and submitted to DP&E on 24 April 2017.
E33	Construction Noise and Vibration Impact Statements (CNVIS) are prepared for each construction site before construction noise and vibration impacts commence.	All stages	May 2017	April/May 2017	NA	NA	Non-Compliant	The following CNVISs have been prepared and endorsed by the ER and AA: <ul style="list-style-type: none"> - Victoria Cross South Site (Tower Square) (endorsed by the AA and ER on 23 June 2017). - Chatswood Site (endorsed by the AA and ER on 7 July 2017). Unauthorised construction activities were undertaken at the Pitt St Site on 19 June 2017. This generated construction noise and vibration impacts, representing a non-compliance. The contractor was instructed to stop work immediately and the occurrence has been reported as an incident (Class 3) and a non-compliance.
E35	The Proponent must review alternative methods to rock hammering and blasting for excavation as part of the detailed construction planning with a view to adopting methods that minimise impacts on sensitive receivers. Construction Noise and Vibration Impact Statements must be updated for each location or activity to adopt the least impact alternative in any given location unless it can be demonstrated, to the satisfaction of the AA, why it should not be adopted.	TSE, CSMW and STME	N/A for Delta	N/A for Delta	N/A for Delta	N/A for Delta	N/A	N/A for Delta
E47	An Out of Hours Work Protocol for the assessment, management and approval of work outside of standard construction hours, is prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	All stages	May 2017	Submitted 30 March 2017	Secretary	Pending	Compliant	The OOH Protocol was endorsed by the AA and ER on 28 March 2017 and submitted to DP&E for approval on 30 March 2017.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes																																			
E59	All property owners of buildings identified as being at risk of damage are offered a building condition survey. Copies of Building Condition Survey Reports are provided to the owners of the buildings surveyed, and the Relevant Council, if required.	All stages except HV Power Works and NCW	April 2017	Ongoing	NA	NA	Compliant	Property owners of buildings identified as being at risk of damage from Delta works have been offered a building condition survey.																																			
E62	An Independent Property Impact Assessment Panel is established and the Secretary is informed of the Panel Members.	All stages except HV Power Works and NCW	May 2017	Submitted 21 April 2017	Secretary – FYI only	NA	Compliant	An IPIAP was established and DP&E was informed of the Panel Members on 21 April 2017.																																			
E64	A Business Management Plan is prepared before construction.	All stages	May 2017	23 June 2017	NA	NA	Compliant	The Early Works Business Management Plan was prepared and conditionally endorsed by the ER on 8 June 2017. The plan was subsequently revised and endorsed by the ER on 23 June 2017.																																			
E69	An Unexpected Contaminated Land and Asbestos Finds Procedure is prepared.	All stages except Demolition	N/A for Delta	N/A for Delta	N/A for Delta	N/A for Delta	N/A	N/A for Delta																																			
E72	The Proponent must prepare a Sustainability Strategy to be submitted to the Secretary within six (6) months of the date of this approval, or within another timeframe agreed with the Secretary, which must be implemented throughout design, construction and operation of the CSSI.	TSE, CSMW, STME, LWC and TSOM	N/A for Delta	N/A for Delta	N/A for Delta	N/A for Delta	N/A	N/A for Delta																																			
E77	A Traffic and Transport Liaison Group(s) (TTLGs) is established.	All stages	April 2017	February 2017	NA	NA	Compliant	A TTLG has been established and their first meeting was held on 16 February 2017.																																			
E81	A Construction Traffic Management Framework (CTMF) is prepared and submitted to the Secretary for approval.	All stages	April 2017	Submitted 20 March 2017	Secretary	11 May 2017	Compliant	The CTMF was endorsed by the ER on 17 March 2017, submitted to DP&E on 20 March 2017 and approved on 11 May 2017.																																			
E82	Construction Traffic Management Plans (CTMPs) are prepared for each construction site and submitted to the RMS for approval following Sydney Coordination Office endorsement before construction commences at the relevant construction site.	All stages	April 2017	Various – refer to Evidence / Notes			Compliant	<p>The following table lists Delta CTMP RMS submission, RMS approval, ER endorsement and DP&E submission dates for each site:</p> <table border="1"> <thead> <tr> <th>Site CTMP</th> <th>RMS Submission</th> <th>RMS Approval</th> <th>ER Endorsement</th> <th>DPE Submission (FYI)</th> </tr> </thead> <tbody> <tr> <td>Chatswood</td> <td>15 Feb 2017</td> <td>9 June 2017</td> <td>29 June 2017</td> <td>30 June 2017</td> </tr> <tr> <td>Victoria Cross</td> <td>17 Feb 2017</td> <td>1 June 2017</td> <td>17 June 2017</td> <td>28 June 2017</td> </tr> <tr> <td>Pitt Street (Stage 1)</td> <td>6 March 2017</td> <td>8 May 2017</td> <td>9 May 2017</td> <td>19 June 2017</td> </tr> <tr> <td>Crows Nest</td> <td>14 March 2017</td> <td>12 May 2017</td> <td>26 May 2017</td> <td>23 May 2017</td> </tr> <tr> <td>Marrickville</td> <td>19 May 2017</td> <td>1 June 2017</td> <td>Pending</td> <td>Pending</td> </tr> <tr> <td>Waterloo</td> <td>22 May 2017</td> <td>3 July 2017</td> <td>Pending</td> <td>Pending</td> </tr> </tbody> </table>	Site CTMP	RMS Submission	RMS Approval	ER Endorsement	DPE Submission (FYI)	Chatswood	15 Feb 2017	9 June 2017	29 June 2017	30 June 2017	Victoria Cross	17 Feb 2017	1 June 2017	17 June 2017	28 June 2017	Pitt Street (Stage 1)	6 March 2017	8 May 2017	9 May 2017	19 June 2017	Crows Nest	14 March 2017	12 May 2017	26 May 2017	23 May 2017	Marrickville	19 May 2017	1 June 2017	Pending	Pending	Waterloo	22 May 2017	3 July 2017	Pending	Pending
Site CTMP	RMS Submission	RMS Approval	ER Endorsement	DPE Submission (FYI)																																							
Chatswood	15 Feb 2017	9 June 2017	29 June 2017	30 June 2017																																							
Victoria Cross	17 Feb 2017	1 June 2017	17 June 2017	28 June 2017																																							
Pitt Street (Stage 1)	6 March 2017	8 May 2017	9 May 2017	19 June 2017																																							
Crows Nest	14 March 2017	12 May 2017	26 May 2017	23 May 2017																																							
Marrickville	19 May 2017	1 June 2017	Pending	Pending																																							
Waterloo	22 May 2017	3 July 2017	Pending	Pending																																							

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E88	Details of haulage routes and heavy vehicle sizes to transport material to and from any construction site must be specified in the Construction Traffic Management Plan(s) and be approved by the RMS following endorsement by Sydney Coordination Office and the Relevant Roads Authority	All stages	April 2017	Various – refer to E82			Compliant	The CTMPs include details of haulage routes and heavy vehicle sizes (refer to E82).
E90	A Road Dilapidation Report is prepared for local roads proposed to be used by heavy vehicles and copies of the Road Dilapidation Report are provided to the Relevant Council.	All stages	April 2017	Ongoing	NA	NA	Compliant	Delta has prepared Road Dilapidation Reports for local roads that are being used by heavy vehicles as relevant. These reports have been provided to the relevant council(s).
E100	A Design Review Panel (DRP) is established.	Pre-staging (TfNSW) and all stages	May 2017	September / October 2016	NA	NA	Compliant	A DRP has been established and has been involved in the design works required prior to construction.

Appendix A.3

Demolition B (Metropolitan) Pre-Construction Compliance Status Report (14 July 2017)

Site	Commencement of Construction Activities
Martin Place North	14 July 2017

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
Part A – Administrative Conditions								
A12	The Staging Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 31 March 2017	Secretary – FYI only	NA	Compliant	Sydney Metro Staging Report v1.0 was endorsed by the ER on 31 March 2017 and submitted to DP&E on 31 March 2017.
A21	An alternate services building location at Victoria Cross Station is found. Analysis of locations is submitted and approved by the Secretary.	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A	N/A for Metropolitan
A22	An Environmental Representative (ER) is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 24 January 2017	Secretary	17 February 2017	Compliant	Letter sent to DP&E nominating HBI to act as ER on 24 January 2017. DP&E approved HBI as ER on 17 February 2017.
A25	An Acoustics Advisor (AA) is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 28 March 2017	Secretary	11 April 2017	Compliant	Letter sent to DP&E nominating Acoustic Studios to act in role until the tender process is complete. Interim approval was received on 28 February 2017 (for Dave Anderson to be AA). Permanent AA letter was sent to DP&E on 28 March 2017 and approved on 11 April 2017.
A29	A Compliance Tracking Program is endorsed by the ER then submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	May 2017	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Compliance Tracking Program has been prepared, endorsed by the ER on 13 March 2017 and submitted to DP&E on 28 March 2017.
A31	The Pre-Construction Compliance Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 31 March 2017	Secretary – FYI only	NA	Compliant	This report was endorsed by the ER on 31 March 2017 and submitted to DP&E on 31 March 2017. DP&E requested a specific update for each CEMP approval on 27 June 2017. Updates to Appendix A for Demolition (Delta) and SYAB were prepared and submitted to DP&E on 12 July 2017. An update for Demolition (Metropolitan) was subsequently prepared and submitted to DP&E 13 July 2017.
A37	An Environmental Audit Program is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Environmental Audit Program has been prepared based on the Northwest project. It is addressed as part of the CTP (CoA A29) and was endorsed by the ER on 13 March 2017 and submitted to DP&E with the CTP on 28 March 2017.
Part B – Community Information, Consultation and Involvement								
B3	The Community Communication Strategy must be submitted to the Secretary for approval no later than three months from the date of this approval or one (1) month before commencement of any work, whichever is the latter.	All stages	April 2017	Submitted 28 March 2017	Secretary	9 June 2017	Compliant	The Overarching Community Communication Strategy and the Early Works Community Communication Strategy were endorsed by the ER on 16 March 2017 and 23 March 2017 respectively. Both were submitted to DP&E for approval on 28 March 2017. DP&E confirmed via email on 12 April 2017 that 'Minor Works' "don't qualify as works for the purposes of the CSSI".
B4	Work for the purposes of the CSSI must not commence until the Community Communication Strategy has been approved by the Secretary, or within another timeframe agreed with the Secretary.	All stages	April 2017	Submitted 28 March 2017	Secretary	9 June 2017	Compliant	DP&E approved the Overarching Community Communication Strategy and the Early Works Community Communication Strategy on 9 June 2017. DP&E confirmed via email on 12 April 2017 that 'Minor Works' "don't qualify as works for the purposes of the CSSI".
B6	A Complaints Management System is developed.	Pre-staging (TfNSW) and all stages	May 2017	NA	NA	NA	Compliant	A Complaints Management System has been developed and is available in the 'Document Library' on the Sydney Metro website.
B9	Facilities for the collection of community enquiries and complaints are available.	Pre-staging (TfNSW) and all stages	February 2017	NA	NA	NA	Compliant	Facilities are provided on the Sydney Metro website: http://www.sydneymetro.info/get-touch

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
B10	Information required from B9 is published in local newspapers, the website and on site hoardings at each construction site.	Pre-staging (TfNSW) and all stages	May 2017	Newspaper advertising commenced 6 March 2017	NA	NA	Compliant	Advertisements began in week starting 6 March 2017 for entire alignment. As per above the information required from B9 is already available on the website. Facilities are available on established site hoardings and will be made available on future site hoardings yet to be established.
B11	A Community Complaints Commissioner is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	February 2017	Submitted 28 March 2017	Secretary	13 April 2017	Compliant	Christine Marsden of Quatro Group was engaged and approved for this service for NWRL (November 2015). Request for approval was submitted to DP&E on 28 March 2017 and approved on 13 April 2017.
B15	A website providing information in relation to the CSSI is established.	Pre-staging (TfNSW) and all stages	May 2017	In 2016 during EIS preparation / approval and continual uploading of documents thereafter	NA	NA	Compliant	TfNSW Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham Demolition (Metropolitan) webpage established: http://www.metrodemo.com.au/smcs-martin-place-north/ A non-compliance with this requirement was reported in the Delta and SYAB Pre-Construction Compliance Report Appendix updates. The non-compliance does not affect the Metropolitan works as these have yet to commence. All relevant Metropolitan documents will be uploaded to the Metropolitan website prior to relevant works occurring.
Part C – Construction Environmental Management								
C3, C5 and C6	CEMP sub-plans are prepared and developed in consultation with relevant government agencies. The CEMP sub-plans are submitted to the Secretary along with, or subsequent to, the submission of the CEMP.	All stages	April 2017	Various – refer to Evidence / Notes	Secretary	12 July 2017	Compliant	Metropolitan CEMP sub-plans include: - Heritage (endorsed by the ER on 19 May 2017 and submitted to DP&E on 22 May 2017). - Noise & Vibration (endorsed by the AA on 26 May 2017, endorsed by the ER on 29 May 2017 and submitted to DP&E on 30 May 2017). - Traffic (refer to Condition E82).
C7	CEMP for each Stage is endorsed by the ER then submitted to the Secretary for approval.	All stages	April 2017	Submitted 16 May 2017	Secretary	12 July 2017	Compliant	The Metropolitan CEMP was endorsed by the ER on 9 May 2017, submitted to DP&E on 16 May 2017 and approved by DP&E on 12 July 2017.
C9, C12 and C13	Construction Monitoring Programs are prepared and developed for each Stage in consultation with the relevant government agencies and include information requested by an agency, including all correspondence. The programs are endorsed by the ER and then submitted to the Secretary for approval.	All stages to some degree except HV Power Works and NCW	April 2017	Various – refer to Evidence / Notes	Secretary	Various – refer to Evidence / Notes	Compliant	Metropolitan Construction Monitoring Programs are included in their respective CEMP sub-plan (refer to Condition C3, C5 and C6).
Part E – Key Issue Conditions								
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	All stages	May 2017	Not Applicable. Ongoing.	NA	NA	Compliant	Utilities, services and other infrastructure potentially affected by Metropolitan construction works have been identified before works affecting that item have commenced.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E6	A comprehensive tree report is prepared by an arborist prior to the removal of any trees. In the event that tree removal cannot be avoided, then replacement trees are to be planted within, or in close proximity to the CSSI or other location in consultation with the Relevant Councils and agreed by the Secretary. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees, including those affected by the site establishment works. All recommendations of the Tree Report must be implemented by the Proponent, unless otherwise agreed by the Secretary. The Tree Report may be prepared for the entire CSSI or separate reports may be prepared for individual areas where tree removal and/or pruning is proposed.	All stages	May 2017	Various – refer to Evidence / Notes	Secretary – FYI only (except for replacement tree location which requires approval)	NA	Compliant	The Metropolitan Tree Report was endorsed by the ER on 25 May 2017 and submitted to DP&E on 26 May 2017 before removal, damage and/or pruning to any trees.
E13	A Heritage Archival Recording Report is prepared and includes photographic/archival recording of heritage items identified in documents referred to in CoA A1, undertaken by a suitably qualified heritage specialist.	SYAB, Demolition, TSE and CSMW	February to December 2017 with report submitted by December 2019	Not Applicable. To be completed following submission of this Pre-Construction Compliance Report.	NA	NA	Compliant	GML have been engaged and are in the process of undertaking archival recording with the intent to complete by December 2017. Recording at each location has been undertaken as relevant prior to demolition at that location, with demolition scheduled to be completed in February 2018. The Heritage Archival Report will be submitted to required stakeholders within two years of completion (by December 2019).
E14	Photography of externals of all buildings and structures to be demolished is undertaken prior to demolition, in consultation with Council.	SYAB, Demolition and CSMW	May 2017	Ongoing	NA	NA	Compliant	Photographs have been taken of the externals of all buildings and structures to be demolished, in consultation with Council. GML are in the process of reviewing photographs to confirm compliance with heritage standards and Council requirements. This was completed in May 2017, ensuring compliance prior to demolition commencing.
E15	Salvage items of heritage value from heritage listed buildings and structures to be demolished before demolition.	SYAB, Demolition and CSMW	May 2017 to January 2018	Ongoing	NA	NA	Compliant	Metropolitan will salvage items with heritage value from heritage listed buildings and structures prior to demolition.
E16	A salvage report is prepared, including photographic recording of the heritage items identified for salvage in the documents referred to in CoA A1.	SYAB, Demolition and TSE	February 2018	Ongoing	NA	NA	Compliant	Photographic recording of the heritage items identified for salvage was completed. The salvage report is currently being prepared, and will be finalised following completion of salvage undertaken as part of CoA E15.
E17	Final Archaeological Method Statements must be prepared in consultation with the Heritage Council of NSW (or its delegate) before commencement of archaeological excavation works.	SYAB, TSE, CSMW and LWC	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A	N/A for Metropolitan
E18	A suitably qualified Excavation Director who complies with the Heritage Council of NSW's Criteria for Assessment of Excavation Directors (July 2011) is nominated by the Proponent before excavation of archaeological management sites.	SYAB, TSE, CSMW and LWC	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A	N/A for Metropolitan
E19	An Unexpected Heritage Finds Procedure is prepared:	All stages except Demolition	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A	N/A for Metropolitan
E21	A Heritage Interpretation Plan is prepared and submitted to the Secretary for information.	Demolition	April 2017	Submitted 11 May 2017	Secretary - FYI	NA	Compliant	The Heritage Interpretation Plan was conditionally endorsed by the ER on 6 April 2017 and submitted to DP&E on 11 May 2017.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E25	Where previously unidentified Aboriginal objects are discovered during construction of the CSSI, construction must stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before works recommence. The measures to consider and manage this process must be specified in the Heritage Management sub-plan required by Condition C3 and, where relevant, include registration in the OEH's Aboriginal Heritage Information Management System (AHIMS).	All stages except Demolition	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A	N/A for Metropolitan
E27	An Exhumation Management Plan is prepared and provided to the Secretary for information.	SYAB, TSE, CSMW, STME, LWC and TSOM	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A	N/A for Metropolitan
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage are notified before construction that generates vibration commences in the vicinity of those properties.	All stages	May 2017	Ongoing	NA	NA	Compliant	No owners of properties at risk of exceeding the screening criteria for cosmetic damage have been identified as per the CNVIS.
E32	The Sydney Metro City and Southwest Construction Noise and Vibration Strategy is reviewed/ revised and submitted to the Secretary for approval at least one (1) month before construction commences.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 24 April 2017	Secretary	Pending	Compliant	A review of the CNVS was undertaken and the CNVS Addendum was endorsed by both the AA and ER on 24 April 2017 and submitted to DP&E on 24 April 2017.
E33	Construction Noise and Vibration Impact Statements (CNVIS) are prepared for each construction site before construction noise and vibration impacts commence.	All stages	May 2017	April/May 2017	NA	NA	Compliant	The CNVIS for Martin Place North was prepared and endorsed by the AA on 7 July 2017 and the ER on 10 July 2017.
E35	The Proponent must review alternative methods to rock hammering and blasting for excavation as part of the detailed construction planning with a view to adopting methods that minimise impacts on sensitive receivers. Construction Noise and Vibration Impact Statements must be updated for each location or activity to adopt the least impact alternative in any given location unless it can be demonstrated, to the satisfaction of the AA, why it should not be adopted.	TSE, CSMW and STME	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A	N/A for Metropolitan
E47	An Out of Hours Work Protocol for the assessment, management and approval of work outside of standard construction hours, is prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	All stages	May 2017	Submitted 30 March 2017	Secretary	Pending	Compliant	The OOH Protocol was endorsed by the AA and ER on 28 March 2017 and submitted to DP&E for approval on 30 March 2017.
E59	All property owners of buildings identified as being at risk of damage are offered a building condition survey. Copies of Building Condition Survey Reports are provided to the owners of the buildings surveyed, and the Relevant Council, if required.	All stages except HV Power Works and NCW	April 2017	Ongoing	NA	NA	Compliant	Several property owners of surrounding buildings of the work site have been offered a building condition survey. It should be noted that this was not triggered by E29 but as a result of contractual agreements with neighbouring property owners.
E62	An Independent Property Impact Assessment Panel is established and the Secretary is informed of the Panel Members.	All stages except HV Power Works and NCW	May 2017	Submitted 21 April 2017	Secretary – FYI only	NA	Compliant	An IPIAP was established and DP&E was informed of the Panel Members on 21 April 2017.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E64	A Business Management Plan is prepared before construction.	All stages	May 2017	23 June 2017	NA	NA	Compliant	The Early Works Business Management Plan was prepared and conditionally endorsed by the ER on 8 June 2017. The plan was subsequently revised and endorsed by the ER on 23 June 2017.
E69	An Unexpected Contaminated Land and Asbestos Finds Procedure is prepared.	All stages except Demolition	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A	N/A for Metropolitan
E72	The Proponent must prepare a Sustainability Strategy to be submitted to the Secretary within six (6) months of the date of this approval, or within another timeframe agreed with the Secretary, which must be implemented throughout design, construction and operation of the CSSI.	TSE, CSMW, STME, LWC and TSOM	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A for Metropolitan	N/A	N/A for Metropolitan
E77	A Traffic and Transport Liaison Group(s) (TTLGs) is established.	All stages	April 2017	February 2017	NA	NA	Compliant	A TTLG has been established and their first meeting was held on 16 February 2017.
E81	A Construction Traffic Management Framework (CTMF) is prepared and submitted to the Secretary for approval.	All stages	April 2017	Submitted 20 March 2017	Secretary	11 May 2017	Compliant	The CTMF was endorsed by the ER on 17 March 2017, submitted to DP&E on 20 March 2017 and approved on 11 May 2017.
E82	Construction Traffic Management Plans (CTMPs) are prepared for each construction site and submitted to the RMS for approval following Sydney Coordination Office endorsement before construction commences at the relevant construction site.	All stages	April 2017	Submitted on 15 March 2017	RMS	8 May 2017	Compliant	The Martin Place North CTMP was submitted to RMS on 15 March 2017, approved by RMS on 8 May 2017, endorsed by the ER on 9 May 2017 and submitted to DP&E on 23 May 2017.
E88	Details of haulage routes and heavy vehicle sizes to transport material to and from any construction site must be specified in the Construction Traffic Management Plan(s) and be approved by the RMS following endorsement by Sydney Coordination Office and the Relevant Roads Authority	All stages	April 2017	Submitted on 15 March 2017	RMS	8 May 2017	Compliant	The CTMP includes details of haulage routes and heavy vehicle sizes (refer to E82).
E90	A Road Dilapidation Report is prepared for local roads proposed to be used by heavy vehicles and copies of the Road Dilapidation Report are provided to the Relevant Council.	All stages	April 2017	Ongoing	NA	NA	Compliant	Metropolitan has prepared Road Dilapidation Reports for local roads that are being used by heavy vehicles as relevant. These reports have been provided to the relevant council(s).
E100	A Design Review Panel (DRP) is established.	Pre-staging (TfNSW) and all stages	May 2017	September / October 2016	NA	NA	Compliant	A DRP has been established and has been involved in the design works required prior to construction.

Appendix A.4

Tunnel and Station Excavation (TSE)
(John Holland, CPB Contractors and Ghella Joint
Venture – JHCPBGJV) Pre-Construction
Compliance Status Report (22 December 2017)

Site	Commencement of Construction Activities
Chatswood Dive Crows Nest Station Victoria Cross Station Barangaroo Station Martin Place Station Pitt Street Station Waterloo Station Marrickville Dive	27 December 2017
Blues Point	30 July 2018
Artarmon Substation	1 August 2018

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Allocated to	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
Part A – Administrative Conditions								
A12	The Staging Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	TfNSW	V1.0 submitted 31 March 2017 V1.2 submitted 22 September 2017	Secretary – FYI only	NA	Compliant	Sydney Metro Staging Report v1.0 was endorsed by the ER on 31 March 2017 and submitted to DP&E on 31 March 2017. Sydney Metro Staging Report v1.2 was endorsed by the ER on 12 September 2017 and submitted to DP&E on 22 September 2017.
A21	An alternate services building location at Victoria Cross Station is found. Analysis of locations is submitted and approved by the Secretary.	Demolition and TSE	TfNSW and JHCPBGJV	Submitted 31 May 2017	Secretary	18 October 2017	Compliant	An alternate location for construction of a services building to support Victoria Cross Station has been identified and is the subject of a Modification to SSI-15_7400 (MOD1). MOD1 was submitted to DP&E on 31 May 2017 and approved on 18 October 2017
A22	An Environmental Representative (ER) is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	TfNSW	Submitted 24 January 2017	Secretary	17 February 2017	Compliant	Letter sent to DP&E nominating HBI to act as ER on 24 January 2017. DP&E approved HBI as ER on 17 February 2017.
A25	A suitably qualified and experienced Acoustics Advisor (AA), who is independent of the design and construction personnel, must be nominated by the Proponent and engaged for the duration of construction and for no less than six (6) months following operation of the CSSI. The details of the nominated AA must be submitted to the Secretary for approval no later than one (1) month before commencement of works, or within another timeframe as agreed with the Secretary. The Proponent may nominate additional suitably qualified and experienced persons to assist the lead Acoustics Advisor for the Secretary's approval. The Proponent must cooperate with the AA by: (a) providing access to noise and vibration monitoring activities as they take place; (b) providing for review of noise and vibration plans, assessments, monitoring reports, data and analyses undertaken; and (c) considering any recommendations to improve practices and demonstrating, to the satisfaction of the AA, why any recommendation is not adopted.	Pre-staging (TfNSW) and all stages	TfNSW	Permanent AA submitted 27 March 2017 Alternate AA submitted 11 July 2017	Secretary	11 April 2017	Compliant	Letter nominating Dave Anderson to be permanent AA was sent to DP&E on 27 March 2017 and approved on 11 April 2017. Letter seeking approval for Sav Shimada as an alternate AA was sent to DP&E on 11 July 2017 and approved on 14 July 2017.
A29	A Compliance Tracking Program is endorsed by the ER then submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	TfNSW	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Compliance Tracking Program (CTP) has been prepared, endorsed by the ER on 13 March 2017 and submitted to DP&E on 28 March 2017.
A31	The Pre-Construction Compliance Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW), Demolition and TSE	TfNSW and JHCPBGJV	Pre-staging (submitted 31 March 2017)	Secretary – FYI only	NA	Compliant	The pre-staging report was endorsed by the ER on 31 March 2017 and submitted to DP&E on 31 March 2017. DP&E requested a specific update for each CEMP approval on 27 June 2017. Updates to Appendix A have been prepared and submitted to DP&E as follows: <ul style="list-style-type: none"> - Demolition (Delta) and SYAB were prepared and submitted on 12 July 2017. - Demolition (Metropolitan) was prepared and submitted on 13 July 2017. - TSE ready for submission on 22 December 2017.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Allocated to	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
A37	An Environmental Audit Program is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and Demolition	TfNSW	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Environmental Audit Program has been prepared as part of the Compliance Tracking Program required under Condition A29. This was endorsed by the ER of 13 March 2017 and submitted to DP&E for information on 28 March 2017.
Part B – Community Information, Consultation and Involvement								
B3	The Community Communication Strategy must be submitted to the Secretary for approval no later than three months from the date of this approval or one (1) month before commencement of any work, whichever is the latter.	All stages	TfNSW and JHCPBG	28 March 2017 CCS-TSE (revision 03) 21 December 2017.	Secretary	9 June 2017 CCS-TSE 22 December 2017	Compliant	The Overarching Community Communication Strategy and the Early Works Community Communication Strategy were endorsed by the ER on 16 March 2017 and 23 March 2017 respectively. Both were submitted to DP&E for approval on 28 March 2017. DP&E confirmed via email on 12 April 2017 that 'Minor Works' "don't qualify as works for the purposes of the CSSI". Revision 2.0 of the Early Works Community Communication Strategy was endorsed by the ER on 24 October 2017 and submitted to by DP&E on 30 October 2017. The Community Communication Strategy – Tunnel and Station Excavation (CCS-TSE) (SMCSWTSE-JCG-TPW-SH-PLN-002040) was prepared and submitted to the ER for endorsement on 22 November 2017. Revision 03 was submitted to DP&E for approval on 21 December 2017 and approved on 22 December 2017.
B4	Work for the purposes of the CSSI must not commence until the Community Communication Strategy has been approved by the Secretary, or within another timeframe agreed with the Secretary.	All stages	TfNSW	Submitted 28 March 2017	Secretary	9 June 2017	Compliant	Refer to B3.
B6	A Complaints Management System is developed.	Pre-staging (TfNSW) and all stages	TfNSW and JHCPBGJV	Submitted 28 March 2017 CCS-TSE (revision 03) 21 December 2017.	NA	NA	Compliant	Revision 6.3 of the Complaints Management System was endorsed by the ER on 01 December 2017 and is available in the 'Document Library' on the Sydney Metro Website. TfNSW have been providing DP&E with fortnightly complaints summaries and complaints are discussed in the fortnightly meetings with DP&E The JHCPBGJV has been implementing the Complaints Management System since 01 August 2017. JHCPBG's procedures for complaints management is addressed in Section 6.3 of Community Communication Strategy – Tunnel and Station Excavation (CCS-TSE) (SMCSWTSE-JCG-TPW-SH-PLN-002040).
B9	Facilities for the collection of community enquiries and complaints are available.	Pre-staging (TfNSW) and all stages	TfNSW	NA	NA	NA	Compliant	Facilities are provided on the Sydney Metro website: http://www.sydneymetro.info/get-touch
B10	Information required from B9 is published in local newspapers, the website and on site hoardings at each construction site.	Pre-staging (TfNSW) and all stages	TfNSW	Newspaper advertising commenced 6 March 2017	NA	NA	Compliant	Advertisements began in week starting 6 March 2017 for entire alignment. As per above the information required from B9 is already available on the website. Facilities are available on established site hoardings and will be made available on future site hoardings yet to be established.
B11	A Community Complaints Commissioner is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	TfNSW	Submitted 28 March 2017	Secretary	13 April 2017	Compliant	Christine Marsden of Quatro Group was engaged and approved for this service for NWRL (November 2015). Request for approval was submitted to DP&E on 28 March 2017 and approved on 13 April 2017.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Allocated to	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
B15	A website providing information in relation to the CSSI is established.	Pre-staging (TfNSW) and all stages	TfNSW and JHCPBGJV	In 2016 during EIS preparation / approval and continual uploading of documents thereafter	NA	NA	Compliant	TfNSW Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham JHCPBGJV webpage established: http://www.jhcbg.com.au/Documents.html
Part C – Construction Environmental Management								
C3, C5 and C6	CEMP sub-plans are prepared and developed in consultation with relevant government agencies. The CEMP sub-plans are submitted to the Secretary along with, or subsequent to, the submission of the CEMP.	All stages	JHCPBGJV		Secretary		Compliant	<p>Sub-plans have been prepared in consultation with listed agencies and submitted to DP&E for approval;</p> <ul style="list-style-type: none"> - Noise and Vibration: Construction Noise and Vibration Management Plan (SMCSWTSE-JCG-TPW-EM-PLN-002012), endorsed by the AA and then ER on 21 November and revision 06 fully submitted to DP&E for approval on 13 December 2017 - Biodiversity: Construction Flora and Fauna Management Plan (SMCSWTSE-JCG-TPW-EM-PLN-002016), revision 03 endorsed by the ER on 9 September 2017 and revision 06 submitted to DP&E for approval on 01 December 2017 - Air Quality: Construction Air Quality Management Plan (SMCSWTSE-JCG-TPW-EM-PLN-002018), revision 03 endorsed by the ER on 9 September 2017 with revision 04 submitted to DP&E for approval on 22 November 2017 - Soil and Water: Construction Soil Water and Groundwater Management Plan (SMCSWTSE-JCG-TPW-EM-PLN-002014), revision 05 endorsed by the ER on 16 December 2017 with revision 07 submitted to DP&E for approval on 20 December 2017 - Groundwater: addressed Soil and Water Management Plan - Blasting: no applicable - Heritage: Construction Heritage Management Plan (SMCSWTSE-JCG-TPW-EM-PLN-002015), revision 04 endorsed by the ER on 3 September, revision 09 submitted to DP&E for approval on 11 December 2017 <p>Construction Traffic: Condition has been modified such that DP&E approval for the Construction Traffic Management Plan is not required (refer to E82 for site specific CTMPs).</p> <p>Agency consultation commenced on 12 July 2017, and has been ongoing. Evidence of agency consultation on each sub-plan has been included in Appendix A of the Plans. All agency consultation correspondence has been provided to DP&E for information.</p>
C7	CEMP for each Stage is endorsed by the ER then submitted to the Secretary for approval.	All stages	JHCPBGJV		Secretary		Compliant	The CEMP and sub-plans have all been endorsed by the ER prior to submission to DP&E for approval.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Allocated to	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
C9, C12 and C13	Construction Monitoring Programs are prepared and developed for each Stage in consultation with the relevant government agencies and include information requested by an agency, including all correspondence. The programs are endorsed by the ER and then submitted to the Secretary for approval.	All stages to some degree except NCW	JHCPBGJV		Secretary		Compliant	Construction Monitoring Programs are included in the relevant CEMP sub-plans, as per Condition C17, and as follows: (a) Noise and Vibration - included in Construction Noise and Vibration Management Plan (SMCSWTSE-JCG-TPW-EM-PLN-002012) (b) Blasting - N/A this method is not proposed for adoption on the TSE Works at this time (c) Water Quality - Construction Soil, Water and Groundwater Management Plan (SMCSWTSE-JCG-TPW-EM-PLN-002014) (d) Groundwater - Construction Soil, Water and Groundwater Management Plan (SMCSWTSE-JCG-TPW-EM-PLN-002014) See Condition C3.
Part E – Key Issue Conditions								
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	All stages	JHCPBGJV	Ongoing.	NA	NA	Compliant	Refer to Design Management Plan (SMCSWTSE-JCG-TPW-DN-PLN-002030), Construction Plan (SMCSWTSE-JCG-TPW-CN-PLN-002035) and Community Communication Strategy – Tunnel and Station Excavation (CCS-TSE) (SMCSWTSE-JCG-TPW-SH-PLN-002040). Utilities works commenced in October 2017.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Allocated to	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E6	<p>The CSSI must be designed to retain as many trees as possible and provide replacement trees such that there a net increase in the number of trees. The Proponent must commission an independent, experienced and suitably qualified arborist to prepare a comprehensive Tree Report before removing any trees as detailed in the EIS, as amended by the documents listed in A1. The Tree Report must include:</p> <p>(a) a description of the condition of the tree(s) and its amenity and visual;</p> <p>(b) consideration of all options to avoid tree removal, including relocation of services, redesign or relocation of ancillary components (such as substations, fencing etc.) and reduction of standard offsets to underground services; and</p> <p>(c) measures to avoid tree removal, minimise damage to, and ensure the health and stability of those trees to be retained and protected. This includes details of any proposed canopy or root pruning, root protection zone, excavation, site controls on waste disposal, vehicular access, materials storage and protection of public utilities.</p> <p>In the event that tree removal cannot be avoided, then replacement trees are to be planted within, or in close proximity to the CSSI or other location in consultation with the Relevant Councils and agreed by the Secretary. The size of the replacement trees will be determined in consultation with the relevant Council. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees, including those affected by the site establishment works. All recommendations of the Tree Report must be implemented by the Proponent, unless otherwise agreed by the Secretary.</p> <p>The Tree Report may be prepared for the entire CSSI or separate reports may be prepared for individual areas where tree removal and/or pruning are proposed.</p>	All stages	TfNSW (for site access areas identified in the EIS) and JHCPBGJV (on-going by justifications)	Various – refer to Evidence / Notes	Secretary – FYI only (except for replacement tree location which requires approval)	NA	Compliant	Revision O is the latest Tree Report and was submitted to DP&E on 05 December 2017.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Allocated to	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E13	<p>The Proponent must prepare a Heritage Archival Recording Report, including photographic recording of the heritage items identified in documents referred to in Condition A1.</p> <p>Archival recording must include but not be limited to the following heritage items: (a) any component of the Blues Point Waterfront Group and the McMahons Point South heritage conservation area to be directly affected or altered, including vegetation and significant landscape features; (b) Hickson Road wall in the vicinity of proposed ventilation risers and skylights for Barangaroo Station or any other project elements to be located in front of the Hickson Road wall; (c) Martin Place, between Elizabeth and Castlereagh Streets, Sydney; (d) the Rolling Stock Officers' Garden, Rolling Stock Officers' Building and Cleaners' Amenities Building in Sydney Yard and any other component of the Sydney Terminal and Central Railway Stations group to be removed or altered; and (e) any component of Sydenham Station or Sydenham Pit and Pumping Station to be removed or altered: and (f) views from Mortuary Station before construction of the Sydney Yard Access Bridge.</p> <p>The archival recording must be undertaken by a suitably qualified heritage specialist and prepared in accordance with NSW Heritage Office's How to Prepare Archival Records of Heritage Items (1998) and Photographic Recording of Heritage Items Using Film or Digital Capture (2006).</p> <p>Within two (2) years of completing the archival recording, or any other later time agreed by the Secretary, the Proponent must submit the Heritage Archival Recording Report to the Department, the OEH, Heritage Council of NSW, Relevant Council(s), relevant local libraries and local historical societies in the respective local government area(s).</p>	SYAB, Demolition, TSE and CSMW	TfNSW and JHCPBGJV	Not Applicable. To be completed following submission of this Pre-Construction Compliance Report.	NA	NA	Compliant	TfNSW has completed archival recording for the original scope of work. Additional recording will be undertaken for scope changes if and where required. Heritage Archival Recording Reports will be prepared and submitted to required stakeholders within two years of completion.
E14	Photography of externals of all buildings and structures to be demolished is undertaken prior to demolition, in consultation with Council.	SYAB, Demolition and CSMW	TfNSW and JHCPBGJV	Ongoing	NA	NA	Compliant	Photographs have been taken of the externals of all buildings and structures subject to the demolition contracts, in consultation with Council. TfNSW is collating the photographs and will make them available in conjunction with the Heritage Archival Recording Reports (refer to CoA E13).
E15	Salvage items of heritage value from heritage listed buildings and structures to be demolished before demolition.	SYAB, Demolition and CSMW	TfNSW and JHCPBGJV	Ongoing	NA	NA	Compliant	Items shall be salvaged according to the salvage register prior to TSE works which interfere or alter heritage value.
E16	A salvage report is prepared, including photographic recording of the heritage items identified for salvage in the documents referred to in CoA A1.	SYAB, Demolition and TSE	TfNSW and JHCPBGJV	Ongoing	NA	NA	Compliant	Refer to E15

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Allocated to	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E17	The Archaeological Assessment Research Design Report in the PIR must be implemented. Final Archaeological Method Statements must be prepared in consultation with the Heritage Council of NSW (or its delegate) before commencement of archaeological excavation works.	SYAB, TSE, CSMW and LWC	TfNSW and JHCPBGJV	Ongoing	NA	NA	Compliant	<p>Archaeological Methods Statements are being progressively prepared and submitted to OEH for comment and endorsed by the ER.</p> <p>AMS for Chatswood –OEH consultation 15 November 2017, ER endorsement on 20 November 2017</p> <p>AMS for Crows Nest – OEH consultation on 15 November 2017, ER endorsement on 18 November 2017</p> <p>AMS for Marrickville – OEH consultation on 15 November 2017, ER endorsement on 20 November 2017</p> <p>AMS for Victoria Cross – OEH consultation on 15 November 2017, ER endorsement on 21 November 2017</p> <p>AMS for Barangaroo – OEH on 20 December 2017</p> <p>AMS for Pitt Street – OEH on 20 December 2017</p> <p>AMS for Waterloo – OEH on 20 December 2017</p> <p>TfNSW has secured a suitable storage facility for salvaged items.</p>
E18	A suitably qualified Excavation Director who complies with the Heritage Council of NSW's Criteria for Assessment of Excavation Directors (July 2011) is nominated by the Proponent before excavation of archaeological management sites.	SYAB, TSE, CSMW and LWC	JHCPBGJV	CHMP (revision 09) submitted on 11 December 2019	Secretary – FYI only	NA	Compliant	JHCPBG has engaged AMBS to provide heritage expertise who has subcontracted the services of Casey and Lowe and Cosmos Archaeology. Refer to Appendix B of the Construction Heritage Management Plan (CHMP) (SMCSWTSE-JCG-TPW-EM-PLN-002015) which details the qualifications of the primary excavation directors
E19	An Unexpected Heritage Finds Procedure must be prepared: (a) to manage unexpected heritage finds in accordance with any guidelines and standards prepared by the Heritage Council of NSW or OEH; and (b) by a suitably qualified and experienced heritage specialist. The procedure must be included in the AARD and must be implemented for the life of the project.	All stages except Demolition	JHCPBGJV	NA	NA	NA	Compliant	<p>The TSE Unexpected Finds Protocol is contained within the Aboriginal and Historic Heritage Management Procedure (SMCSWTSE-JCG-TPW-EM-MPR-003005) endorsed by the ER on 13 October 2017 which addresses this Condition.</p> <p>Note, in accordance with TfNSW's Staging Report, the procedure for the TSE Works will not be incorporated into the AARD.</p>
E21	A Heritage Interpretation Plan is prepared and submitted to the Secretary for information.	Demolition	TfNSW and JHCPBGJV	Submitted 11 May 2017	Secretary - FYI	NA	Compliant	The original Heritage Interpretation Plan was conditionally endorsed by the ER on 6 April 2017 and submitted to DP&E on 11 May 2017. It is anticipated that version 05 of the Heritage Interpretation Plan shall be able to be completed Q1 2018.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Allocated to	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E25	Where previously unidentified Aboriginal objects are discovered during construction of the CSSI, construction must stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before works recommence. The measures to consider and manage this process must be specified in the Heritage Management sub-plan required by Condition C3 and, where relevant, include registration in the OEH's Aboriginal Heritage Information Management System (AHIMS).	All stages except Demolition	JHCPBGJV	CHMP (revision 09) submitted on 11 December 2019	Secretary	NA	Compliant	Section 7.2 of the Construction Heritage Management Plan (CHMP) (SMCSWTSE-JCG-TPW-EM-PLN-002015) and the Aboriginal and Historic Heritage Management Procedure (SMCSWTSE-JCG-TPW-EM-MPR-003005) endorsed by the ER on 13 October 2017 addresses this Condition.
E27	An Exhumation Management Plan is prepared and provided to the Secretary for information.	SYAB, TSE, CSMW, STME, LWC and TSOM	TfNSW and JHCPBGJV	Submitted on 26 May 2017	Secretary – FYI only	NA	Compliant	Refer to TfNSW document SM ES PW-315 Exhumation Management Plan v2. JHCPBG will implement the Exhumation Policy and Guideline in the event of an unexpected human remains find, Section 7.2 of the Construction Heritage Management Plan (CHMP) (SMCSWTSE-JCG-TPW-EM-PLN-002015) and the Aboriginal and Historic Heritage Management Procedure (SMCSWTSE-JCG-TPW-EM-MPR-003005) references TfNSW's Exhumation Management Plan.
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before construction that generates vibration commences in the vicinity of those properties. The management of construction works in the vicinity of the properties at risk of exceeding the screening criteria for cosmetic damage must be considered in the Noise and Vibration management sub plan required by Condition C3.	All stages	JHCPBGJV	Ongoing	NA	NA	Compliant	Section 8.3.4 of the Construction Noise and Vibration Management Plan (SMCSWTSE-JCG-TPW-EM-PLN-002012) addresses this Condition. Notification of at risk properties has commenced
E32	The Sydney Metro City and Southwest Construction Noise and Vibration Strategy is reviewed/ revised and submitted to the Secretary for approval at least one (1) month before construction commences.	Pre-staging (TfNSW) and Demolition	TfNSW	Submitted 24 April 2017	Secretary	14 July 2017	Compliant	A review of the CNVS was undertaken and the CNVS Addendum was endorsed by both the AA and ER on 24 April 2017 and approved by DP&E on 14 July 2017.
E33	Construction Noise and Vibration Impact Statements (CNVIS) are prepared for each construction site before construction noise and vibration impacts commence.	All stages	JHCPBGJV	NA	NA	NA	Compliant	Construction Noise and Vibration Impact Statements (CNVISs) are being progressively prepared for all TSE Worksites. See Section 7.1 of the Construction Noise and Vibration Management Plan (SMCSWTSE-JCG-TPW-EM-PLN-002012). CNVISs are endorsed by the AA and ER are available on the JHCPBG website. http://www.jhcpbg.com.au/documents
E35	The Proponent must review alternative methods to rock hammering and blasting for excavation as part of the detailed construction planning with a view to adopting methods that minimise impacts on sensitive receivers. Construction Noise and Vibration Impact Statements must be updated for each location or activity to adopt the least impact alternative in any given location unless it can be demonstrated, to the satisfaction of the AA, why it should not be adopted.	TSE, CSMW and STME	JHCPBGJV	NA	NA	NA	Compliant	JHCPBG have prepared Alternative construction methods to rock hammering and blasting (SMCSTSE-JCG-TPW-EM-RPT-097229) to present alternate methods. This was endorsed by the AA on 15 June 2017 and the ER on 28 August 2017 and has been posted to the JHCPBG website.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Allocated to	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E47	An Out of Hours Work Protocol for the assessment, management and approval of work outside of standard construction hours, is prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	All stages	JHCPBGJV	30 March 2017	Secretary	14 July 2017	Compliant	JHCPBGJV shall follow the TfNSW E47 OOHW Protocol which identifies assessment, management and approval of work outside standard construction hours.
E59	All property owners of buildings identified as being at risk of damage are offered a building condition survey. Copies of Building Condition Survey Reports are provided to the owners of the buildings surveyed, and the Relevant Council, if required.	All stages except NCW	JHCPBGJV	Ongoing			Compliant	Section 8.3.4 of the Construction Noise and Vibration Management Plan (SMCSWTSE-JCG-TPW-EM-PLN-002012) and the Monitoring and Protection Plan (SMCSWTSE- JCG-TPW-CN-PLN-002036) details method to address this Condition. Building condition surveys commenced in Q3 2017, and will be progressively undertaken in advance of construction.
E62	An Independent Property Impact Assessment Panel is established and the Secretary is informed of the Panel Members.	All stages except NCW	TfNSW	Submitted 21 April 2017	Secretary – FYI only	NA	Compliant	An IPIAP was established and DP&E was informed of the Panel Members on 21 April 2017. The inaugural meeting was conducted on 12 December 2017.
E64	The Proponent must prepare and implement a Business Management Plan to minimise impact on businesses adjacent to major construction sites during construction of the CSSI. The Plan must be prepared before construction and must include but not necessarily be limited to: (a) measures to address amenity, vehicular and pedestrian access during business hours and visibility of the business appropriate to its reliance on such, and other reasonable matters raised in consultation with affected business; (b) a Business Consultation forum linked to the Community Communication Strategy required by Condition B1; (c) Business Management Strategies for each construction sites (and/or activity), identifying affected businesses and associated management strategies, including the employment of place managers and specific measures to be put in place to assist small business owners adversely impacted by the construction of the CSSI; (d) a Small Business Owners' Support Program to provide assistance to small business owners adversely impacted by construction of the CSSI. The Program must be administered by a Retail Advisory/Support Panel established by the Proponent. The Program must have appropriate specialist representatives and must report to the Proponent; (e) a monitoring program to assess the effectiveness of the measures including the nomination of performance parameters and criteria against which effectiveness of the measures will be measured; and (f) provision for reporting of monitoring results to the Secretary, as part of the Compliance Tracking Program required in Condition A28.	All stages	JHCPBGJV	NA	NA	NA	Compliant	Refer to the JHCPBG Business Management Plan endorsed by the ER 21 December 2017 (SMCSWTSE-JCG-TPW-SH-PLN-002042) which addresses (a) (b) and (c). TfNSW Small Business Owners Support Program finalised and endorsed by the ER on 28 November 2017.
E69	An Unexpected Contaminated Land and Asbestos Finds Procedure is prepared.	All stages except Demolition	JHCPBGJV	NA	NA	NA	Compliant	Contamination and Acid Sulfate Soils Management Procedure (SMCSWTSE-JCG-TPW-EM-MPR-003004) endorsed by the ER on 30 October 2017.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Allocated to	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E72	The Proponent must prepare a Sustainability Strategy to be submitted to the Secretary within six (6) months of the date of this approval, or within another timeframe agreed with the Secretary, which must be implemented throughout design, construction and operation of the CSSI.	TSE, CSMW, STME, LWC and TSOM	TfNSW	7 July 2017	Secretary – FYI only	NA	Compliant	The Sustainability Strategy was endorsed by the ER on 7 July 2017 and submitted to DP&E on 7 July 2017. JHCPBGJV have a draft Sustainability Management Plan (SMCSWTSE-JCG-TPW-EM-PLN-002023) which commits to compliance with the TfNSW Sustainability Strategy.
E77	A Traffic and Transport Liaison Group(s) (TTLGs) is established.	All stages	TfNSW	February 2017	NA	NA	Compliant	A TTLG has been established and their first meeting was held on 16 February 2017. TTLG meetings are held on a monthly basis chaired by the Sydney Coordination Office (SCO).
E81	A Construction Traffic Management Framework (CTMF) is prepared and submitted to the Secretary for approval.	All stages	TfNSW	Demolition stage (Submitted 20 March 2017) Other Contracts (Submitted 20 September 2017)	Secretary	11 May 2017 21 December 2017.	Compliant	The CTMF covering the demolition stage was endorsed by the ER on 17 March 2017, submitted to DP&E on 20 March 2017 and approved on 11 May 2017. Version 2.5 of the Construction Traffic Management Framework (CTMF) for Other Contracts was approved by DP&E on 21 December 2017.
E82	Construction Traffic Management Plans (CTMPs), consistent with the CEMF and CTMF required in Condition E81, must be prepared for each construction site in consultation with the TTLG(s), and submitted to the RMS for approval following Sydney Coordination Office endorsement before construction commences at the relevant construction site. A copy of any Construction Traffic Management Plans approved by the RMS must be submitted to the Secretary for information.	All stages	JHCPBGJV	Ongoing	NA	NA	Non-Compliant	<p>Site specific Construction Traffic Management Plans are being progressively prepared in consultation with the TTLG. Currently the following CTMPs have RMS and ER endorsements –</p> <ul style="list-style-type: none"> • Chatswood Site Establishment • Chatswood Utility Works • Barangaroo Site Stages 1&2 • Crows Nest Site Establishment • Crows Nest Utility Works • Crows Nest Sewer Works • Bligh Street Site Establishment Stage 1 • Pitt Street South Demolition • Victoria Cross North Site Establishment • Waterloo Site Establishment <p>A non-compliance with the CTMP for Crows Nest occurred on the 15 December 2017, involving two trucks parking in Clarke Street. JHCPBGJV toolboxed truck drivers on 21 December 2017 to remind them of designated haulage routes, acceptable behaviours and protocols in the event the site is inaccessible. This non-compliance will also be recorded in the Q4 2017 Compliance Tracking Register.</p>
E88	Details of haulage routes and heavy vehicle sizes to transport material to and from any construction site must be specified in the Construction Traffic Management Plan(s) and be approved by the RMS following endorsement by Sydney Coordination Office and consultation with the TTLG(s).	All stages	JHCPBGJV				Compliant	Refer to E82 above.
E90	A Road Dilapidation Report is prepared for local roads proposed to be used by heavy vehicles and copies of the Road Dilapidation Report are provided to the Relevant Council.	All stages	JHCPBGJV	Ongoing			Compliant	Dilapidation reports are being progressively prepared.
E100	A Design Review Panel (DRP) is established.	Pre-staging (TfNSW) and all stages	TfNSW	21 April 2017.	NA	NA	Compliant	A DRP has been established and has been involved in the design works required prior to construction.

Appendix A.5

NCW-P7 (Laing O'Rourke (LOR)) Pre-Construction Compliance Status Report (16 November 2018)

Site	Commencement of Construction Activities
Northern Corridor Works – Portion 7a	7 May 2018
Northern Corridor Works – Portion 7b	17 November 2018

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
Part A – Administrative Conditions								
A12	The Staging Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 31 March 2017	Secretary – FYI only	NA	Compliant	Sydney Metro Staging Report v5.0 was endorsed by the ER on 1 November 2018 and submitted to DP&E on 1 November 2018.
A22	An Environmental Representative (ER) is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 24 January 2017	Secretary	17 February 2017	Compliant	Letter sent to DP&E nominating HBI to act as ER on 24 January 2017. DP&E approved HBI as ER on 17 February 2017.
A25	An Acoustics Advisor (AA) is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 28 March 2017	Secretary	11 April 2017	Compliant	Letter sent to DP&E nominating Acoustic Studios to act in role until the tender process is complete. Interim approval was received on 28 February 2017 (for Dave Anderson to be AA). Permanent AA letter was sent to DP&E on 28 March 2017 and approved on 11 April 2017.
A29	A Compliance Tracking Program is endorsed by the ER then submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	May 2017	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Compliance Tracking Program has been prepared, endorsed by the ER on 13 March 2017 and submitted to DP&E on 28 March 2017.
A31	The Pre-Construction Compliance Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and Demolition	March 2018	Submitted 16 November 2018	Secretary – FYI only	NA	Compliant	This report was endorsed by the ER on 31 March 2017 and submitted to DP&E on 31 March 2017. DP&E requested an update on 27 June 2017. Updates to Appendix A for Northern Corridor Works were prepared in May 2018 for submission to DP&E. A further update to Appendix A for Northern Corridor Works to cover the additional scope of works for Portion 7B was prepared in November 2018 for submission to DP&E.
A37	An Environmental Audit Program is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Environmental Audit Program has been prepared and included in the Compliance Tracking Program. Refer to Condition A29.
Part B – Community Information, Consultation and Involvement								
B3	The Community Communication Strategy must be submitted to the Secretary for approval no later than three months from the date of this approval or one (1) month before commencement of any work, whichever is the latter.	All stages	April 2017	Submitted 28 March 2017	Secretary	9 June 2017	Compliant	The Overarching Community Communication Strategy and the Early Works Community Communication Strategy were endorsed by the ER on 16 March 2017 and 23 March 2017 respectively. Both were submitted to DP&E for approval on 28 March 2017. DP&E confirmed via email on 12 April 2017 that 'Minor Works' "don't qualify as 'works for the purposes of the CSSI'".
B4	Work for the purposes of the CSSI must not commence until the Community Communication Strategy has been approved by the Secretary, or within another timeframe agreed with the Secretary.	All stages	April 2017	Submitted 28 March 2017	Secretary	9 June 2017	Compliant	DP&E approved the Overarching Community Communication Strategy and the Early Works Community Communication Strategy on 9 June 2017. DP&E confirmed via email on 12 April 2017 that 'Minor Works' "don't qualify as 'works for the purposes of the CSSI'".
B6	A Complaints Management System is developed.	Pre-staging (TfNSW) and all stages	May 2017	NA	NA	NA	Compliant	A Complaints Management System has been developed and is available in the 'Document Library' on the Sydney Metro website.
B9	Facilities for the collection of community enquiries and complaints are available.	Pre-staging (TfNSW) and all stages	February 2017	NA	NA	NA	Compliant	Facilities are provided on the Sydney Metro website: http://www.sydneymetro.info/get-touch
B10	Information required from B9 is published in local newspapers, the website and on site hoardings at each construction site.	Pre-staging (TfNSW) and all stages	May 2017	Newspaper advertising commenced 6 March 2017	NA	NA	Compliant	The contact details in accordance with CoA B9 were published in newspaper advertisements commencing on 6 Mar 2017 across the entire alignment and were established on existing site hoardings and will be made available on future site hoardings yet to be established.
B11	A Community Complaints Commissioner is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	February 2017	Submitted 28 March 2017	Secretary	13 April 2017	Compliant	Christine Marsden of Quatro Group was nominated as CCC in a submission to the Secretary on 28 Mar 2017. The Secretary approved Christine Marsden as CCC on 13 Apr 2017.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
B15	A website providing information in relation to the CSSI is established.	Pre-staging (TfNSW) and all stages	May 2017	In 2016 during EIS preparation / approval and continual uploading of documents thereafter	NA	NA	Compliant	TfNSW Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham LOR NCW website established: http://www.laingorourke.com/our-projects/all-projects/main-north-and-north-shore-corridor-works.aspx Documents will be published on website in accordance with author of document. i.e. Sydney Metro authored documents will be published on the Sydney Metro website, whereas LOR authored documents will be published on the LOR website.
Part C – Construction Environmental Management								
C3, C5 and C6	CEMP sub-plans are prepared and developed in consultation with relevant government agencies. The CEMP sub-plans are submitted to the Secretary along with, or subsequent to, the submission of the CEMP.	All stages	November 2017	Various – refer to Evidence / Notes	Secretary	16 November 2018	Compliant	CEMP and sub-plans were prepared to be consistent with requirements of CEMF in accordance with Sydney Metro Staging Report v5.0. Only the Noise & Vibration CEMP sub-plan required for NCW. A Construction Traffic Management Plan was also prepared in accordance with E82. Noise & Vibration CEMP sub-plan endorsed by the ER on 12 January 2018, by the AA on 15 January 2018 and submitted to the DP&E on 15 January 2018. CNVMP approved by DP&E on 2 May 2018. The CNVMP was revised to incorporate additional scope of works for Portion 7B. The revised CNVMP was endorsed by the AA and ER on 16 October 2018 and submitted to DP&E for approval on 18 October 2018. Revised CNVMP approved by DP&E on 16 November 2018. Refer to E82 for Construction Traffic Management Plan.
C7	CEMP for each Stage is endorsed by the ER then submitted to the Secretary for approval.	All stages	November 2017	Submitted 14 November 2017	Secretary	02 May 2018	Compliant	The LOR CEMP was endorsed by the ER on 4 November 2017, submitted to DP&E on 14 November 2017 and approved by DP&E on 2 May 2018.
C8	Construction must not commence until the CEMP and all CEMP sub-plans have been approved by the Secretary.	All stages	November 2018	Submitted 7 November 2018	ER	9 November 2018	Compliant	An administrative minor update to the CEMP (Rev 9) was endorsed by the ER on 9 November 2018. The CEMP was approved by the Secretary on 2 May 2018 (inclusive of the Portion 7b scope of works).
C9, C12 and C13	Construction Monitoring Programs are prepared and developed for each Stage in consultation with the relevant government agencies and include information requested by an agency, including all correspondence. The programs are endorsed by the ER and then submitted to the Secretary for approval.	All stages	October 2018	Submitted 18 October 2018	Secretary	16 November 2018	Compliant	In accordance with the Sydney Metro Staging Report v5.0, a Construction Noise & Vibration Monitoring Program is required for Portion 7b only. The program was endorsed by the ER on 16 October 2018 and submitted to DP&E for approval on 18 October 2018. The program was approved by DP&E on 16 November 2018.
Part E – Key Issue Conditions								
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	All stages	Ongoing	Not Applicable. Ongoing.	NA	NA	Compliant	Utilities, services and other infrastructure potentially affected by LOR construction works have been identified through Dial before you dig and NDD investigations before works affecting that item have commenced.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E6	A comprehensive tree report is prepared by an arborist prior to the removal of any trees. In the event that tree removal cannot be avoided, then replacement trees are to be planted within, or in close proximity to the CSSI or other location in consultation with the Relevant Councils and agreed by the Secretary. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees, including those affected by the site establishment works. All recommendations of the Tree Report must be implemented by the Proponent, unless otherwise agreed by the Secretary. The Tree Report may be prepared for the entire CSSI or separate reports may be prepared for individual areas where tree removal and/or pruning is proposed.	All stages	May 2017	Various – refer to Evidence / Notes	Secretary – FYI only (except for replacement tree location which requires approval)	NA	Compliant	The Tree Report has been revised to include trees relevant to the NCW. The revised Tree Impact Assessment Report for Northern Corridor Works was endorsed by the ER and submitted to DP&E on 14 November 2018, prior to the removal, damage and/or pruning of any trees.
E19	An Unexpected Heritage Finds Procedure is prepared.	All stages except Demolition	November 2017	Submitted 14 November 2017	Secretary	CEMP Approved 02 May 2018	Compliant	An Unexpected Heritage Finds Procedure is included in LOR's CEMP. The CEMP was endorsed by the ER on 4 November 2017 and submitted to DP&E on 14 November 2017. An administrative minor update to the CEMP (Rev 9) was endorsed by the ER on 9 November 2018.
E25	Where previously unidentified Aboriginal objects are discovered during construction of the CSSI, construction must stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before works recommence. The measures to consider and manage this process must be specified in the Heritage Management sub-plan required by Condition C3 and, where relevant, include registration in the OEH's Aboriginal Heritage Information Management System (AHIMS).	All stages except Demolition	June 2017	Submitted 14 November 2017	NA	NA	Compliant	Procedure is included in LOR's CEMP. The CEMP was endorsed by the ER on 4 November 2017, submitted to DP&E on 14 November 2017 and approved by DP&E on 2 May 2018. An administrative update to the CEMP (Rev 9) was endorsed by the ER on 9 November 2018. The plan includes measures to ensure compliance with this CoA.
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage are notified before construction that generates vibration commences in the vicinity of those properties.	All stages	November 2017	Ongoing	NA	NA	Compliant	All receivers identified in the CNVIS as being at risk of exceeding the screening criteria will receive notification 7 days prior to vibration generating construction commencing. This will continue on an ongoing basis including seven days prior to new vibration generating construction activities commencing. Quarterly newsletters will also be provided. The Construction Noise and Vibration sub-plan prepared as part of CoA C3 also includes consideration of these properties.
E32	The Sydney Metro City and Southwest Construction Noise and Vibration Strategy is reviewed/revise and submitted to the Secretary for approval at least one (1) month before construction commences.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 24 April 2017	Secretary	14 July 2017	Compliant	A review of the CNVS was undertaken and the CNVS Addendum was endorsed by both the AA and ER on 24 Apr 2017 and submitted to the Secretary on 24 Apr 2017. The Secretary approved the revised CNVS Addendum on 14 Jul 2017.
E33	Construction Noise and Vibration Impact Statements (CNVIS) are prepared for each construction site before construction noise and vibration impacts commence.	All stages	November 2017	Various – refer to Evidence / Notes	NA	NA	Compliant	LOR has prepared a CNVIS for the NCW, which was endorsed by the AA on 15 January 2018 and the ER on 12 January 2018. The CNVIS was revised to incorporate additional scope of works for Portion 7b. The revised CNVIS was endorsed by the AA and ER on 16 October 2018.
E47	An Out of Hours Work Protocol for the assessment, management and approval of work outside of standard construction hours, is prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	All stages	May 2017	Submitted 30 March 2017	Secretary	14 July 2017	Compliant	The OOH Protocol was endorsed by the AA and ER on 28 March 2017 and submitted to DP&E for approval on 30 March 2017. LOR shall follow the TfNSW E47 OOHW Protocol which identifies assessment, management and approval of work outside standard construction hours.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E64	A Business Management Plan is prepared before construction.	All stages	May 2017	23 June 2017	NA	NA	Compliant	The Early Works Business Management Plan was prepared and conditionally endorsed by the ER on 8 June 2017. The plan was subsequently revised and endorsed by the ER on 23 June 2017.
E69	An Unexpected Contaminated Land and Asbestos Finds Procedure is prepared.	All stages except Demolition	June 2017	Submitted 1 May 2017	NA	NA	Compliant	This procedure was prepared as part of the LOR CEMP (refer to C7).
E77	A Traffic and Transport Liaison Group(s) (TTLGs) is established.	All stages	April 2017	February 2017	NA	NA	Compliant	A TTLG has been established and their first meeting was held on 16 February 2017.
E81	A Construction Traffic Management Framework (CTMF) is prepared and submitted to the Secretary for approval.	All stages	April 2017	Submitted 20 March 2017	Secretary	11 May 2017	Compliant	The CTMF was endorsed by the ER on 17 March 2017, submitted to DP&E on 20 March 2017 and approved on 11 May 2017.
E82	Construction Traffic Management Plans (CTMPs) are prepared for each construction site and submitted to the RMS for approval following Sydney Coordination Office endorsement before construction commences at the relevant construction site.	All stages	November 2017	Various – refer to Evidence / Notes	RMS	Various – refer to Evidence / Notes	Compliant	The LOR CTMP was submitted to RMS for approval on 24 January 2018. The LOR CTMP Rev 3 was approved by RMS on 9 February 2018. The LOR CTMP Rev 7 was approved by RMS on 23 March 2018, endorsed by the ER on 23 March 2018 and submitted to DP&E for information on 29 March 2018. The CTMP was revised to incorporate additional scope of works for Portion 7b. The revised CTMP was endorsed by the ER on 16 November 2018 and approved by RMS on 16 November 2018.
E88	Details of haulage routes and heavy vehicle sizes to transport material to and from any construction site must be specified in the Construction Traffic Management Plan(s) and be approved by the RMS following endorsement by Sydney Coordination Office and the Relevant Roads Authority	All stages	November 2017	Submitted on 24 January 2018	RMS	9 February 2018	Compliant	The CTMP includes details of haulage routes and heavy vehicle sizes (refer to E82).
E90	A Road Dilapidation Report is prepared for local roads proposed to be used by heavy vehicles and copies of the Road Dilapidation Report are provided to the Relevant Council.	All stages	October 2017	Ongoing	NA	NA	Compliant	LOR has prepared Road Dilapidation Reports for local roads that are being used by heavy vehicles as relevant. These reports have been provided to the relevant council(s).
E100	A Design Review Panel (DRP) is established.	Pre-staging (TfNSW) and all stages	May 2017	September / October 2016	NA	NA	Compliant	A DRP has been established in accordance with E100 and has been involved in the design works required prior to construction.

Appendix A.6

CSM (Laing O'Rourke (LOR)) Pre-Construction Compliance Status Report (3 August 2018)

Site	Commencement of Construction Activities
Central Station Main Works	4 August 2018

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
Part A – Administrative Conditions								
A12	The Staging Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 31 March 2017	Secretary – FYI only	NA	Compliant	Sydney Metro Staging Report v3.1 was endorsed by the ER on 16 February 2018 and submitted to DP&E on 19 February 2018.
A22	An Environmental Representative (ER) is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 24 January 2017	Secretary	17 February 2017	Compliant	Letter sent to DP&E nominating HBI to act as ER on 24 January 2017. DP&E approved HBI as ER on 17 February 2017.
A25	An Acoustics Advisor (AA) is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 28 March 2017	Secretary	11 April 2017	Compliant	Letter nominating Dave Anderson to be permanent AA was sent to DP&E on 27 March 2017 and approved on 11 April 2017. Letter seeking approval for Sav Shimada as an alternate AA was sent to DP&E on 11 July 2017 and approved on 14 July 2017.
A29	A Compliance Tracking Program is endorsed by the ER then submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	May 2017	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Compliance Tracking Program has been prepared, endorsed by the ER on 13 March 2017 and submitted to DP&E on 28 March 2017.
A31	The Pre-Construction Compliance Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 31 March 2017	Secretary – FYI only	NA	Compliant	The pre-staging report was endorsed by the ER on 31 March 2017 and submitted to DP&E on 31 March 2017. DP&E requested a specific update for each CEMP approval on 27 June 2017. Updates to Appendix A for Central Station Main Works (CSMW) were prepared in August 2018 for submission to DP&E. – The PCCR Appendix A for CSMW was endorsed by the ER and submitted to DP&E on 3 August 2018.
A37	An Environmental Audit Program is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Environmental Audit Program has been prepared as part of the Compliance Tracking Program required under Condition A29. This was endorsed by the ER of 13 March 2017 and submitted to DP&E for information on 28 March 2017.
Part B – Community Information, Consultation and Involvement								
B3	The Community Communication Strategy must be submitted to the Secretary for approval no later than three months from the date of this approval or one (1) month before commencement of any work, whichever is the latter.	All stages	Overarching CCS April 2017 CSMW CCS August 2018	Overarching CCS Submitted 28 March 2017 CSMW CCS Submitted 11 July 2018	Secretary	Overarching CCS 9 June 2017 CSMW CCS 1 August 2018	Compliant	The Overarching Community Communication Strategy and the Early Works Community Communication Strategy were endorsed by the ER on 16 March 2017 and 23 March 2017 respectively. Both were submitted to DP&E for approval on 28 March 2017. DP&E confirmed via email on 12 April 2017 that 'Minor Works' "don't qualify as 'works for the purposes of the CSSI'". The CSMW Community Communications Strategy was approved by DPE on 1 August 2018.
B4	Work for the purposes of the CSSI must not commence until the Community Communication Strategy has been approved by the Secretary, or within another timeframe agreed with the Secretary.	All stages	Overarching CCS April 2017 CSMW CCS August 2018	Overarching CCS Submitted 28 March 2017 CSMW CCS Submitted 11 July 2018	Secretary	Overarching CCS 9 June 2017 CSMW CCS 1 August 2018	Compliant	DP&E approved the Overarching Community Communication Strategy and the Early Works Community Communication Strategy on 9 June 2017. DP&E confirmed via email on 12 April 2017 that 'Minor Works' "don't qualify as 'works for the purposes of the CSSI'". The CSMW Community Communications Strategy was approved by DPE on 1 August 2018.
B6	A Complaints Management System is developed.	Pre-staging (TfNSW) and all stages	May 2017	NA	NA	NA	Compliant	A Complaints Management System has been developed and is available in the 'Document Library' on the Sydney Metro website.
B9	Facilities for the collection of community enquiries and complaints are available.	Pre-staging (TfNSW) and all stages	February 2017	NA	NA	NA	Compliant	Facilities are provided on the Sydney Metro website: http://www.sydneymetro.info/get-touch

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes																																												
B10	Information required from B9 is published in local newspapers, the website and on site hoardings at each construction site.	Pre-staging (TfNSW) and all stages	May 2017	Newspaper advertising commenced 6 March 2017	NA	NA	Compliant	Advertisements began in week starting 6 March 2017 for entire alignment. As per above the information required from B9 is already available on the website. Facilities are available on established site hoardings and will be made available on future site hoardings yet to be established.																																												
B11	A Community Complaints Commissioner is nominated by the Proponent and approved by the Secretary.	Pre-staging and all stages	February 2017	Submitted 28 March 2017	Secretary	13 April 2017	Compliant	Christine Marsden of Quatro Group was engaged and approved for this service for NWRL (November 2015). Request for approval was submitted to DP&E on 28 March 2017 and approved on 13 April 2017.																																												
B15	A website providing information in relation to the CSSI is established	Pre-staging (TfNSW) and all stages	Sydney Metro website May 2017 LOR CSMW website August 2018	In 2016 during EIS preparation / approval and continual uploading of documents thereafter	NA	NA	Compliant	TfNSW Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham LOR CSMW website established in August 2018: https://centralstationmetro.com/ Documents will be published on website in accordance with author of document. i.e. Sydney Metro authored documents will be published on the Sydney Metro website, whereas LOR authored documents will be published on the LOR website.																																												
Part C – Construction Environmental Management																																																				
C3, C5 and C6	CEMP sub-plans are prepared and developed in consultation with relevant government agencies. The CEMP sub-plans are submitted to the Secretary along with, or subsequent to, the submission of the CEMP.	All stages	August 2018	Various – refer to Evidence / Notes	Secretary	3 August 2018	Compliant	<table border="1"> <thead> <tr> <th>Plan</th> <th>ER Endorsed</th> <th>Submitted to DPE</th> <th>DPE Approval</th> </tr> </thead> <tbody> <tr> <td>CEMP</td> <td>08/06/18</td> <td>08/06/18</td> <td>03/08/18</td> </tr> <tr> <td>Air</td> <td>20/06/18</td> <td>20/06/18</td> <td>N/A</td> </tr> <tr> <td>Biodiversity</td> <td>08/06/18</td> <td>08/06/18</td> <td>03/08/18</td> </tr> <tr> <td>Groundwater</td> <td>20/06/18</td> <td>20/06/18</td> <td>03/08/18</td> </tr> <tr> <td>Heritage</td> <td>20/06/18</td> <td>20/06/18</td> <td>03/08/18</td> </tr> <tr> <td>Noise & Vibration</td> <td>22/06/18</td> <td>22/06/18</td> <td>03/08/18</td> </tr> <tr> <td>Soil & Water</td> <td>20/06/18</td> <td>20/06/18</td> <td>03/08/18</td> </tr> <tr> <td>Spoil</td> <td>20/06/18</td> <td>20/06/18</td> <td>N/A</td> </tr> <tr> <td>Traffic Mgt</td> <td>02/08/18</td> <td>N/A</td> <td>N/A</td> </tr> <tr> <td>Visual & Landscape</td> <td>20/06/18</td> <td>20/06/18</td> <td>N/A</td> </tr> </tbody> </table>	Plan	ER Endorsed	Submitted to DPE	DPE Approval	CEMP	08/06/18	08/06/18	03/08/18	Air	20/06/18	20/06/18	N/A	Biodiversity	08/06/18	08/06/18	03/08/18	Groundwater	20/06/18	20/06/18	03/08/18	Heritage	20/06/18	20/06/18	03/08/18	Noise & Vibration	22/06/18	22/06/18	03/08/18	Soil & Water	20/06/18	20/06/18	03/08/18	Spoil	20/06/18	20/06/18	N/A	Traffic Mgt	02/08/18	N/A	N/A	Visual & Landscape	20/06/18	20/06/18	N/A
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Traffic Mgt	02/08/18	N/A	N/A																																																	
Visual & Landscape	20/06/18	20/06/18	N/A																																																	
C7	CEMP for each Stage is endorsed by the ER then submitted to the Secretary for approval.	All stages	August 2018	Submitted 8 June 2018	Secretary	8 June 2018	Compliant	Refer response to C3																																												
C9, C12 and C13	Construction Monitoring Programs are prepared and developed for each Stage in consultation with the relevant government agencies and include information requested by an agency, including all correspondence. The programs are endorsed by the ER and then submitted to the Secretary for approval.	All stages to some degree except HV Power Works and NCW	August 2018	Various – refer to Evidence / Notes	Secretary	3 August 2018	Compliant	LOR Construction Monitoring Programs are included in their respective CEMP sub-plan (refer to Condition C3, C5 and C6), apart from Groundwater Monitoring Program. The final groundwater monitoring program will be reviewed by Sydney Metro and will provided to NRAR and EPA for consultation and endorsed by the ER (C13) and be submitted to the Secretary (or delegate) of DPE for approval (CoA C14) at least one month prior to the commencement of the excavation of the Metro Box and Central Walk impacting groundwater. Groundwater impacts are not predicted in relation to initial construction activities above the groundwater table prior to excavation of the Metro Box and Central Walk.																																												

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
Part E – Key Issue Conditions								
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	All stages	May 2017	Not Applicable. Ongoing.	NA	NA	Compliant	Utilities, services and other infrastructure potentially affected by LOR construction works have been identified before works affecting that item have commenced.
E6	A comprehensive tree report is prepared by an arborist prior to the removal of any trees. In the event that tree removal cannot be avoided, then replacement trees are to be planted within, or in close proximity to the CSSI or other location in consultation with the Relevant Councils and agreed by the Secretary. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees, including those affected by the site establishment works. All recommendations of the Tree Report must be implemented by the Proponent, unless otherwise agreed by the Secretary. The Tree Report may be prepared for the entire CSSI or separate reports may be prepared for individual areas where tree removal and/or pruning is proposed.	All stages	May 2017	Various – refer to Evidence / Notes	Secretary – FYI only (except for replacement tree location which requires approval)	NA	Compliant	Revision Z is the latest Tree Report and was submitted to DP&E on 4 May 2018
E13	A Heritage Archival Recording Report is prepared and includes photographic/archival recording of heritage items identified in documents referred to in CoA A1, undertaken by a suitably qualified heritage specialist.	SYAB, Demolition, TSE and CSMW	February to December 2017 and July 2018 with report submitted by December 2019	Not Applicable. To be completed following submission of this Pre-Construction Compliance Report.	NA	NA	Compliant	TfNSW completed archival recording for CSMW. Supplementary records were completed by OCP Architects in July 2018. The Heritage Archival Report will be submitted to required stakeholders within two years of completion.
E14	Photography of externals of all buildings and structures to be demolished is undertaken prior to demolition, in consultation with Council.	SYAB, Demolition and CSMW	May 2017 and July 2018	Ongoing	NA	NA	Compliant	Photographs have been taken of the externals of all buildings and structures to be demolished, in consultation with Council. TfNSW will make them available in conjunction with the Heritage Archival Recording Reports (refer to CoA E13).
E15	Salvage items of heritage value from heritage listed buildings and structures to be demolished before demolition.	SYAB, Demolition and CSMW	From August 2018	Ongoing	NA	NA	Compliant	Items shall be salvaged according to the salvage register prior to CSMW activities which interfere or alter heritage value.
E16	A salvage report is prepared, including photographic recording of the heritage items identified for salvage in the documents referred to in CoA A1.	SYAB, Demolition and TSE	February 2018	Ongoing	NA	NA	Compliant	Photographic recording of the heritage items identified for salvage was completed in July 2018. The salvage report will be finalised following completion of salvage undertaken as part of CoA E15.
E17	Final Archaeological Method Statements must be prepared in consultation with the Heritage Council of NSW (or its delegate) before commencement of archaeological excavation works.	SYAB, TSE, CSMW and LWC	From April 2018	Ongoing	NA	NA	Compliant	Three Archaeological Method Statements were prepared for pre-construction minor works and endorsed by the ER and approved by the Secretary of DPE. The Final Archaeological Method Statement is currently being prepared in consultation with the Heritage Council and will be completed prior to the commencement of construction activities which have the potential to impact on archaeology.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E18	A suitably qualified Excavation Director who complies with the Heritage Council of NSW's Criteria for Assessment of Excavation Directors (July 2011) is nominated by the Proponent before excavation of archaeological management sites.	SYAB, TSE, CSMW and LWC	May 2018	Submitted to 30 April 2018	Secretary – FYI only	NA	Compliant	Excavation Director nomination was submitted to OEH on 30 April 2018, and endorsed 7 May 2018.
E19	An Unexpected Heritage Finds Procedure is prepared.	All stages except Demolition	June 2017	Refer to C3, C5 and C6 – Heritage Management Plan.			Compliant	An Unexpected Heritage Finds Procedure is included in LOR's Heritage Management Plan (refer to C3, C5 and C6).
E21	A Heritage Interpretation Plan is prepared and submitted to the Secretary for information.	Demolition	May 2017	Sydney Metro HIP Submitted 11 May 2017	Secretary – FYI only	NA	Compliant	The Sydney Metro Heritage Interpretation Plan was conditionally endorsed by the ER on 6 April 2017 and submitted to DP&E on 11 May 2017. A site specific Heritage Interpretation Plan will be developed for CSMW in accordance with the Sydney Metro Heritage Interpretation Plan.
E25	Where previously unidentified Aboriginal objects are discovered during construction of the CSSI, construction must stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before works recommence. The measures to consider and manage this process must be specified in the Heritage Management sub-plan required by Condition C3 and, where relevant, include registration in the OEH's Aboriginal Heritage Information Management System (AHIMS).	All stages except Demolition	June 2018	CHMP Submitted 20 June 2018	NA	NA	Compliant	The LOR Heritage Management Plan was endorsed by the ER on 20 June 2018 and submitted to DP&E on 20 June 2018 and approved on 3 August 2018. The plan includes measures to ensure compliance with this CoA.
E27	An Exhumation Management Plan is prepared and provided to the Secretary for information.	SYAB, TSE, CSMW, STME, LWC and TSOM	May 2017	Submitted 26 May 2017	Secretary - FYI	NA	Compliant	Refer to Sydney Metro document SM ES PW-315 Exhumation Management Plan v2. LOR will implement the Exhumation Policy and Guideline in the event of an unexpected human remains find.
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage are notified before construction that generates vibration commences in the vicinity of those properties.	All stages	Ongoing	Ongoing	NA	NA	Compliant	All identified receivers will receive notification 7 days prior to construction that generates vibration commences in the vicinity of those properties.
E32	The Sydney Metro City and Southwest Construction Noise and Vibration Strategy is reviewed/ revised and submitted to the Secretary for approval at least one (1) month before construction commences.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 24 April 2017	Secretary	14 July 2017	Compliant	A review of the CNVS was undertaken and the CNVS Addendum was endorsed by both the AA and ER on 24 April 2017, submitted to DP&E on 24 April 2017 and approved by DP&E on 14 July 2017 The CSMW CNVIS was endorsed by the AA on 3 August 2018.
E33	Construction Noise and Vibration Impact Statements (CNVIS) are prepared for each construction site before construction noise and vibration impacts commence.	All stages	August 2018	August 2018	NA	NA	Compliant	LOR has prepared a CNVIS which was endorsed by the AA on 3 August 2018 and the ER on 3 August 2018.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E35	The Proponent must review alternative methods to rock hammering and blasting for excavation as part of the detailed construction planning with a view to adopting methods that minimise impacts on sensitive receivers. Construction Noise and Vibration Impact Statements must be updated for each location or activity to adopt the least impact alternative in any given location unless it can be demonstrated, to the satisfaction of the AA, why it should not be adopted.	TSE, CSMW and STME	NA	NA	NA	NA	Compliant	LOR addressed alternative methods to rock hammering and blasting for excavation as part of the detailed construction planning in the CNVIS and CNVMP. The CNVMP was endorsed by the AA on 21 June 2018 and the ER on 22 June 2018. The CNVIS was endorsed by the AA and ER on 3 August 2018.
E47	An Out of Hours Work Protocol for the assessment, management and approval of work outside of standard construction hours, is prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	All stages	March 2017	Submitted 30 March 2017	Secretary	14 July 2017	Compliant	The OOH Protocol was endorsed by the AA and ER on 28 March 2017 and submitted to DP&E for approval on 30 March 2017.
E59	All property owners of buildings identified as being at risk of damage are offered a building condition survey. Copies of Building Condition Survey Reports are provided to the owners of the buildings surveyed, and the Relevant Council, if required.	All stages except HV Power Works and NCW	Commencing July 2018	Ongoing	NA	NA	Compliant	Property owners of buildings identified as being at risk of damage from LOR works have been offered a building condition survey, and will be progressively undertaken in advance of construction.
E62	An Independent Property Impact Assessment Panel is established and the Secretary is informed of the Panel Members.	All stages except HV Power Works and NCW	May 2017	Submitted 21 April 2017	Secretary – FYI only	NA	Compliant	An IPIAP was established and DP&E was informed of the Panel Members on 21 April 2017.
E64	A Business Management Plan is prepared before construction.	All stages	August 2018	2 August 2018	NA	NA	Compliant	The CSMW Business Management Plan was endorsed by the ER on 3 August 2018.
E69	An Unexpected Contaminated Land and Asbestos Finds Procedure is prepared.	All stages except Demolition	August 2018	Submitted 20 June 2018	NA	NA	Compliant	These procedures were prepared as part of the LOR CEMP and CSWMP (refer to C7).
E72	The Proponent must prepare a Sustainability Strategy to be submitted to the Secretary within six (6) months of the date of this approval, or within another timeframe agreed with the Secretary, which must be implemented throughout design, construction and operation of the CSSI.	TSE, CSMW, STME, LWC and TSOM	August 2018	7 July 2017	Secretary – FYI only	NA	Compliant	The Sustainability Strategy was endorsed by the ER on 7 July 2017 and submitted to DP&E on 7 July 2017. The CSMW Sustainability Management Plan takes into consideration this overarching strategy. Sustainability Management Plan were prepared and submitted to ER for review and endorsement prior to construction works commencing.
E77	A Traffic and Transport Liaison Group(s) (TTLGs) is established.	All stages	April 2017	February 2017	NA	NA	Compliant	A TTLG has been established and their first meeting was held on 16 February 2017. TTLG meetings are held on a monthly basis chaired by the Sydney Coordination Office (SCO).
E81	A Construction Traffic Management Framework (CTMF) is prepared and submitted to the Secretary for approval.	All stages	April 2017	Submitted 20 March 2017	Secretary	11 May 2017	Compliant	The CTMF was endorsed by the ER on 17 March 2017, submitted to DP&E on 20 March 2017 and approved on 11 May 2017.
E82	Construction Traffic Management Plans (CTMPs) are prepared for each construction site and submitted to the RMS for approval following Sydney Coordination Office endorsement before construction commences at the relevant construction site.	All stages	August 2018	Submitted on 10 May 2018	RMS	31 July 2018	Compliant	The LOR CTMP was submitted to RMS for approval on 10 May 2018. The CTMP Rev 4 was approved by RMS on 31 July 2018. The CTMP Rev.4 was endorsed by the ER on 3 August and submitted to DP&E for information on 3 August 2018.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E88	Details of haulage routes and heavy vehicle sizes to transport material to and from any construction site must be specified in the Construction Traffic Management Plan(s) and be approved by the RMS following endorsement by Sydney Coordination Office and the Relevant Roads Authority	All stages	August 2018	Submitted on 10 May 2018	RMS		Compliant	The CTMP includes details of haulage routes and heavy vehicle sizes (refer to E82).
E90	A Road Dilapidation Report is prepared for local roads proposed to be used by heavy vehicles and copies of the Road Dilapidation Report are provided to the Relevant Council.	All stages	July 2018	Ongoing	NA	NA	Compliant	Dilapidation reports are being progressively prepared, and will be provided to the City of Sydney Council.
E100	A Design Review Panel (DRP) is established.	Pre-staging (TfNSW) and all stages	May 2017	September / October 2016	NA	NA	Compliant	A DRP has been established and has been involved in the design works required prior to construction.

Appendix A.7

SSJ (John Holland Laing O'Rourke (JHLOR))
Pre-Construction Compliance Status Report
(28 August 2018)

Site	Commencement of Construction Activities
Sydenham Station and Junction	29 August 2018

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
Part A – Administrative Conditions								
A12	The Staging Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 31 March 2017	Secretary – FYI only	NA	Compliant	Sydney Metro Staging Report v3.1 was endorsed by the ER on 16 February 2018 and submitted to DP&E on 19 February 2018.
A22	An Environmental Representative (ER) is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 24 January 2017	Secretary	17 February 2017	Compliant	Letter sent to DP&E nominating HBI to act as ER on 24 January 2017. DP&E approved HBI as ER on 17 February 2017.
A25	An Acoustics Advisor (AA) is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	April 2017	Submitted 28 March 2017	Secretary	11 April 2017	Compliant	Letter sent to DP&E nominating Acoustic Studios to act in role until the tender process is complete. Interim approval was received on 28 February 2017 (for Dave Anderson to be AA). Permanent AA letter was sent to DP&E on 28 March 2017 and approved on 11 April 2017.
A29	A Compliance Tracking Program is endorsed by the ER then submitted to the Secretary for information.	Pre-staging (TfNSW) and all stages	May 2017	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Compliance Tracking Program has been prepared, endorsed by the ER on 13 March 2017 and submitted to DP&E on 28 March 2017.
A31	The Pre-Construction Compliance Report is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and Demolition	March 2018	Submitted 28 August 2018	Secretary – FYI only	NA	Compliant	This report was originally endorsed by the ER on 31 March 2017 and submitted to DP&E on 31 March 2017. The report was revised and re-endorsed by the ER on 29 Aug 2018 and submitted to the DP&E on 29 Aug 2018. The revised version includes an Appendix A addition for the Sydenham Station and Junction stage.
A37	An Environmental Audit Program is prepared and submitted to the Secretary for information.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 28 March 2017	Secretary – FYI only	NA	Compliant	The Environmental Audit Program has been prepared and included in the Compliance Tracking Program. Refer to Condition A29.
Part B – Community Information, Consultation and Involvement								
B3	The Community Communication Strategy must be submitted to the Secretary for approval no later than three months from the date of this approval or one (1) month before commencement of any work, whichever is the latter.	All stages	May 2018	Submitted 19 May 2018	Secretary	18 June 2018	Compliant	The Community Communication Strategy was endorsed by the ER on 18 May 2018. The strategy was submitted to DP&E for approval, approval was received on 18 June 2018. DP&E confirmed via email on 12 April 2017 that 'Minor Works' "don't qualify as 'works for the purposes of the CSSI'".
B4	Work for the purposes of the CSSI must not commence until the Community Communication Strategy has been approved by the Secretary, or within another timeframe agreed with the Secretary.	All stages	May 2018	Submitted 29 May 2018	Secretary	12 July 2018	Compliant	DP&E approved the Community Communication Strategy on 12 July 2018. DP&E confirmed via email on 12 April 2017 that 'Minor Works' "don't qualify as 'works for the purposes of the CSSI'".
B6	A Complaints Management System is developed.	Pre-staging (TfNSW) and all stages	May 2018	NA	NA	NA	Compliant	A Complaints Management System has been developed and is available in the 'Document Library' on the Sydney Metro website.
B9	Facilities for the collection of community enquiries and complaints are available.	Pre-staging (TfNSW) and all stages	April 2018	NA	NA	NA	Compliant	Facilities are provided on the Sydney Metro website: http://www.sydneymetro.info/get-touch
B10	Information required from B9 is published in local newspapers, the website and on site hoardings at each construction site.	Pre-staging (TfNSW) and all stages	May 2017	Newspaper advertising commenced 6 March 2017	NA	NA	Compliant	The contact details in accordance with CoA B9 were published in newspaper advertisements commencing on 6 Mar 2017 across the entire alignment and were established on existing site hoardings and will be made available on future site hoardings yet to be established.
B11	A Community Complaints Commissioner is nominated by the Proponent and approved by the Secretary.	Pre-staging (TfNSW) and all stages	February 2017	Submitted 28 March 2017	Secretary	13 April 2017	Compliant	Christine Marsden of Quatro Group was nominated as CCC in a submission to the Secretary on 28 Mar 2017. The Secretary approved Christine Marsden as CCC on 13 Apr 2017.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
B15	A website providing information in relation to the CSSI is established.	Pre-staging (TfNSW) and all stages	May 2017	In 2016 during EIS preparation / approval and continual uploading of documents thereafter	NA	NA	Compliant	TfNSW Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham JHLOR SSJ website established: https://sydenhamstationupgrade.com/ Documents will be published on website in accordance with author of document. i.e. Sydney Metro authored documents will be published on the Sydney Metro website, whereas JHLOR authored documents will be published on the JHLOR website.
Part C – Construction Environmental Management								
C3, C5 and C6	CEMP sub-plans are prepared and developed in consultation with relevant government agencies. The CEMP sub-plans are submitted to the Secretary along with, or subsequent to, the submission of the CEMP.	All stages	May 2018	Submitted 18 May 2018	Secretary	28 August 2018	Compliant	CEMP and sub-plans were prepared to be consistent with requirements of CEMF in accordance with Sydney Metro Staging Report v4.2. <ul style="list-style-type: none"> - Spoil Management Plan (SMP) Rev 3 was endorsed by the ER on 18 May 2018 and submitted to the DP&E on 23 May 2018; - Air Quality Management Plan (AQMP) Rev 3 was endorsed by the ER on 18 May 2018 and submitted to the DP&E on 23 May 2018; - Visual Amenity Management Plan (VAMP) Rev 3 was endorsed by the ER on 18 May 2018 and submitted to the DP&E on 23 May 2018; - Construction Noise & Vibration Management Plan (CNVMP) Rev 3 was endorsed by the ER on 28 May 2018, by the AA on 25 May 2018 and submitted to the DP&E on 29 May 2018; - Soil and Water Management Plan (SWMP) Rev 3 was endorsed by the ER on 22 May 2018 and submitted to the DP&E on 29 May 2018; and - Heritage Management Plan (HMP) Rev 3 was endorsed by the ER on 2 July 2018 and submitted to the DP&E on 10 July 2018.
C7	CEMP for each Stage is endorsed by the ER then submitted to the Secretary for approval.	All stages	May 2018	Submitted 23 May 2018	Secretary	28 August 2018	Compliant	- The JHLOR CEMP Rev 5 was endorsed by the ER on 18 May 2018 and submitted to the DP&E on 23 May 2018, DP&E approved the plan 28 August 2018.
Part E – Key Issue Conditions								
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	All stages	Ongoing	Not Applicable. Ongoing.	NA	NA	Compliant	Utilities, services and other infrastructure potentially affected by JHLOR construction works have been identified through Dial before you dig and NDD investigations before works affecting that item have commenced.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E6	A comprehensive tree report is prepared by an arborist prior to the removal of any trees. In the event that tree removal cannot be avoided, then replacement trees are to be planted within, or in close proximity to the CSSI or other location in consultation with the Relevant Councils and agreed by the Secretary. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees, including those affected by the site establishment works. All recommendations of the Tree Report must be implemented by the Proponent, unless otherwise agreed by the Secretary. The Tree Report may be prepared for the entire CSSI or separate reports may be prepared for individual areas where tree removal and/or pruning is proposed.	All stages	May 2018	Various – refer to Evidence / Notes	Secretary – FYI only (except for replacement tree location which requires approval)	NA	Compliant	The Tree Report for the Rail Corridor component of the SSJ site was endorsed by the ER on 1 June 2018 and submitted to DP&E on 12 June 2018, prior to the removal, damage and/or pruning of any trees.
E19	An Unexpected Heritage Finds Procedure is prepared.	All stages except Demolition	May 2018	Submitted 10 July 2018	Secretary	HMP Approved 28 August 2018	Compliant	An Unexpected Heritage Finds Procedure is included in JHLOR's HMP. The HMP was endorsed by the ER on 2 July 2018 and submitted to DP&E on 10 July 2018.
E25	Where previously unidentified Aboriginal objects are discovered during construction of the CSSI, construction must stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before works recommence. The measures to consider and manage this process must be specified in the Heritage Management sub-plan required by Condition C3 and, where relevant, include registration in the OEH's Aboriginal Heritage Information Management System (AHIMS).	All stages except Demolition	May 2018	Submitted 10 July 2018	NA	NA	Compliant	Procedure is included in JHLOR's HMP. The HMP was endorsed by the ER on 2 July 2018 and submitted to DP&E on 10 July 2018. The plan includes measures to ensure compliance with this CoA.
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage are notified before construction that generates vibration commences in the vicinity of those properties.	All stages	April 2018	Ongoing	NA	NA	Compliant	All receivers identified in the CNVIS as being at risk of exceeding the screening criteria will receive notification 7 days prior to vibration generating construction commencing. This will continue on an ongoing basis including seven days prior to new vibration generating construction activities commencing. Quarterly newsletters will also be provided. The Construction Noise and Vibration sub-plan prepared as part of CoA C3 also includes consideration of these properties.
E32	The Sydney Metro City and Southwest Construction Noise and Vibration Strategy is reviewed/ revised and submitted to the Secretary for approval at least one (1) month before construction commences.	Pre-staging (TfNSW) and Demolition	April 2017	Submitted 24 April 2017	Secretary	14 July 2017	Compliant	A review of the CNVS was undertaken and the CNVS Addendum was endorsed by both the AA and ER on 24 Apr 2017 and submitted to the Secretary on 24 Apr 2017. The Secretary approved the revised CNVS Addendum on 14 Jul 2017.
E33	Construction Noise and Vibration Impact Statements (CNVIS) are prepared for each construction site before construction noise and vibration impacts commence.	All stages	August 2018	23 August 2018	NA	NA	Compliant	JHLOR has prepared a CNVIS for the SSJ, which was endorsed by the AA and the ER on 23 August 2018.
E47	An Out of Hours Work Protocol for the assessment, management and approval of work outside of standard construction hours, is prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	All stages	May 2017	Submitted 30 March 2017	Secretary	14 July 2017	Compliant	The OOH Protocol was endorsed by the AA and ER on 28 March 2017 and submitted to DP&E for approval on 30 March 2017. JHLOR shall follow the TfNSW E47 OOHW Protocol which identifies assessment, management and approval of work outside standard construction hours.

CoA Ref	Pre-Construction CoA Requirement Summarised	Stage applicability for preparation / submission	Target date for completion / submission*	Date completed / submitted	Approval required by	Approval Received	Compliance status	Evidence / Notes
E64	A Business Management Plan is prepared before construction.	All stages	May 2018	18 June 2018	NA	NA	Compliant	The Business Management Plan was prepared and endorsed by the ER on 18 June 2018.
E69	An Unexpected Contaminated Land and Asbestos Finds Procedure is prepared.	All stages except Demolition	May 2018	May 2018	NA	NA	Compliant	This procedure was prepared as part of the JHLOR CEMP (refer to C7).
E77	A Traffic and Transport Liaison Group(s) (TTLGs) is established.	All stages	April 2017	February 2017	NA	NA	Compliant	A TTLG has been established and their first meeting was held on 16 February 2017.
E81	A Construction Traffic Management Framework (CTMF) is prepared and submitted to the Secretary for approval.	All stages	April 2017	Submitted 20 March 2017	Secretary	11 May 2017	Compliant	The CTMF was endorsed by the ER on 17 March 2017, submitted to DP&E on 20 March 2017 and approved on 11 May 2017.
E82	Construction Traffic Management Plans (CTMPs) are prepared for each construction site and submitted to the RMS for approval following Sydney Coordination Office endorsement before construction commences at the relevant construction site.	All stages	August 2018	Submitted on 23 August 2018	RMS	22 August 2018	Compliant	The JHLOR CTMP Rev 3 was approved by RMS on 22 August 2018, endorsed by the ER on 22 August 2018 and submitted to DP&E for information on 23 August 2018.
E88	Details of haulage routes and heavy vehicle sizes to transport material to and from any construction site must be specified in the Construction Traffic Management Plan(s) and be approved by the RMS following endorsement by Sydney Coordination Office and the Relevant Roads Authority	All stages	August 2018	Submitted on 23 August 2018	RMS	22 August 2018	Compliant	The CTMP includes details of haulage routes and heavy vehicle sizes (refer to E82).
E90	A Road Dilapidation Report is prepared for local roads proposed to be used by heavy vehicles and copies of the Road Dilapidation Report are provided to the Relevant Council.	All stages	May 2018	Ongoing	NA	NA	Compliant	JHLOR has prepared Road Dilapidation Reports for local roads that are being used by heavy vehicles as relevant. These reports have been provided to the relevant council(s).
E100	A Design Review Panel (DRP) is established.	Pre-staging (TfNSW) and all stages	May 2017	September / October 2016	NA	NA	Compliant	A DRP has been established in accordance with E100 and has been involved in the design works required prior to construction.

Appendix A.8

Martin Place Integrated Station Development Demolition (Macquarie Group) Pre-Construction Compliance Status Report (21 December 2018)

Site	Commencement of Construction Activities
Martin Place Station Site	7 January 2019

CoA	Pre-Construction Requirement (Summarised)	Staging Report Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
A12	The Staging Report must be submitted to the Secretary for information no later than one month before commencement of construction of the first of the proposed stages of construction.	Applicable	Secretary (FYI only)	31 Mar 2017	N/A	Compliant	Sydney Metro Staging Report v1.0 was endorsed by the ER on 31 Mar 2017 and submitted to Secretary on 31 Mar 2017. The first of the proposed stages of construction was the Early and Enabling Works – Sydney Yard Access Bridge stage, which commenced construction on 17 Jun 2017.
A21	An analysis of alternative locations for construction of a services building to support Victoria Cross Station must be submitted to the Secretary. If the analysis identifies a better alternative, the recommendation for the better alternative must be submitted to the Secretary for approval before commencement of construction at the Victoria Cross North site.	Applicable	Secretary	N/A	N/A	Compliant	This condition relates to the Victoria Cross Station Site only and is not affected by the Martin Place ISD Demolition Stage scope of works.
A22	The details of the nominated Environmental Representative(s) must be submitted to the Secretary for approval no later than one month before the commencement of works.	Applicable	Secretary	24 Jan 2017	17 Feb 2017	Compliant	Letter sent to Secretary nominating HBI to act as ER on 24 Jan 2017. Secretary approved HBI as ER on 17 Feb 2017. The Secretary confirmed via email on 12 Apr 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A25	The details of the nominated Acoustics Advisor (AA) must be submitted to the Secretary for approval no later than one month before commencement of works.	Applicable	Secretary	28 Mar 2017	11 Apr 2017	Compliant	Letter sent to Secretary nominating Acoustic Studios to act as permanent AA on 28 Mar 2017. Secretary approved Acoustic Studios on 11 Apr 2017. The Secretary confirmed via email on 12 Apr 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A29	The Compliance Tracking Program must be endorsed by the Environmental Representative then submitted to the Secretary for information before the commencement of works.	Applicable	Secretary (FYI only)	28 Mar 2017	N/A	Compliant	The Compliance Tracking Program was endorsed by the ER on 13 Mar 2017 and submitted to the Secretary on 28 March 2017. The Secretary confirmed via email on 12 Apr 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A31	A Pre-Construction Compliance Report must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction.	Applicable	Secretary (FYI only)	31 Mar 2017	N/A	Compliant	This report was endorsed by the ER on 31 March 2017 and submitted to DP&E on 31 March 2017. As agreed between the Secretary and Sydney Metro, an additional Appendix A.8 will be prepared and appended to this report specifically for each stage of the project. This Appendix A.8 addition was appended to the report and submitted to the Secretary on 21 Dec 2018.
A37	An Environmental Audit Program must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction.	Applicable	Secretary (FYI only)	28 Mar 2017	N/A	Compliant	The Environmental Audit Program was incorporated into the Compliance Tracking Program report in accordance with CoA A29, which was endorsed by the ER on 13 Mar 2017 and submitted to the Secretary on 28 March 2017.
B3 & B4	The Community Communication Strategy (CCS) must be submitted to the Secretary for approval no later than three months from the date of this approval or one month before commencement of any work, whichever is the latter. Work for the purposes of the CSSI must not commence until the CCS has been approved by the Secretary.	Applicable	Secretary	Overarching CCS submitted 28 Mar 2017. Early Works CCS submitted 20 September 2018. MP ISD Demolition CCS submitted 29 October 2018	Overarching CCS approved 9 Jun 2017. Early Works CCS approved 12 October 2018 MP ISD Demolition CCS approved 14 Dec 2018	Compliant	The Overarching CCS was endorsed by the ER on 16 Mar 2017 and submitted to the Secretary for approval on 28 Mar 2017. The Secretary approved the Overarching CCS on 9 Jun 2017. The Early Works CCS (covering all non-construction works for this stage) was submitted to the Secretary for approval on 20 September 2018. The Secretary approved the Early Works CCS on 12 October 2018. The MP ISD Demolition CCS was endorsed by the ER on 26 October 2018 and submitted to the Secretary for approval on 29 October 2018. The Secretary approved the MPISD CCS on 14 Dec 2018. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
B6	A Complaints Management System must be prepared before the commencement of any works in respect of the CSSI.	Applicable	N/A	N/A	N/A	Compliant	A Complaints Management System has been developed and is available in the ‘Document Library’ on the Sydney Metro website.
B9	Facilities must be available within one month from the date of this approval and for 12 months following the completion of construction.	Applicable	N/A	N/A	N/A	Compliant	Facilities were made available prior to the Chatswood to Sydenham approval date (9 Jan 2017) and remain available on the Sydney Metro website: http://www.sydneymetro.info/get-touch

CoA	Pre-Construction Requirement (Summarised)	Staging Report Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments																
B10	The telephone number, postal address and email address required under CoA B9 of this approval must be published in a newspaper circulating in the local area and on site hoarding at each construction site before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	The contact details in accordance with CoA B9 were published in newspaper advertisements commencing on 6 Mar 2017 across the entire alignment and were established on site hoarding on 16 October 2018.																
B11	The nominated Community Complaints Commissioner (CCC) must be submitted to the Secretary for approval within one month of the date of this approval.	Applicable	Secretary	28 Mar 2017	13 Apr 2017	Compliant	Christine Marsden of Quatro Group was nominated as CCC in a submission to the Secretary on 28 Mar 2017. The Secretary approved Christine Marsden as CCC on 13 Apr 2017.																
B15	A website providing information in relation to the CSSI must be established before commencement of works.	Applicable	N/A	N/A	N/A	Compliant	Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham MP ISD website established: https://www.lendlease.com/martinplacemetro/																
C3, C5, C6 & C7	Construction Environmental Management Plan sub-plans must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the sub-plans. The sub-plans must be submitted to the Secretary no later than one month before commencement of construction. The sub-plans must be endorsed by the ER and then submitted to the Secretary for approval no later than one month before the commencement of construction.	Partial – C3 (a) and (g) will be complied with fully (to address key risks).	Secretary	Various – refer to Evidence / Comments	Various – refer to Evidence / Comments	Compliant	<table border="1"> <thead> <tr> <th>Plan</th> <th>ER Endorsed</th> <th>Submitted to DPE</th> <th>DPE Approval</th> </tr> </thead> <tbody> <tr> <td>CEMP</td> <td>02/10/18</td> <td>03/10/18</td> <td>19/12/18</td> </tr> <tr> <td>Noise and Vibration</td> <td>19/10/18</td> <td>29/10/18</td> <td>19/12/18</td> </tr> <tr> <td>Heritage</td> <td>02/11/18</td> <td>02/11/18</td> <td>19/12/18</td> </tr> </tbody> </table>	Plan	ER Endorsed	Submitted to DPE	DPE Approval	CEMP	02/10/18	03/10/18	19/12/18	Noise and Vibration	19/10/18	29/10/18	19/12/18	Heritage	02/11/18	02/11/18	19/12/18
Plan	ER Endorsed	Submitted to DPE	DPE Approval																				
CEMP	02/10/18	03/10/18	19/12/18																				
Noise and Vibration	19/10/18	29/10/18	19/12/18																				
Heritage	02/11/18	02/11/18	19/12/18																				
C9, C12 & C13	Construction Monitoring Programs must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the programs. The Construction Monitoring Programs must be endorsed by the ER and then submitted to the Secretary for approval at least one month before commencement of construction.	Partial – C9 (a) will be complied with fully, in conjunction with the C3 allocation. Negligible impact to surface and groundwater is anticipated.	Secretary	29 Oct 2018	19 Dec 2018	Compliant	A noise and vibration monitoring program has been included within the CNVMP required under C3(a).																
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	Applicable	N/A	N/A	N/A	Compliant	Utilities, services and other infrastructure potentially affected by Lendlease demolition works have been identified before works affecting that item have commenced.																
E6	The Proponent must commission an independent, experienced and suitably qualified arborist to prepare a comprehensive Tree Report before removing any trees as detailed in the EIS, as amended by the documents listed in CoA A1. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees.	Applicable	Secretary (FYI only)	NA	N/A	Compliant	No trees to be removed as part of the Martin Place ISD Demolition stage of works.																
E13	The Proponent must prepare a Heritage Archival Recording Report, including photographic recording of the heritage items identified in documents referred to in Condition A1.	Partial – For applicable sites only.	N/A	N/A	N/A	Compliant	An Archival Recording Report – comprising the recording of the inter-connecting openings between the buildings at 9-19 Elizabeth Street and 50 Martin Place – has been prepared by TKD Architects on behalf of Macquarie.																
E14	The Proponent must, prior to demolition, undertake external photography of all buildings and structures to be demolished, in consultation with and to the standards of the relevant Council. The recordings must be made available to the relevant Council.	Partial – For applicable sites only.	N/A	N/A	N/A	Compliant	The exterior of the building at 9-19 Elizabeth Street has been photographically recorded by Tanner Kibble Denton Architects on behalf of Macquarie. This report was issued to City of Sydney Council, and accepted on 28 November 2018.																

CoA	Pre-Construction Requirement (Summarised)	Staging Report Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E15	The Proponent must salvage items of heritage value from heritage listed buildings and structures to be demolished before demolition.	Partial – To the extent of salvaging items of heritage value prior to demolition only.	N/A	N/A	N/A	Compliant	9-19 Elizabeth Street is not a listed heritage item, and contains no items of heritage value that are required to be salvaged as part of the Martin Place ISD Demolition.
E16	The Proponent must prepare a Salvage Report, including photographic recording of the heritage items identified for salvage in documents referred to in Condition A1.	Partial – For applicable sites only.	N/A	N/A	N/A	Compliant	9-19 Elizabeth Street is not a listed heritage item, and has no items identified for salvage in Condition A1.
E17	Final Archaeological Method Statements must be prepared in consultation with the Heritage Council of NSW (or its delegate) before commencement of archaeological excavation works.	Not Applicable	N/A	N/A	N/A	N/A	N/A
E18	Before excavation of archaeological management sites, the Proponent must nominate a suitably qualified Excavation Director.	Not Applicable	N/A	N/A	N/A	N/A	N/A
E19	An Unexpected Heritage Finds Procedure must be prepared.	Not Applicable	N/A	N/A	N/A	N/A	N/A
E21	The Heritage Interpretation Plan must be prepared in consultation with the Heritage Council of NSW (or its delegate), Relevant Councils and Registered Aboriginal Parties, and must be submitted to the Secretary before commencement of construction.	Not Applicable	N/A	N/A	N/A	N/A	N/A
E22	The design and construction of the Martin Place Railway Station must minimise the removal of identified heritage fabric. Any items removed must be: (a) salvaged and opportunities for reuse as part of the station maximised in accordance with Condition E15; and (b) documented as required by the Heritage Interpretation Plan.	Not Applicable	N/A	N/A	N/A	N/A	N/A
E22.1	If the modifications to this approval as described in A1(e) proceed, any internal and external finishes of infilled openings between 9-19 Elizabeth Street and 50 Martin Place must be developed in consultation with a suitably qualified heritage architect, approved by the Secretary.	Not Applicable	N/A	N/A	N/A	N/A	N/A
E27	The Exhumation Management Plan must be provided to the Secretary for information before the commencement of excavation works.	Applicable	N/A	N/A	N/A	N/A	N/A
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before construction that generates vibration commences in the vicinity of those properties.	Applicable	N/A	N/A	N/A	Compliant	No owners of properties at risk of exceeding the screening criteria for cosmetic damage have been identified as per the CNVIS for the works.
E32	The revised Sydney Metro City and Southwest Construction Noise and Vibration Strategy (CNVS) must be submitted to the Secretary for approval at least one month before construction commences.	Applicable	Secretary	24 Apr 2017	14 Jul 2017	Compliant	A review of the CNVS was undertaken and the CNVS Addendum was endorsed by both the AA and ER on 24 Apr 2017 and submitted to the Secretary on 24 Apr 2017. The Secretary approved the revised CNVS Addendum on 14 Jul 2017.
E33	Construction Noise and Vibration Impact Statements must be prepared for each construction site before construction noise and vibration impacts commence.	Applicable	N/A	N/A	N/A	Compliant	The CNVIS for Martin Place ISD Demolition was prepared and endorsed by the AA on 31 October 2018 and the ER on 2 November 2018.

CoA	Pre-Construction Requirement (Summarised)	Staging Report Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments								
E47	An Out of Hours (OOH) Work Protocol must be prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	Applicable	Secretary	30 Mar 2017	14 Jul 2017	Compliant	The OOH Work Protocol was endorsed by the AA and ER on 28 Mar 2017 and submitted to the Secretary on 30 Mar 2017. The Secretary approved the OOH Work Protocol on 14 Jul 2017.								
E59	Before commencement of construction, all property owners of buildings identified as being at risk of damage must be offered a building condition survey. Copies of Building Condition Survey Reports must be provided to the owners of the buildings surveyed, and if agreed by the owner, the Relevant Council within three (3) weeks of completing the Survey Report and no later than one (1) month before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	Property owners of buildings identified as being at risk of damage from the works have been offered a building condition survey and where relevant, provided to City of Sydney Council 29 November 2018.								
E62	The Proponent must establish an Independent Property Impact Assessment Panel (IPIAP) before relevant works commencing and inform the Secretary.	Applicable	Secretary (FYI only)	21 Apr 2017	N/A	Compliant	An IPIAP was established and the Terms of Reference for the IPIAP was submitted to the Secretary on 21 Apr 2017.								
E64	The Proponent must prepare and implement a Business Management Plan before construction.	Applicable	N/A	N/A	N/A	Compliant	A Business Management Plan has been prepared for the works and was endorsed by the ER on 11 Dec 2018.								
E72	The Proponent must prepare a Sustainability Strategy to be submitted to the Secretary within six months of the date of this approval.	Applicable	N/A	N/A	N/A	N/A	N/A								
E77	The Proponent must establish a Traffic and Transport Liaison Group(s) (TTLG) to inform traffic and transport management measures during construction and operation of the CSSI.	Partial – For construction purposes only	N/A	N/A	N/A	Compliant	A TTLG has been established and their first meeting was held on 16 Feb 2017.								
E81	The Proponent must prepare and implement a Construction Traffic Management Framework in consultation with the Traffic and Transport Liaison Group(s) and submit to the Secretary for approval no later than one (1) month before the commencement of construction.	Applicable	Secretary	20 Sep 2017	21 Dec 2017	Compliant	The CTMF covering the MP ISD stage was endorsed by the ER on 18 Sep 2017 and submitted to the Secretary on 20 Sep 2017. The Secretary approved the CTMF on 21 Dec 2017.								
E82	Construction Traffic Management Plans must be prepared for each construction site in consultation with the Traffic and Transport Liaison Group(s) and submitted to the RMS for approval following Sydney Coordination Office endorsement before construction commences at the relevant construction site.	Applicable	RMS	26 Sep 2018	18 Oct 2018	Compliant	<table border="1"> <thead> <tr> <th>Plan</th> <th>ER Endorsed</th> <th>Submitted to RMS</th> <th>RMS Approval</th> </tr> </thead> <tbody> <tr> <td>CTMP</td> <td>19/10/18</td> <td>26/09/18</td> <td>10/10/18</td> </tr> </tbody> </table> <p>The CTMP was submitted to the Secretary on 29 Oct 2018.</p>	Plan	ER Endorsed	Submitted to RMS	RMS Approval	CTMP	19/10/18	26/09/18	10/10/18
Plan	ER Endorsed	Submitted to RMS	RMS Approval												
CTMP	19/10/18	26/09/18	10/10/18												
E88	Details of haulage routes and heavy vehicle sizes to transport material to and from any construction site must be specified in the Construction Traffic Management Plan(s) and be approved by the RMS following endorsement by Sydney Coordination Office and consultation with the TTLG(s).	Applicable	RMS	26 Sep 2018	18 Oct 2018	Compliant	The CTMP includes details of haulage routes and heavy vehicle sizes (refer to E82).								
E90	A Road Dilapidation Report must be prepared for local roads proposed to be used by heavy vehicles for the purposes of the CSSI before the commencement of use by such vehicles. Copies of the Road Dilapidation Report must be provided to the Relevant Council within three weeks of completing the surveys and no later than one month before the use of local roads by heavy vehicles.	Applicable	N/A	N/A	N/A	Compliant	Road Dilapidation Reports have been prepared for local roads to be used by heavy vehicles as part of the works. These reports have been provided to City of Sydney Council 10 October 2018.								
E100	The Proponent must establish a Design Review Panel (DRP).	Applicable	N/A	N/A	N/A	Compliant	A DRP was established prior to the Chatswood to Sydenham planning approval date and remains active across all Sydney Metro program of works.								

Appendix A.9

Martin Place Integrated Station Development
(Macquarie Group) Pre-Construction Compliance
Status Report (6 May 2019)

Site	Commencement of Construction Activities
Martin Place Station Site	10 May 2019

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
A12	The Staging Report must be submitted to the Secretary for information no later than one month before commencement of construction of the first of the proposed stages of construction.	Applicable	Secretary (FYI only)	31 Mar 2017	N/A	Compliant	Sydney Metro Staging Report v1.0 was endorsed by the ER on 31 Mar 2017 and submitted to Secretary on 31 Mar 2017. The first of the proposed stages of construction was the Early and Enabling Works – Sydney Yard Access Bridge stage, which commenced construction on 17 Jun 2017.
A21	An analysis of alternative locations for construction of a services building to support Victoria Cross Station must be submitted to the Secretary. If the analysis identifies a better alternative, the recommendation for the better alternative must be submitted to the Secretary for approval before commencement of construction at the Victoria Cross North site.	Not Applicable	N/A	N/A	N/A	N/A	
A22	The details of the nominated Environmental Representative(s) must be submitted to the Secretary for approval no later than one month before the commencement of works.	Applicable	Secretary	24 Jan 2017	17 Feb 2017	Compliant	Letter sent to Secretary nominating HBI to act as ER on 24 Jan 2017. Secretary approved HBI as ER on 17 Feb 2017. The Secretary confirmed via email on 12 Apr 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A25	The details of the nominated Acoustics Advisor (AA) must be submitted to the Secretary for approval no later than one month before commencement of works.	Applicable	Secretary	28 Mar 2017	11 Apr 2017	Compliant	Letter sent to Secretary nominating Acoustic Studios to act as permanent AA on 28 Mar 2017. Secretary approved Acoustic Studios on 11 Apr 2017. The Secretary confirmed via email on 12 Apr 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A29	The Compliance Tracking Program must be endorsed by the Environmental Representative then submitted to the Secretary for information before the commencement of works.	Applicable	Secretary (FYI only)	28 Mar 2017	N/A	Compliant	The Compliance Tracking Program was endorsed by the ER on 13 Mar 2017 and submitted to the Secretary on 28 March 2017. The Secretary confirmed via email on 12 Apr 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A31	A Pre-Construction Compliance Report must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction.	Applicable	Secretary (FYI only)	31 Mar 2017	N/A	Compliant	This report was endorsed by the ER on 31 Mar 2017 and submitted to the Secretary on 31 Mar 2017. As agreed between the Secretary and Sydney Metro, an additional Appendix A will be prepared and appended to this report specifically for each stage of the project. This Appendix A addition was appended to the report and submitted to the Secretary in May 2019, prior to the commencement of construction on 10 May 2019.
A37	An Environmental Audit Program must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction.	Applicable	Secretary (FYI only)	28 Mar 2017	N/A	Compliant	The Environmental Audit Program was incorporated into the Compliance Tracking Program report in accordance with CoA A29, which was endorsed by the ER on 13 Mar 2017 and submitted to the Secretary on 28 March 2017.
B3 & B4	The Community Communication Strategy (CCS) must be submitted to the Secretary for approval no later than three months from the date of this approval or one month before commencement of any work, whichever is the latter. Work for the purposes of the CSSI must not commence until the CCS has been approved by the Secretary.	Applicable	Secretary	Overarching CCS submitted 28 Mar 2017. MP ISD CCS submitted 22 Feb 2019	Overarching CCS approved 9 Jun 2017. MP ISD CCS approved 2 April 2019	Compliant	The Overarching CCS was endorsed by the ER on 16 Mar 2017 and submitted to the Secretary for approval on 28 Mar 2017. The Secretary approved the Overarching CCS on 9 Jun 2017. The MP ISD CCS was endorsed by the ER on 18 February 2019 and submitted to the Secretary for approval on 22 February 2019. The Secretary approved the MPISD CCS on 2 April 2019. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
B6	A Complaints Management System must be prepared before the commencement of any works in respect of the CSSI.	Applicable	N/A	N/A	N/A	Compliant	A Complaints Management System has been developed and is available in the ‘Document Library’ on the Sydney Metro website.
B9	Facilities must be available within one month from the date of this approval and for 12 months following the completion of construction.	Applicable	N/A	N/A	N/A	Compliant	Facilities were made available prior to the Chatswood to Sydenham approval date (9 Jan 2017) and remain available on the Sydney Metro website: http://www.sydneymetro.info/get-touch

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments																				
B10	The telephone number, postal address and email address required under CoA B9 of this approval must be published in a newspaper circulating in the local area and on site hoarding at each construction site before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	The contact details in accordance with CoA B9 were published in newspaper advertisements commencing on 6 Mar 2017 across the entire alignment and were established on site hoarding on 16 October 2018.																				
B11	The nominated Community Complaints Commissioner (CCC) must be submitted to the Secretary for approval within one month of the date of this approval.	Applicable	Secretary	28 Mar 2017	13 Apr 2017	Compliant	Christine Marsden of Quatro Group was nominated as CCC in a submission to the Secretary on 28 Mar 2017. The Secretary approved Christine Marsden as CCC on 13 Apr 2017.																				
B15	A website providing information in relation to the CSSI must be established before commencement of works.	Applicable	N/A	N/A	N/A	Compliant	Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham MP ISD website established: https://www.lendlease.com/martinplacemetro/																				
C3, C5, C6 & C7	Construction Environmental Management Plan sub-plans must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the sub-plans. The sub-plans must be submitted to the Secretary no later than one month before commencement of construction. The sub-plans must be endorsed by the ER and then submitted to the Secretary for approval no later than one month before the commencement of construction.	Partial to the extent of C3 (a), (e) and (g) only.	Secretary	Various – refer to Evidence / Comments	Various – refer to Evidence / Comments	Compliant	<table border="1"> <thead> <tr> <th>Plan</th> <th>ER Endorsed</th> <th>Submitted to DPE</th> <th>DPE Approval</th> </tr> </thead> <tbody> <tr> <td>CEMP</td> <td>11 Feb 2019</td> <td>15 Feb 2019</td> <td>18 Apr 2019</td> </tr> <tr> <td>Noise and Vibration</td> <td>22 Feb 2019</td> <td>26 Feb 2019</td> <td>18 Apr 2019</td> </tr> <tr> <td>Heritage</td> <td>22 Feb 2019</td> <td>26 Feb 2019</td> <td>18 Apr 2019</td> </tr> <tr> <td>Groundwater</td> <td>25 Feb 2019</td> <td>26 Feb 2019</td> <td>18 Apr 2019</td> </tr> </tbody> </table>	Plan	ER Endorsed	Submitted to DPE	DPE Approval	CEMP	11 Feb 2019	15 Feb 2019	18 Apr 2019	Noise and Vibration	22 Feb 2019	26 Feb 2019	18 Apr 2019	Heritage	22 Feb 2019	26 Feb 2019	18 Apr 2019	Groundwater	25 Feb 2019	26 Feb 2019	18 Apr 2019
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C9, C12 & C13	Construction Monitoring Programs must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the programs. The Construction Monitoring Programs must be endorsed by the ER and then submitted to the Secretary for approval at least one month before commencement of construction.	Partial to the extent of C9 (a) and (d) only.	Secretary	Various – refer to Evidence / Comments	Various – refer to Evidence / Comments	Compliant	A noise and vibration monitoring program has been included within the Construction Noise and Vibration Management Plan required and submitted under C3(a). A groundwater monitoring program has been included within the Construction Groundwater Management Plan required and submitted under C3(g).																				
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	Applicable	N/A	N/A	N/A	Compliant	Utilities, services and other infrastructure potentially affected by Lendlease demolition works have been identified before works affecting that item have commenced.																				
E6	The Proponent must commission an independent, experienced and suitably qualified arborist to prepare a comprehensive Tree Report before removing any trees as detailed in the EIS, as amended by the documents listed in CoA A1. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees.	Applicable	Secretary (FYI only)	NA	N/A	Compliant	Trees to be removed as part of the Martin Place ISD works have been included in the Lendlease Tree Impact Assessment Report.																				
E13	The Proponent must prepare a Heritage Archival Recording Report, including photographic recording of the heritage items identified in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A																					
E14	The Proponent must, prior to demolition, undertake external photography of all buildings and structures to be demolished, in consultation with and to the standards of the relevant Council. The recordings must be made available to the relevant Council.	Applicable	N/A	N/A	N/A	Compliant	No demolition works required under the MP ISD project.																				
E15	The Proponent must salvage items of heritage value from heritage listed buildings and structures to be demolished before demolition.	Partial – To the extent of assessing options for sympathetic reuse or for repository, reuse and display.	N/A	N/A	N/A	Compliant	No demolition of heritage listed buildings or structures required under the MP ISD project.																				

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments									
E16	The Proponent must prepare a Salvage Report, including photographic recording of the heritage items identified for salvage in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A										
E17	Final Archaeological Method Statements must be prepared in consultation with the Heritage Council of NSW (or its delegate) before commencement of archaeological excavation works.	Applicable	N/A	N/A	N/A	Compliant	<p>Archaeological Method Statement (AMS) documents have been prepared for both Aboriginal and non-Aboriginal aspects in consultation with NSW OEH Heritage Division.</p> <table border="1"> <thead> <tr> <th>Aspect</th> <th>OEH HD Consultation</th> <th>ER Endorsement</th> </tr> </thead> <tbody> <tr> <td>Aboriginal</td> <td>18 Feb 2019</td> <td>15 Mar 2019</td> </tr> <tr> <td>Non-Aboriginal</td> <td>25 Feb 2019</td> <td>15 Mar 2019</td> </tr> </tbody> </table>	Aspect	OEH HD Consultation	ER Endorsement	Aboriginal	18 Feb 2019	15 Mar 2019	Non-Aboriginal	25 Feb 2019	15 Mar 2019
Aspect	OEH HD Consultation	ER Endorsement														
Aboriginal	18 Feb 2019	15 Mar 2019														
Non-Aboriginal	25 Feb 2019	15 Mar 2019														
E18	Before excavation of archaeological management sites, the Proponent must nominate a suitably qualified Excavation Director.	Applicable	Secretary – FYI only	21 Dec 2018	N/A	Compliant	Excavation Directors have been nominated within submissions of the MP ISD AMS and CHMP documents to OEH Heritage Division. All nominated Excavation Directors are compliant with appropriate criteria for the level of significance of the site.									
E19	An Unexpected Heritage Finds Procedure must be prepared.	Applicable	N/A	N/A	N/A	Compliant	As per the MP ISD CHMP, the Sydney Metro Unexpected Heritage Finds Procedure will be implemented for the MP ISD works.									
E21	The Heritage Interpretation Plan must be prepared in consultation with the Heritage Council of NSW (or its delegate), Relevant Councils and Registered Aboriginal Parties, and must be submitted to the Secretary before commencement of construction.	Applicable – Overarching SM HIP to be prepared and submitted to the Secretary prior to commencement of construction. Site-specific HIPs to be prepared prior to the commencement of construction of permanent above-ground works. Final site-specific HIPs to be submitted to the Secretary for information.	Secretary (FYI only)	Site Specific HIP being developed.	N/A	Compliant	The MP ISD site-specific HIP is being prepared and will be completed prior to the commencement of construction of permanent above-ground works, and submitted to the Secretary for information.									
E27	The Exhumation Management Plan must be provided to the Secretary for information before the commencement of excavation works.	Applicable	Secretary (FYI only)	26 May 2017	N/A	Compliant	This plan was endorsed by the ER on 26 May 2017 and submitted to the Secretary on 26 May 2017.									
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before construction that generates vibration commences in the vicinity of those properties.	Applicable	N/A	N/A	N/A	Compliant	The 50 Martin Place building is within the minimum working distances established for cosmetic damage from excavators with hammer attachments. Macquarie Group are the building occupant and owner, with the MP ISD works being carried out on behalf of Macquarie Group themselves.									
E32	The revised Sydney Metro City and Southwest Construction Noise and Vibration Strategy (CNVS) must be submitted to the Secretary for approval at least one month before construction commences.	Applicable	Secretary	24 Apr 2017	14 Jul 2017	Compliant	A review of the CNVS was undertaken and the CNVS Addendum was endorsed by both the AA and ER on 24 Apr 2017 and submitted to the Secretary on 24 Apr 2017. The Secretary approved the revised CNVS Addendum on 14 Jul 2017.									
E33	Construction Noise and Vibration Impact Statements must be prepared for each construction site before construction noise and vibration impacts commence.	Applicable	N/A	N/A	N/A	Compliant	The CNVIS for Martin Place ISD was prepared and endorsed by the AA and ER on 22 February 2019.									

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments						
E47	An Out of Hours (OOH) Work Protocol must be prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	Applicable	Secretary	30 Mar 2017	14 Jul 2017	Compliant	The OOH Work Protocol was endorsed by the AA and ER on 28 Mar 2017 and submitted to the Secretary on 30 Mar 2017. The Secretary approved the OOH Work Protocol on 14 Jul 2017.						
E59	Before commencement of construction, all property owners of buildings identified as being at risk of damage must be offered a building condition survey. Copies of Building Condition Survey Reports must be provided to the owners of the buildings surveyed, and if agreed by the owner, the Relevant Council within three (3) weeks of completing the Survey Report and no later than one (1) month before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	Property owners of buildings identified as being at risk of damage from the works have been offered a building condition survey and where relevant, provided to City of Sydney Council 29 November 2018. The 50 Martin Place building is within the minimum working distances established for cosmetic damage from excavators with hammer attachments. Macquarie Group are the building occupant and owner, with the MP ISD works being carried out on behalf of Macquarie Group themselves.						
E62	The Proponent must establish an Independent Property Impact Assessment Panel (IPIAP) before relevant works commencing and inform the Secretary.	Applicable	Secretary (FYI only)	21 Apr 2017	N/A	Compliant	An IPIAP was established and the Terms of Reference for the IPIAP was submitted to the Secretary on 21 Apr 2017.						
E64	The Proponent must prepare and implement a Business Management Plan before construction.	Applicable	N/A	N/A	N/A	Compliant	A Business Management Plan has been prepared for the works and was endorsed by the ER on 28 February 2019.						
E72	The Proponent must prepare a Sustainability Strategy to be submitted to the Secretary within six months of the date of this approval.	Applicable	Secretary (FYI only)	7 Jul 2017	N/A	Compliant	The Sustainability Strategy was endorsed by the ER on 7 Jul 2017 and submitted to the Secretary on 7 Jul 2017.						
E77	The Proponent must establish a Traffic and Transport Liaison Group(s) (TTLG) to inform traffic and transport management measures during construction and operation of the CSSI.	Applicable	N/A	N/A	N/A	Compliant	A TTLG has been established and their first meeting was held on 16 Feb 2017.						
E81	The Proponent must prepare and implement a Construction Traffic Management Framework in consultation with the Traffic and Transport Liaison Group(s) and submit to the Secretary for approval no later than one (1) month before the commencement of construction.	Applicable	Secretary	20 Sep 2017	21 Dec 2017	Compliant	The CTMF covering the MP ISD stage was endorsed by the ER on 18 Sep 2017 and submitted to the Secretary on 20 Sep 2017. The Secretary approved the CTMF on 21 Dec 2017.						
E82	Construction Traffic Management Plans must be prepared for each construction site in consultation with the Traffic and Transport Liaison Group(s) and submitted to the RMS for approval following Sydney Coordination Office (SCO) endorsement before construction commences at the relevant construction site.	Applicable	RMS	21 December 2018	6 March 2019	Compliant	<table border="1"> <thead> <tr> <th>Plan</th> <th>Submitted to SCO/RMS</th> <th>SCO/RMS Endorsement and Approval</th> </tr> </thead> <tbody> <tr> <td>CTMP</td> <td>21 Dec 2019</td> <td>6 Mar 2019</td> </tr> </tbody> </table>	Plan	Submitted to SCO/RMS	SCO/RMS Endorsement and Approval	CTMP	21 Dec 2019	6 Mar 2019
Plan	Submitted to SCO/RMS	SCO/RMS Endorsement and Approval											
CTMP	21 Dec 2019	6 Mar 2019											
E90	A Road Dilapidation Report must be prepared for local roads proposed to be used by heavy vehicles for the purposes of the CSSI before the commencement of use by such vehicles. Copies of the Road Dilapidation Report must be provided to the Relevant Council within three weeks of completing the surveys and no later than one month before the use of local roads by heavy vehicles.	Applicable	N/A	N/A	N/A	Compliant	Road Dilapidation Reports have been prepared for local roads to be used by heavy vehicles as part of the works. These reports have been provided to City of Sydney Council 10 October 2018.						
E100	The Proponent must establish a Design Review Panel (DRP).	Applicable	N/A	N/A	N/A	Compliant	A DRP has been established and has reviewed designs across all stages of the project to date.						

Appendix A.10

Line Wide Works (LW)

Systems Connect (SC)

Pre-Construction Compliance Status Report (30 April 2020)

Site	Commencement of Construction Activities
Chatswood to Sydenham	2 May 2020

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
A12	The Staging Report must be submitted to the Secretary for information no later than one month before commencement of construction of the first of the proposed stages of construction.	Applicable	Secretary (FYI only)	31 Mar 2017	N/A	Compliant	Sydney Metro Staging Report v1.0 was endorsed by the ER on 31 Mar 2017 and submitted to Secretary on 31 Mar 2017. The first of the proposed stages of construction was the Early and Enabling Works – Sydney Yard Access Bridge stage, which commenced construction on 17 Jun 2017.
A21	An analysis of alternative locations for construction of a services building to support Victoria Cross Station must be submitted to the Secretary. If the analysis identifies a better alternative, the recommendation for the better alternative must be submitted to the Secretary for approval before commencement of construction at the Victoria Cross North site.	Not Applicable	N/A	N/A	N/A	N/A	
A22	The details of the nominated Environmental Representative(s) must be submitted to the Secretary for approval no later than one month before the commencement of works.	Applicable	Secretary	24 Jan 2017	17 Feb 2017	Compliant	Letter sent to Secretary nominating HBI to act as ER on 24 January 2017. Secretary approved HBI as ER on 17 February 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A25	The details of the nominated Acoustics Advisor (AA) must be submitted to the Secretary for approval no later than one month before commencement of works.	Applicable	Secretary	28 Mar 2017	11 Apr 2017	Compliant	Letter sent to Secretary nominating Acoustic Studios to act as permanent AA on 28 March 2017. Secretary approved Acoustic Studios on 11 April 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A29	The Compliance Tracking Program must be endorsed by the Environmental Representative then submitted to the Secretary for information before the commencement of works.	Applicable	Secretary (FYI only)	28 Mar 2017	N/A	Compliant	The Compliance Tracking Program was endorsed by the ER on 13 March 2017 and submitted to the Secretary on 28 March 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A31	A Pre-Construction Compliance Report must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction.	Applicable	Secretary (FYI only)	31 Mar 2017	N/A	Compliant	This report was endorsed by the ER on 31 March 2017 and submitted to the Secretary on 31 March 2017. As agreed between the Secretary and Sydney Metro, an additional Appendix A will be prepared and appended to this report specifically for each stage of the project. This Appendix A addition was appended to the report and submitted to the Secretary in April 2020, prior to the commencement of construction on 2 May 2020.
A37	An Environmental Audit Program must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction.	Applicable	Secretary (FYI only)	28 Mar 2017	N/A	Compliant	The Environmental Audit Program was incorporated into the Compliance Tracking Program report in accordance with CoA A29, which was endorsed by the ER on 13 March 2017 and submitted to the Secretary on 28 March 2017.
B3 & B4	The Community Communication Strategy (CCS) must be submitted to the Secretary for approval no later than three months from the date of this approval or one month before commencement of any work, whichever is the latter. Work for the purposes of the CSSI must not commence until the CCS has been approved by the Secretary.	Applicable	Secretary	Overarching CCS submitted 28 Mar 2017.	Overarching CCS approved 9 Jun 2017.	Compliant	The Overarching CCS was endorsed by the ER on 16 March 2017 and submitted to the Secretary for approval on 28 March 2017. The Secretary approved the Overarching CCS on 9 June 2017. The LW CCS was approved by the Director Communications on 1 May 2020. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
B6	A Complaints Management System must be prepared before the commencement of any works in respect of the CSSI.	Applicable	N/A	N/A	N/A	Compliant	A Complaints Management System has been developed and is available in the ‘Document Library’ on the Sydney Metro website.
B9	Facilities must be available within one month from the date of this approval and for 12 months following the completion of construction.	Applicable	N/A	N/A	N/A	Compliant	Facilities were made available prior to the Chatswood to Sydenham approval date (9 January 2017) and remain available on the Sydney Metro website: http://www.sydneymetro.info/get-touch
B10	The telephone number, postal address and email address required under CoA B9 of this approval must be published in a newspaper circulating in the local area and on site hoarding at each construction site before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	The contact details in accordance with CoA B9 were published in newspaper advertisements commencing on 6 March 2017 across the entire alignment and were established on site hoarding on 16 October 2018. The LW Contractor has also established a LW specific website in late 2019.

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments																												
B11	The nominated Community Complaints Mediator (CCM) must be submitted to the Secretary for approval within one month of the date of this approval.	Applicable	Secretary	28 Mar 2017	13 Apr 2017	Compliant	Christine Marsden of Quatro Group was nominated as CCM in a submission to the Secretary on 28 March 2017. The Secretary approved Christine Marsden as CCM on 13 April 2017.																												
B15	A website providing information in relation to the CSSI must be established before commencement of works.	Applicable	N/A	N/A	N/A	Compliant	Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham LW website established: https://www.sclww.com.au/																												
C3, C5, C6 & C7	Construction Environmental Management Plan sub-plans must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the sub-plans. The sub-plans must be submitted to the Secretary no later than one month before commencement of construction. The sub-plans must be endorsed by the ER and then submitted to the Secretary for approval no later than one month before the commencement of construction.	Partial to the extent of C3 (a), (e) and (g) only.	Secretary	Various – refer to Evidence / Comments	Various – refer to Evidence / Comments	Compliant	<table border="1"> <thead> <tr> <th>Plan</th> <th>ER Endorsed</th> <th>Submitted to DPIE</th> <th>DPIE Approval</th> </tr> </thead> <tbody> <tr> <td>CEMP</td> <td>6 Jan 2020</td> <td>10 Jan 2020</td> <td>4 Mar 2020</td> </tr> <tr> <td>Air Quality</td> <td>9 Jan 2020</td> <td>10 Jan 2020</td> <td>4 Mar 2020</td> </tr> <tr> <td>Soil, Water and Groundwater</td> <td>9 Jan 2020</td> <td>10 Jan 2020</td> <td>4 Mar 2020</td> </tr> <tr> <td>Heritage</td> <td>6 Jan 2020</td> <td>10 Jan 2020</td> <td>4 Mar 2020</td> </tr> <tr> <td>Waste, Recycling and Spoil</td> <td>6 Jan 2020</td> <td>10 Jan 2020</td> <td>4 Mar 2020</td> </tr> <tr> <td>Noise and Vibration</td> <td>21 Jan 2020</td> <td>21 Jan 2020</td> <td>4 Mar 2020</td> </tr> </tbody> </table>	Plan	ER Endorsed	Submitted to DPIE	DPIE Approval	CEMP	6 Jan 2020	10 Jan 2020	4 Mar 2020	Air Quality	9 Jan 2020	10 Jan 2020	4 Mar 2020	Soil, Water and Groundwater	9 Jan 2020	10 Jan 2020	4 Mar 2020	Heritage	6 Jan 2020	10 Jan 2020	4 Mar 2020	Waste, Recycling and Spoil	6 Jan 2020	10 Jan 2020	4 Mar 2020	Noise and Vibration	21 Jan 2020	21 Jan 2020	4 Mar 2020
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C9, C12 & C13	Construction Monitoring Programs must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the programs. The Construction Monitoring Programs must be endorsed by the ER and then submitted to the Secretary for approval at least one month before commencement of construction.	Partial to the extent of C9 (a) and (d) only.	Secretary	Various – refer to Evidence / Comments	Various – refer to Evidence / Comments	Compliant	A noise and vibration monitoring program has been included within the Construction Noise and Vibration Management Plan required and submitted under C3(a). Negligible impact to surface and groundwater is anticipated.																												
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	Applicable	N/A	N/A	N/A	Compliant	Utilities, services and other infrastructure potentially affected by LW have been identified before works affecting that Condition have commenced.																												
E6	The Proponent must commission an independent, experienced and suitably qualified arborist to prepare a comprehensive Tree Report before removing any trees as detailed in the EIS, as amended by the documents listed in CoA A1. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees.	Applicable	Secretary (FYI only)	NA	N/A	Compliant	Trees to be removed as part of the LW commencing on 28 March 2020 have been included in the Systems Connect Tree Impact Assessment Report. This report was lodged with the Department on 23 March 2020 for information only.																												
E13	The Proponent must prepare a Heritage Archival Recording Report, including photographic recording of the heritage items identified in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A																													
E14	The Proponent must, prior to demolition, undertake external photography of all buildings and structures to be demolished, in consultation with and to the standards of the relevant Council. The recordings must be made available to the relevant Council.	Not Applicable	N/A	N/A	N/A	N/A																													
E15	The Proponent must salvage items of heritage value from heritage listed buildings and structures to be demolished before demolition.	Not Applicable	N/A	N/A	N/A	N/A																													
E16	The Proponent must prepare a Salvage Report, including photographic recording of the heritage items identified for salvage in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A																													

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E17	Final Archaeological Method Statements must be prepared in consultation with the Heritage Council of NSW (or its delegate) before commencement of archaeological excavation works.	Applicable	N/A	N/A	N/A	Compliant	There are no archaeological excavation works identified or planned as part of the LW works.
E18	Before excavation of archaeological management sites, the Proponent must nominate a suitably qualified Excavation Director.	Applicable	Secretary – FYI only	N/A	N/A	Compliant	There is no excavation of archaeological management sites identified or planned as part of the LW works.
E19	An Unexpected Heritage Finds Procedure must be prepared.	Applicable	N/A	N/A	N/A	Compliant	As per the LW CHMP, an <i>Aboriginal and Historic Heritage Unexpected Finds Protocol C2B</i> has been developed by AMBS to manage the risk of impact to previously unidentified heritage items at the LW sites for the duration of construction. Procedures for undertaking unexpected finds, including procedures for dealing with human remains are included in Section 6.2 and Appendix C1 of the CHMP.
E21	The Heritage Interpretation Plan must be prepared in consultation with the Heritage Council of NSW (or its delegate), Relevant Councils and Registered Aboriginal Parties, and must be submitted to the Secretary before commencement of construction.	Applicable – Overarching SM HIP to be prepared and submitted to the Secretary prior to commencement of construction. Site-specific HIPs to be prepared prior to the commencement of construction of permanent above-ground works. Final site-specific HIPs to be submitted to the Secretary for information.	Secretary (FYI only)	Site Specific HIP being developed.	N/A	Compliant	LW site-specific HIPs are being prepared for Artarmon Substation, Northern Dive and Southern Dive /SMTF and will be completed prior to the commencement of construction of permanent above-ground works, and submitted to the Secretary for information.
E27	The Exhumation Management Plan must be provided to the Secretary for information before the commencement of excavation works.	Not Applicable – Unreasonable to anticipate that human remains would be encountered.	N/A	N/A	N/A	N/A	N/A
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before construction that generates vibration commences in the vicinity of those properties.	Applicable	N/A	N/A	N/A	Compliant	Systems Connect have not identified any properties at risk of exceeding the screening criteria for cosmetic damage. Detailed assessments (CNVIS) have been prepared by the LW Contractor's Noise and Vibration consultant. Any properties identified at risk will be managed in accordance with this CoA and the CNVMP.
E32	The revised Sydney Metro City and Southwest Construction Noise and Vibration Strategy (CNVS) must be submitted to the Secretary for approval at least one month before construction commences.	Partial – Implementation of strategy only.	Secretary	24 Apr 2017	14 Jul 2017	Compliant	The requirements for implementing the CNVIS have been incorporated into the LW CNVMP.

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments												
E33	Construction Noise and Vibration Impact Statements must be prepared for each construction site before construction noise and vibration impacts commence.	Applicable	N/A	N/A	N/A	Compliant	<p>CNVIS have been prepared and endorsed by the AA and ER for the following construction sites as per table below:</p> <table border="1"> <thead> <tr> <th>Site</th> <th>Submitted to AA/ER</th> <th>AA/ER Endorsement and Approval</th> </tr> </thead> <tbody> <tr> <td>Northern Connection</td> <td>30 Mar 2020</td> <td>3 Apr 2020 / 9 Apr 2020</td> </tr> <tr> <td>Artarmon Substation and BPS</td> <td>31 Mar 2020</td> <td>24 Mar 2020 / 24 Mar 2020</td> </tr> <tr> <td>Surry Hills BPS</td> <td>31 Mar 2020</td> <td>28 Mar 2020 / 29 Mar 2020</td> </tr> </tbody> </table>	Site	Submitted to AA/ER	AA/ER Endorsement and Approval	Northern Connection	30 Mar 2020	3 Apr 2020 / 9 Apr 2020	Artarmon Substation and BPS	31 Mar 2020	24 Mar 2020 / 24 Mar 2020	Surry Hills BPS	31 Mar 2020	28 Mar 2020 / 29 Mar 2020
Site	Submitted to AA/ER	AA/ER Endorsement and Approval																	
Northern Connection	30 Mar 2020	3 Apr 2020 / 9 Apr 2020																	
Artarmon Substation and BPS	31 Mar 2020	24 Mar 2020 / 24 Mar 2020																	
Surry Hills BPS	31 Mar 2020	28 Mar 2020 / 29 Mar 2020																	
E47	An Out of Hours (OOH) Work Protocol must be prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	Applicable	Secretary	30 Mar 2017	14 Jul 2017	Compliant	The OOH Work Protocol was endorsed by the AA and ER on 28 March 2017 and submitted to the Secretary on 30 March 2017. The Secretary approved the OOH Work Protocol v4 on 14 July 2017.												
E59	Before commencement of construction, all property owners of buildings identified as being at risk of damage must be offered a building condition survey. Copies of Building Condition Survey Reports must be provided to the owners of the buildings surveyed, and if agreed by the owner, the Relevant Council within three (3) weeks of completing the Survey Report and no later than one (1) month before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	<p>Due to the staged nature of the works this will be an ongoing process of working with the Noise and Vibration Consultant and TSE to confirm properties that are at risk and require building condition surveys before commencement of construction.</p> <p>The LW Contractor approach to addressing this CoA is to:</p> <ul style="list-style-type: none"> Identify properties provided with surveys by TSE. Conduct a risk assessment based on the LW Contractor land use survey (part of the CNVIS). Where agreed utilise the TSE existing survey as their preconstruction condition survey Offer survey as required where the TSE survey is not applicable or accepted. 												
E62	The Proponent must establish an Independent Property Impact Assessment Panel (IPIAP) before relevant works commencing and inform the Secretary.	Applicable	Secretary (FYI only)	21 Apr 2017	N/A	Compliant	An IPIAP was established and the Terms of Reference for the IPIAP was submitted to the Secretary on 21 April 2017.												
E64	The Proponent must prepare and implement a Business Management Plan before construction.	Applicable	N/A	N/A	N/A	Compliant	A Business Management Plan has been prepared for the works and is being implemented.												
E72	The Proponent must prepare a Sustainability Strategy to be submitted to the Secretary within six months of the date of this approval.	Partial – For Construction purposes only.	Secretary (FYI only)	7 Jul 2017	N/A	Compliant	The Sustainability Strategy was endorsed by the ER on 7 July 2017 and submitted to the Secretary on 7 July 2017.												
E77	The Proponent must establish a Traffic and Transport Liaison Group(s) (TTLG) to inform traffic and transport management measures during construction and operation of the CSSI.	Partial – For Construction purposes only.	N/A	N/A	N/A	Compliant	A TTLG has been established and their first meeting was held on 16 February 2017.												
E81	The Proponent must prepare and implement a Construction Traffic Management Framework in consultation with the Traffic and Transport Liaison Group(s) and submit to the Secretary for approval no later than one (1) month before the commencement of construction.	Applicable	Secretary	20 Sep 2017	21 Dec 2017	Compliant	The CTMF covering LW was endorsed by the ER on 18 September 2017 and submitted to the Secretary on 20 September 2017. The Secretary approved the CTMF on 21 December 2017.												

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments												
E82	Construction Traffic Management Plans must be prepared for each construction site in consultation with the Traffic and Transport Liaison Group(s) and submitted to the RMS for approval following Sydney Coordination Office (SCO) endorsement before construction commences at the relevant construction site.	Applicable	RMS	see table	see table	Compliant	<table border="1"> <thead> <tr> <th>CTMPs</th> <th>Submitted to SCO/RMS</th> <th>SCO/RMS Endorsement and Approval</th> </tr> </thead> <tbody> <tr> <td>Artarmon Substation</td> <td>6 Dec 2019</td> <td>28 Feb 2020</td> </tr> <tr> <td>Northern Connections</td> <td>15 Nov 2019</td> <td>28 Feb 2020</td> </tr> <tr> <td>Campsie Substation</td> <td>25 Oct 2019</td> <td>20 Jan 2020</td> </tr> </tbody> </table>	CTMPs	Submitted to SCO/RMS	SCO/RMS Endorsement and Approval	Artarmon Substation	6 Dec 2019	28 Feb 2020	Northern Connections	15 Nov 2019	28 Feb 2020	Campsie Substation	25 Oct 2019	20 Jan 2020
CTMPs	Submitted to SCO/RMS	SCO/RMS Endorsement and Approval																	
Artarmon Substation	6 Dec 2019	28 Feb 2020																	
Northern Connections	15 Nov 2019	28 Feb 2020																	
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E90	A Road Dilapidation Report must be prepared for local roads proposed to be used by heavy vehicles for the purposes of the CSSI before the commencement of use by such vehicles. Copies of the Road Dilapidation Report must be provided to the Relevant Council within three weeks of completing the surveys and no later than one month before the use of local roads by heavy vehicles.	Applicable	N/A	N/A	N/A	Compliant	<p>Road Dilapidation Reports have been prepared for local roads to be used by heavy vehicles as part of the works. These reports have been provided to City of Sydney Council 10 October 2018.</p> <p>The LW Contractor has prepared a site specific dilapidation report for the Northern Connection works. The report has been provided to Willoughby City Council on 2 April 2020.</p> <p>A dilapidation report to for the Artarmon Substation is currently being prepared. The report is expected to be submitted to Council in June 2020.</p> <p>Due to the staged nature of the works, additional dilapidation reports may be prepared and submitted as required.</p>												
E100	The Proponent must establish a Design Review Panel (DRP).	Applicable	N/A	N/A	N/A	Compliant	A DRP has been established and has reviewed designs across all stages of the project to date.												

Appendix A.11

Waterloo Integrated Station Development (John Holland Pty Ltd) Pre-Construction Compliance Status Report (10 September 2020)

Site	Commencement of Construction Activities
Waterloo Station Site	1 October 2020

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
A12	The Staging Report must be submitted to the Secretary for information no later than one month before commencement of construction of the first of the proposed stages of construction.	Applicable	Secretary (FYI only)	31 Mar 2017	N/A	Compliant	Sydney Metro Staging Report v1.0 was endorsed by the ER on 31 Mar 2017 and submitted to Secretary on 31 Mar 2017. The first of the proposed stages of construction was the Early and Enabling Works – Sydney Yard Access Bridge stage, which commenced construction on 17 Jun 2017.
A21	An analysis of alternative locations for construction of a services building to support Victoria Cross Station must be submitted to the Secretary. If the analysis identifies a better alternative, the recommendation for the better alternative must be submitted to the Secretary for approval before commencement of construction at the Victoria Cross North site.	Not Applicable	N/A	N/A	N/A	N/A	
A22	The details of the nominated Environmental Representative(s) must be submitted to the Secretary for approval no later than one month before the commencement of works.	Applicable	Secretary	24 Jan 2017	17 Feb 2017	Compliant	Letter sent to Secretary nominating HBI to act as ER on 24 Jan 2017. Secretary approved HBI as ER on 17 Feb 2017. The Secretary confirmed via email on 12 Apr 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A25	The details of the nominated Acoustics Advisor (AA) must be submitted to the Secretary for approval no later than one month before commencement of works.	Applicable	Secretary	28 Mar 2017	11 Apr 2017	Compliant	Letter sent to Secretary nominating Acoustic Studios to act as permanent AA on 28 Mar 2017. Secretary approved Acoustic Studios on 11 Apr 2017. The Secretary confirmed via email on 12 Apr 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A29	The Compliance Tracking Program must be endorsed by the Environmental Representative then submitted to the Secretary for information before the commencement of works.	Applicable	Secretary (FYI only)	28 Mar 2017	N/A	Compliant	The Compliance Tracking Program was endorsed by the ER on 13 Mar 2017 and submitted to the Secretary on 28 March 2017. The Secretary confirmed via email on 12 Apr 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A31	A Pre-Construction Compliance Report must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction.	Applicable	Secretary (FYI only)	31 Mar 2017	N/A	Compliant	This report was endorsed by the ER on 31 Mar 2017 and submitted to the Secretary on 31 Mar 2017. As agreed between the Secretary and Sydney Metro, an additional Appendix A will be prepared and appended to this report specifically for each stage of the project. This Appendix A addition was appended to the report and submitted to the Secretary in September 2020, prior to the commencement of construction on 1 October 2020.
A37	An Environmental Audit Program must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction.	Applicable	Secretary (FYI only)	28 Mar 2017	N/A	Compliant	The Environmental Audit Program was incorporated into the Compliance Tracking Program report in accordance with CoA A29, which was endorsed by the ER on 13 Mar 2017 and submitted to the Secretary on 28 March 2017.
B3 & B4	The Community Communication Strategy (CCS) must be submitted to the Secretary for approval no later than three months from the date of this approval or one month before commencement of any work, whichever is the latter. Work for the purposes of the CSSI must not commence until the CCS has been approved by the Secretary.	Applicable	Secretary	Overarching CCS submitted 28 Mar 2017.	Overarching CCS approved 9 Jun 2017.	Compliant	The Overarching CCS was endorsed by the ER on 16 Mar 2017 and submitted to the Secretary for approval on 28 Mar 2017. The Secretary approved the Overarching CCS on 9 Jun 2017. The Waterloo ISD CCS was approved by the Director Communications on 31 July 2020. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
B6	A Complaints Management System must be prepared before the commencement of any works in respect of the CSSI.	Applicable	N/A	N/A	N/A	Compliant	A Complaints Management System has been developed and is available in the ‘Document Library’ on the Sydney Metro website.
B9	Facilities must be available within one month from the date of this approval and for 12 months following the completion of construction.	Applicable	N/A	N/A	N/A	Compliant	Facilities were made available prior to the Chatswood to Sydenham approval date (9 Jan 2017) and remain available on the Sydney Metro website: http://www.sydneymetro.info/get-touch

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments																				
B10	The telephone number, postal address and email address required under CoA B9 of this approval must be published in a newspaper circulating in the local area and on site hoarding at each construction site before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	The contact details in accordance with CoA B9 were published in newspaper advertisements commencing on 6 Mar 2017 across the entire alignment and were established on site hoarding on 16 October 2018.																				
B11	The nominated Community Complaints Commissioner (CCC) must be submitted to the Secretary for approval within one month of the date of this approval.	Applicable	Secretary	28 Mar 2017	13 Apr 2017	Compliant	Christine Marsden of Quatro Group was nominated as CCC in a submission to the Secretary on 28 Mar 2017. The Secretary approved Christine Marsden as CCC on 13 Apr 2017.																				
B15	A website providing information in relation to the CSSI must be established before commencement of works.	Applicable	N/A	N/A	N/A	Compliant	Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham Waterloo ISD website established: https://www.wisd.com.au																				
C3, C5, C6 & C7	Construction Environmental Management Plan sub-plans must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the sub-plans. The sub-plans must be submitted to the Secretary no later than one month before commencement of construction. The sub-plans must be endorsed by the ER and then submitted to the Secretary for approval no later than one month before the commencement of construction.	Partial to the extent of C3 (a), (e) and (g) only.	Secretary	Various – refer to Evidence / Comments	Various – refer to Evidence / Comments	Compliant	<table border="1"> <thead> <tr> <th>Plan</th> <th>ER Endorsed</th> <th>Submitted to DPE</th> <th>DPE Approval</th> </tr> </thead> <tbody> <tr> <td>CEMP</td> <td>14 May 2020</td> <td>15 May 2020</td> <td>31 July 2020</td> </tr> <tr> <td>Noise and Vibration</td> <td>2 Jul (AA) / 24 Jul 2020 (ER)</td> <td>7 Jul 2020</td> <td>31 July 2020</td> </tr> <tr> <td>Heritage</td> <td>19 Jun 2020</td> <td>22 Jun 2020</td> <td>31 July 2020</td> </tr> <tr> <td>Groundwater</td> <td>19 Jun 2020</td> <td>22 Jun 2020</td> <td>31 July 2020</td> </tr> </tbody> </table>	Plan	ER Endorsed	Submitted to DPE	DPE Approval	CEMP	14 May 2020	15 May 2020	31 July 2020	Noise and Vibration	2 Jul (AA) / 24 Jul 2020 (ER)	7 Jul 2020	31 July 2020	Heritage	19 Jun 2020	22 Jun 2020	31 July 2020	Groundwater	19 Jun 2020	22 Jun 2020	31 July 2020
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Heritage	19 Jun 2020	22 Jun 2020	31 July 2020																								
Groundwater	19 Jun 2020	22 Jun 2020	31 July 2020																								
C9, C12 & C13	Construction Monitoring Programs must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the programs. The Construction Monitoring Programs must be endorsed by the ER and then submitted to the Secretary for approval at least one month before commencement of construction.	Partial to the extent of C9 (a) and (d) only.	Secretary	Various – refer to Evidence / Comments	Various – refer to Evidence / Comments	Compliant	A noise and vibration monitoring program has been included within the Construction Noise and Vibration Management Plan required and submitted under C3(a). Based on a review of the applicability of the activities to the Waterloo ISD, it has been determined that no direct impact on groundwater is likely to occur as there is no bulk excavation or direct interaction with the aquifers. Therefore, it is considered that the construction monitoring program requirements of Project Planning Approval Condition C9 - C10 and C12 – C17 will not be required.																				
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	Applicable	N/A	N/A	N/A	Compliant	Utilities, services and other infrastructure potentially affected by Waterloo ISD works have been identified before works affecting that item have commenced.																				
E6	The Proponent must commission an independent, experienced and suitably qualified arborist to prepare a comprehensive Tree Report before removing any trees as detailed in the EIS, as amended by the documents listed in CoA A1. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees.	Applicable	Secretary (FYI only)	NA	N/A	Compliant	Trees to be removed as part of the Waterloo ISD works will be included in a Tree Report before the removal, damage and/or pruning of any trees. Tree Report submitted to DPIE 09 September 2020																				
E13	The Proponent must prepare a Heritage Archival Recording Report, including photographic recording of the heritage items identified in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A																					
E14	The Proponent must, prior to demolition, undertake external photography of all buildings and structures to be demolished, in consultation with and to the standards of the relevant Council. The recordings must be made available to the relevant Council.	Not Applicable	N/A	N/A	N/A	N/A																					

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments									
E15	The Proponent must salvage items of heritage value from heritage listed buildings and structures to be demolished before demolition.	Partial – To the extent of assessing options for sympathetic reuse or for repository, reuse and display.	N/A	N/A	N/A	Compliant	No demolition of heritage listed buildings or structures required under the Waterloo ISD project.									
E16	The Proponent must prepare a Salvage Report, including photographic recording of the heritage items identified for salvage in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A										
E17	Final Archaeological Method Statements must be prepared in consultation with the Heritage Council of NSW (or its delegate) before commencement of archaeological excavation works.	Applicable	N/A	N/A	N/A	Compliant	<p>No Archaeological Method Statement (AMS) documents have been prepared for the Waterloo ISD project, as they are not currently required. If AMS documents need to be prepared, they will be prepared for both Aboriginal and non-Aboriginal aspects in consultation with NSW OEH Heritage Division.</p> <table border="1"> <thead> <tr> <th>Aspect</th> <th>OEH HD Consultation</th> <th>ER Endorsement</th> </tr> </thead> <tbody> <tr> <td>Aboriginal</td> <td>-</td> <td>-</td> </tr> <tr> <td>Non-Aboriginal</td> <td>-</td> <td>-</td> </tr> </tbody> </table>	Aspect	OEH HD Consultation	ER Endorsement	Aboriginal	-	-	Non-Aboriginal	-	-
Aspect	OEH HD Consultation	ER Endorsement														
Aboriginal	-	-														
Non-Aboriginal	-	-														
E18	Before excavation of archaeological management sites, the Proponent must nominate a suitably qualified Excavation Director.	Applicable	Secretary – FYI only	21 Dec 2018	N/A	Compliant	No Excavation Director has been nominated, however one will be engaged if excavation is required that may result in impacts to heritage. If required, the Excavation Director will be nominated within submissions of the Waterloo ISD AMS and CHMP documents to OEH Heritage Division. All nominated Excavation Directors will be compliant with appropriate criteria for the level of significance of the site.									
E19	An Unexpected Heritage Finds Procedure must be prepared.	Applicable	N/A	N/A	N/A	Compliant	As per the Waterloo ISD CHMP, the Sydney Metro Unexpected Heritage Finds Procedure will be implemented for the Waterloo ISD works.									
E21	The Heritage Interpretation Plan must be prepared in consultation with the Heritage Council of NSW (or its delegate), Relevant Councils and Registered Aboriginal Parties, and must be submitted to the Secretary before commencement of construction.	Applicable – Overarching SM HIP to be prepared and submitted to the Secretary prior to commencement of construction. Site-specific HIPs to be prepared prior to the commencement of construction of permanent above-ground works. Final site-specific HIPs to be submitted to the Secretary for information.	Secretary (FYI only)	Site Specific HIP being developed.	N/A	Compliant	The Waterloo ISD site-specific HIP is being prepared and will be completed prior to the commencement of construction of permanent above-ground works, and submitted to the Secretary for information.									
E27	The Exhumation Management Plan must be provided to the Secretary for information before the commencement of excavation works.	Applicable	Secretary (FYI only)	26 May 2017	N/A	Compliant	This plan was endorsed by the ER on 26 May 2017 and submitted to the Secretary on 26 May 2017.									

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments						
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before construction that generates vibration commences in the vicinity of those properties.	Applicable	N/A	N/A	N/A	Compliant	Properties at risk have been identified in the Construction Noise and Vibration Impact Statement. Owners will be notified prior to construction that generates vibration commences that may result in cosmetic damage.						
E32	The revised Sydney Metro City and Southwest Construction Noise and Vibration Strategy (CNVS) must be submitted to the Secretary for approval at least one month before construction commences.	Applicable	Secretary	24 Apr 2017	14 Jul 2017	Compliant	A review of the CNVS was undertaken and the CNVS Addendum was endorsed by both the AA and ER on 24 Apr 2017 and submitted to the Secretary on 24 Apr 2017. The Secretary approved the revised CNVS Addendum on 14 Jul 2017.						
E33	Construction Noise and Vibration Impact Statements must be prepared for each construction site before construction noise and vibration impacts commence.	Applicable	N/A	N/A	N/A	Compliant	The CNVIS for Waterloo ISD was prepared and endorsed by the AA on 4 August 2020.						
E47	An Out of Hours (OOH) Work Protocol must be prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	Applicable	Secretary	30 Mar 2017	14 Jul 2017	Compliant	The OOH Work Protocol was endorsed by the AA and ER on 28 Mar 2017 and submitted to the Secretary on 30 Mar 2017. The Secretary approved the OOH Work Protocol on 14 Jul 2017.						
E59	Before commencement of construction, all property owners of buildings identified as being at risk of damage must be offered a building condition survey. Copies of Building Condition Survey Reports must be provided to the owners of the buildings surveyed, and if agreed by the owner, the Relevant Council within three (3) weeks of completing the Survey Report and no later than one (1) month before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	Property owners of buildings identified as being at risk of damage from the works have been offered a building condition survey and where relevant, will be provided to City of Sydney Council. The survey's have been completed during August 2020 and provided to the property owners prior to main construction works.						
E62	The Proponent must establish an Independent Property Impact Assessment Panel (IPIAP) before relevant works commencing and inform the Secretary.	Applicable	Secretary (FYI only)	21 Apr 2017	N/A	Compliant	An IPIAP was established and the Terms of Reference for the IPIAP was submitted to the Secretary on 21 Apr 2017.						
E64	The Proponent must prepare and implement a Business Management Plan before construction.	Applicable	N/A	N/A	N/A	Compliant	The CCS includes the Business Management Plan which has been prepared for the works and is being implemented.						
E72	The Proponent must prepare a Sustainability Strategy to be submitted to the Secretary within six months of the date of this approval.	Applicable	Secretary (FYI only)	7 Jul 2017	N/A	Compliant	The Sustainability Strategy was endorsed by the ER on 7 Jul 2017 and submitted to the Secretary on 7 Jul 2017.						
E77	The Proponent must establish a Traffic and Transport Liaison Group(s) (TTLG) to inform traffic and transport management measures during construction and operation of the CSSI.	Applicable	N/A	N/A	N/A	Compliant	A TTLG has been established and their first meeting was held on 16 Feb 2017.						
E81	The Proponent must prepare and implement a Construction Traffic Management Framework in consultation with the Traffic and Transport Liaison Group(s) and submit to the Secretary for approval no later than one (1) month before the commencement of construction.	Applicable	Secretary	20 Sep 2017	21 Dec 2017	Compliant	The CTMF covering the Waterloo ISD stage was endorsed by the ER on 18 Sep 2017 and submitted to the Secretary on 20 Sep 2017. The Secretary approved the CTMF on 21 Dec 2017.						
E82	Construction Traffic Management Plans must be prepared for each construction site in consultation with the Traffic and Transport Liaison Group(s) and submitted to the RMS for approval following Sydney Coordination Office (SCO) endorsement before construction commences at the relevant construction site.	Applicable	RMS	21 December 2018	6 March 2019	Compliant	<table border="1"> <thead> <tr> <th>Plan</th> <th>Submitted to SCO/RMS</th> <th>SCO/RMS Endorsement and Approval</th> </tr> </thead> <tbody> <tr> <td>CTMP</td> <td>22 June 2020</td> <td>9 September 2020</td> </tr> </tbody> </table>	Plan	Submitted to SCO/RMS	SCO/RMS Endorsement and Approval	CTMP	22 June 2020	9 September 2020
Plan	Submitted to SCO/RMS	SCO/RMS Endorsement and Approval											
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CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E90	A Road Dilapidation Report must be prepared for local roads proposed to be used by heavy vehicles for the purposes of the CSSI before the commencement of use by such vehicles. Copies of the Road Dilapidation Report must be provided to the Relevant Council within three weeks of completing the surveys and no later than one month before the use of local roads by heavy vehicles.	Applicable	N/A	N/A	N/A	Compliant	Road Dilapidation Reports are being prepared for local roads to be used by heavy vehicles as part of the works. The completed reports have been provided to City of Sydney Council within three weeks of completing the survey and no later than one month before the use of local roads by heavy vehicles.
E100	The Proponent must establish a Design Review Panel (DRP).	Applicable	N/A	N/A	N/A	Compliant	A DRP has been established and has reviewed designs across all stages of the project to date.

Appendix A.12

Victoria Cross ISD (VCISD)

Lend Lease (LL)

Pre-Construction Compliance Status Report (4
November 2020)

Site	Commencement of Construction Activities
Victoria Cross Station	5 November 2020

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
A12	The Staging Report must be submitted to the Secretary for information no later than one month before commencement of construction of the first of the proposed stages of construction.	Applicable	Secretary (FYI only)	31 Mar 2017	N/A	Compliant	Sydney Metro Staging Report v1.0 was endorsed by the ER on 31 Mar 2017 and submitted to Secretary on 31 Mar 2017. The first of the proposed stages of construction was the Early and Enabling Works – Sydney Yard Access Bridge stage, which commenced construction on 17 Jun 2017.
A21	An analysis of alternative locations for construction of a services building to support Victoria Cross Station must be submitted to the Secretary. If the analysis identifies a better alternative, the recommendation for the better alternative must be submitted to the Secretary for approval before commencement of construction at the Victoria Cross North site.	Not Applicable	N/A	N/A	N/A	N/A	
A22	The details of the nominated Environmental Representative(s) must be submitted to the Secretary for approval no later than one month before the commencement of works.	Applicable	Secretary	24 Jan 2017	17 Feb 2017	Compliant	Letter sent to Secretary nominating HBI to act as ER on 24 January 2017. Secretary approved HBI as ER on 17 February 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A25	The details of the nominated Acoustics Advisor (AA) must be submitted to the Secretary for approval no later than one month before commencement of works.	Applicable	Secretary	28 Mar 2017	11 Apr 2017	Compliant	Letter sent to Secretary nominating Acoustic Studios to act as permanent AA on 28 March 2017. Secretary approved Acoustic Studios on 11 April 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A29	The Compliance Tracking Program must be endorsed by the Environmental Representative then submitted to the Secretary for information before the commencement of works.	Applicable	Secretary (FYI only)	28 Mar 2017	N/A	Compliant	The Compliance Tracking Program was endorsed by the ER on 13 March 2017 and submitted to the Secretary on 28 March 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A31	A Pre-Construction Compliance Report must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction.	Applicable	Secretary (FYI only)	31 Mar 2017	N/A	Compliant	This report was endorsed by the ER on 31 March 2017 and submitted to the Secretary on 31 March 2017. As agreed between the Secretary and Sydney Metro, an additional Appendix A will be prepared and appended to this report specifically for each stage of the project. This Appendix A addition was appended to the report and submitted to the Secretary on 4 November 2020, prior to the commencement of construction on 5 November 2020.
A37	An Environmental Audit Program must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction.	Applicable	Secretary (FYI only)	28 Mar 2017	N/A	Compliant	The Environmental Audit Program was incorporated into the Compliance Tracking Program report in accordance with CoA A29, which was endorsed by the ER on 13 March 2017 and submitted to the Secretary on 28 March 2017.
B3 & B4	The Community Communication Strategy (CCS) must be submitted to the Secretary for approval no later than three months from the date of this approval or one month before commencement of any work, whichever is the latter. Work for the purposes of the CSSI must not commence until the CCS has been approved by the Secretary.	Applicable	Secretary	Overarching CCS submitted 28 Mar 2017.	Overarching CCS approved 9 Jun 2017.	Compliant	The Overarching CCS was endorsed by the ER on 16 March 2017 and submitted to the Secretary for approval on 28 March 2017. The Secretary approved the Overarching CCS on 9 June 2017. The VCISD CCS was approved by the Director Communications on 20 August 2020. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
B6	A Complaints Management System must be prepared before the commencement of any works in respect of the CSSI.	Applicable	N/A	N/A	N/A	Compliant	A Complaints Management System has been developed and is available in the ‘Document Library’ on the Sydney Metro website.
B9	Facilities must be available within one month from the date of this approval and for 12 months following the completion of construction.	Applicable	N/A	N/A	N/A	Compliant	Facilities were made available prior to the Chatswood to Sydenham approval date (9 January 2017) and remain available on the Sydney Metro website: http://www.sydneymetro.info/get-touch

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments																				
B10	The telephone number, postal address and email address required under CoA B9 of this approval must be published in a newspaper circulating in the local area and on site hoarding at each construction site before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	The contact details in accordance with CoA B9 were published in newspaper advertisements commencing on 6 March 2017 across the entire alignment and were established on site hoarding on 16 October 2018. The VCISD Contractor has also established a VCISD specific website in January 2020.																				
B11	The nominated Community Complaints Mediator (CCM) must be submitted to the Secretary for approval within one month of the date of this approval.	Applicable	Secretary	28 Mar 2017	13 Apr 2017	Compliant	Christine Marsden of Quatro Group was nominated as CCM in a submission to the Secretary on 28 March 2017. The Secretary approved Christine Marsden as CCM on 13 April 2017.																				
B15	A website providing information in relation to the CSSI must be established before commencement of works.	Applicable	N/A	N/A	N/A	Compliant	Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham Vic X ISD website established: https://www.lendlease.com/victoriacross/																				
C3, C5, C6 & C7	Construction Environmental Management Plan sub-plans must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the sub-plans. The sub-plans must be submitted to the Secretary no later than one month before commencement of construction. The sub-plans must be endorsed by the ER and then submitted to the Secretary for approval no later than one month before the commencement of construction.	Partial to the extent of C3 (a), (e) and (g) only.	Secretary	Various – refer to Evidence / Comments	Various – refer to Evidence / Comments	Compliant	<table border="1"> <thead> <tr> <th>Plan</th> <th>ER / AA Endorsed</th> <th>Submitted to DPIE</th> <th>DPIE Approval</th> </tr> </thead> <tbody> <tr> <td>CEMP (EHS Management Plan)</td> <td>09/07/20</td> <td>10/07/2020</td> <td>11/08/20</td> </tr> <tr> <td>Groundwater</td> <td>29/05/20</td> <td>11/07/2020</td> <td>11/08/20</td> </tr> <tr> <td>Heritage</td> <td>22/02/20</td> <td>30/06/2020</td> <td>11/08/20</td> </tr> <tr> <td>Noise and Vibration</td> <td>09/07/20 (ER) 09/07/20 (AA)</td> <td>10/07/2020</td> <td>11/08/20</td> </tr> </tbody> </table>	Plan	ER / AA Endorsed	Submitted to DPIE	DPIE Approval	CEMP (EHS Management Plan)	09/07/20	10/07/2020	11/08/20	Groundwater	29/05/20	11/07/2020	11/08/20	Heritage	22/02/20	30/06/2020	11/08/20	Noise and Vibration	09/07/20 (ER) 09/07/20 (AA)	10/07/2020	11/08/20
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C9, C12 & C13	Construction Monitoring Programs must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the programs. The Construction Monitoring Programs must be endorsed by the ER and then submitted to the Secretary for approval at least one month before commencement of construction.	Partial to the extent of C9 (a) and (d) only.	Secretary	Various – refer to Evidence / Comments	Various – refer to Evidence / Comments	Compliant	<p>A noise and vibration monitoring program has been included within the Construction Noise and Vibration Management Plan required and submitted under C3(a).</p> <p>A groundwater monitoring program has been included within the Construction Groundwater Management Plan required and submitted under C3(e).</p>																				
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	Applicable	N/A	N/A	N/A	Compliant	Utilities, services and other infrastructure potentially affected by VCISD have been identified before works affecting that Condition have commenced.																				
E6	The Proponent must commission an independent, experienced and suitably qualified arborist to prepare a comprehensive Tree Report before removing any trees as detailed in the EIS, as amended by the documents listed in CoA A1. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees.	Applicable	Secretary (FYI only)	NA	N/A	Compliant	Trees to be removed as part of the VCISD works have been included in the Sydney Metro Tree Impact Assessment Report Revision 07. A separate Tree Report will be developed in accordance with condition E6 prior to removal of any trees as part of the VCISD works.																				
E13	The Proponent must prepare a Heritage Archival Recording Report, including photographic recording of the heritage items identified in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A																					
E14	The Proponent must, prior to demolition, undertake external photography of all buildings and structures to be demolished, in consultation with and to the standards of the relevant Council. The recordings must be made available to the relevant Council.	Not Applicable	N/A	N/A	N/A	N/A																					

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E15	The Proponent must salvage items of heritage value from heritage listed buildings and structures to be demolished before demolition.	Partial – To the extent of assessing options for sympathetic reuse or for repository, reuse and display.	N/A	N/A	N/A	Compliant	No demolition of heritage listed buildings or structures required under the VCISD project. Assessment of options for sympathetic reuse or for repository, reuse and display will be completed as part of the VCISD Heritage Interpretation Plan required by condition E21.
E16	The Proponent must prepare a Salvage Report, including photographic recording of the heritage items identified for salvage in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A	
E17	Final Archaeological Method Statements must be prepared in consultation with the Heritage Council of NSW (or its delegate) before commencement of archaeological excavation works.	Applicable	N/A	N/A	N/A	Compliant	There are no archaeological excavation works identified or planned as part of the VCISD works.
E18	Before excavation of archaeological management sites, the Proponent must nominate a suitably qualified Excavation Director.	Applicable	Secretary – FYI only	N/A	N/A	Compliant	There is no excavation of archaeological management sites identified or planned as part of the VCISD works.
E19	An Unexpected Heritage Finds Procedure must be prepared.	Applicable	N/A	N/A	N/A	Compliant	As per the VCISD Construction Heritage Management Plan, the Sydney Metro Unexpected Finds Protocol has been included to manage the risk of impact to previously unidentified heritage items at the VCISD site for the duration of construction.
E21	The Heritage Interpretation Plan must be prepared in consultation with the Heritage Council of NSW (or its delegate), Relevant Councils and Registered Aboriginal Parties, and must be submitted to the Secretary before commencement of construction.	Applicable – Overarching SM HIP to be prepared and submitted to the Secretary prior to commencement of construction. Site-specific HIPs to be prepared prior to the commencement of construction of permanent above-ground works. Final site-specific HIPs to be submitted to the Secretary for information.	Secretary (FYI only)	Site Specific HIP being developed.	N/A	Compliant	The VCISD site-specific HIP is being prepared and will be completed prior to the commencement of construction of permanent above-ground works, and submitted to the Secretary for information.
E27	The Exhumation Management Plan must be provided to the Secretary for information before the commencement of excavation works.	Applicable	Secretary (FYI only)	26 May 2017	N/A	Compliant	This plan was endorsed by the ER on 26 May 2017 and submitted to the Secretary on 26 May 2017.
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before construction that generates vibration commences in the vicinity of those properties.	Applicable	N/A	N/A	N/A	Compliant	No properties have been identified to be at risk of exceeding the screening criteria for cosmetic damage. Detailed assessments (CNVIS) have been prepared by the VCISD Contractor.
E32	The revised Sydney Metro City and Southwest Construction Noise and Vibration Strategy (CNVS) must be submitted to the Secretary for approval at least one month before construction commences.	Partial – Implementation of strategy only.	Secretary	24 Apr 2017	14 Jul 2017	Compliant	The requirements for implementing the CNVIS have been incorporated into the VCISD Construction Noise and Vibration Management Plan.

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments						
E33	Construction Noise and Vibration Impact Statements must be prepared for each construction site before construction noise and vibration impacts commence.	Applicable	N/A	N/A	N/A	Compliant	A Construction Noise and Vibration Impact Statement has been prepared for the VCISD works and endorsed by the AA and ER. The CNVIS has been included within the Construction Noise and Vibration Management Plan referenced in condition C3(a).						
E47	An Out of Hours (OOH) Work Protocol must be prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	Applicable	Secretary	30 Mar 2017	14 Jul 2017	Compliant	The OOH Work Protocol was endorsed by the AA and ER on 28 March 2017 and submitted to the Secretary on 30 March 2017. The Secretary approved the OOH Work Protocol v4 on 14 July 2017.						
E59	Before commencement of construction, all property owners of buildings identified as being at risk of damage must be offered a building condition survey. Copies of Building Condition Survey Reports must be provided to the owners of the buildings surveyed, and if agreed by the owner, the Relevant Council within three (3) weeks of completing the Survey Report and no later than one (1) month before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	No properties have been identified to be at risk of damage. Detailed assessments (CNVIS) have been prepared by the VCISD Contractor.						
E62	The Proponent must establish an Independent Property Impact Assessment Panel (IPIAP) before relevant works commencing and inform the Secretary.	Applicable	Secretary (FYI only)	21 Apr 2017	N/A	Compliant	An IPIAP was established and the Terms of Reference for the IPIAP was submitted to the Secretary on 21 April 2017.						
E64	The Proponent must prepare and implement a Business Management Plan before construction.	Applicable	N/A	N/A	N/A	Compliant	A VCISD Business Management Plan has been prepared for the works and will be implemented during the works.						
E72	The Proponent must prepare a Sustainability Strategy to be submitted to the Secretary within six months of the date of this approval.	Partial – For Construction purposes only.	Secretary (FYI only)	7 Jul 2017	N/A	Compliant	The Sydney Metro Sustainability Strategy was endorsed by the ER on 7 July 2017 and submitted to the Secretary on 7 July 2017.						
E77	The Proponent must establish a Traffic and Transport Liaison Group(s) (TTLG) to inform traffic and transport management measures during construction and operation of the CSSI.	Partial – For Construction purposes only.	N/A	N/A	N/A	Compliant	A TTLG has been established and their first meeting was held on 16 February 2017.						
E81	The Proponent must prepare and implement a Construction Traffic Management Framework in consultation with the Traffic and Transport Liaison Group(s) and submit to the Secretary for approval no later than one (1) month before the commencement of construction.	Applicable	Secretary	20 Sep 2017	21 Dec 2017	Compliant	The CTMF covering VCISD was endorsed by the ER on 18 September 2017 and submitted to the Secretary on 20 September 2017. The Secretary approved the CTMF on 21 December 2017.						
E82	Construction Traffic Management Plans must be prepared for each construction site in consultation with the Traffic and Transport Liaison Group(s) and submitted to the RMS for approval following Sydney Coordination Office (SCO) endorsement before construction commences at the relevant construction site.	Applicable	RMS	see table	see table	Compliant	<table border="1"> <thead> <tr> <th>CTMP</th> <th>Submitted to SCO/RMS</th> <th>SCO/RMS Endorsement and Approval</th> </tr> </thead> <tbody> <tr> <td>VCISD</td> <td>27/04/20</td> <td>15/06/20</td> </tr> </tbody> </table>	CTMP	Submitted to SCO/RMS	SCO/RMS Endorsement and Approval	VCISD	27/04/20	15/06/20
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VCISD	27/04/20	15/06/20											
E90	A Road Dilapidation Report must be prepared for local roads proposed to be used by heavy vehicles for the purposes of the CSSI before the commencement of use by such vehicles. Copies of the Road Dilapidation Report must be provided to the Relevant Council within three weeks of completing the surveys and no later than one month before the use of local roads by heavy vehicles.	Applicable	N/A	N/A	N/A	Compliant	A Road Dilapidation Report are being prepared for local roads to be used by heavy vehicles as part of the works. This report will be provided to North Sydney Council within three weeks of completing the surveys and no later than one month before the use of local roads by heavy vehicles.						

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E100	The Proponent must establish a Design Review Panel (DRP).	Applicable	N/A	N/A	N/A	Compliant	A DRP has been established and has reviewed designs across all stages of the project to date.

Appendix A.13

Pitt Street ISD (Pitt St ISD)

CPB Contractors (CPB)

Pre-Construction Compliance Status Report

6 January 2021

Site	Commencement of Construction Activities
Pitt Street Station	6 January 2021

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
A12	The Staging Report must be submitted to the Secretary for information no later than one month before commencement of construction of the first of the proposed stages of construction.	Applicable	Secretary (FYI only)	31 March 2017	N/A	Compliant	Sydney Metro Staging Report v1.0 was endorsed by the ER on 31 March 2017 and submitted to Secretary on 31 March 2017. The first of the proposed stages of construction was the Early and Enabling Works – Sydney Yard Access Bridge stage, which commenced construction on 17 June 2017. Refer to Sydney Metro City & Southwest – Chatswood to Sydenham staging report document revision 6 dated the 2 July 2019 for the latest revision.
A21	An analysis of alternative locations for construction of a services building to support Victoria Cross Station must be submitted to the Secretary. If the analysis identifies a better alternative, the recommendation for the better alternative must be submitted to the Secretary for approval before commencement of construction at the Victoria Cross North site.	Not Applicable	N/A	N/A	N/A	N/A	
A22	The details of the nominated Environmental Representative(s) must be submitted to the Secretary for approval no later than one month before the commencement of works.	Applicable	Secretary	24 January 2017	17 February 2017	Compliant	Letter sent to Secretary nominating HBI to act as ER on 24 January 2017. Secretary approved HBI as ER on 17 February 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A25	The details of the nominated Acoustics Advisor (AA) must be submitted to the Secretary for approval no later than one month before commencement of works.	Applicable	Secretary	28 March 2017	14 April 2017	Compliant	Letter sent to Secretary nominating Acoustic Studios to act as permanent AA on 28 March 2017. Secretary approved Acoustic Studios on 11 April 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A29	The Compliance Tracking Program must be endorsed by the Environmental Representative then submitted to the Secretary for information before the commencement of works.	Applicable	Secretary (FYI only)	28 March 2017	N/A	Compliant	The Compliance Tracking Program was endorsed by the ER on 13 March 2017 and submitted to the Secretary on 28 March 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A31	A Pre-Construction Compliance Report must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction or within another timeframe agreed with the Secretary.	Applicable	Secretary (FYI only)	31 March 2017	N/A	Compliant	This report was endorsed by the ER on 31 March 2017 and submitted to the Secretary on 31 March 2017. As agreed between the Secretary and Sydney Metro, an additional Appendix A will be prepared and appended to this report specifically for each stage of the project. This Appendix A addition was appended to the report and submitted to the Secretary on 18 December 2020, prior to the commencement of construction.
A37	An Environmental Audit Program for independent annual environmental auditing against the terms of this approval must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction or within another timeframe agreed with the Secretary.	Applicable	Secretary (FYI only)	28 March 2017	N/A	Compliant	The Environmental Audit Program was incorporated into the Compliance Tracking Program report in accordance with CoA A29, which was endorsed by the ER on 13 March 2017 and submitted to the Secretary on 28 March 2017.
B3 & B4	The Community Communication Strategy (CCS) must be submitted to the Secretary for approval no later than three months from the date of this approval or one month before commencement of any work, whichever is the latter. Work for the purposes of the CSSI must not commence until the CCS has been approved by the Secretary.	Applicable	Secretary	Overarching CCS submitted 28 March 2017.	Overarching CCS approved 9 June 2017.	Compliant	The Overarching CCS was endorsed by the ER on 16 March 2017 and submitted to the Secretary for approval on 28 March 2017. The Secretary approved the Overarching CCS on 9 June 2017. The PS ISD CCS was approved by the Director Communications on 25 August 2020.
B6	A Complaints Management System must be prepared before the commencement of any works in respect of the CSSI.	Applicable	N/A	N/A	N/A	Compliant	A Complaints Management System and associated register has been developed by Sydney Metro which is provided to DPIE by Sydney Metro.
B9	Facilities must be available within one month from the date of this approval and for 12 months following the completion of construction.	Applicable	N/A	N/A	N/A	Compliant	Facilities were made available prior to the Chatswood to Sydenham approval date (9 January 2017) and remain available on the Sydney Metro website: http://www.sydneymetro.info/get-touch .

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments																				
B10	The telephone number, postal address and email address required under CoA B9 of this approval must be published in a newspaper circulating in the local area and on site hoarding at each construction site before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	The contact details in accordance with CoA B9 were published in newspaper advertisements commencing on 6 March 2017 across the entire alignment and were established on site hoarding on 16 October 2018. The PSISD Contractor has also established a PSISD specific website in October 2020 at https://pittstreetsydneymetroisd.com.au/ .																				
B11	The nominated Community Complaints Mediator (CCM) must be submitted to the Secretary for approval within one month of the date of this approval.	Applicable	Secretary	28 Mar 2017	13 Apr 2017	Compliant	Christine Marsden of Quatro Group was nominated as CCM in a submission to the Secretary on 28 March 2017. The Secretary approved Christine Marsden as CCM on 13 April 2017.																				
B15	A website providing information in relation to the CSSI must be established before commencement of works.	Applicable	N/A	N/A	N/A	Compliant	Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham The PSISD Contractor has also established a PSISD specific website in October 2020 at https://pittstreetsydneymetroisd.com.au/																				
C3, C5, C6 & C7	Construction Environmental Management Plan sub-plans must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the sub-plans. The sub-plans must be submitted to the Secretary no later than one month before commencement of construction. The sub-plans must be endorsed by the ER and then submitted to the Secretary for approval no later than one month before the commencement of construction.	Partial to the extent of C3 (a), (e) and (g) only.	Secretary	Various – refer to Evidence / Comments	Various – refer to Evidence / Comments	Compliant	<table border="1"> <thead> <tr> <th>Plan</th> <th>ER/AA Endorsed</th> <th>Submitted to DPIE</th> <th>DPIE Approved</th> </tr> </thead> <tbody> <tr> <td>CEMP main body & Appendices</td> <td>8/10/2020</td> <td>14/10/2020 Resubmitted 20/11/2020</td> <td>24/12/2020</td> </tr> <tr> <td>Noise and vibration</td> <td>5/11/2020</td> <td>9/11/2020 Resubmitted 14/12/2020</td> <td>24/12/2020</td> </tr> <tr> <td>Soil and Water & Groundwater</td> <td>23/11/2020</td> <td>25/11/2020 Resubmitted 15/12/2020</td> <td>16/12/2020</td> </tr> <tr> <td>Heritage</td> <td>5/11/2020</td> <td>9/11/2020 Resubmitted 4/12/2020</td> <td>8/12/2020</td> </tr> </tbody> </table>	Plan	ER/AA Endorsed	Submitted to DPIE	DPIE Approved	CEMP main body & Appendices	8/10/2020	14/10/2020 Resubmitted 20/11/2020	24/12/2020	Noise and vibration	5/11/2020	9/11/2020 Resubmitted 14/12/2020	24/12/2020	Soil and Water & Groundwater	23/11/2020	25/11/2020 Resubmitted 15/12/2020	16/12/2020	Heritage	5/11/2020	9/11/2020 Resubmitted 4/12/2020	8/12/2020
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C9, C12 & C13	Construction Monitoring Programs must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the programs. The Construction Monitoring Programs must be endorsed by the ER and then submitted to the Secretary for approval at least one month before commencement of construction.	Partial to the extent of C9 (a) and (d) only.	Secretary	Various – refer to Evidence/ Comments	Various – refer to Evidence/ Comments	Compliant	<p>A noise and vibration monitoring program has been included within the Construction Noise and Vibration Management Plan consultation required under C3(a), and endorsed by the ER and subsequently approved by DPIE.</p> <p>A groundwater monitoring program has been included within the Construction Groundwater Management Plan consultation required under C3(e), and endorsed by the ER and subsequently approved by DPIE.</p>																				
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	Applicable	N/A	N/A	N/A	Compliant	Utilities, services and other infrastructure potentially affected by PSISD have been identified before works affecting that Condition have commenced.																				
E6	The Proponent must commission an independent, experienced and suitably qualified arborist to prepare a comprehensive Tree Report before removing any trees as detailed in the EIS, as amended by the documents listed in CoA A1. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees.	Applicable	Secretary (FYI only)	N/A	N/A	Compliant	Trees to be removed as part of the PSISD works have been included in the Pitt Street Metro Arboricultural Impact Assessment dated 2 December 2020.																				
E13	The Proponent must prepare a Heritage Archival Recording Report, including photographic recording of the heritage items identified in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A																					

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E14	The Proponent must, prior to demolition, undertake external photography of all buildings and structures to be demolished, in consultation with and to the standards of the relevant Council. The recordings must be made available to the relevant Council.	Not Applicable	N/A	N/A	N/A	N/A	
E15	The Proponent must salvage items of heritage value from heritage listed buildings and structures to be demolished before demolition.	Partial – To the extent of assessing options for sympathetic reuse or for repository, reuse and display.	N/A	N/A	N/A	Compliant	No demolition of heritage listed buildings or structures required under the PSISD project. Assessment of options for sympathetic reuse or for repository, reuse and display has been completed as part of the PSISD Heritage Interpretation Plan required by condition E21.
E16	The Proponent must prepare a Salvage Report, including photographic recording of the heritage items identified for salvage in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A	
E17	Final Archaeological Method Statements must be prepared in consultation with the Heritage Council of NSW (or its delegate) before commencement of archaeological excavation works.	Applicable	N/A	N/A	N/A	Compliant	There are no archaeological excavation works identified or planned as part of the PSISD works.
E18	Before excavation of archaeological management sites, the Proponent must nominate a suitably qualified Excavation Director.	Applicable	Secretary (FYI only)	N/A	N/A	Compliant	There is no excavation of archaeological management sites identified or planned as part of the PSISD works.
E19	An Unexpected Heritage Finds Procedure must be prepared.	Applicable	N/A	N/A	N/A	Compliant	As per the PSISD Construction Heritage Management Plan, the Sydney Metro Unexpected Finds Protocol has been included to manage the risk of impact to previously unidentified heritage items at the PSISD site for the duration of construction.
E21	The Heritage Interpretation Plan must be prepared in consultation with the Heritage Council of NSW (or its delegate), Relevant Councils and Registered Aboriginal Parties, and must be submitted to the Secretary before commencement of construction.	Applicable – Overarching SM HIP to be prepared and submitted to the Secretary prior to commencement of construction. Site-specific HIPs to be prepared prior to the commencement of construction of permanent above-ground works. Final site-specific HIPs to be submitted to the Secretary for information.	Secretary (FYI only)	N/A	N/A	Compliant	The PSISD site-specific HIP is being prepared and will be completed prior to the commencement of construction of permanent above-ground works, and submitted to the Secretary for information.
E27	The Exhumation Management Plan must be provided to the Secretary for information before the commencement of excavation works.	Applicable	Secretary (FYI only)	26 May 2017	N/A	Compliant	This Sydney Metro plan was endorsed by the ER on 26 May 2017 and submitted to the Secretary on 26 May 2017.

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before construction that generates vibration commences in the vicinity of those properties.	Applicable	N/A	N/A	N/A	Compliant	No properties have been identified to be at risk of exceeding the screening criteria for cosmetic damage. Detailed assessments in CNVMP have been prepared by the PSISD Contractor as a requirement of Condition C3.
E32	The revised Sydney Metro City and Southwest Construction Noise and Vibration Strategy (CNVS) must be submitted to the Secretary for approval at least one month before construction commences.	Partial – Implementation of strategy only	Secretary	24 April 2017	14 July 2017	Compliant	The requirements for implementing the CNVIS have been incorporated into the PSISD Construction Noise and Vibration Management Sub Plan.
E33	Construction Noise and Vibration Impact Statements must be prepared for each construction site before construction noise and vibration impacts commence.	Applicable	N/A	N/A	N/A	Compliant	A Construction Noise and Vibration Impact Statement has been prepared for the PSISD works and endorsed by the AA and ER.
E47	An Out of Hours (OOH) Work Protocol must be prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	Applicable	Secretary	30 March 2017	14 July 2017	Compliant	The OOH Work Protocol was endorsed by the AA and ER on 28 March 2017 and submitted to the Secretary on 30 March 2017. The Secretary approved the OOH Work Protocol v4 on 14 July 2017.
E59	Before commencement of construction, all property owners of buildings identified as being at risk of damage must be offered a building condition survey. Copies of Building Condition Survey Reports must be provided to the owners of the buildings surveyed, and if agreed by the owner, the Relevant Council within three (3) weeks of completing the Survey Report and no later than one (1) month before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	A total of 309 properties were identified of which 5 declined a property survey and 26 had insufficient access. Condition surveys have been undertaken by Structural Engineers and reports issued. No agreement was given by property owners to provide their reports to Council.
E62	The Proponent must establish an Independent Property Impact Assessment Panel (IPIAP) before relevant works commencing and inform the Secretary.	Applicable	Secretary (FYI only)	21 April 2017	N/A	Compliant	An IPIAP was established and the Terms of Reference for the IPIAP was submitted to the Secretary on 21 April 2017.
E64	The Proponent must prepare and implement a Business Management Plan before construction.	Applicable	N/A	N/A	N/A	Compliant	Refer Business Management Plan Rev 0 (CCS - SMCSWSPS-CPB-ALL-CL-PLN-000001) submitted to SM
E72	The Proponent must prepare a Sustainability Strategy to be submitted to the Secretary within six months of the date of this approval.	Partial – For Construction purposes only.	Secretary (FYI only)	7 Jul 2017	N/A	Compliant	The Sydney Metro Sustainability Strategy was endorsed by the ER on 7 July 2017 and submitted to the Secretary on 7 July 2017.
E77	The Proponent must establish a Traffic and Transport Liaison Group(s) (TTLG) to inform traffic and transport management measures during construction and operation of the CSSI.	Partial – For Construction purposes only.	N/A	N/A	N/A	Compliant	A TTLG has been established and their first meeting was held on 16 February 2017. CPB representatives have attended TTLG meetings (e.g. #42 24/06/2020) during CTMP development.
E81	The Proponent must prepare and implement a Construction Traffic Management Framework in consultation with the Traffic and Transport Liaison Group(s) and submit to the Secretary for approval no later than one (1) month before the commencement of construction.	Applicable	Secretary	20 September 2017	21 December 2017	Compliant	The CTMF was endorsed by the ER on 18 September 2017 and submitted to the Secretary on 20 September 2017. The Secretary approved the CTMF on 21 December 2017.
E82	Construction Traffic Management Plans must be prepared for each construction site in consultation with the Traffic and Transport Liaison Group(s) and submitted to the RMS for approval following Sydney Coordination Office (SCO) endorsement before construction commences at the relevant construction site.	Applicable	TfNSW	See Evidence/Comments	See Evidence/Comments	Compliant	The CTMP (SMCSWSPS-CPB-ALL-TF-PLN-000001) was submitted and reviewed by Sydney Coordination Office and RMS through the Sydney Metro TeamBinder review process and all comments have been addressed. The CTMP was issued to DPIE for information on 9 December 2020 with comments received back on 24 December 2020.

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E90	A Road Dilapidation Report must be prepared for local roads proposed to be used by heavy vehicles for the purposes of the CSSI before the commencement of use by such vehicles. Copies of the Road Dilapidation Report must be provided to the Relevant Council within three weeks of completing the surveys and no later than one month before the use of local roads by heavy vehicles.	Applicable	N/A	N/A	N/A	Compliant	Road Dilapidation Reports have been prepared for local roads to be used by heavy vehicles as part of the works. These reports have been provided to City of Sydney Council on 12 and 18 November 2020.
E100	The Proponent must establish a Design Review Panel (DRP).	Applicable	N/A	N/A	N/A	Compliant	A DRP has been established in accordance with E100 and has been involved in the design works required prior to construction.

Appendix A.14

Crows Nest ISD (Crows Nest ISD)

A W Edwards (AWE)

Pre-Construction Compliance Status Report

26 February 2021

Site	Commencement of Construction Activities
Crows Next Station	26 February 2021

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
A12	The Staging Report must be submitted to the Secretary for information no later than one month before commencement of construction of the first of the proposed stages of construction.	Applicable	Secretary (FYI only)	31 March 2017	N/A	Compliant	Sydney Metro Staging Report v1.0 was endorsed by the ER on 31 March 2017 and submitted to Secretary on 31 March 2017. The first of the proposed stages of construction was the Early and Enabling Works – Sydney Yard Access Bridge stage, which commenced construction on 17 June 2017. Refer to Sydney Metro City & Southwest – Chatswood to Sydenham staging report document revision 6 dated the 2 July 2019 for the latest revision.
A21	An analysis of alternative locations for construction of a services building to support Victoria Cross Station must be submitted to the Secretary. If the analysis identifies a better alternative, the recommendation for the better alternative must be submitted to the Secretary for approval before commencement of construction at the Victoria Cross North site.	Not Applicable	N/A	N/A	N/A	N/A	
A22	The details of the nominated Environmental Representative(s) must be submitted to the Secretary for approval no later than one month before the commencement of works.	Applicable	Secretary	24 January 2017	17 February 2017	Compliant	Letter sent to Secretary nominating HBI to act as ER on 24 January 2017. Secretary approved HBI as ER on 17 February 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A25	The details of the nominated Acoustics Advisor (AA) must be submitted to the Secretary for approval no later than one month before commencement of works.	Applicable	Secretary	28 March 2017	14 April 2017	Compliant	Letter sent to Secretary nominating Acoustic Studios to act as permanent AA on 28 March 2017. Secretary approved Acoustic Studios on 11 April 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A29	The Compliance Tracking Program must be endorsed by the Environmental Representative then submitted to the Secretary for information before the commencement of works.	Applicable	Secretary (FYI only)	28 March 2017	N/A	Compliant	The Compliance Tracking Program was endorsed by the ER on 13 March 2017 and submitted to the Secretary on 28 March 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A31	A Pre-Construction Compliance Report must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction or within another timeframe agreed with the Secretary.	Applicable	Secretary (FYI only)	31 March 2017	N/A	Compliant	This report was endorsed by the ER on 31 March 2017 and submitted to the Secretary on 31 March 2017. As agreed between the Secretary and Sydney Metro, an additional Appendix A will be prepared and appended to this report specifically for each stage of the project. This Appendix A addition was appended to the report and submitted to the Secretary on 26 February 2021, prior to the commencement of construction.
A37	An Environmental Audit Program for independent annual environmental auditing against the terms of this approval must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction or within another timeframe agreed with the Secretary.	Applicable	Secretary (FYI only)	28 March 2017	N/A	Compliant	The Environmental Audit Program was incorporated into the Compliance Tracking Program report in accordance with CoA A29, which was endorsed by the ER on 13 March 2017 and submitted to the Secretary on 28 March 2017.
B3 & B4	The Community Communication Strategy (CCS) must be submitted to the Secretary for approval no later than three months from the date of this approval or one month before commencement of any work, whichever is the latter. Work for the purposes of the CSSI must not commence until the CCS has been approved by the Secretary.	Applicable	Secretary	Overarching CCS submitted 28 March 2017.	Overarching CCS approved 9 June 2017.	Compliant	The Overarching CCS was endorsed by the ER on 16 March 2017 and submitted to the Secretary for approval on 28 March 2017. The Secretary approved the Overarching CCS on 9 June 2017. The CN ISD CCS was approved by the Director Communications on 19 February 2021
B6	A Complaints Management System must be prepared before the commencement of any works in respect of the CSSI.	Applicable	N/A	N/A	N/A	Compliant	A Complaints Management System and associated register has been developed by Sydney Metro which is provided to DPIE by Sydney Metro.
B9	Facilities must be available within one month from the date of this approval and for 12 months following the completion of construction.	Applicable	N/A	N/A	N/A	Compliant	Facilities were made available prior to the Chatswood to Sydenham approval date (9 January 2017) and remain available on the Sydney Metro website: http://www.sydneymetro.info/get-touch .

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments																				
B10	The telephone number, postal address and email address required under CoA B9 of this approval must be published in a newspaper circulating in the local area and on site hoarding at each construction site before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	The CN ISD Contractor has also established a CN ISD specific website in November 2020 at www.crowsnestmetrostation.com.au																				
B11	The nominated Community Complaints Mediator (CCM) must be submitted to the Secretary for approval within one month of the date of this approval.	Applicable	Secretary	28 Mar 2017	13 Apr 2017	Compliant	Christine Marsden of Quatro Group was nominated as CCM in a submission to the Secretary on 28 March 2017. The Secretary approved Christine Marsden as CCM on 13 April 2017.																				
B15	A website providing information in relation to the CSSI must be established before commencement of works.	Applicable	N/A	N/A	N/A	Compliant	Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham The CN ISD Contractor has also established a CN ISD specific website in November 2020 at www.crowsnestmetrostation.com.au																				
C3, C5, C6 & C7	Construction Environmental Management Plan sub-plans must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the sub-plans. The sub-plans must be submitted to the Secretary no later than one month before commencement of construction. The sub-plans must be endorsed by the ER and then submitted to the Secretary for approval no later than one month before the commencement of construction.	Partial to the extent of C3 (a), (e) and (g) only.	Secretary	Various – refer to Evidence / Comments	Various – refer to Evidence / Comments	Compliant	<table border="1"> <thead> <tr> <th>Plan</th> <th>ER/AA Endorsed</th> <th>Submitted to DPIE</th> <th>DPIE Approved</th> </tr> </thead> <tbody> <tr> <td>CEMP main body & Appendices</td> <td>18/01/2021</td> <td>18/01/2021 Resubmitted 16/02/2021</td> <td>24/02/2021</td> </tr> <tr> <td>Noise and vibration</td> <td>5/02/2021</td> <td>5/02/2021 Resubmitted 17/02/2021</td> <td>24/02/2021</td> </tr> <tr> <td>Construction Groundwater Management Plan</td> <td>18/01/2021</td> <td>18/01/2021 Resubmitted 12/02/2021</td> <td>24/02/2021</td> </tr> <tr> <td>Heritage</td> <td>22/01/2021</td> <td>22/01/2021 Resubmitted 11/02/2021</td> <td>24/02/2021</td> </tr> </tbody> </table>	Plan	ER/AA Endorsed	Submitted to DPIE	DPIE Approved	CEMP main body & Appendices	18/01/2021	18/01/2021 Resubmitted 16/02/2021	24/02/2021	Noise and vibration	5/02/2021	5/02/2021 Resubmitted 17/02/2021	24/02/2021	Construction Groundwater Management Plan	18/01/2021	18/01/2021 Resubmitted 12/02/2021	24/02/2021	Heritage	22/01/2021	22/01/2021 Resubmitted 11/02/2021	24/02/2021
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Heritage	22/01/2021	22/01/2021 Resubmitted 11/02/2021	24/02/2021																								
C9, C12 & C13	Construction Monitoring Programs must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the programs. The Construction Monitoring Programs must be endorsed by the ER and then submitted to the Secretary for approval at least one month before commencement of construction.	Partial to the extent of C9 (a) and (d) only.	Secretary	Various – refer to Evidence/ Comments	Various – refer to Evidence/ Comments	Compliant	<p>A noise and vibration monitoring program has been included within the Construction Noise and Vibration Management Plan consultation required under C3(a), and endorsed by the ER and subsequently approved by DPIE.</p> <p>A groundwater monitoring program has been included within the Construction Groundwater Management Plan consultation required under C3(e), and endorsed by the ER and subsequently approved by DPIE through the approval of the sub plans.</p>																				
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	Applicable	N/A	N/A	N/A	Compliant	Utilities, services and other infrastructure potentially affected by CN ISD have been identified before works affecting that Condition have commenced.																				
E6	The Proponent must commission an independent, experienced and suitably qualified arborist to prepare a comprehensive Tree Report before removing any trees as detailed in the EIS, as amended by the documents listed in CoA A1. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees.	Applicable	Secretary (FYI only)	N/A	N/A	Compliant	No trees are anticipated to be removed as part of the CN ISD. An independent, experienced and suitably qualified arborist will be engaged to prepare a comprehensive Tree Report should any trees require removal.																				
E13	The Proponent must prepare a Heritage Archival Recording Report, including photographic recording of the heritage items identified in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A																					

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E14	The Proponent must, prior to demolition, undertake external photography of all buildings and structures to be demolished, in consultation with and to the standards of the relevant Council. The recordings must be made available to the relevant Council.	Not Applicable	N/A	N/A	N/A	N/A	
E15	The Proponent must salvage items of heritage value from heritage listed buildings and structures to be demolished before demolition.	Partial – To the extent of assessing options for sympathetic reuse or for repository, reuse and display.	N/A	N/A	N/A	Compliant	No demolition of heritage listed buildings or structures required under the CN ISD project.
E16	The Proponent must prepare a Salvage Report, including photographic recording of the heritage items identified for salvage in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A	
E17	Final Archaeological Method Statements must be prepared in consultation with the Heritage Council of NSW (or its delegate) before commencement of archaeological excavation works.	Applicable	N/A	N/A	N/A	Compliant	There are no archaeological excavation works identified or planned as part of the CN ISD works.
E18	Before excavation of archaeological management sites, the Proponent must nominate a suitably qualified Excavation Director.	Applicable	Secretary (FYI only)	N/A	N/A	Compliant	There is no excavation of archaeological management sites identified or planned as part of the CN ISD works.
E19	An Unexpected Heritage Finds Procedure must be prepared.	Applicable	N/A	N/A	N/A	Compliant	As per the CN ISD Construction Heritage Management Plan, the Sydney Metro Unexpected Finds Protocol has been included to manage the risk of impact to previously unidentified heritage items at the CN ISD site for the duration of construction.
E21	The Heritage Interpretation Plan must be prepared in consultation with the Heritage Council of NSW (or its delegate), Relevant Councils and Registered Aboriginal Parties, and must be submitted to the Secretary before commencement of construction.	Applicable – Overarching SM HIP to be prepared and submitted to the Secretary prior to commencement of construction. Site-specific HIPs to be prepared prior to the commencement of construction of permanent above-ground works. Final site-specific HIPs to be submitted to the Secretary for information.	Secretary (FYI only)	N/A	N/A	Compliant	A Heritage Interpretation Plan was previously completed during the design phase of the project and will be implemented throughout the construction phase of the project.
E27	The Exhumation Management Plan must be provided to the Secretary for information before the commencement of excavation works.	Applicable	Secretary (FYI only)	26 May 2017	N/A	Compliant	This Sydney Metro plan was endorsed by the ER on 26 May 2017 and submitted to the Secretary on 26 May 2017.

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before construction that generates vibration commences in the vicinity of those properties.	Applicable	N/A	N/A	N/A	Compliant	No properties have been identified to be at risk of exceeding the screening criteria for cosmetic damage. Detailed assessments in CNVIS have been prepared by the CN ISD Contractor as a requirement of Condition C3.
E32	The revised Sydney Metro City and Southwest Construction Noise and Vibration Strategy (CNVS) must be submitted to the Secretary for approval at least one month before construction commences.	Partial – Implementation of strategy only	Secretary	24 April 2017	14 July 2017	Compliant	The requirements for implementing the CNVS have been incorporated into the CN ISD Construction Noise and Vibration Management Sub Plan.
E33	Construction Noise and Vibration Impact Statements must be prepared for each construction site before construction noise and vibration impacts commence.	Applicable	N/A	N/A	N/A	Compliant	A Construction Noise and Vibration Impact Statement has been prepared for the CN ISD.
E47	An Out of Hours (OOH) Work Protocol must be prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	Applicable	Secretary	30 March 2017	14 July 2017	Compliant	The OOH Work Protocol was endorsed by the AA and ER on 28 March 2017 and submitted to the Secretary on 30 March 2017. The Secretary approved the OOH Work Protocol v4 on 14 July 2017.
E59	Before commencement of construction, all property owners of buildings identified as being at risk of damage must be offered a building condition survey. Copies of Building Condition Survey Reports must be provided to the owners of the buildings surveyed, and if agreed by the owner, the Relevant Council within three (3) weeks of completing the Survey Report and no later than one (1) month before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	No properties were identified as being at risk of cosmetic or structural damage in the Construction Noise and Vibration Management Plan which was prepared by a subject matter expert.
E62	The Proponent must establish an Independent Property Impact Assessment Panel (IPIAP) before relevant works commencing and inform the Secretary.	Applicable	Secretary (FYI only)	21 April 2017	N/A	Compliant	An IPIAP was established and the Terms of Reference for the IPIAP was submitted to the Secretary on 21 April 2017.
E64	The Proponent must prepare and implement a Business Management Plan before construction.	Applicable	N/A	N/A	N/A	Compliant	Refer Business Management Plan Rev 00 (SMCSWSCN-AWE-SCN-CL-PLN-000035 Business Management Plan 00) submitted to SM
E72	The Proponent must prepare a Sustainability Strategy to be submitted to the Secretary within six months of the date of this approval.	Partial – For Construction purposes only.	Secretary (FYI only)	7 Jul 2017	N/A	Compliant	The Sydney Metro Sustainability Strategy was endorsed by the ER on 7 July 2017 and submitted to the Secretary on 7 July 2017.
E77	The Proponent must establish a Traffic and Transport Liaison Group(s) (TTLG) to inform traffic and transport management measures during construction and operation of the CSSI.	Partial – For Construction purposes only.	N/A	N/A	N/A	Compliant	A TTLG has been established and their first meeting was held on 16 February 2017. AWE representatives have attended TTLG meetings (e.g. #47 25/11/2020) during CTMP development.
E81	The Proponent must prepare and implement a Construction Traffic Management Framework in consultation with the Traffic and Transport Liaison Group(s) and submit to the Secretary for approval no later than one (1) month before the commencement of construction.	Applicable	Secretary	20 September 2017	21 December 2017	Compliant	The CTMF was endorsed by the ER on 18 September 2017 and submitted to the Secretary on 20 September 2017. The Secretary approved the CTMF on 21 December 2017.
E82	Construction Traffic Management Plans must be prepared for each construction site in consultation with the Traffic and Transport Liaison Group(s) and submitted to the RMS for approval following Sydney Coordination Office (SCO) endorsement before construction commences at the relevant construction site.	Applicable	TfNSW	See Evidence/Comments	See Evidence/Comments	Compliant	The CTMP (Construction Traffic Management Plan - SMCSWSCN-AWE-SCN-TF-PLN-000026) was submitted and reviewed by Sydney Coordination Office (SCO) and RMS (TFNSW) through the Sydney Metro TeamBinder review process. On 20 February 2021, the CTMP was approved by RMS per correspondence issued through TeamBinder (ref: SMCSWSCN-RMS-SCN-GEN-000019). A copy of the CTMP has been issued to DPIE for information on 26 February 2021.

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E90	A Road Dilapidation Report must be prepared for local roads proposed to be used by heavy vehicles for the purposes of the CSSI before the commencement of use by such vehicles. Copies of the Road Dilapidation Report must be provided to the Relevant Council within three weeks of completing the surveys and no later than one month before the use of local roads by heavy vehicles.	Applicable	N/A	N/A	N/A	Compliant	Road Dilapidation Reports have been prepared for local roads to be used by heavy vehicles as part of the works. These reports were provided to City of Sydney Council on the 19/02/2021 prior to construction works commencing.
E100	The Proponent must establish a Design Review Panel (DRP).	Applicable	N/A	N/A	N/A	Compliant	A DRP has been established in accordance with E100 and has been involved in the design works required prior to construction.

Appendix A.15

Barangaroo Station Development

Besix Watpac

Pre-Construction Compliance Status Report

15 September 2021

Site	Commencement of Construction Activities
Barangaroo Station	16 September 2021

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
A12	The Staging Report must be submitted to the Secretary for information no later than one month before commencement of construction of the first of the proposed stages of construction.	Applicable	Secretary (FYI only)	31 March 2017	N/A	Compliant	Sydney Metro Staging Report v1.0 was endorsed by the ER on 31 March 2017 and submitted to Secretary on 31 March 2017. The first of the proposed stages of construction was the Early and Enabling Works – Sydney Yard Access Bridge stage, which commenced construction on 17 June 2017. Refer to Sydney Metro City & Southwest – Chatswood to Sydenham staging report document revision 6 dated the 2 July 2019 for the latest revision.
A21	An analysis of alternative locations for construction of a services building to support Victoria Cross Station must be submitted to the Secretary. If the analysis identifies a better alternative, the recommendation for the better alternative must be submitted to the Secretary for approval before commencement of construction at the Victoria Cross North site.	Not Applicable	N/A	N/A	N/A	N/A	
A22	The details of the nominated Environmental Representative(s) must be submitted to the Secretary for approval no later than one month before the commencement of works.	Applicable	Secretary	24 January 2017	17 February 2017	Compliant	Letter sent to Secretary nominating HBI to act as ER on 24 January 2017. Secretary approved HBI as ER on 17 February 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A25	The details of the nominated Acoustics Advisor (AA) must be submitted to the Secretary for approval no later than one month before commencement of works.	Applicable	Secretary	28 March 2017	14 April 2017	Compliant	Letter sent to Secretary nominating Acoustic Studios to act as permanent AA on 28 March 2017. Secretary approved Acoustic Studios on 11 April 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A29	The Compliance Tracking Program must be endorsed by the Environmental Representative then submitted to the Secretary for information before the commencement of works.	Applicable	Secretary (FYI only)	28 March 2017	N/A	Compliant	The Compliance Tracking Program was endorsed by the ER on 13 March 2017 and submitted to the Secretary on 28 March 2017. The Secretary confirmed via email on 12 April 2017 that non-construction works “don’t qualify as ‘works for the purposes of the CSSI’”.
A31	A Pre-Construction Compliance Report must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction or within another timeframe agreed with the Secretary.	Applicable	Secretary (FYI only)	31 March 2017	N/A	Compliant	This report was endorsed by the ER on 31 March 2017 and submitted to the Secretary on 31 March 2017. As agreed between the Secretary and Sydney Metro, an additional Appendix A will be prepared and appended to this report specifically for each stage of the project. This Appendix A addition was appended to the report and submitted to the Secretary on 15 September 2021, prior to the commencement of construction.
A37	An Environmental Audit Program for independent annual environmental auditing against the terms of this approval must be prepared and submitted to the Secretary for information no later than one month before the commencement of construction or within another timeframe agreed with the Secretary.	Applicable	Secretary (FYI only)	28 March 2017	N/A	Compliant	The Environmental Audit Program was incorporated into the Compliance Tracking Program report in accordance with CoA A29, which was endorsed by the ER on 13 March 2017 and submitted to the Secretary on 28 March 2017.
B3 & B4	The Community Communication Strategy (CCS) must be submitted to the Secretary for approval no later than three months from the date of this approval or one month before commencement of any work, whichever is the latter. Work for the purposes of the CSSI must not commence until the CCS has been approved by the Secretary.	Applicable – In the form of the SM C&SW Overarching Community Communication Strategy.	Secretary	Overarching CCS submitted 28 March 2017.	Overarching CCS approved 9 June 2017.	Compliant	The Overarching CCS was endorsed by the ER on 16 March 2017 and submitted to the Secretary for approval on 28 March 2017. The Secretary approved the Overarching CCS on 9 June 2017. The Barangaroo Station CCS was approved by the Senior Manager Communications on 6 July 2021.
B6	A Complaints Management System must be prepared before the commencement of any works in respect of the CSSI.	Applicable	N/A	N/A	N/A	Compliant	A Complaints Management System and associated register has been developed by Sydney Metro which is provided to DPIE by Sydney Metro.
B9	Facilities must be available within one month from the date of this approval and for 12 months following the completion of construction.	Applicable	N/A	N/A	N/A	Compliant	Facilities were made available prior to the Chatswood to Sydenham approval date (9 January 2017) and remain available on the Sydney Metro website: http://www.sydneymetro.info/get-touch .

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments																
B10	The telephone number, postal address and email address required under CoA B9 of this approval must be published in a newspaper circulating in the local area and on site hoarding at each construction site before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	The contact details in accordance with CoA B9 were published in newspaper advertisements commencing on 6 March 2017 across the entire alignment and were established on site hoarding on 16 October 2018. The Barangaroo Station Contractor has also established a Barangaroo Station specific website in July 2021 at besixwatpac.com.au/projects/rail-stations/Barangaroo-Station																
B11	The nominated Community Complaints Mediator (CCM) must be submitted to the Secretary for approval within one month of the date of this approval.	Applicable	Secretary	28 Mar 2017	13 Apr 2017	Compliant	Christine Marsden of Quatro Group was nominated as CCM in a submission to the Secretary on 28 March 2017. The Secretary approved Christine Marsden as CCM on 13 April 2017.																
B15	A website providing information in relation to the CSSI must be established before commencement of works.	Applicable	N/A	N/A	N/A	Compliant	Sydney Metro website established: http://www.sydneymetro.info/citysouthwest/chatswood-sydenham The Barangaroo Station Contractor has also established a Barangaroo Station specific website in July 2021 at besixwatpac.com.au/projects/rail-stations/Barangaroo-Station																
C3, C5, C6 & C7	Construction Environmental Management Plan sub-plans must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the sub-plans. The sub-plans must be submitted to the Secretary no later than one month before commencement of construction. The sub-plans must be endorsed by the ER and then submitted to the Secretary for approval no later than one month before the commencement of construction.	Partial to the extent of C3 (a) and (g) only.	Secretary	Various – refer to Evidence / Comments	Various – refer to Evidence / Comments	Compliant	<table border="1"> <thead> <tr> <th>Plan</th> <th>ER/AA Endorsed</th> <th>Submitted to DPIE</th> <th>DPIE Approved</th> </tr> </thead> <tbody> <tr> <td>CEMP main body & Appendices</td> <td>23/07/2021</td> <td>23/07/2021 Resubmitted 13/08/2021</td> <td>8/09/2021</td> </tr> <tr> <td>Noise and vibration</td> <td>23/07/2021</td> <td>23/07/2021 Resubmitted 17/08/2021</td> <td>8/09/2021</td> </tr> <tr> <td>Heritage</td> <td>23/07/2021</td> <td>23/07/2021 Resubmitted 23/08/2021, 27/08/2021</td> <td>8/09/2021</td> </tr> </tbody> </table>	Plan	ER/AA Endorsed	Submitted to DPIE	DPIE Approved	CEMP main body & Appendices	23/07/2021	23/07/2021 Resubmitted 13/08/2021	8/09/2021	Noise and vibration	23/07/2021	23/07/2021 Resubmitted 17/08/2021	8/09/2021	Heritage	23/07/2021	23/07/2021 Resubmitted 23/08/2021, 27/08/2021	8/09/2021
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C9, C12 & C13	Construction Monitoring Programs must be prepared in consultation with the relevant government agencies and details on consultation submitted to the Secretary with the programs. The Construction Monitoring Programs must be endorsed by the ER and then submitted to the Secretary for approval at least one month before commencement of construction.	Partial to the extent of C9 (a) only.	Secretary	Various – refer to Evidence/ Comments	Various – refer to Evidence/ Comments	Compliant	A noise and vibration monitoring program has been included within the Construction Noise and Vibration Management Plan consultation required under C3(a), and endorsed by the ER and subsequently approved by DPIE through the approval of the CEMP sub plans.																
E2	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item.	Applicable	N/A	N/A	N/A	Compliant	Utilities, services and other infrastructure potentially affected by Barangaroo Station have been identified before works affecting that Condition have commenced.																
E6	The Proponent must commission an independent, experienced and suitably qualified arborist to prepare a comprehensive Tree Report before removing any trees as detailed in the EIS, as amended by the documents listed in CoA A1. A copy of the Tree Report must be submitted to the Secretary before the removal, damage and/or pruning of any trees.	Applicable	Secretary (FYI only)	N/A	N/A	Compliant	No trees are anticipated to be removed as part of the Barangaroo Station. An independent, experienced and suitably qualified arborist will be engaged to prepare a comprehensive Tree Report should any trees require removal.																
E13	The Proponent must prepare a Heritage Archival Recording Report, including photographic recording of the heritage items identified in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A																	

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E14	The Proponent must, prior to demolition, undertake external photography of all buildings and structures to be demolished, in consultation with and to the standards of the relevant Council. The recordings must be made available to the relevant Council.	Not Applicable	N/A	N/A	N/A	N/A	
E15	The Proponent must salvage items of heritage value from heritage listed buildings and structures to be demolished before demolition.	Partial – To the extent of assessing options for sympathetic reuse or for repository, reuse and display.	N/A	N/A	N/A	Compliant	No demolition of heritage listed buildings or structures required under the Barangaroo Station construction project.
E16	The Proponent must prepare a Salvage Report, including photographic recording of the heritage items identified for salvage in documents referred to in Condition A1.	Not Applicable	N/A	N/A	N/A	N/A	
E17	Final Archaeological Method Statements must be prepared in consultation with the Heritage Council of NSW (or its delegate) before commencement of archaeological excavation works.	Applicable	N/A	N/A	N/A	Compliant	The Historical Archaeological Method Statement and Aboriginal Archaeological Method Statement have been prepared for this stage of works in accordance with the archaeological management strategies set out in the AARD and ACHA. Appendix A of the Heritage Management Plan (HMP) includes an historical Archaeological Method Statement for the works at Barangaroo Station and is summarised in Section 6 of the Plan. Appendix A of the HMP also includes an Aboriginal Archaeological Method Statement and this is summarised in Section 8.1.2 of the Plan. The HMP inclusive of appendices was sent to the Heritage Council for review and comment, with advice received that there is no objection to the use of the submitted HMP document to manage the works at this site.
E18	Before excavation of archaeological management sites, the Proponent must nominate a suitably qualified Excavation Director.	Applicable	Secretary (FYI only)	N/A	N/A	Compliant	HMP Section 5 nominates Mike Hincks as the Primary Excavation Director and Lian Ramage as the Secondary Excavation Director for historical archaeological investigations. They will be supported by an experienced team of archaeologists including a maritime archaeologist, if required. How each nominated director complies with the Heritage Council's criteria is outlined in Appendix B of the HMP. Section 8 of the HMP nominates Chris Langeluddecke as the Aboriginal Heritage Excavation Director. The Excavation Directors would oversee the archaeological investigations on site and be responsible for releasing hold points in relation to these. Clearance certificates would be issued to allow works to commence or resume.
E19	An Unexpected Heritage Finds Procedure must be prepared.	Applicable	N/A	N/A	N/A	Compliant	As per the Barangaroo Station Construction Heritage Management Plan, the Sydney Metro Unexpected Finds Protocol has been included to manage the risk of impact to previously unidentified heritage items at the Barangaroo Station site for the duration of construction.

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E21	The Heritage Interpretation Plan must be prepared in consultation with the Heritage Council of NSW (or its delegate), Relevant Councils and Registered Aboriginal Parties, and must be submitted to the Secretary before commencement of construction.	Applicable – Overarching SM HIP to be prepared and submitted to the Secretary prior to commencement of construction. Site-specific HIPs to be prepared prior to the commencement of construction of permanent above-ground works. Final site-specific HIPs to be submitted to the Secretary for information.	Secretary (FYI only)	N/A	N/A	Compliant	Chatswood to Sydenham Heritage Interpretation Plan was submitted to the Secretary on 11th May 2017 (including details of consultation). The site specific Heritage Interpretation Plan for Barangaroo Station was previously completed during the design phase of the project and the final version will be submitted to the Planning Secretary prior to commencement of permanent above-ground works and will be implemented throughout the construction phase of the project.
E27	The Exhumation Management Plan must be provided to the Secretary for information before the commencement of excavation works.	Applicable	Secretary (FYI only)	26 May 2017	N/A	Compliant	This Sydney Metro plan was endorsed by the ER on 26 May 2017 and submitted to the Secretary on 26 May 2017.
E29	Owners of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before construction that generates vibration commences in the vicinity of those properties.	Applicable	N/A	N/A	N/A	Compliant	Properties at risk of exceeding the screening criteria have been identified in the Construction Noise and Vibration Impact Statement. Owners will receive notification 7 days prior to construction that generates vibration that may result in cosmetic damage commences. This will continue on an ongoing basis including seven days prior to new vibration generating construction activities commencing.
E32	The revised Sydney Metro City and Southwest Construction Noise and Vibration Strategy (CNVS) must be submitted to the Secretary for approval at least one month before construction commences.	Partial – Implementation of strategy only	Secretary	24 April 2017	14 July 2017	Compliant	The requirements for implementing the CNVS have been incorporated into the Barangaroo Station Construction Noise and Vibration Management Sub Plan.
E33	Construction Noise and Vibration Impact Statements must be prepared for each construction site before construction noise and vibration impacts commence.	Applicable	N/A	N/A	N/A	Compliant	Construction Noise and Vibration Impact Statement have been prepared for the Barangaroo Station Civil works and Station works.
E47	An Out of Hours (OOH) Work Protocol must be prepared in consultation with the EPA and submitted to the Secretary for approval before construction commences for works not subject to an EPL.	Partial – implementation of the Protocol only.	Secretary	30 March 2017	14 July 2017	Compliant	The OOH Work Protocol was endorsed by the AA and ER on 28 March 2017 and submitted to the Secretary on 30 March 2017. The Secretary approved the OOH Work Protocol v4 on 14 July 2017.
E59	Before commencement of construction, all property owners of buildings identified as being at risk of damage must be offered a building condition survey. Copies of Building Condition Survey Reports must be provided to the owners of the buildings surveyed, and if agreed by the owner, the Relevant Council within three (3) weeks of completing the Survey Report and no later than one (1) month before the commencement of construction.	Applicable	N/A	N/A	N/A	Compliant	Property owners of buildings identified as being at risk of cosmetic or structural damage from the works have been offered a building condition survey. No response from owners received to date. Where applicable, reports will be provided to the property owners and if agreed by the owner will be provided to City of Sydney Council.
E62	The Proponent must establish an Independent Property Impact Assessment Panel (IPIAP) before relevant works commencing and inform the Secretary.	Applicable	Secretary (FYI only)	21 April 2017	N/A	Compliant	An IPIAP was established and the Terms of Reference for the IPIAP was submitted to the Secretary on 21 April 2017.
E64	The Proponent must prepare and implement a Business Management Plan before construction.	Applicable	N/A	N/A	N/A	Compliant	Refer Business Management Plan Rev C (SMCSWSBR-BWC-SBR-CT-PLN-000009 C) submitted to SM: https://besixwatpac.com.au/uploads/Barangaroo-Station-COP-Business-Management-Plan.pdf

CoA	Pre-Construction Requirement (Summarised)	Stage Applicability	Approval Authority	Submission Date	Approval Date	Compliance Status	Evidence / Comments
E72	The Proponent must prepare a Sustainability Strategy to be submitted to the Secretary within six months of the date of this approval.	Partial – For Construction purposes only.	Secretary (FYI only)	7 Jul 2017	N/A	Compliant	The Sydney Metro Sustainability Strategy was endorsed by the ER on 7 July 2017 and submitted to the Secretary on 7 July 2017.
E77	The Proponent must establish a Traffic and Transport Liaison Group(s) (TTLG) to inform traffic and transport management measures during construction and operation of the CSSI.	Partial – For Construction purposes only.	N/A	N/A	N/A	Compliant	A TTLG has been established and their first meeting was held on 16 February 2017. Besix Watpac representatives have attended TTLG meetings (23 June, 26 May, 24 March and 28 April 2021) during CTMP development.
E81	The Proponent must prepare and implement a Construction Traffic Management Framework in consultation with the Traffic and Transport Liaison Group(s) and submit to the Secretary for approval no later than one (1) month before the commencement of construction.	Partial – implementation of the Framework only.	Secretary	20 September 2017	21 December 2017	Compliant	The CTMF was endorsed by the ER on 18 September 2017 and submitted to the Secretary on 20 September 2017. The Secretary approved the CTMF on 21 December 2017.
E82	Construction Traffic Management Plans must be prepared for each construction site in consultation with the Traffic and Transport Liaison Group(s) and submitted to the RMS for approval following Sydney Coordination Office (SCO) endorsement before construction commences at the relevant construction site.	Applicable	TfNSW	24 August 2021	See Evidence/Comments	Compliant	The CTMP (Construction Traffic Management Plan (SMCSWSBR-BWC-SBR-TF-PLN-000007) was submitted and reviewed by Customer Journey Planning (CJP) and RMS (TFNSW) through the Sydney Metro TeamBinder review process. On 6 August 2021, the CTMP was approved by Sydney Coordination Office (now CJP) per correspondence issued through TeamBinder (ref: SMCSWL&E-SCO-SBR-GEN-000001). The CTMP was issued to the Planning Secretary for information on 24 August 2021. DPIE responded 3 September 2021, noting that the CTMP: <ul style="list-style-type: none"> • has been undertaken in consultation with the Traffic and Transport Liaison Group, • has been approved by Transport for NSW, • contains the information required by the condition of approval.
E90	A Road Dilapidation Report must be prepared for local roads proposed to be used by heavy vehicles for the purposes of the CSSI before the commencement of use by such vehicles. Copies of the Road Dilapidation Report must be provided to the Relevant Council within three weeks of completing the surveys and no later than one month before the use of local roads by heavy vehicles.	Partial – completion of surveys one (1) month before use of local roads by heavy vehicles for the purposes of the CSSI met by TSE. Follow on contractors to complete dilapidation reports prior to works and submit to council within required timeframe	N/A	N/A	N/A	Compliant	Road Dilapidation Reports are being prepared for local roads to be used by heavy vehicles as part of the works. These reports will be provided to City of Sydney Council within three weeks of completing the surveys.
E100	The Proponent must establish a Design Review Panel (DRP).	Sydney Metro to establish DRP	N/A	N/A	N/A	Compliant	A DRP has been established in accordance with E100 and has been involved in the design works required prior to construction.

Appendix B – Environmental Representative Endorsements

Mr Stuart Hodgson
Principal Manager,
Program Sustainability Environment & Planning
Sydney Metro
Transport for NSW
PO Box 588
NORTH RYDE BC NSW 1670

11 July 2017

Ref: 170108_PCCR App

Dear Stuart

RE: Endorsement of Sydney Metro Pre Construction Compliance Report – update to Appendix A

Thank you for providing the following document for Environmental Representative (ER) review and endorsement as required by the Condition of Approval A24 (d) of the Sydney Metro City & Southwest project (SSI – 15_7400 January 9 2017).

- Sydney Metro City & Southwest Pre Construction Compliance Report, Updated Appendix A including:
 - Pre-Construction Compliance Report - Appendix A - Demolition (Delta)
 - Pre-Construction Compliance Report - Appendix A - SYAB (LOR)

As an approved ER for the Sydney Metro City & Southwest project, I have reviewed and provided comment on these updated documents and now endorse these appropriate for submission to the Secretary for information.

Yours sincerely



Jo Robertson
Environmental Representative – Sydney Metro – City and South West

Mr Stuart Hodgson
Principal Manager,
Program Sustainability Environment & Planning
Sydney Metro
Transport for NSW
PO Box 588
NORTH RYDE BC NSW 1670

13 July 2017

Ref: 170108_PCCR App Met

Dear Stuart

RE: Endorsement of Sydney Metro Pre Construction Compliance Report – update to Appendix A - Metropolitan

Thank you for providing the following document for Environmental Representative (ER) review and endorsement as required by the Condition of Approval A24 (d) of the Sydney Metro City & Southwest project (SSI – 15_7400 January 9 2017).

- Sydney Metro City & Southwest Pre Construction Compliance Report, Updated Appendix A – Metropolitan

As an approved ER for the Sydney Metro City & Southwest project, I have reviewed and provided comment on these updated documents and now endorse these appropriate for submission to the Secretary for information.

Yours sincerely



Jo Robertson
Environmental Representative – Sydney Metro – City and South West

Mr Stuart Hodgson
Director
Program Sustainability Environment & Planning
Sydney Metro
Transport for NSW
PO Box K659
HAYMARKET NSW 1240

22 December 2017

Ref: TSE PCCR

Dear Stuart


RE: Endorsement of Pre Construction Compliance Report - Sydney Metro City & Southwest – Update to Appendix A to cover TSE Works

Reference is made to the following document provided for Environmental Representative (ER) review and endorsement as required of Conditions of Approval A24(d) of the Sydney Metro City & Southwest project Infrastructure Approval (SSI – 15_7400 January 9 2017).

- Sydney Metro City & Southwest Pre Construction Compliance Report, update to Appendix A comprising:
 - Appendix A.4 Tunnel and Station Excavation (TSE) (John Holland, CPB Contractors and Ghella Joint Venture – JHCPBGJV) Pre-Construction Compliance Status Report (22 December 2017)

As an approved ER for the Sydney Metro City & Southwest project, I have reviewed the above document. In accordance with Condition A24 (d), the referenced document is endorsed as appropriate for submission to DPE for information.

Yours sincerely



Michael Woolley
Environmental Representative – Sydney Metro – City and South West

Mr Stuart Hodgson
Director
Program Sustainability Environment & Planning
Sydney Metro
Transport for NSW
PO Box K659
HAYMARKET NSW 1240

18 May 2018

Ref: NCW PCCR

Dear Stuart

RE: Endorsement of Pre Construction Compliance Report - Sydney Metro City & Southwest – Update to Appendix A to cover Northern Corridor Works

Reference is made to the following document provided for Environmental Representative (ER) review and endorsement as required of Conditions of Approval A24(d) of the Sydney Metro City & Southwest project Infrastructure Approval (SSI – 15_7400 January 9 2017).

- Sydney Metro City & Southwest Pre Construction Compliance Report, update to Appendix A comprising:
 - Pre-Construction Compliance Report – Appendix A – Northern Corridor Works (Laing O’Rourke)

As an approved ER for the Sydney Metro City & Southwest project, I have reviewed the above document. In accordance with Condition A24 (d), the referenced document is endorsed as appropriate for submission to DPE for information.

Yours sincerely



Erran Woodward
Environmental Representative – Sydney Metro – City and South West

Stuart Hodgson
Director
Program Sustainability Environment & Planning
Sydney Metro
Transport for NSW
PO Box K659
HAYMARKET NSW 1240

3 August 2018

Ref: CSMW PCCR

Dear Stuart

RE: Endorsement of Sydney Metro City and Southwest, Central Station Main Works Project – Pre-Construction Compliance Report

Thank you for providing the following documents for Environmental Representative (ER) review and endorsement as required by the Condition of Approval A24 (d) of the Sydney Metro City & Southwest project (SSI – 15_7400 January 9 2017).

- Sydney Metro City and Southwest, Central Station Main Works Project - Pre-Construction Compliance Report (3 August 2018)

As an approved ER for the Sydney Metro City & Southwest project, I have reviewed and provided comments on this document and now consider it appropriate for submission to NSW Department of Planning and Environment.

Yours sincerely



Annabelle Reyes
Environmental Representative – Sydney Metro – City and South West

Stuart Hodgson
Director
Program Sustainability Environment & Planning
Sydney Metro
Transport for NSW
PO Box K659
HAYMARKET NSW 1240

28 August 2018

Ref: SSJ_PCCR

Dear Stuart

RE: Endorsement of Sydney Metro City and Southwest, Sydenham Station and Sydenham Pit and Pumping Station (SSJ) – Pre-Construction Compliance Report

Thank you for providing the following documents for Environmental Representative (ER) review and endorsement as required by the Condition of Approval A24 (d) of the Sydney Metro City & Southwest project (SSI – 15_7400 January 9 2017).

- Sydney Metro City and Southwest, Sydenham Station and Sydenham Pit and Pumping Station works (SSJ) Pre-Construction Compliance Report (28 August 2018)

As an approved ER for the Sydney Metro City & Southwest project, I have reviewed and provided comments on this document and now consider it appropriate for submission to NSW Department of Planning and Environment.

Yours sincerely



Annabelle Reyes
Environmental Representative – Sydney Metro – City and South West

Mr Stuart Hodgson
Director
Program Sustainability Environment & Planning
Sydney Metro
Transport for NSW
PO Box K659
HAYMARKET NSW 1240

16 November 2018

Ref: NCWP7A7B PCCR

Dear Stuart

RE: Endorsement of Pre Construction Compliance Report - Sydney Metro City & Southwest – Update of the Northern Corridor Works Appendix to address Portion 7b requirements

Reference is made to the following document provided for Environmental Representative (ER) review and endorsement as required of Conditions of Approval A24(d) of the Sydney Metro City & Southwest project Infrastructure Approval (SSI – 15_7400 January 9 2017).

- Sydney Metro City & Southwest Pre Construction Compliance Report Revision 03, 16 November 2018- Update of the Northern Corridor Works Appendix to address Portion 7b requirements.
- Pre-Construction Compliance Report – Appendix A.5 – Northern Corridor Works Portion 7A & 7B (Laing O'Rourke), 16 November 2018

As an approved ER for the Sydney Metro City & Southwest project, I have reviewed and provided comments on the above documents and now consider them appropriate for submission to NSW Department of Planning and Environment.

Yours sincerely



Annabelle Reyes
Environmental Representative – Sydney Metro – City and South West

Stuart Hodgson

21 December 2018

Director
Program Sustainability Environment & Planning
Sydney Metro
Transport for NSW
PO Box K659
HAYMARKET NSW 1240

REF: MPUSP DEMO_PCCR

Dear Stuart

RE: Sydney Metro City & Southwest Chatswood to Sydenham Pre-Construction Compliance Report – Martin Place Demolition

Thank you for providing the following documents for Environmental Representative (ER) review and endorsement as required by the Condition of Approval A24 (d) of the Sydney Metro City & Southwest project (SSI – 15_7400 January 9 2017).

- Sydney Metro City & Southwest Chatswood to Sydenham Pre-Construction Compliance Report and;
- Appendix A.8 - Martin Place ISD Demolition (Macquarie Group) (as appended).

As an approved ER for the Sydney Metro City & Southwest project, I have reviewed and provided comments on this document and now consider it appropriate for submission to NSW Department of Planning and Environment for information as required under Condition A31.

Yours sincerely



Jo Robertson
Environmental Representative – Sydney Metro – City and South West