

Pre-Construction Minor Works Approval Form

Minor Works are defined as any low impact activities that are undertaken prior to the commencement of 'construction' as defined in the project's applicable planning approval. However if Minor Works affect or potentially affect heritage items, threatened species, populations or endangered ecological communities, these works are defined as 'construction' unless otherwise determined by the applicable planning authority.

Minor Works approvals do not remove any obligation to comply with the project's applicable planning approval conditions (including requirements prior to 'any works' commencing) or obtain any other applicable permits, licenses or approvals as necessary.

This application and all supporting information must be submitted to TfNSW/the Environmental Representative as one (1) PDF file at least 10 business days prior to the commencement of the proposed Minor Works.

Contractor:	Laing O'Rourke
Project:	Sydney Metro City and Southwest - Northern Corridor Works Project
Application Title: (e.g. Smith St trenching works)	15 th to 19 th January 2018 (Mid-Week 29 Nights) Survey of Over Head Wiring (OHW) footings between Brand St, Artarmon and Albert Ave, Chatswood – NCW Portion 7a
Application Number:	NCW-010
Application Date:	Revision 1 – 10 th January 2018 Revision 2 – 12 th January 2018
Planning Approval:	SSI 15_7400
 Minor Works Categories: Highlight as applicable. If Items 4, 8 or 11 are applicable, this form must be endorsed by an Environmental Representative. 	 Survey, survey facilitation and investigations works (including road and building dilapidation survey works, drilling and excavation). Treatment of contaminated sites. Establishment of ancillary facilities (excluding demolition), including construction of ancillary facility access roads and providing facility utilities. Operation of ancillary facilities that have minimal impact on the environment and community. Minor clearing and relocation of vegetation (including native). Installation of mitigation measures, including erosion and sediment controls, temporary exclusion fencing for sensitive areas and acoustic treatments. Property acquisition adjustment works, including installation of property fencing and utility relocation and adjustments to properties. Utility relocation and connections. Maintenance of existing buildings and structures. Archaeological testing under the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW, 2010) or archaeological monitoring undertaken in association with other Minor Works to ensure there is no impact on heritage items. Any other activities that have minimal environmental impact, including construction of minor access roads, temporary relocation of pedestrian and cycle paths and the provision of property access.
Planning Authority Determination: Will the proposed works affect or have the potential to affect heritage items, threatened species, populations or	No. The proposed works do not have potential to impact on heritage items, threatened species, populations or endangered ecological communities.





communities?	
Part 2: Details	Sales and the sales of the sale
	Northern Corridor Works Portion 7a
	OHW Survey works between Brand St, Artarmon and Albert Ave, Chatswood
	 Sign-in and out will be from Drake St Sign on point then proceed to undertake survey.
	 OHW Survey works at between Brand St and Albert Ave. Surveyors to use a laser to sight measurements at footings locations.
Describe the proposed Minor Works:	No impact works to be conducted.
Including work methodologies, site	Plant and Equipment:
location(s) and site description(s)	Crew cab vehicles x2
(e.g. landscape type, waterways, etc.).	General Hand tools (survey equipment)
* 8	Refer to Appendix 2 for works locations.
	This application should be read in conjunction with NCW OOHW 006 Application
	There are no know works to be undertaken during the proposed works period.
	Mid-week 29
Planned Commencement Date:	5 Weeknights (Monday-Friday) between 2200 – 0415 15 th – 19 th January 2018
Local Sensitivities:	Community
Describe the presence (if any) of local sensitive environmental	Residents along the rail corridor between Brand St, Artarmon and Albert Ave, Chatswood will have direct line of site to the works.
areas and community receptors.	However due to the nature of the works being very low impacts, it is not expected that any residential receptors are to be affected.
Part 3: Environmental Risk Asses	sment and Management
	nent (in accordance with the <i>Sydney Metro Risk Management Standard</i>) and an assed Minor Works and attach as Appendix 1.
If an Environmental Risk Assessment ar	id/or an Environmental Control Map for the proposed Minor Works is/are already ach the relevant section(s) as Appendix 1.
Documentation:	- Sydney Metro Construction Environmental Management Framework
List any existing documents (including	- Sydney Metro City and Southwest, Chatswood to Sydenham, Planning approval
those referenced above) that the proposed Minor Works will be	SSI 15_7400 - Environmental Control Maps (Artarmon)
undertaken in accordance with and	- EPL 12208
attach as Appendix 2 (e.g. plans, procedures, procedures, etc.).	
Part 4: Workforce Notification	
How will the environmental and community risks and associated mitigation measures of the proposed Minor Works be communicated to the contractor's	All workers will undertake NCW Project specific induction. In addition, there will be a pre-start briefing undertaken by the worksite manager at the start of shift for all work groups. This will detail the environmental and community risks.
workforce?	
Part 5: Community Consultation	



What community consultation has been undertaken already?	Community notineason and letter box drops covered through Sydney Metro engagement strategy. Specific notifications have been distributed in the January 2018 newsletter Name Pear Drop Wester nich auch his . Hy	
What community consultation is planned to be undertaken?	No turner community consultation to planned in Prace 87 will be	
If drafted already, attach applicable Cor	mmunity Notification as Appendix 3. Notified by emost 4	
	J tet	

Part 6: 0	Contact Details				
Nominate	contractor's project manager, e	nvironmental an	d communications contact(s).		
	Tony Deacy		Project Manager		0467 762 987
Name:	Chris Standing	Position:	Environmental Manager	Phone:	0431 338 578
rumo.	Helena Johansson	1 001110112	Communications Manager (Sydney Metro)	1 none.	0466 545 189
Part 7: Si	gnature		不是在14年1月1日 10日		
			rks will be undertaken in accordar astruction' in accordance with the a		
Name:		Chris Standing]		
Signature	3 :	M	eg ,	Date:	12/01/2017

12. Endorsement/Approval



Determination Page

(TfNSW/Environmental Representative Use Only)

These signatures represent formal endorsement/approval for the proposed Minor Works to commence in accordance with this application and the applicable planning approval requirements (subject to any determination from the applicable planning authority as may be required by the planning approval conditions). TfNSW Principal Manager, Sustainability, Environment & Planning TfNSW Principal Manager, **Environmental Representative** Communication & - Endorsement Engagement (required as necessary in accordance with the applicable planning approval, optional for all other circumstances) - Endorsement - Approval (required for all applications) (required for all applications) Signature: CERONE Name: Date: Supporting letter attached as Appendix 4 if necessary. Comments: Supporting letter attached as Appendix 4 if necessary. Conditions: Approved (by TfNSW) Endorsed (by Environmental Representative) Rejected





Appendix 1: Environmental Risk Assessment and Environmental Control Map.

Risk Assessment Rankings:

>17 = Extreme

10 - 16 = High

 $5 - 9 = Medium \quad 1 - 4 = Low$

Issues or activities that represent an Extreme risk after the application of control measures are not to be undertaken

		Initial	Risk Rati	ing			Residual Risk Rating			
Aspect	Potential Environmental Impact	PX	C =	Risk	Control Measures	PX	C =	Risk		
Approvals and Licensing										
Not identifying appropriate approvals / licenses required or proceeding without them.	Works delayed, infringements, prosecution and reputational loss.	P	3	М	Planning approval has been granted for the Sydney Metro - Chatswood to Sydenham works (SSD 15_7400). This minor works application is being completed to ensure appropriate documentation is completed to	U	1	L		
					manage environmental risk of the project.					
					Only minor works are being undertaken. No permanent construction works are to be completed.					
Noise						22.0				
Noise from activities.	Disturbance to residents or neighbouring businesses. Potential for complaints.	P	3	M	Control measures as per Community Consultation Strategy (CCS) are to be implemented. Consultation in relation to upcoming activities has been completed by Sydney Metro. Vehicles to enter rail corridor immediately on arrival to gate No engine idling.	U	3	M		
					No congregating near residents, shouting, swearing or loud radios.					
Vibration			1	1700	Santa					
Vibration intensive activities undertaken on the site in proximity to	Damage to listed heritage items. Disruption, annoyance and nuisance to residents. Potential damage to adjacent	_ U	4	M	No vibration intensive works to be undertaken during survey works.	R	3	M		





sensitive heritage structures and community.	residential and commercial residences and structures. Disruption to businesses as a result of vibration nuisance							
Water Quality, Erosion & S	edimentation	PILI			A TRAIN IS NOT THE REAL PROPERTY OF THE PARTY OF THE PART	THE STATE		
Sediment laden runoff from investigation works leaving site.	Degradation of local watercourses. Increased turbidity in local water ways resulting in impact on aquatic life. Fines for sediment escaping site.	U	3	M	Control measures as per Environmental Control Map (ECM). No excavation to be undertaken during survey works. All vehicle wheels to be clean prior to exiting site.	R	3	L
Non-compliant water from investigation works discharged from site	Non-compliant water entering stormwater system waterways (i.e. polluting - not compliant with discharge criteria).	P	3	М	No water discharge during survey works.	U	2	L
Ground water	Ground water entering excavations Without appropriate safeguards onsite could lead to ground water contamination	U	2	L	No excavation to be undertaken during survey works. Works will not be affecting groundwater table.	R	1	L
Waste								
Waste disposal during works.	Incorrect disposal of waste, further costs incurred for classifications and disposal, fines may be issued.	Р	2	M	Removal of wastes from the site would only be undertaken by a licensed contractor as required by the POEO Act and with appropriate approvals, if required, for contaminated materials, etc.	R	2	L
					All material that requires off-site disposal to be appropriately classified against the Waste Classification Guidelines (DECC, 2008).			
					Waste to be taken to an appropriately licenced waste management facility with all records retained.			
					No waste to be removed during survey works.			
Contamination								
Potential for discovery of	Health effects resulting from airborne	P	3	М	If contaminated soil/materials are encountered, all	U	2	L





unexpected contaminated spoil during investigation works involving excavation and drilling.	contamination, e.g. asbestos. Complaints received from odours.	-			works are to stop in the vicinity of the find and investigations commence. Induct personnel on location, type, nature and concentration of contaminants on site if found.			
Hazardous Materials Storage of hazardous substances, leaking plant and equipment and spillage from refuelling.	Localised ground contamination / pollution of stormwater and requiring clean-up and/or receiving fines. Risk of igniting volatile substances.	U	3	M	No fuels or hazardous materials to be stored on site for minor works. Spill kits to be onsite when using plant/equipment. No major servicing of equipment to be undertaken onsite. Pre-mobilisation checks on all plant/equipment to come to site, including check of fuel and hydraulic leaks.	R	3	L
Biodiversity	KIND OF BUILDING		The same		The second second second		5	
Vegetation trimming / clearing required outside approved work area.	Unauthorised works / removal of vegetation outside defined work area, possibility of removing threatened species, fines incurred.	R	3	L	No vegetation removal/trimming to be undertaken as part of the survey works.	R	1	L
Clearing and grubbing of vegetation within work site.	Erosion of soils, uncontrolled runoff, sediment deposited into surrounding vegetated areas and watercourses, and invasion of weeds. Wrong vegetation removed. Potential for injury to native fauna.	R	3	L	No vegetation removal/trimming to be undertaken as part of the survey works.	R	1	L
Air Quality			HILL	FER			0 5 N	BIE
General works; stockpile movement.	Dust activity in close proximity to residential and commercial premises, complaints received.	U .	3	М	No generation of dust during survey works.	R	2	L
Exhaust from plant and equipment.	Emissions resulting in air pollution.	U	3	М	Well maintained plant/ equipment and pre-start checks and servicing.	R	2	L





Heritage					Non-complaint vehicles removed from site / repaired. The engines of all on-site vehicles and plant would be switched off when not in use for an extended period.			
Unexpected heritage	Work delays, additional studies,	P	3	М	General inductions on heritage management 'stop-	U	2	M
items encountered.	approvals required, damage to heritage item.				works' protocol. If suspected heritage item encountered. Works to stop immediately and Environmental Manager contacted. Label any known heritage items on Environmental Control Maps. Unexpected finds procedure to be followed. The site is to be isolated and investigated by a heritage consultant. Approval to proceed required prior to re-commencing works.		5 &	
Flora & Fauna								
Removal of flora without approval.	Failure to identify flora prior to removal.	R	3	L	No vegetation removal/trimming to be undertaken as part of the minor works.	R	1	L
Traffic	NO SHE WITH BEARING		300		AND INCOME AND INCOME.	BU		
Loss of on-street car parking in adjacent residential streets and commercial areas during investigation works.	Loss of parking availability to adjacent residential and commercial properties could result in community complaints.	P	3	M	Community notifications. Site vehicles shall be parked within the rail corridor and not affect public parking area. No road/lane closures required.	U	3	L
Management of heavy vehicles / access routes.	Complaints from sensitive receivers due to increased level and frequency of noise.	P	4	M	Deliveries of plant and materials shall be undertaken outside of peak periods where possible Site vehicles shall be parked within the rail corridor and not affect public parking areas Scheduled road movements shall be minimised where possible	U	3	L

(Uncontrolled when printed)





Truck deliveries out of normal working hours	Noise impact to community / potential complaints.	P	3	M	No oversized deliveries required. Designated access routes given to contractors/suppliers. Community Notifications. Pedestrian management with traffic controller in place where required. Approved traffic/access routes. Induction on approved working hours for deliveries.	U	3	M
(un-approved).					Communication of delivery times to suppliers. Community Notifications on project activities occurring locally. Approved traffic/access routes. Planning and staging of works in approved hours only.			
Resources and Energy Us	se	H			Charles Carrie Tourist And	FE	II Feb	150
Energy consumption by plant and equipment.	Inappropriate energy use, waste of energy resources, energy wastage costs, increased greenhouse gas emissions.	U	2	L	No idling of plant equipment where possible onsite. Equipment / plant equipment inspections must be undertaken prior to use on site.	R	2	L
Resource usage (e.g. building materials, water, fuels, packaging), waste generation and disposal	Depletion of resources due to wastage (e.g. wastage of water / no recycling, poor management of procurement, ineffective removal of off-cuts, waste, i.e. no recycling).	U	2	L	No materials are required to be imported for these survey works.	U	2	L

For each identified issue, consider the 'maximum credible' (not absolute worst case) risk that could result with **minimal or no controls** other than existing and using normal work practices.

Note: Any one of the listed consequences must result in the use of the applicable consequence grading.

Probability:	Consequence:
5 = Certain 4 = Likely 3 = Possible 2 = Unlikely 1 = Rare	5 = Severe 4 = Major 3 = Moderate 2 = Minor 1= Incidental





1-4 A	Acceptable	5	- 9 Acceptable with control meas	sures (10 - 16 Requ	ires the implementation of best practice 17 and Above = UNACCEPTABLE
5	Certain		Common or repeating occurrence Consequence can reasonably be expected to occur in life of Project.	5	Severe	 Major pollution incident causing significant and widespread damage or potential to health or the environment Persistent reduction in ecosystem function and value. Ongoing disruption and loss of protected species. Major prosecution likely, outcome in excess of \$500,000
4	Likely	_	Known to have occurred / "has happened" Conditions may allow the consequence to occur on the Project during its lifetime The event has occurred within the Business Unit within the previous 5 years.	4	Major	 Significant widespread and persistent changes to habitat, species or environmental media Significant pollution incident causing damage or potential damage to health or the environment external to the site. Potential for prosecution. Potential outcome between \$50,000 - \$500,000 Numerous substantial complaints Actual material environmental harm
3	Possible	_	Could occur / "heard of it happening" Exceptional conditions may allow consequences to occur on the Project, or has occurred nationally within the Australian Business.	3	Moderate	 Localised irreversible habitat loss or effects on habitat, species or environmental media Reportable incident to the relevant environmental regulator or other authority. Demonstrated breach of legislative, licence or guideline requirements. Likely infringement notice or fine, potential for prosecution up to \$50,000. Will cause complaints.
2	Unlikely	_	Not likely to occur Reasonable to expect that the consequence will not occur on the Project. Has occurred in industry but not in Business Unit.	2	Minor	 Localised degradation of habitat or short term impacts to habitat, species or environmental media. Pollution incident that marginally exceeds licence conditions or guidelines for acceptable pollution. Fine unlikely. Potential for complaints.
1	Rare	_	Practically impossible Not known to have occurred in	1	Incidental	 Localised or short term effects on habitat, species or environmental media. Fully contained on site and can be fully remediated. Little potential for fine or complaints.





industry or	r unheard of.	– Insigr	nificant or trivial incident		
Probability >	CERTAIN	LIKELY	POSSIBLE	UNLIKELY	RARE
V Consequence	5		3	2	
5 – Severe	25	20	15	10	
4 – Major	20	16	12	8	
3 - Moderate	15	12	9	6	3
2 - Minor	10	8	6	4	2
1 - Incidental	5	4	3	2	1



ID	Environmental Aspect	Description		
		Besonbasti		
1	Project	This ECM applies to Pre-Construction Minor Works for Portion 7a delivered under Northern Corridor Works (Enabling works for Sydney Metro City and Southwest, Chatswood to Sydenham).		
2	Site Access	Site access will be from existing Drake Sreet rail corridor access gate.		
3	Program of works	Refer Pre-Construction Minor Works Application Section 2 "Occasions" for possession program.		
4	General	 The team will be trained on this ECM, OOHW requirements, general environmental issues, and location of sensitive areas. Works will be subject to inspections by the ER, Sydney Metro Environment and Planning Manager and LOR Environmental Manager. This ECM will be displayed on site sheds. 		
5	Contamination	 Unexpected contamination finds will be managed onsite by occupational hygienist in attendance. All waste to be classified prior to disposal. 		
6	Air Quality	No air quality related issues are expected to be encountered		
7	Waste	No waste is to be generated during these works		
8	Soils and water	 No soil and water related issues are expected to be encountered No excavation to be undertaken during these works 		
9	Herita ge	 No excavation to be undertaken during these works In the event of any unexpected finds of heritage items. They must be reported to LOR Environmental Manager and Sydney Metro. The site is to be isolated and investigated by a heritage consultant. Approval to proceed required prior to re-commencing works. 		
10	Noise and Vibration	 All works will be completed in compliance with Sydney Metro CEMF, SSI 15_7400 Planning Approval, Construction Noise Strategy and EPL 12208 requirements. Community notifications will be drafted and sent to Sydney Metro Community Liaison team separately. Noise and vibration impacts will be managed in accordance with NCW OOHW application 006 Vehicles to enter rail corridor immediately on arrival to gate No engine idling. All plant will have non-tonal alarms. No congregating near residents, shouting, swearing or loud radios. 		
11	Traffic and Transport	 Access to the site will be from existing access gate at Drake Street, Artarmon. All delivery drivers will be given an induction covering relevant environmental controls to their activities. No additional traffic controls are required for the works. 		
12	Utilities	 Any impacts to utilities will be reported to site HSE Manager, supervisors, Sydney Trains and Sydney Metro. 		
13	Biodiversity	No vegetation to be removed or disturbed during works No excavation to be undertaken during these works		
14	Chemical, fuel storage and use	No fuels and chemicals are expected to be brought to site as part of these works. All plant and equipment will be checked daily to ensure there is no leaking oil, fuel or other liquids.		
15	Imported materials			
16	No-go zones	Activities will be restricted to the rail corridor. All activities outside the NCW site boundary will undergo a review for potential environmental impacts and require approval from Sydney Metro.		







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Position	Name	Phone
LOR Project Leader	Tony Deacy	0467 762 987
LOR Construction Manager	Martin O'Brien	0457 560 728
LOR/Sydney Metro Communications Manager	Helena Johansson	0466 545 189
LOR Environment and Sustainability Manager	Chris Standing	0431 338 578
LOR WHS Manager	Clive Hunter	0428 412 130
ER	Erran Woodward	0437 343 178
Sydney Metro Environmental Manager	Andrew Hendy	0475 983 494
Sydney Metro City and Southwest Info Line	1800 019 989	
Sydney Trains Info Line	131 500	
Environmental Line / Pollution Incident Response Lir	131 555	
Office of Environment & Heritage Pollution Line	131 555	
Emergency	000 or 112 (mobiles)	
WIRES	1300 094 737	

Standard Working Hours

Audible construction works unless otherwise approved by the Environmental Manager will be restricted to:

- 7:00AM to 6:00PM Monday to Friday
- 8:00AM to 1:00PM Saturdays
- . No work on Sundays or public holidays

Any works outside of the hours above require OOHW and Sydney Metro and LOR Environmental Manager's Approval

As per Sydney Trains EPL,

"High noise impact works and activities must only be undertaken:

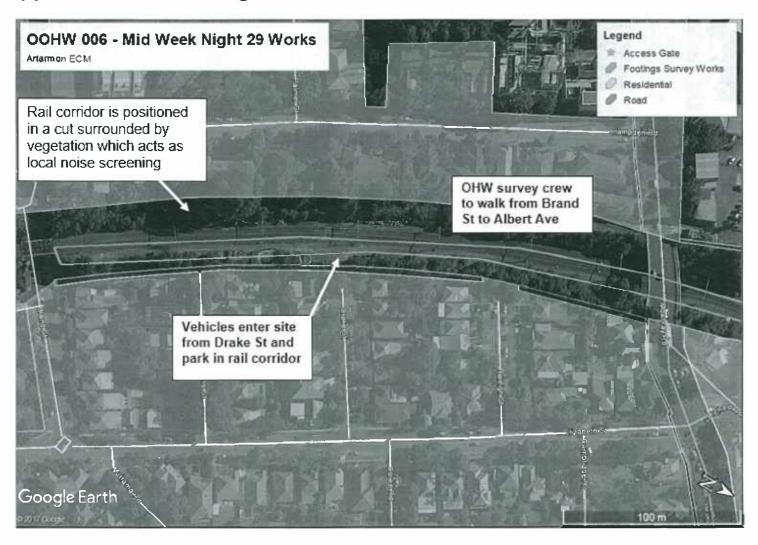
- a) between the hours of 8:00am to 6:00pm Monday to Friday;
- b) between the hours of 8:00am to 1:00pm Saturday; and
- c) in continuous blocks not exceeding 3 hours each with a minimum respite from those activities and works of not less than 1 hour between each block"

Out-of-Hour Works

5 Weeknights (Monday-Friday) between 2200 - 0415 $15^{th} - 19^{th}$ January 2018

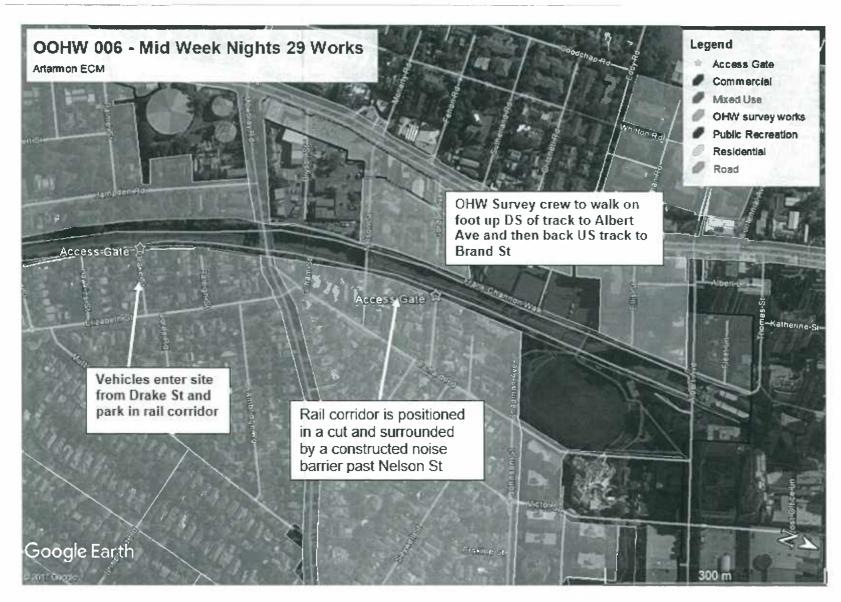


Appendix 2: Works Diagrams











Appendix 3: Cover Page

Community Notification - Undertaken by Sydney Metro in conjunction with LOR. Combined letter to be issued which will cover MNNSCW Portion 6 and NCW Portion 7a works.





Appendix 4: Cover Page

Environmental Representative Supporting Letter - Refer to Section 12 Determination Page above